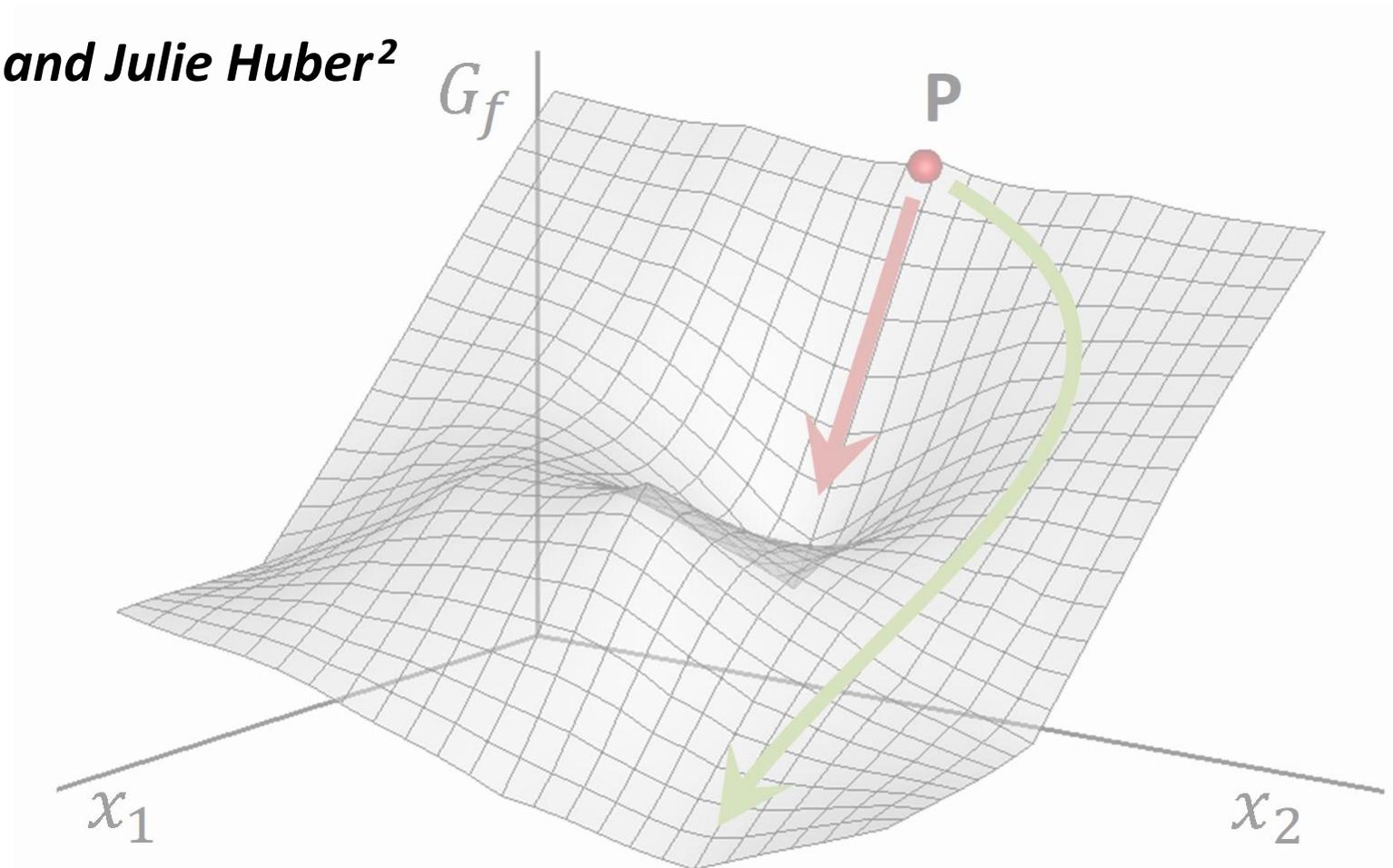


# Using the maximum entropy production principle to understand and predict microbial biogeochemistry

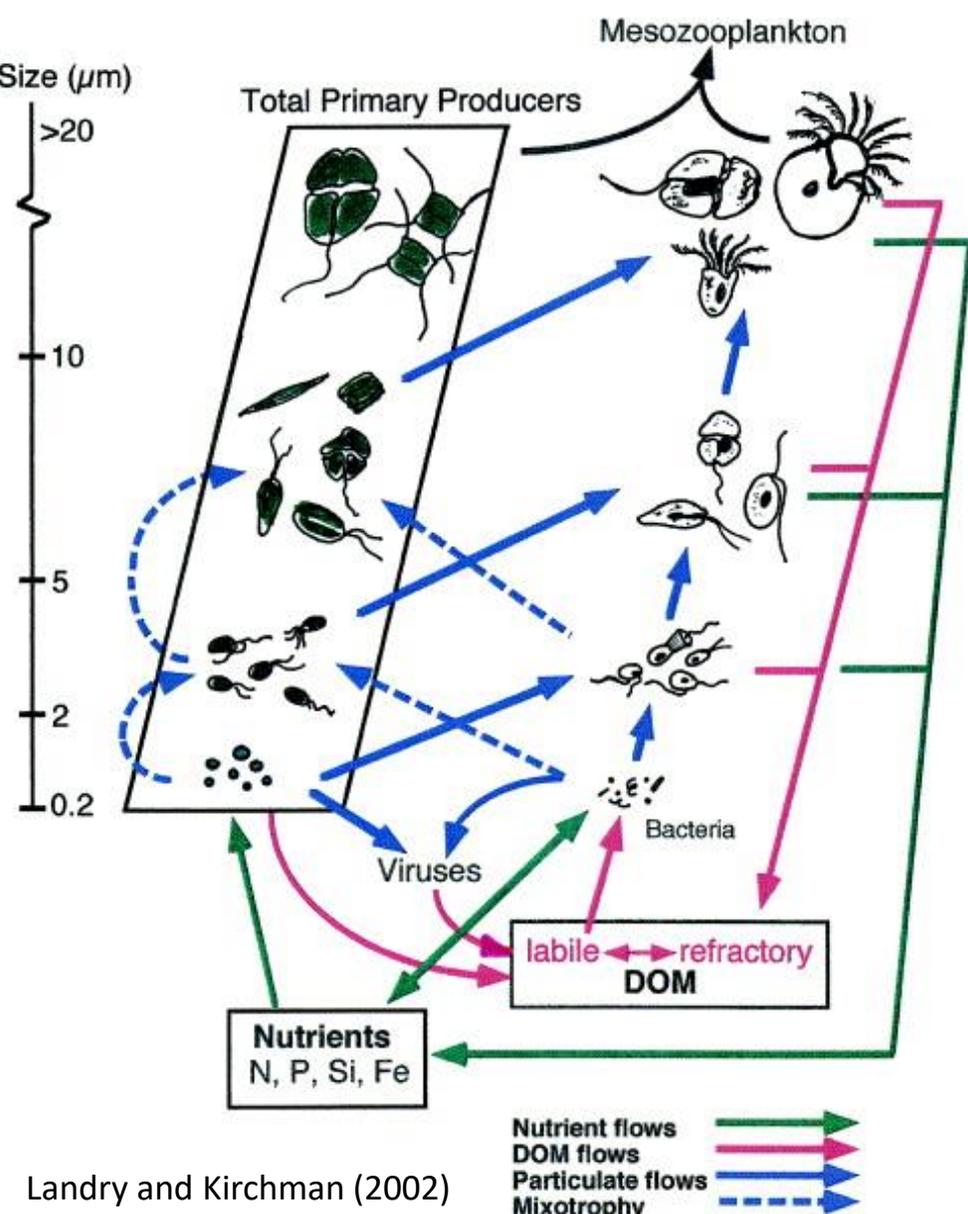
*Joe Vallino<sup>1</sup>, Ioannis Tsakalakis<sup>1</sup>, and Julie Huber<sup>2</sup>*

<sup>1</sup> Ecosystems Center  
Marine Biological Laboratory  
Woods Hole

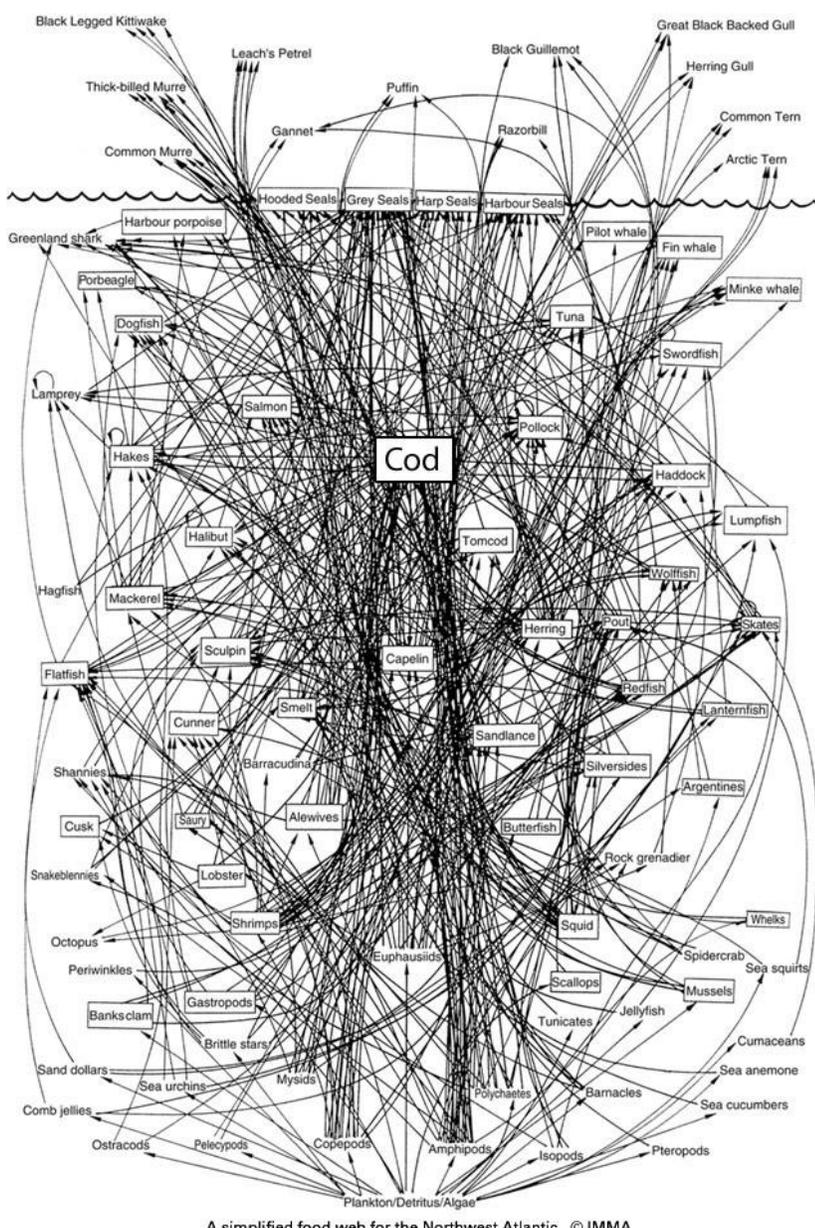
<sup>2</sup> Marine Chemistry and Geochemistry  
Woods Hole Oceanographic Institution



# Reductionist Modeling Approach, Marine Biogeochemistry

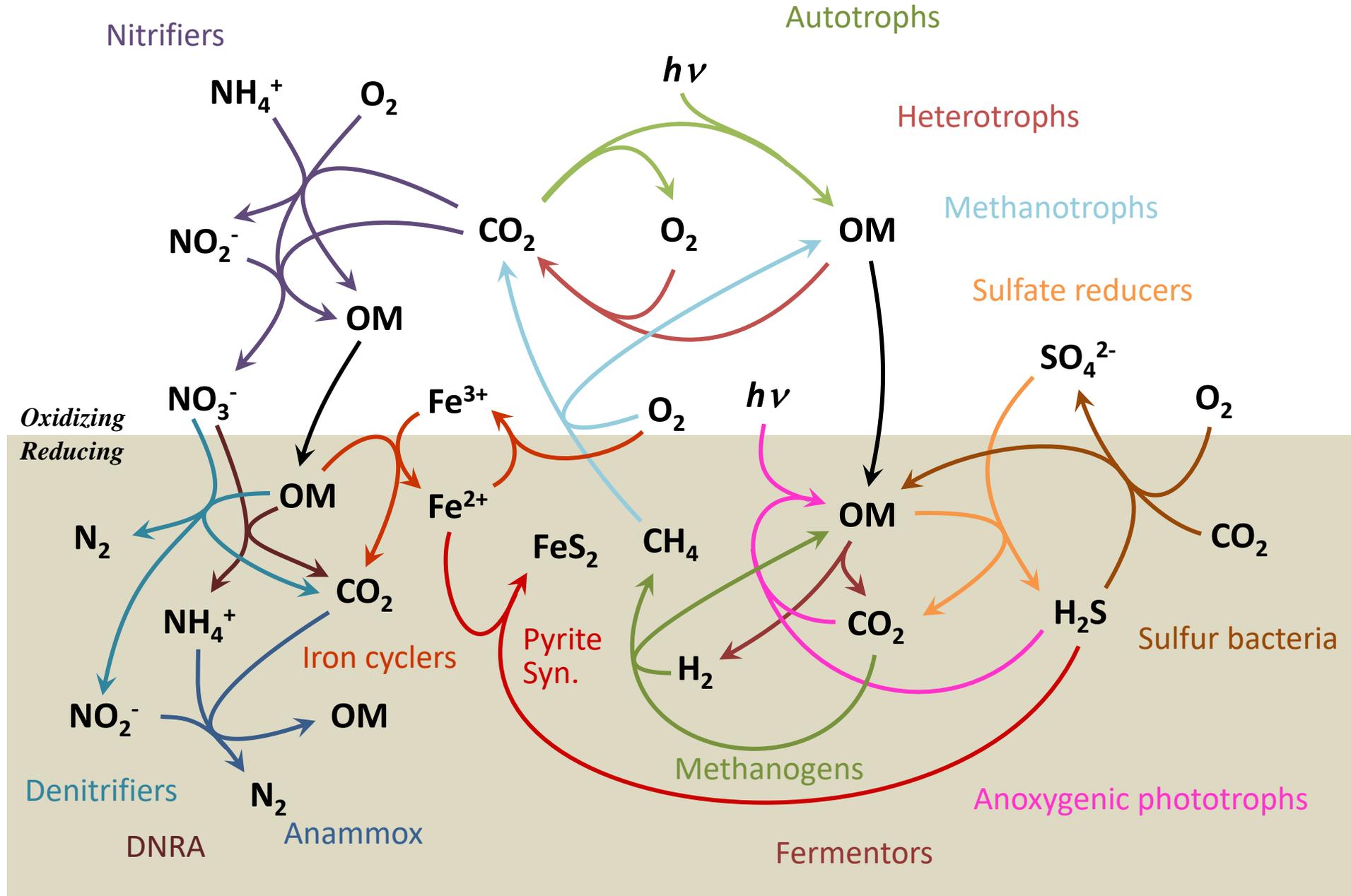


Landry and Kirchman (2002)



- Aggregation causes errors
- Huge number of parameters typically
- Insufficient information
- What happens when the community composition changes?
- How about the Rare Biosphere?

# Focus on functions that dissipate energy instead



Pathways are distributed across phyla

No centralized control

Which pathways are up-regulated?

Which are down-regulated?

How do resources limit?

Many degrees of freedom

Does "who's there" matter?

How to predict protein allocation?

Use optimization-base approach!

# Maximum Entropy Production (MEP)

*Steady state nonequilibrium systems with many degrees of freedom will likely organize to maximize the rate of entropy production.*

**Examples: Fire, Hurricanes, and Living systems.**

See:  
Ziegler 1963  
Paltridge 1975  
Dewar 2003, 2005  
Martyushev & Seleznev 2006

## Entropy?

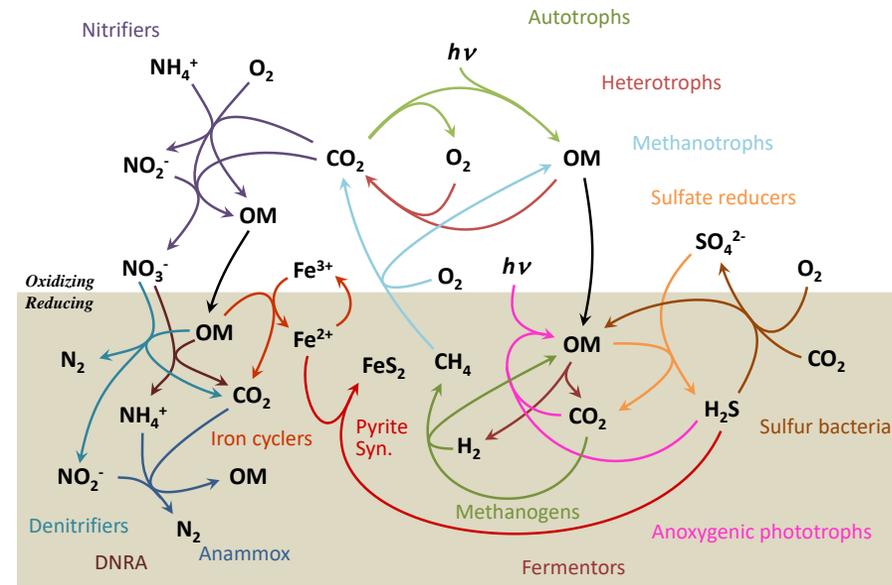
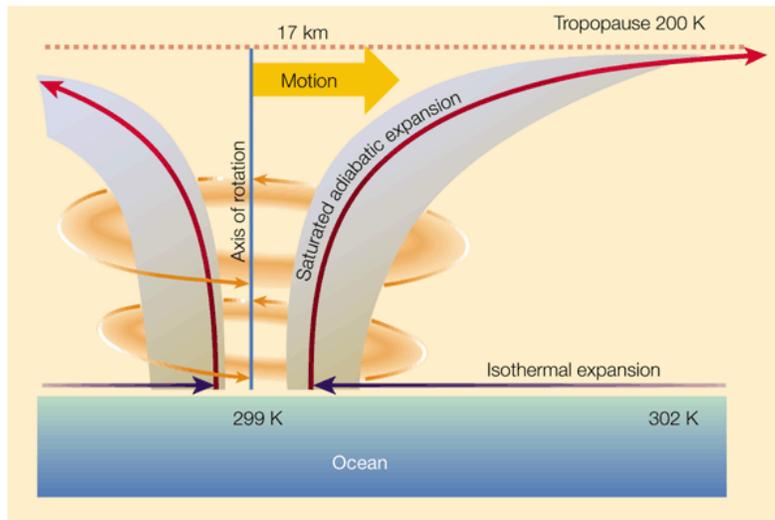
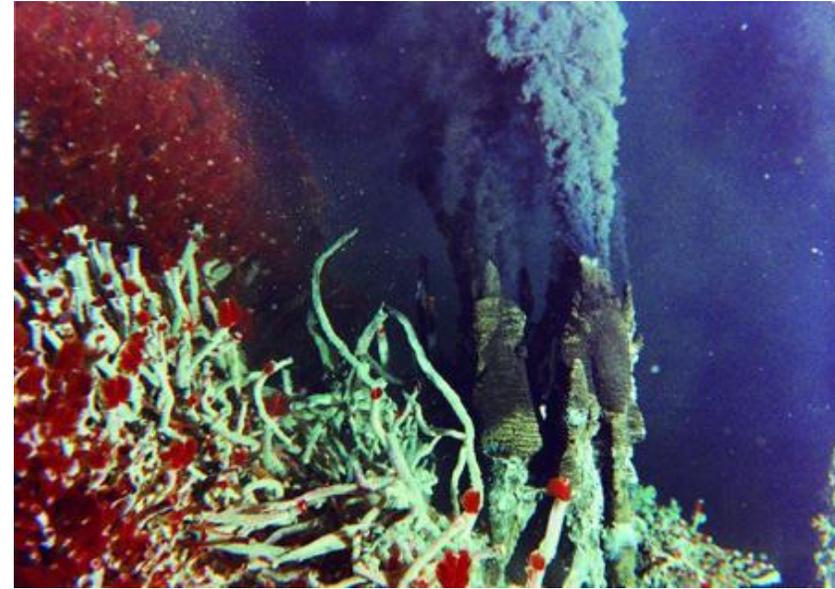
- Dispersal (spreading out) of energy
- Order is usually a small and unimportant component of entropy
- Order must contend with Boltzmann's constant:  $k=1.3806488 \times 10^{-23} \text{ J K}^{-1}$
- Living organisms are not low entropy structures.

Easier to think about entropy as the destruction of *free* energy†

† Energy is conserved, free energy (or exergy) is not.

# Maximum Entropy Production (MEP)

Or: Systems organize to maximize dissipation rate of energy potentials

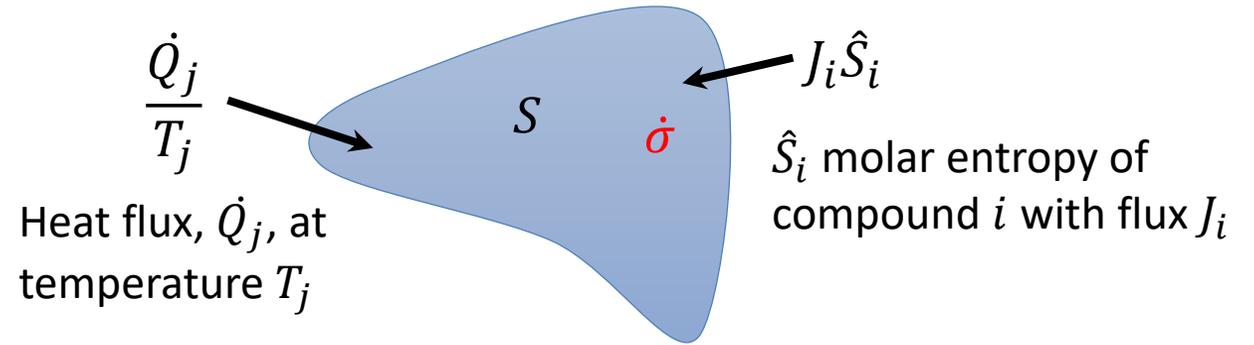


# MEP, Which Entropy Term?

Entropy Balance Equation:

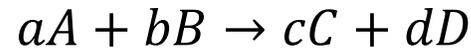
$$\frac{dS}{dt} = \sum_i J_i \hat{S}_i + \sum_j \frac{\dot{Q}_j}{T_j} + \dot{\sigma}$$

where  $\dot{\sigma}$  is internal entropy production from irreversible processes. Second Law says  $\dot{\sigma} \geq 0$



**MEP concerns  $\dot{\sigma}$  not  $S$**

**For Chemical Reaction**



Gibbs free energy

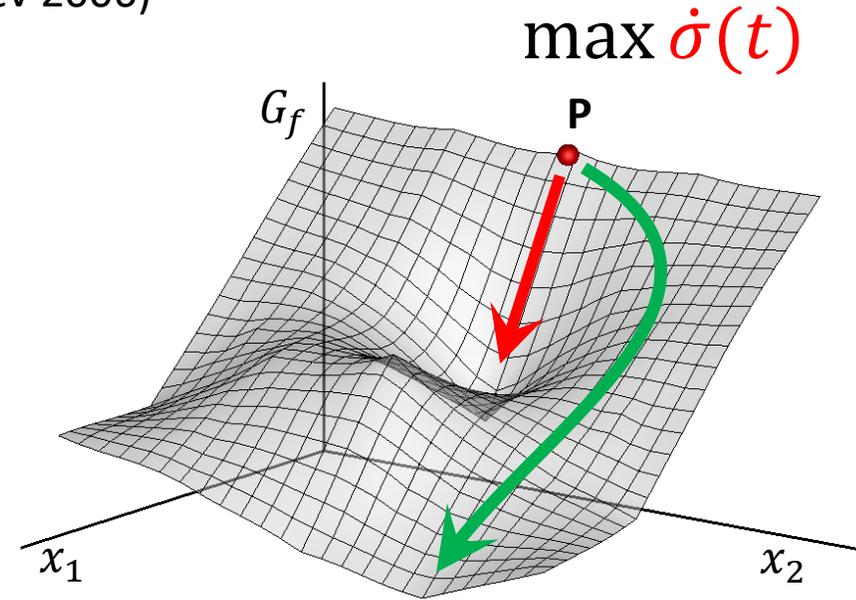
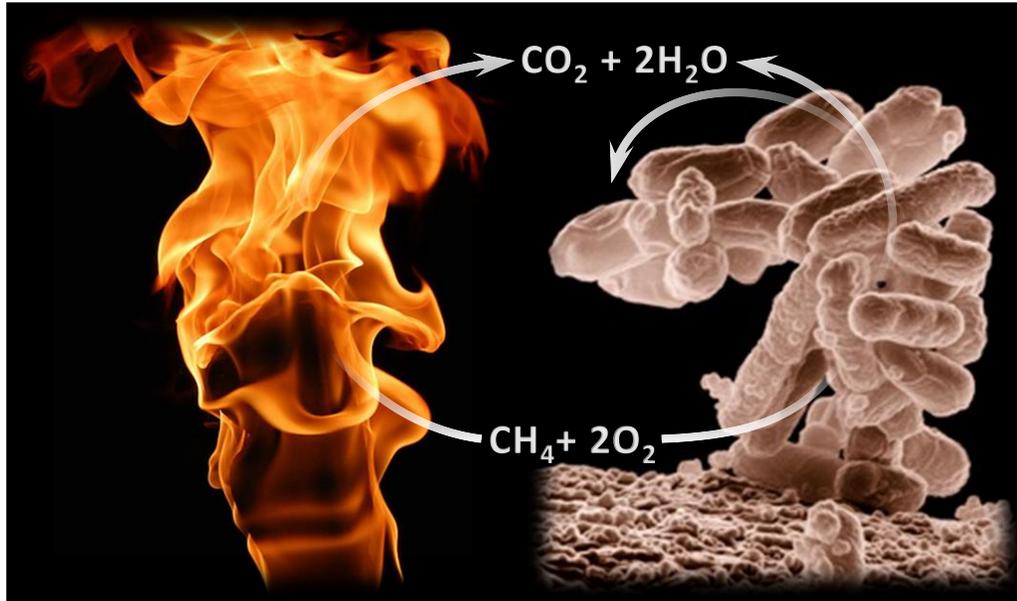
$$\Delta_r G(T, P) = \Delta_r G^\circ(T, P) + RT \log \left( \frac{(\gamma_c [C])^c (\gamma_d [D])^d}{(\gamma_a [A])^a (\gamma_b [B])^b} \right)$$



If free energy is not stored, then:  $\dot{\sigma} = -\frac{V}{T} r \Delta_r G(T, P)$

# Maximum Entropy Production for non-steady state systems

MEP only derived for SS systems (Dewar 2003, Martyushev & Seleznev 2006)



**Difference between abiotic (fire) and Life:**

- **Abiotic:** maximizes instantaneous  $\dot{\sigma}(t)$
- **Life:** maximizes time-averaged  $\langle \dot{\sigma}(t) \rangle$

**Temporal strategies are a hallmark of biology:**

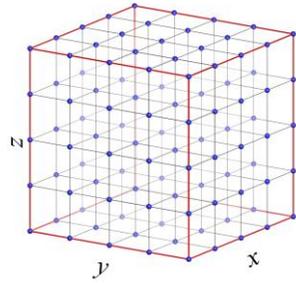
circadian rhythm, resource storage, dormancy, life cycles, anticipatory control, etc.

$$\max \langle \dot{\sigma}(t) \rangle = \max \left( \frac{1}{\Delta t} \int_t^{t+\Delta t} \dot{\sigma}(\tau) d\tau \right)$$

$$\max \langle \dot{\sigma}(t) \rangle \geq \frac{1}{\Delta t} \int_t^{t+\Delta t} \max \dot{\sigma}(\tau) dt$$

# Coordination Over Space

Entropy production can also be increased with coordination over space (see Vallino 2010)



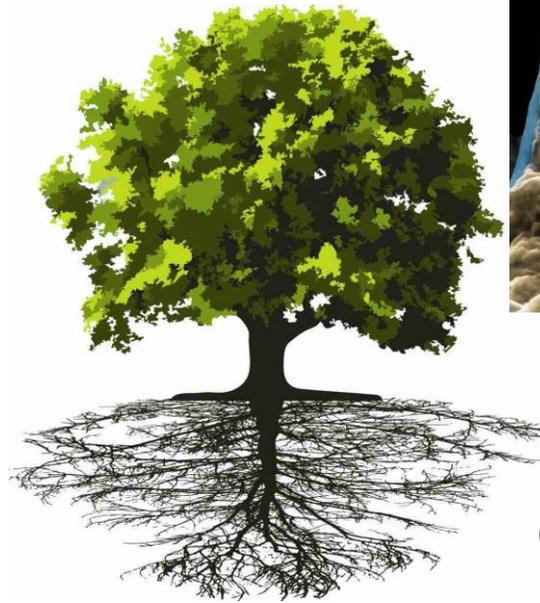
$$\langle \dot{\sigma} \rangle_{\Omega} = \max \frac{1}{V} \iiint_{\Omega} \dot{\sigma}(x, y, z) d\Omega$$

$$\langle \dot{\sigma} \rangle_{\Omega} \geq \frac{1}{V} \iiint_{\Omega} \max \dot{\sigma}(x, y, z) d\Omega$$

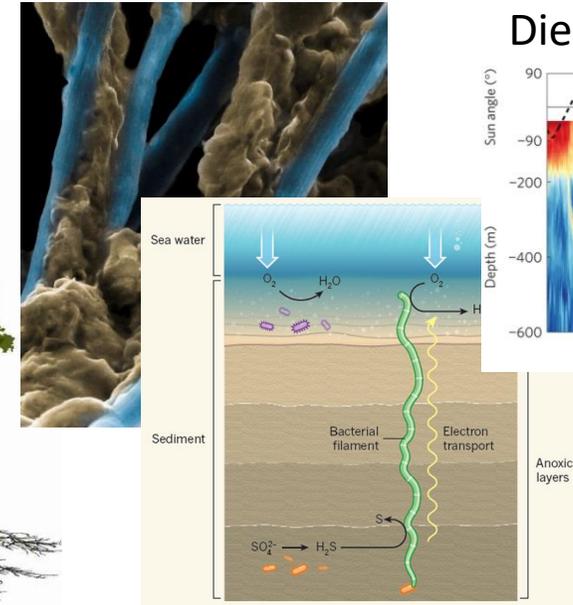
**Living systems evolve to maximize energy dissipation over the greatest possible spatial and temporal scales**

“Paradigm shift, from ‘we eat food’ to ‘food has produced us to eat it’” (Lineaweaver&Egan 2008)

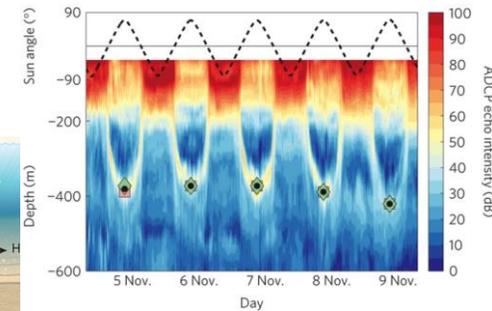
Multicellularity



Cable bacteria



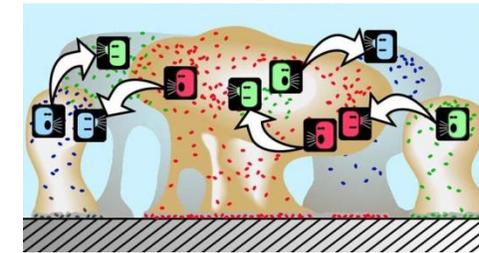
Diel vertical migration



Stigmergy



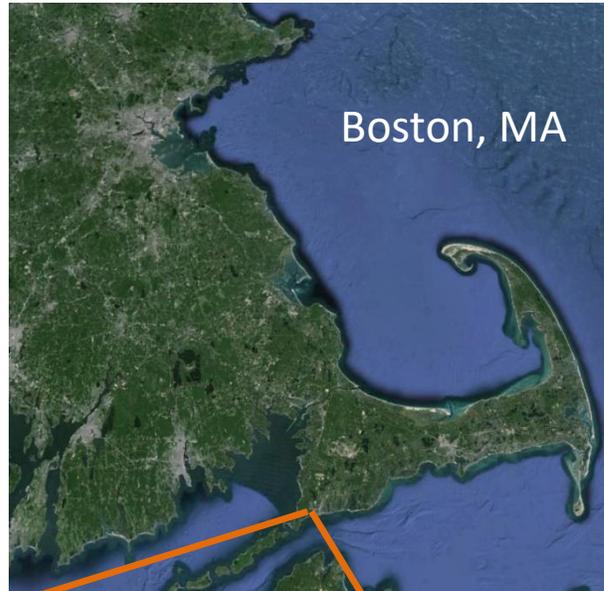
Quorum sensing



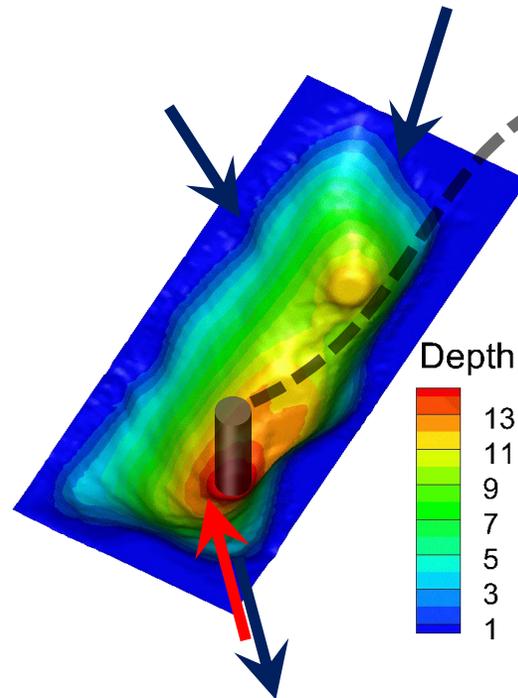
# MEP approach to modeling biogeochemistry

- Represent biogeochemistry as a distributed metabolic network focused on redox reactions
- Allocate catalysts (protein) to metabolic pathways that maximize entropy production **over time and space**
- Optimization replaces need to understand how communities assemble (aka, climate verses weather modeling)
- Replace parameters with optimization variables

# Example: Siders Pond “Laboratory”

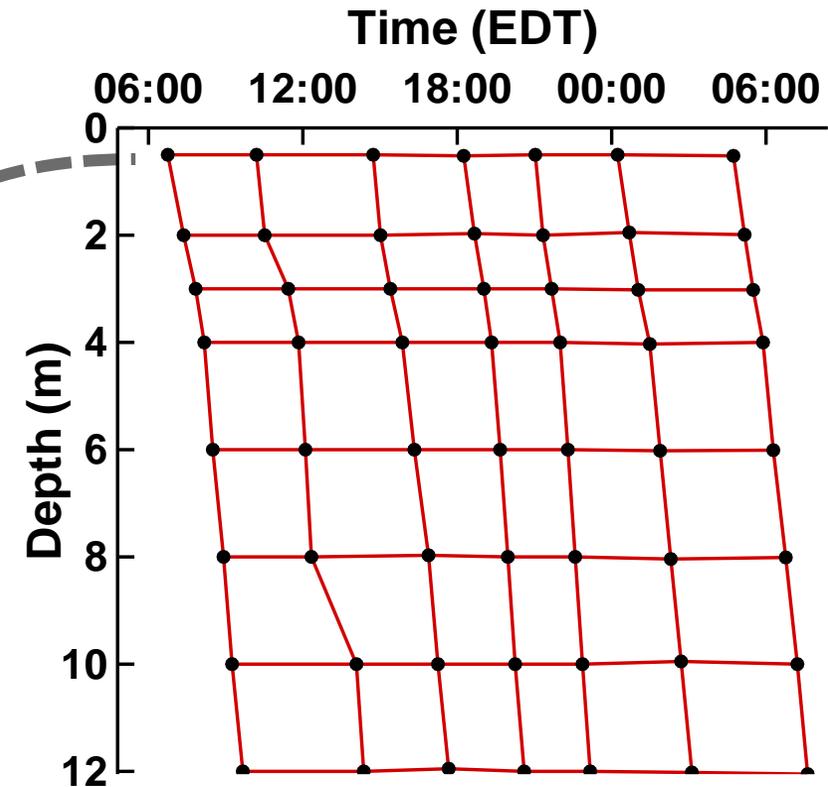


Meromictic Coastal Pond  
Permanently stratified  
Depth: 15 m max  
Area: 14 hectares  
Volume:  $\sim 1 \text{ Mm}^3$

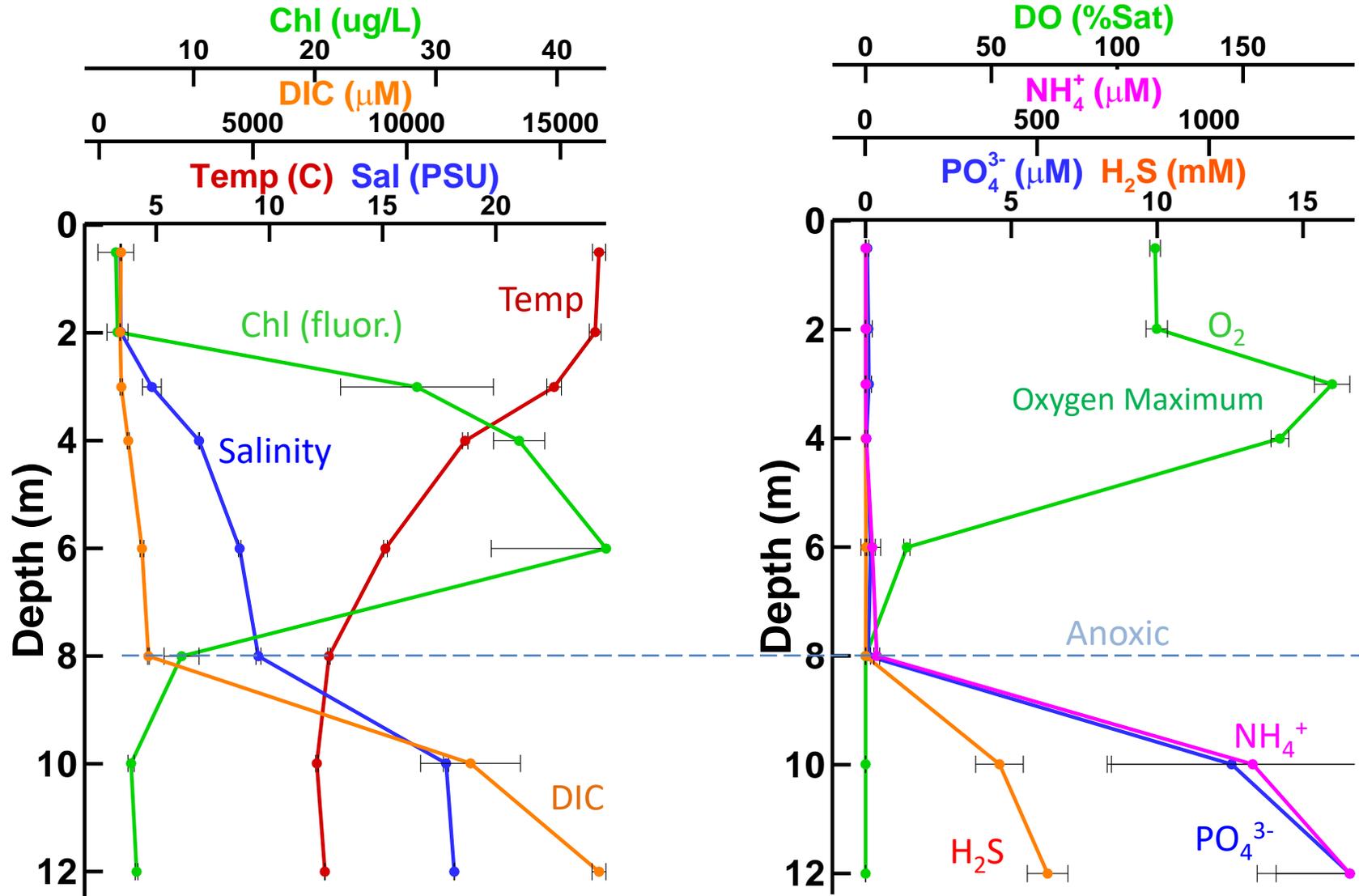


## Space-Time Sampling Grid

- Biogeochemistry
- Metagenomics (cast 1)
- Metatranscriptomics (all casts)



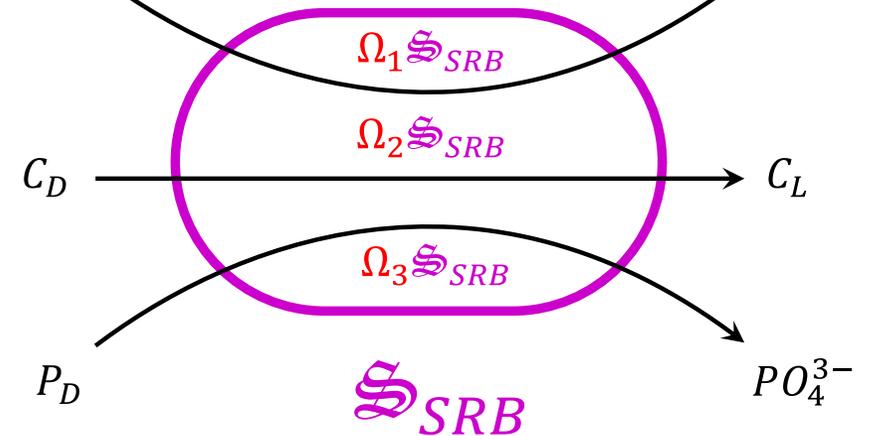
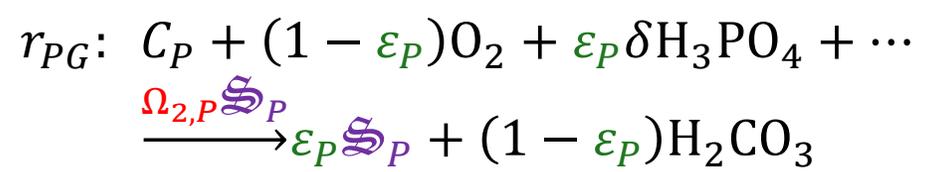
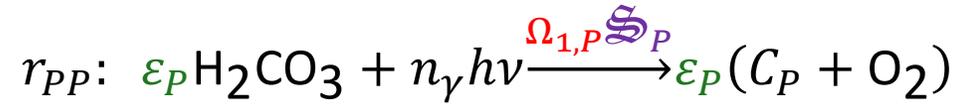
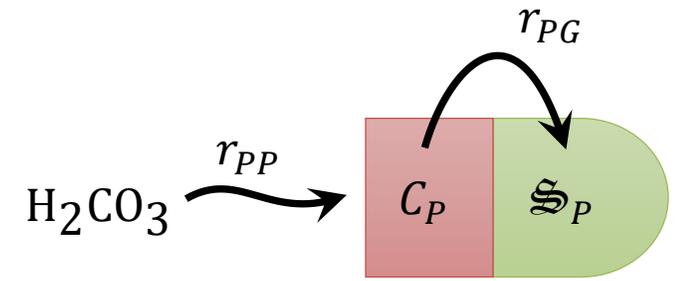
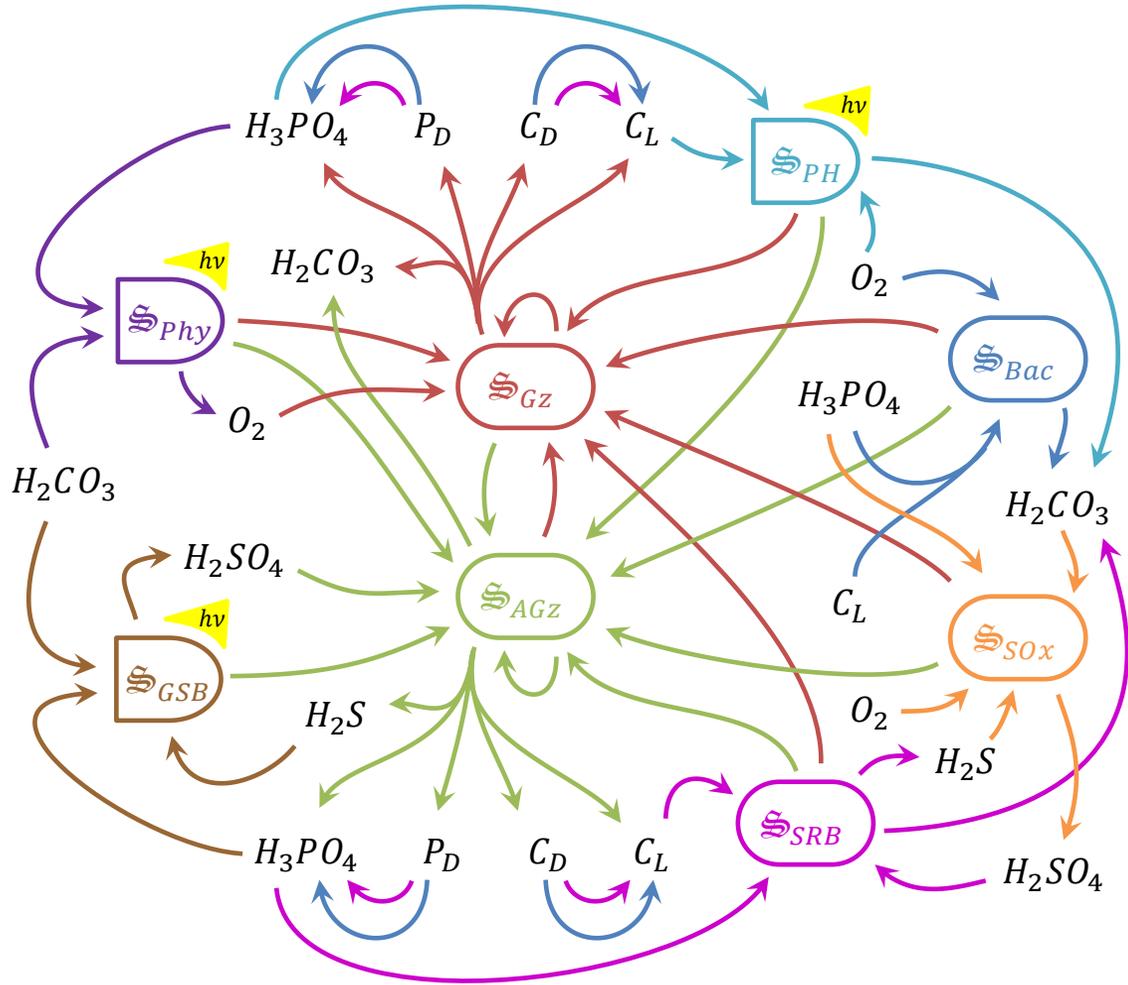
# Vertical Gradients



Large spatial gradients (over *m* not *mm*)

# Distributed metabolic network

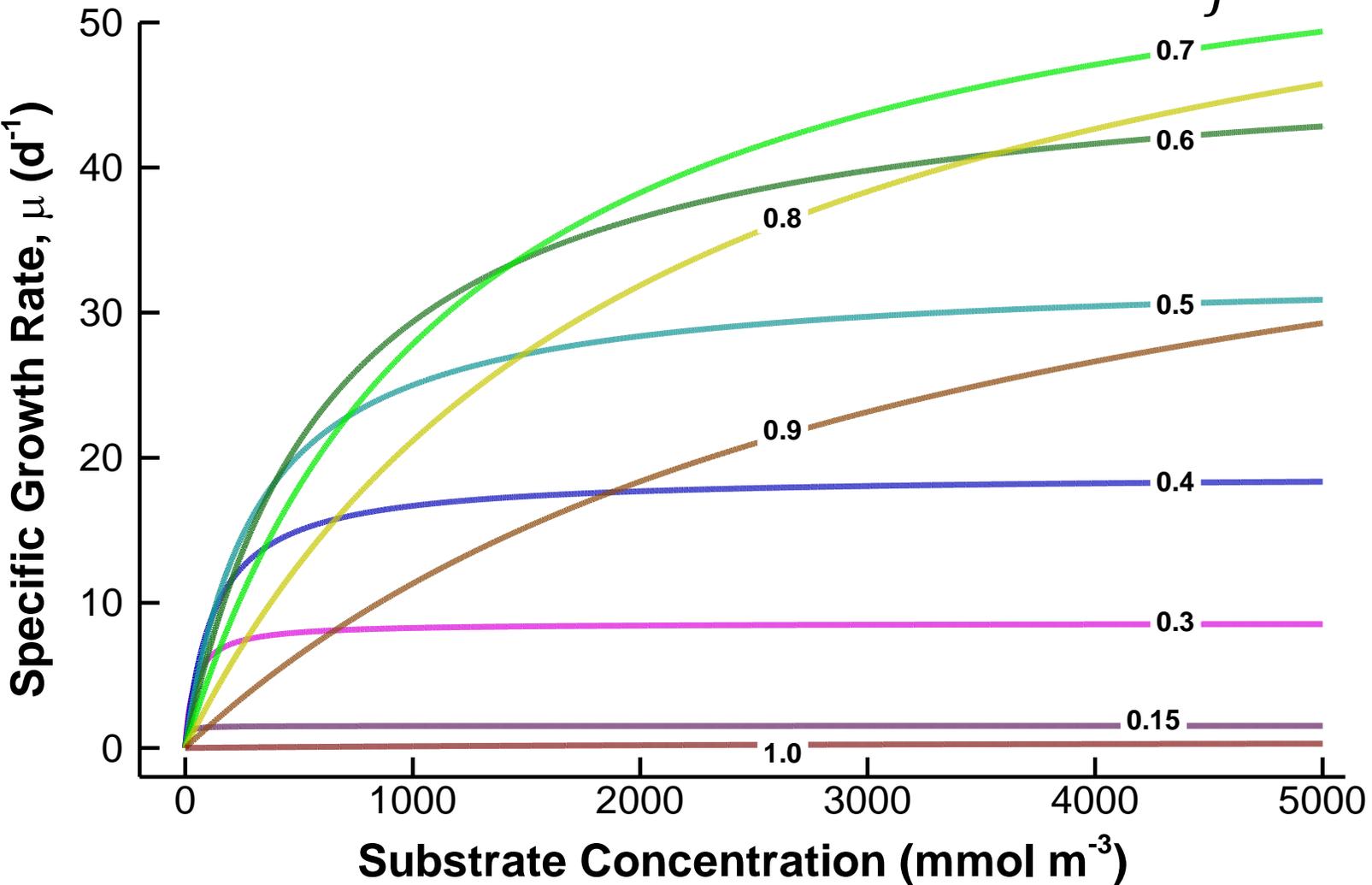
Vallino and Huber 2018



# Reaction Kinetics (adaptive Monod)

$$r_{i,j} = v^* \epsilon_j^2 \prod_{k=1}^{n_c} \left( \frac{C_k}{C_k + \kappa^* \epsilon_j^4} \right)^{\Lambda_{i,j,k}} \Omega_{i,j} C_{S_j} F_T(\epsilon_j, \Omega_{i,j})$$

Curves parameterized by  $\epsilon_j$



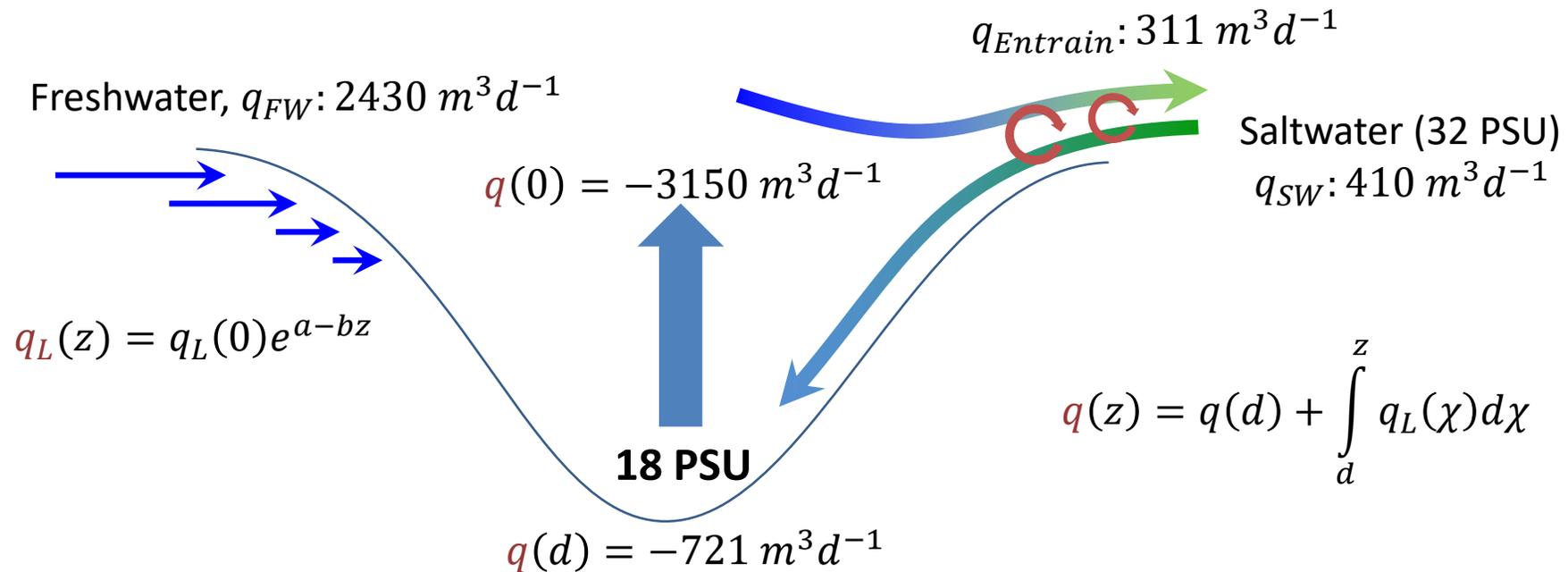
# Siders Pond Transport (1D approximation)

$$\frac{\partial \mathbf{c}(t, z)}{\partial t} = D(z) \frac{\partial^2 \mathbf{c}(t, z)}{\partial z^2} + \left( \frac{D(z) \partial A(z)}{A(z) \partial z} + \frac{\partial D(z)}{\partial z} - \frac{q(z)}{A(z)} \right) \frac{\partial \mathbf{c}(t, z)}{\partial z} - \frac{\mathbf{c}(t, z) \partial q(z)}{A(z) \partial z} + \frac{q_L(z) \mathbf{c}_L(z)}{A(z)} + \mathbf{r}(t, z, \boldsymbol{\varepsilon}, \boldsymbol{\Omega}),$$

$$\text{BC: } \left. \frac{\partial \mathbf{c}(t, z)}{\partial z} \right|_{z=0} = 0 \quad \left( -D(z)A(z) \frac{\partial \mathbf{c}(t, z)}{\partial z} + q(z)\mathbf{c}(t, z) \right)_{z=d} = q(d)\mathbf{c}_B$$

Dispersion coefficient,  $D(z)$ , was determined by fitting predicted to observed salinity vertical profile

Volumetric flow:  $q(z)$  and lateral inputs:  $q_L(z)$ ,  $\mathbf{c}_L(z)$  obtained from observations and assuming:



# 1D, Local MEP Model Setup

Two grids: One fine grid for PDE solution,  $\mathbf{c}(t, z)$  (space and time adaptive, BACOLI95)  
 One coarse grid for Control Variables,  $\boldsymbol{\varepsilon}_j(z, t)$  and  $\boldsymbol{\Omega}_{i,j}(z, t)$ .

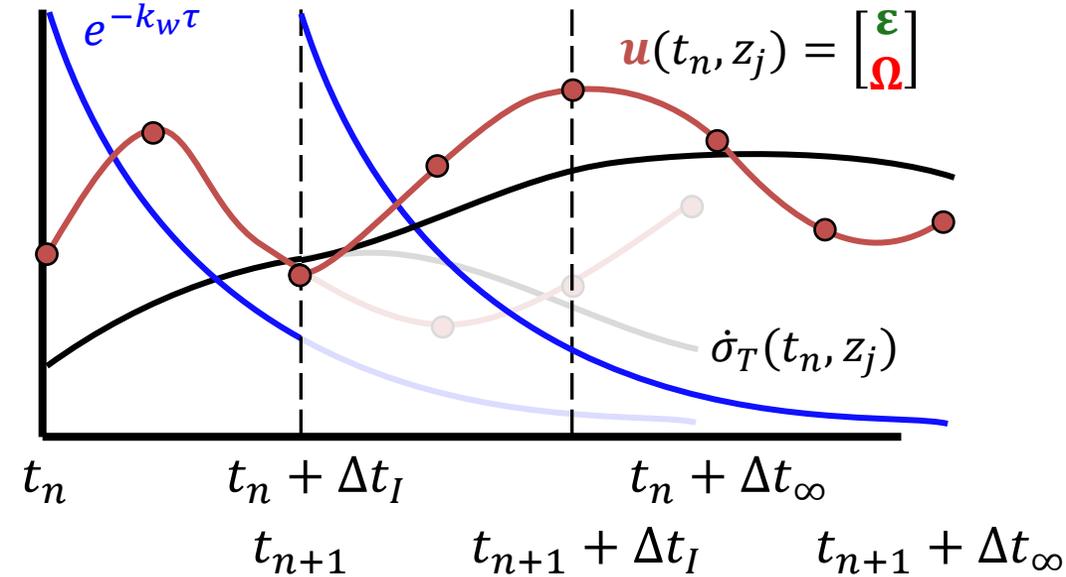
Receding Horizon Optimal Control Problem:

$$\max_{\boldsymbol{\varepsilon}, \boldsymbol{\Omega}} \int_{t_n}^{t_n + \Delta t_\infty} \dot{\sigma}_T(\tau, z_j) e^{-k_w \tau} d\tau \quad \forall j \text{ in the control grid } [z_1, z_2, \dots, z_m]$$

where:

$$\dot{\sigma}_T(\tau, z_j) \approx -\frac{A(z)}{T(z)} \left( \frac{\Delta I_j \Delta G_\gamma}{\eta_\gamma} + \sum_k r_k(\tau, z_j) \Delta_{r_k} G_k \right)$$

light                      chemical



Subject to:

$$\frac{\partial \mathbf{c}(t, z)}{\partial t} = D(x) \frac{\partial^2 \mathbf{c}(t, z)}{\partial z^2} + \left( \frac{D(z)}{A(z)} \frac{\partial A(z)}{\partial z} + \frac{\partial D(z)}{\partial z} - \frac{q(z)}{A(z)} - v_s \right) \frac{\partial \mathbf{c}(t, z)}{\partial z} - \left( \frac{1}{A(z)} \frac{\partial q(z)}{\partial z} + \frac{v_s}{A(z)} \frac{\partial A(z)}{\partial z} \right) \mathbf{c}(t, z)$$

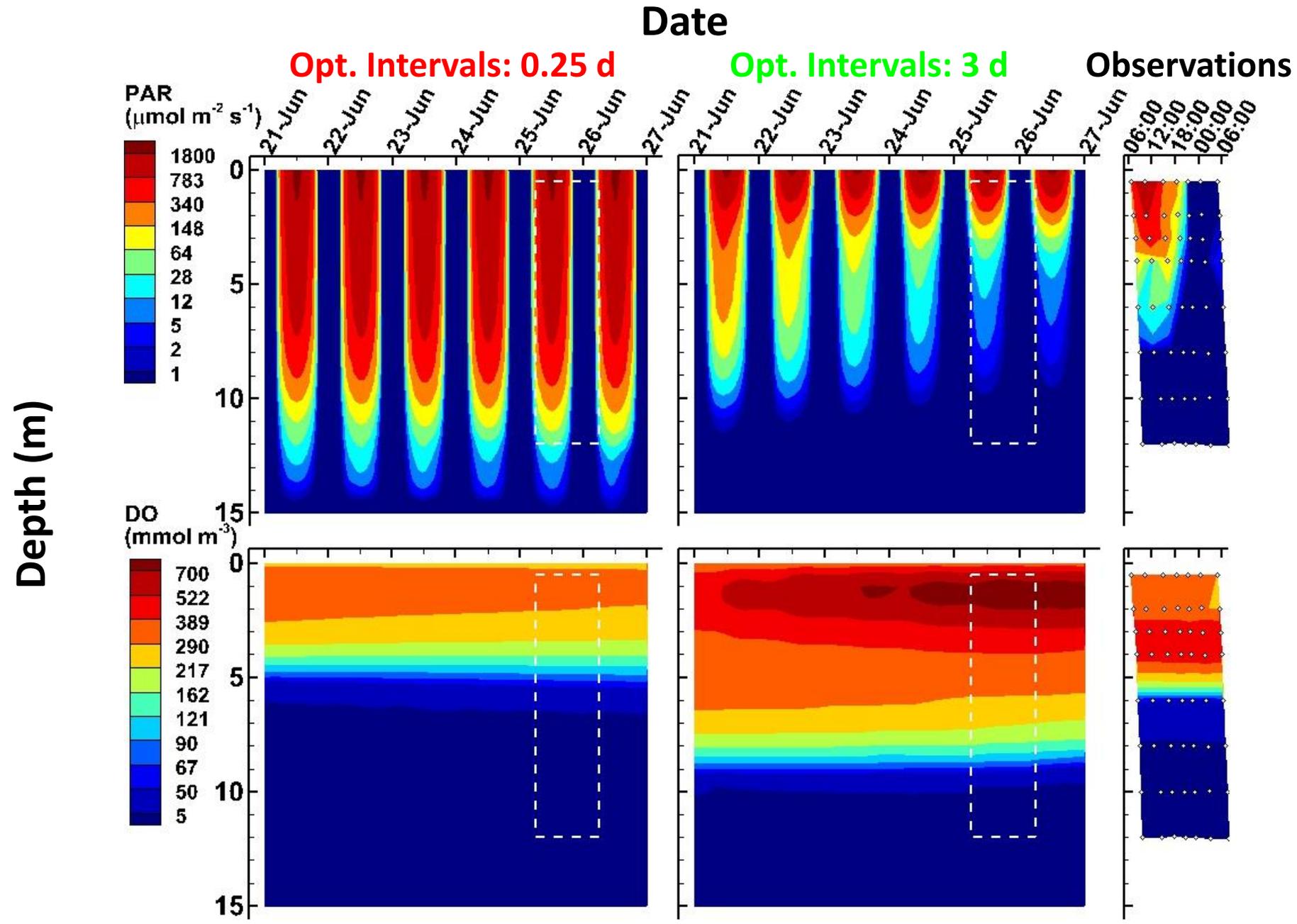
+  $\frac{q_L(z) \mathbf{c}_L(z)}{A(z)} + \mathbf{r}(t, z, \boldsymbol{\varepsilon}, \boldsymbol{\Omega})$

Sinking

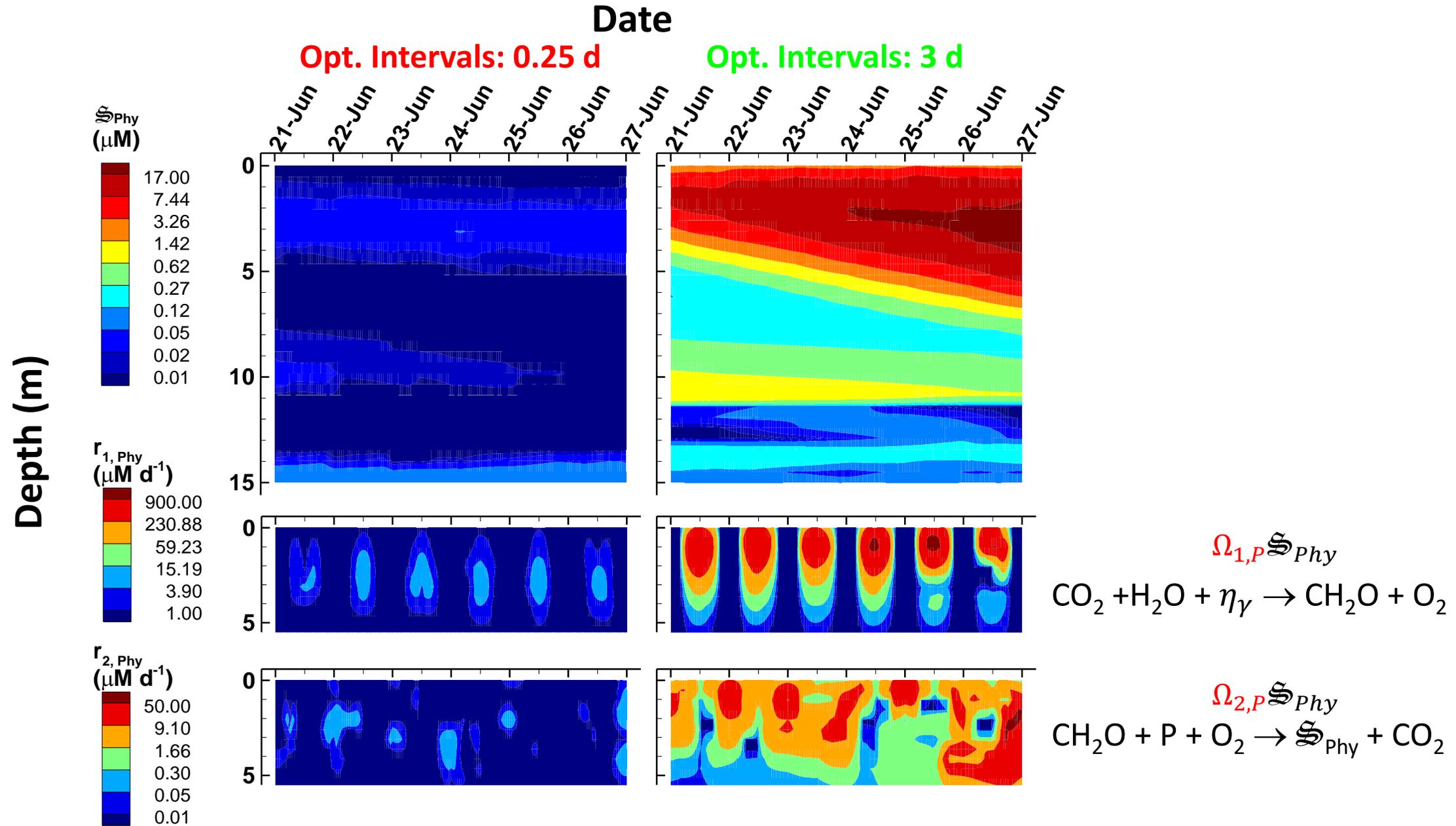
Note, this is a Control of PDE problem.

# Short (0.25 d) vs Long (3 d) optimization: PAR & DO

Vallino & Huber 2018



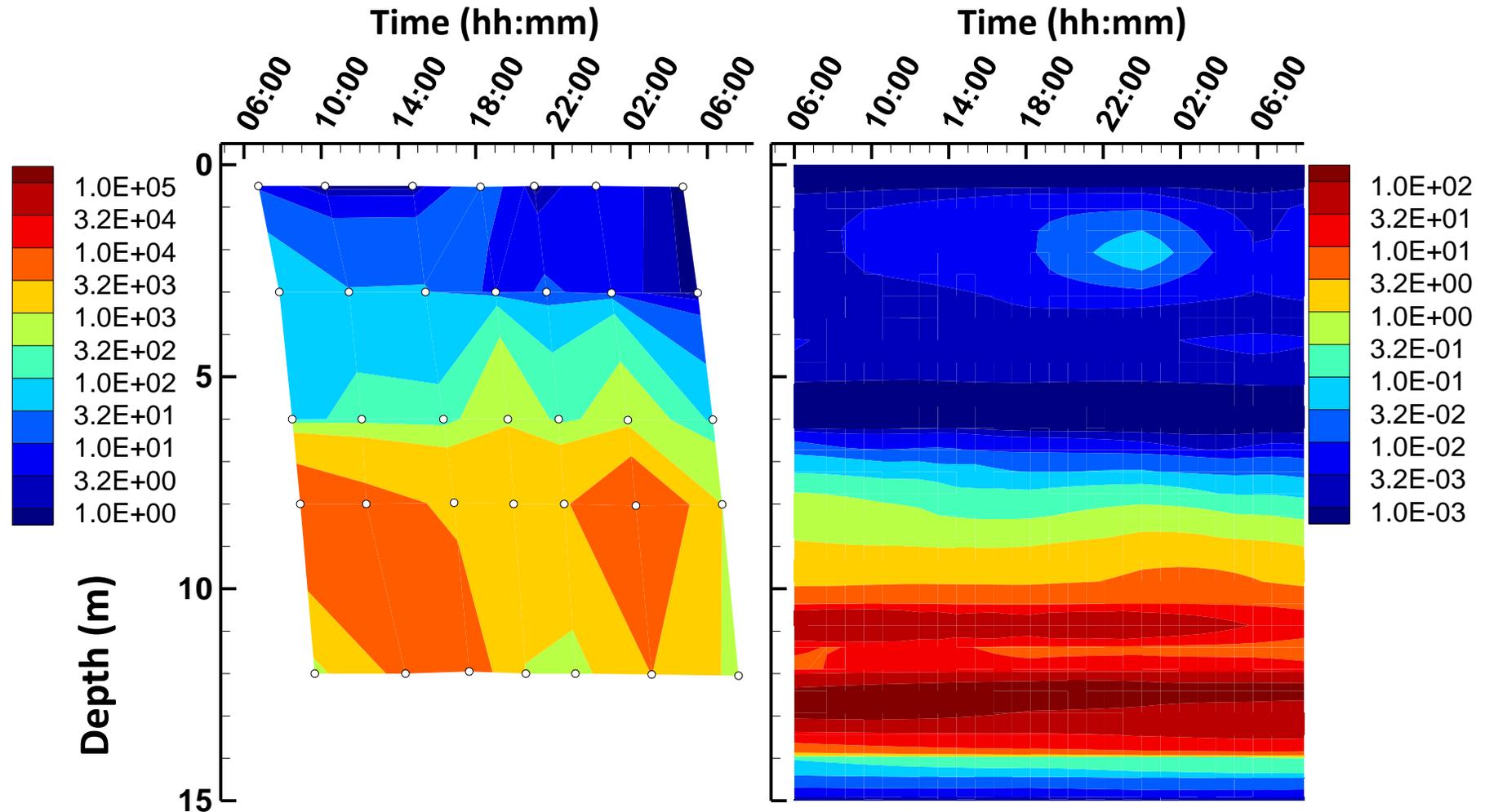
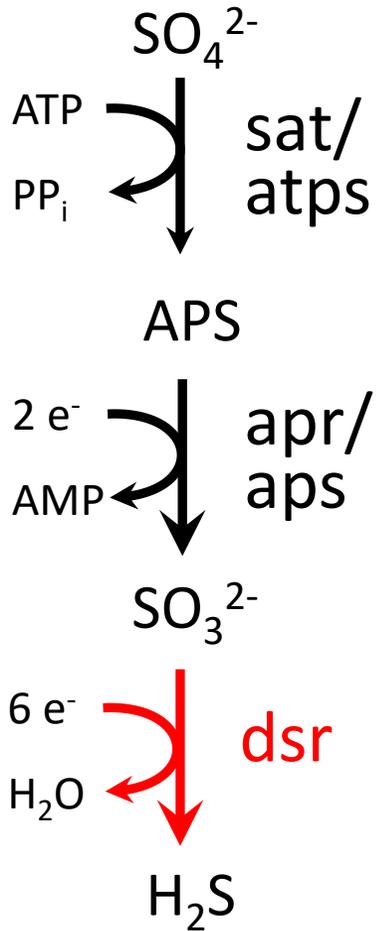
# Short (0.25 d) vs Long (3 d) optimization: Phytoplankton



# Breathing with Sulfate (sulfate reducing bacteria)

**dsrA** Transcript Abundance

$r_{1,SRB}$  ( $\mu\text{M d}^{-1}$ )\*



**dsr**: dissimilatory sulfite reductase

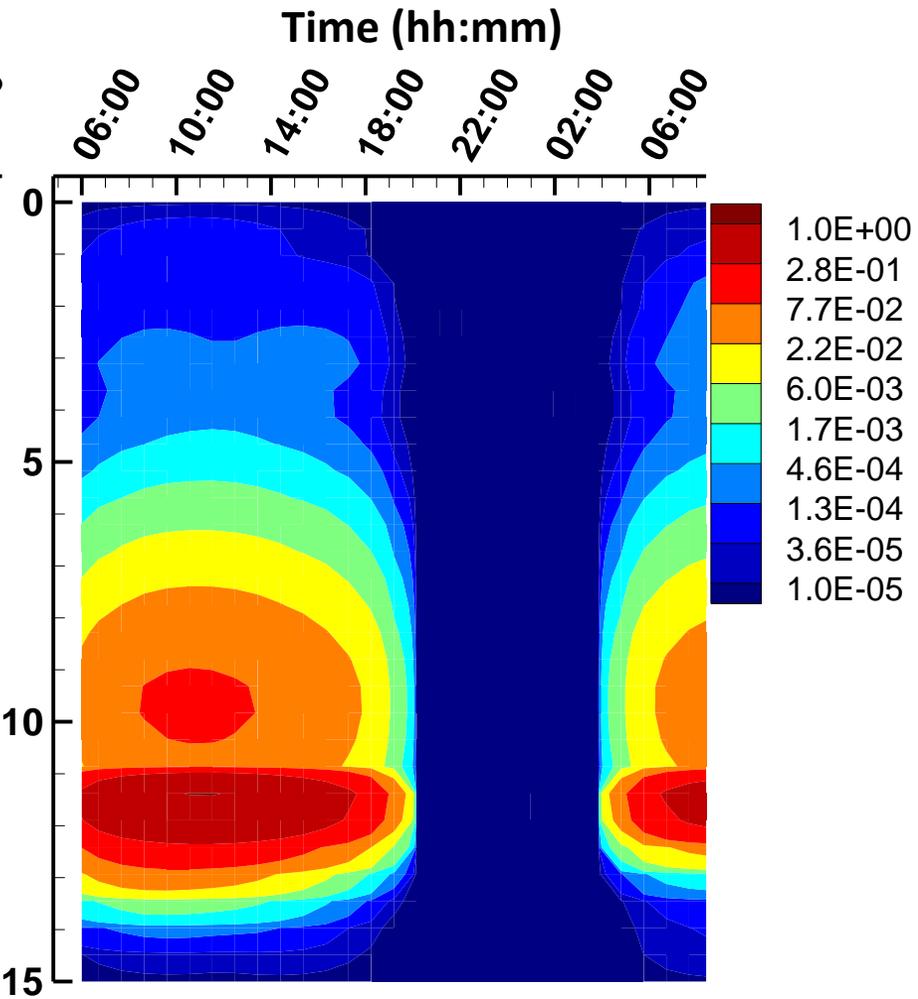
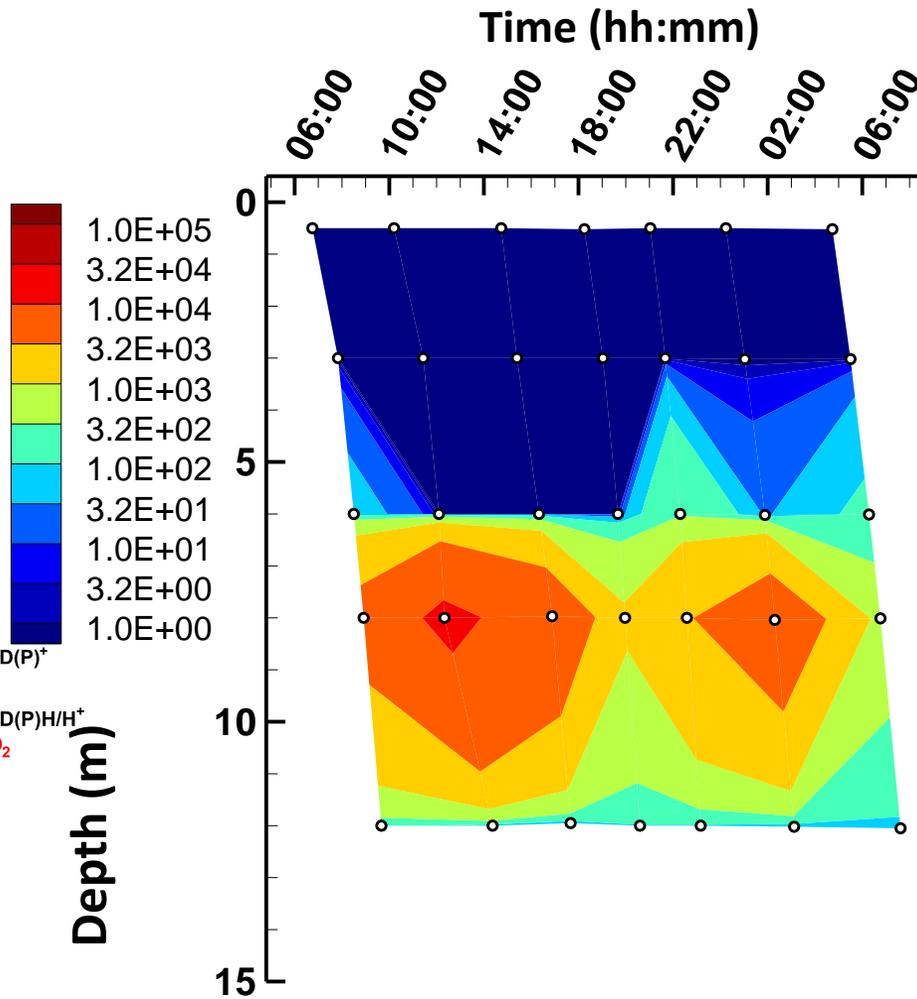
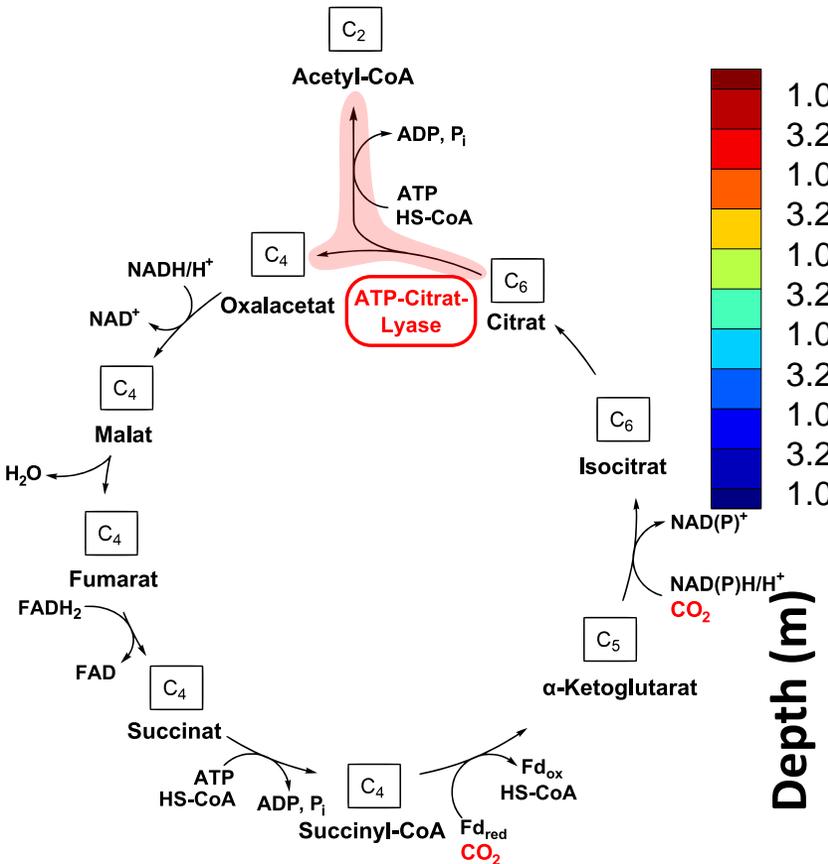
\*(3 d Interval Optimization)

# ATP-citrate lyase (anaerobic photosynthesis)

## aclA Transcript Abundance

## $r_{1,GSB} (\mu\text{M d}^{-1})^*$

Reverse TCA Cycle



\*(3 d Interval Optimization)

# Summary

- Maximizing entropy production (destruction of free energy) produces results that are similar to observations
  - Abiotic systems maximize instantaneous entropy production, while biotic system maximize entropy production over time using information.
  - Systems that coordinate information over space can increase global entropy production via coordination of function unless energy is degraded quickly abiotic (e.g., light)
- Model function not individuals (metabolic network)
- Replace parameters with control variables as much as possible
- The Control of PDE problem is computationally challenging
  - Faster solution approaches?
  - Different model formulation: Trait-based model optimized by MEP?

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