Category theory for genetics

Rémy Tuyéras

talk by Kenny Courser

February 19, 2019

Rémy Tuyéras, Category theory for genetics. Available on the arXiv at https://arxiv.org/abs/1708.05255.

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Rémy Tuyéras, Category theory for genetics I: mutations and sequence alignments, *Theor. Appl. Cat.* **33** (2018), 1269–1317. Available at http://www.tac.mta.ca/tac/volumes/33/40/33-40abs.html.

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Rémy Tuyéras, Category theory for genetics II: genotype, phenotype and haplotype. Available on the arXiv at https://arxiv.org/abs/1805.07004.

DNA sequencing

DNA sequencing alignment methods,

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DNA sequencing
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DNA sequencing
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and more.

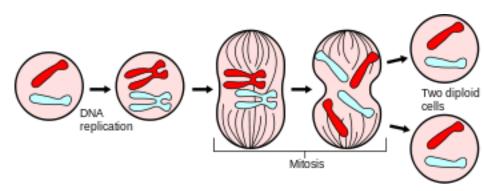
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Cytosine

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Adenine

Thymine

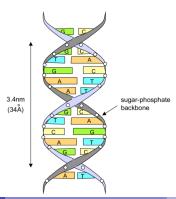
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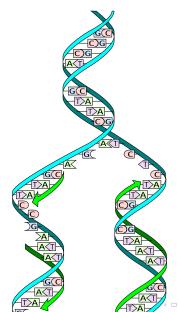
Thymine

intertwined in a double helix shape.



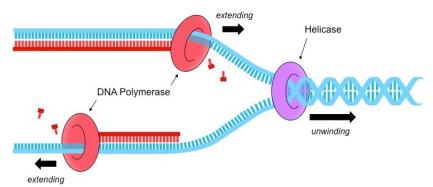
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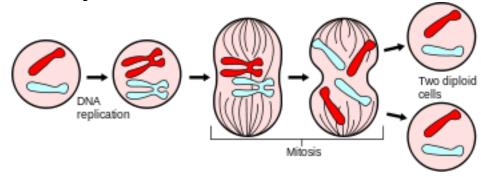


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After the DNA is replicated, the cell undergoes mitosis and splits apart into two copies, each with its own copy of DNA containing one of the strands from the original cell.



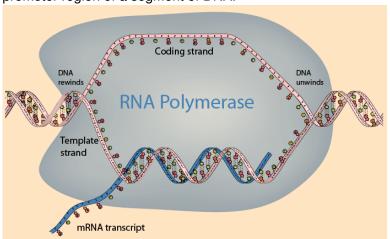
RNA transcription and translation

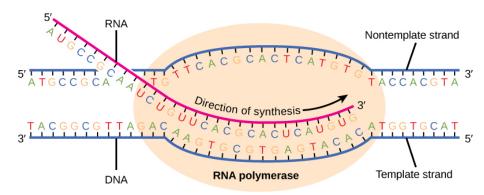
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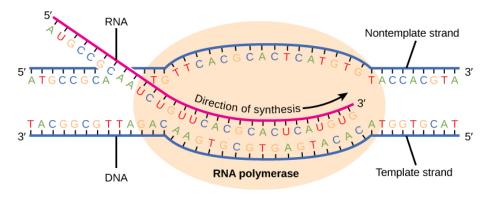
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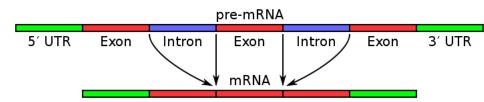
RNA uses Uracil instead of Thymine.

RNA Transcription

Before the mRNA strand exits the nucleus, it has certain regions called 'introns' that are cut out of it.

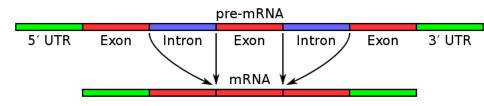
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Now the mRNA strand is ready to leave the nucleus and begin translation.

RNA Translation

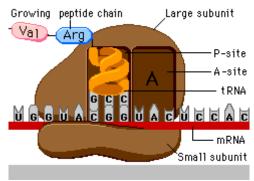
RNA Translation

Now the mRNA strand is outside the nucleus. The mRNA strand is made up of 'codons' which are 3-letter sequences of nucleotides such as AUG, UGA, UAG, UAA, etc.

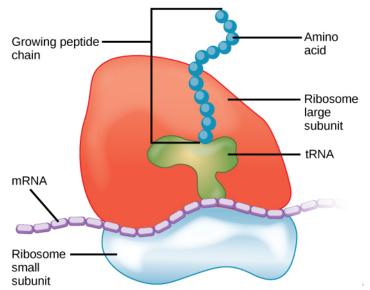
RNA Translation

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A 'ribosomal unit' binds to a particular 'start codon' (AUG) which tells the ribosomal unit where to begin translating.



Once the ribosomal unit reaches a 'stop codon' (UGA, UAG, UAA) the unit detaches from the mRNA strand and the sequence of amino acids (blue) created goes off to do stuff.



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The function $c: [n_0] \to \Omega$ then specifies how each patch is to be interpreted.

$$(1)(2)(3)(4)(5)(6)(7)$$

$$\downarrow t$$

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And what about the function $c: [n_0] \to \Omega$?



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then a visualization of the function $c: [3] \to \Omega$ would be:

$$(\circ \bullet \circ)$$

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The map t gives a segment its topology and the map c gives semantics to each patch via the preorder (Ω, \leq) .

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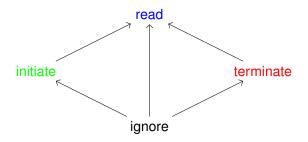
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We will denote a **segment over** Ω simply as (t, c): $[n_1] \rightarrow [n_0]$.

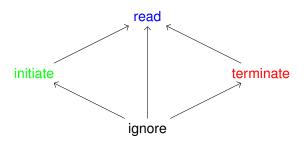
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With this preorder, we can consider segments (t, c) which look something like:

$$(\bullet \bullet \bullet)(\bullet \bullet \bullet)(\bullet \bullet \bullet)(\bullet \bullet \bullet)(\bullet \bullet \bullet)(\bullet \bullet \bullet)$$

$$(t,c)\colon [n_1]\to [n_0]$$

and

$$(t',c')\colon [n_1']\to [n_0']$$

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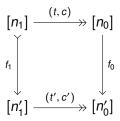
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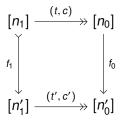
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and such that $c'(f_0(i)) \le c(i)$ for every $i \in [n_0]$.

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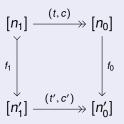
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where $c'(f_0(i)) \le c(i)$ for every $i \in [n_0]$ and f_1 and f_0 are order preserving.

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This says that the way one parses the patches of a segment influences the way that one parses the whole segment, e.g. codons to genes.

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For example,

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This allows us to insert spaces into the parsing of a segment.

Let (t, c) and (t', c') be two objects in Seg (Ω) . Then the two segments (t, c) and (t', c') are said to be **homologous** if their topologies t and t' are equal.

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Proposition

Let (Ω, \leq) be a preorderd set and $t: [n_1] \rightarrow [n_0]$ an order preserving surjection. Then Seg $(\Omega: t)$ is a preorder category.

If two segments (t, c) and (t', c') over Ω have the same domain $[n_1]$ then we say that the two segments (t, c) and (t', c') are **quasihomologous**.

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Proposition

Let (Ω, \leq) be a preordered set and let n_1 be a positive integer. Then there exists a preorder category $\operatorname{Seg}(\Omega:n_1)$ whose objects are quasihomologous segments in (Ω, \leq) with domain $[n_1]$ and whose morphisms are pairs $(\operatorname{id}_{[n_1]}, f_0)$.

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and

$$Tr_0(t,c) = \{1,2,\ldots,13\}.$$

Truncation on morphisms of segments

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Suppose we have a morphism of segments $(f_1, f_0) : (t, c) \to (t', c')$ given by the following:

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Truncation on morphisms of segments

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and so $Tr_1(t',c') \subset Tr_1(t,c)$.



Let (f_1, f_0) : $(t, c) \rightarrow (t', c')$ be a morphism in Seg (Ω) . If $f_1(i) \in Tr_b(t', c')$, then $i \in Tr_b(t, c)$.

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This says that if the image of some node is truncated, then its preimage is truncated (remember, colors cannot 'increase').

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Proposition

For every $b \in \Omega$ and nonnegative integer n_1 , the truncation by b map $(t,c) \to Tr_b(t,c)$ extends to a functor $Tr_b : Seg(\Omega : n_1) \to Set^{op}$.

Recall there is an adjunction

$$\mathsf{Set} \overset{\mathit{F}}{\underset{\mathit{U}}{\rightleftharpoons}} \mathsf{Set}_*$$

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$$F(f: X \to Y) = f+!: X + \{\star\} \to Y + \{\star\}$$

The functor U is the forgetful functor which forgets the distinguished object.

$$Tr_b^*(f_1, f_0) \colon F(Tr_b(t', c')) \to F(Tr_b(t, c))$$

$$\mathit{Tr}^*_b(f_1, f_0) \colon F(\mathit{Tr}_b(t', c')) \to F(\mathit{Tr}_b(t, c))$$

$$j \mapsto i \text{ if } \exists i \in Tr_b(t,c) \colon f_1(i) = j$$

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$$i \mapsto \star$$
 otherwise

$$(\bullet_{1}\bullet_{2}\bullet_{3})(\circ_{4}\circ_{5})(\bullet_{6}\bullet_{7}\bullet_{8}\bullet_{9})(\bullet_{10}\bullet_{11}\bullet_{12}\bullet_{13}\bullet_{14})(\circ_{15}\circ_{16}\circ_{17})(\circ_{18})$$

$$\downarrow (f_{1},f_{0})$$

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$$Tr_1^*(f_1, f_0) \uparrow$$

$$\{1, 2, 3, 4, 5, 10, 11, 12, 13, 14, 15, 16, 17, 18, \star\}\ F(Tr_1(t', c'))$$

where 4 and 5 map to the distinguished element \star .



Now let (E, ϵ) be a pointed set and consider the following composition of functors:

$$\mathsf{Seg}(\Omega) \xrightarrow{\mathcal{T}r_b^*} \mathsf{Set}^{\mathsf{op}}_* \xrightarrow{\mathsf{Set}_*(\neg(E,\epsilon))} \mathsf{Set}$$

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What does E_b^{ϵ} do to objects?

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$$(\Omega, \leq) = \{\text{white=0} \leq \text{black=1}\}, b = 1 \text{ and } (E, \epsilon) = \{\text{A,C,G,T}, \epsilon\}.$$

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as well as many others.

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$$(\mathsf{AG}\epsilon)(\mathsf{TCAA})(\mathsf{GC}) \mapsto (\mathsf{AG}\epsilon\epsilon\epsilon)(\mathsf{TCAA})(\epsilon)$$

$$(\mathsf{GT}\epsilon)(\epsilon\epsilon\epsilon\mathsf{C})(\mathsf{TA}) \mapsto (\mathsf{GT}\epsilon\epsilon\epsilon)(\epsilon\epsilon\mathsf{C})(\epsilon)$$

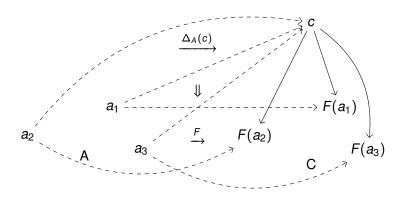
$$(\mathsf{TAA})(\mathsf{GATC})(\mathsf{AA}) \mapsto (\mathsf{TAA}\epsilon\epsilon)(\mathsf{GATC})(\epsilon)$$
etc.

Definition

A **cone** in a category C consists of an object $c \in C$, a functor $F: A \to C$ and a natural transformation $\Delta_A(c) \Rightarrow F$ where $\Delta_A(c)$ is the constant functor mapping every object of A to $c \in C$.

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A cone over a discrete diagram A.

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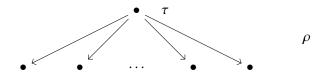
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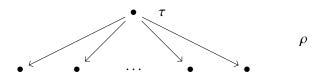
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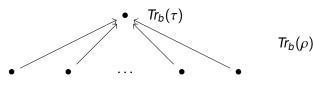


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If we apply the functor Tr_b : Seg $(\Omega : n) \to Set^{op}$ to the cone ρ , we a get cocone in Set:



From the cocone

$$Tr_b(\rho) : Tr_b(\theta) \Rightarrow \Delta_A \circ Tr_b(\tau)$$

in Set, we can consider the following composite of maps through the union $\cup_{a\in A} Tr_b\theta(a)$ as follows:

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Definition

A cone in $Seg(\Omega : n)$ is **b-distributive** if the monomorphism m above is also an epimorphism and **exactly b-distributive** if it is b-distributive and e is also a monomorphism.

Example: Let Ω be our usual preorder $\{0, 1\}$.

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and $colim_A Tr_1(\theta) =$

$$\{1, 2, 3, 6, 7, 8, 9, 6', 7', 8', 9', 10, 11, 12, 13, 14, 10', 11', 12', 13', 14'\}$$

and so $\operatorname{colim}_A \operatorname{Tr}_1(\theta) \to \operatorname{Tr}_1(\tau)$ is epic but not monic.

An example of an exactly distributive 1-cone in Seg(Ω : 18) over the discrete diagram A = { $\bullet \bullet$ } is given by:

$$(\bullet \bullet \bullet)(\circ \circ)(\bullet \bullet \bullet \bullet)(\bullet \bullet \bullet \bullet)(\circ \circ \circ)(\circ) \leq (\circ \circ \circ)(\circ \circ)(\bullet \bullet \bullet \bullet)(\circ \circ \circ \circ \circ)(\circ \circ \circ)(\circ)$$

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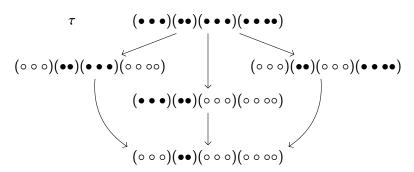
$$\tau \quad (\bullet \bullet \bullet)(\circ \circ)(\bullet \bullet \bullet \bullet)(\circ \circ \circ \circ)(\circ \circ)$$

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Exactly distributive 1-cones cannot have any common black patches that are not related via the underlying diagram A.

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Here's an example of an exactly distributive 1-cone in which common black patches are identified via the diagram A:



Duplication

Duplication

CRISPR

Duplication

CRISPR

Transcription

Duplication

CRISPR

Transcription

Mutations

Duplication

CRISPR

Transcription

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Inversions

Let (Ω, \leq) be the Boolean preorder $\{0 \leq 1\}$ and (E, ϵ) be the pointed set $\{A, C, G, T, \epsilon\}$.

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Consider the following pair of morphisms in $Seg(\Omega)$:

$$f_1: (ullet_1 ullet_2 ullet_3)
ightarrow (ullet_1 ullet_2 ullet_3) (\circ \circ \circ)$$

$$f_2 : (\bullet_1 \bullet_2 \bullet_3) \rightarrow (\circ \circ \circ)(\bullet_1 \bullet_2 \bullet_3)$$

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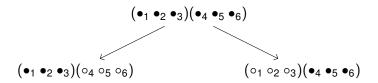
$$f_1: \left(\bullet_1 \bullet_2 \bullet_3 \right) \rightarrow \left(\bullet_1 \bullet_2 \bullet_3 \right) \! \left(\circ \circ \circ \right)$$

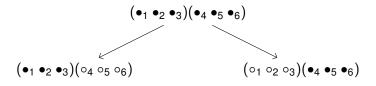
$$f_2: (\bullet_1 \bullet_2 \bullet_3) \rightarrow (\circ \circ \circ)(\bullet_1 \bullet_2 \bullet_3)$$

The functor E_1^{ϵ} applied to either f_1 or f_2 is an identity which sends any word of length 3 in (E, ϵ) to itself, e.g.

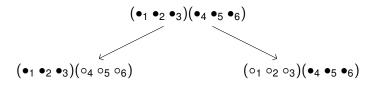
$$(A T G) \xrightarrow{E_1^{\epsilon}(f_1)} (A T G)$$







Because this cone is exactly 1-distributive, the map



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$$\mu \colon E_1^{\epsilon}((\bullet_1 \bullet_2 \bullet_3)(\bullet_4 \bullet_5 \bullet_6)) \to E_1^{\epsilon}((\bullet_1 \bullet_2 \bullet_3)(\circ_4 \circ_5 \circ_6)) \times E_1^{\epsilon}((\circ_1 \circ_2 \circ_3)(\bullet_4 \bullet_5 \bullet_6))$$

in Set is invertible. The inverse is given by:

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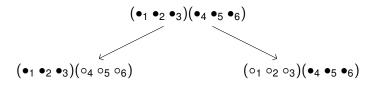
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This function maps any pair of words of length 3 to their concatenation, e.g.:

$$(\bullet_1 \bullet_2 \bullet_3)(\bullet_4 \bullet_5 \bullet_6)$$

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This function maps any pair of words of length 3 to their concatenation, e.g.:

$$(A T G, G A T) \rightarrow A T G G A T$$



$$\mu^{-1} \colon E_1^{\epsilon}((\bullet_1 \bullet_2 \bullet_3)(\circ_4 \circ_5 \circ_6)) \times E_1^{\epsilon}((\circ_1 \circ_2 \circ_3)(\bullet_4 \bullet_5 \bullet_6)) \to E_1^{\epsilon}((\bullet_1 \bullet_2 \bullet_3)(\bullet_4 \bullet_5 \bullet_6))$$

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the composite map $\mu^{-1}(E_1^{\epsilon}(f_1), E_1^{\epsilon}(f_2))$ then resembles a duplication process:

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If we precompose the map μ^{-1} :

$$\mu^{-1}: E_1^{\epsilon}((\bullet_1 \bullet_2 \bullet_3)(\circ_4 \circ_5 \circ_6)) \times E_1^{\epsilon}((\circ_1 \circ_2 \circ_3)(\bullet_4 \bullet_5 \bullet_6)) \to E_1^{\epsilon}((\bullet_1 \bullet_2 \bullet_3)(\bullet_4 \bullet_5 \bullet_6))$$

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 $ATG \mapsto ATGATG$

CRISPR

CRISPR - Clustered Regularly Interspaced Short Palindromic Repeats

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An enzyme by the name of "Cas9" uses CRISPR sequences as a guide to recognize and cleave specific strands of DNA.

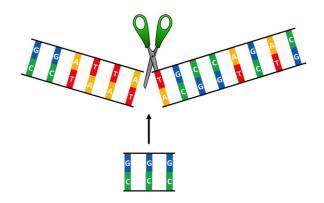
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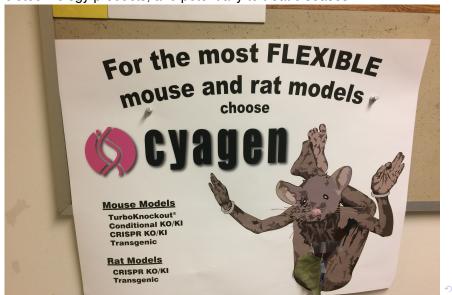
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 $ATCGTC \mapsto ATTTCC$

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 $ATCGTC \rightarrow ATTTCC$

In order to do this, we need to first select the subsegment C G T inside of the segment A T C G T C and then replace it with T T C.

$$E_1^{\epsilon}((\bullet \bullet)(\bullet \bullet \bullet)(\bullet))$$

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$$E_1^{\epsilon}((\bullet \bullet)(\bullet \bullet \bullet)(\bullet))$$

and the word TTC is an element of the set

$$E_1^{\epsilon}((\circ\circ)(\bullet\bullet)(\circ)).$$

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If we let f denote the map

$$(\bullet_1 \bullet_2)(\bullet_3 \bullet_4 \bullet_5)(\bullet_6) \xrightarrow{f} (\bullet_1 \bullet_2)(\circ_3 \circ_4 \circ_5)(\bullet_6)$$

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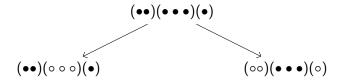
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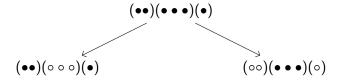
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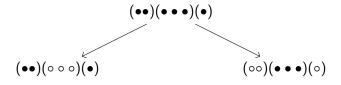
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$$E_1^{\epsilon}((\bullet \bullet)(\bullet \bullet \bullet)(\bullet)) \times E_1^{\epsilon}((\circ \circ)(\bullet \bullet \bullet)(\circ)) \xrightarrow{(E_1^{\epsilon}(f), E_1^{\epsilon}(id))} E_1^{\epsilon}((\bullet \bullet)(\circ \circ \circ)(\bullet)) \times E_1^{\epsilon}((\circ \circ)(\bullet \bullet \bullet)(\circ))$$



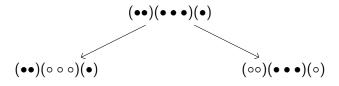


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The image of the pair (ATCGTC, TTC) is ATTTCC.

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$$A \mapsto U$$

$$T \mapsto A$$

$$G \mapsto C$$

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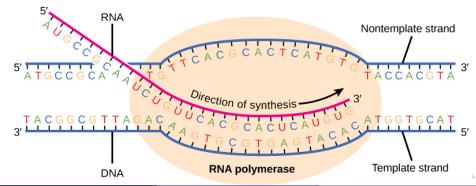
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These projection maps p and q induce natural transformations

$$p_b^*: (E \times E, (\epsilon, \epsilon)) \Rightarrow (E, \epsilon)$$

and

$$q_b^*: (E \times E, (\epsilon, \epsilon)) \Rightarrow (E, \epsilon)$$



$$(E,\epsilon) \stackrel{p_b^*}{\longleftarrow} (E \times E, (\epsilon,\epsilon)) \stackrel{q_b^*}{\Longrightarrow} (E,\epsilon)$$

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$$\mathsf{TGCAG}_{\epsilon}\mathsf{AG}_{\epsilon} \leftarrow \begin{pmatrix} \mathsf{T} \\ \mathsf{T} \end{pmatrix} \begin{pmatrix} \mathsf{G} \\ \mathsf{G} \end{pmatrix} \begin{pmatrix} \mathsf{C} \\ \mathsf{C} \end{pmatrix} \begin{pmatrix} \mathsf{A} \\ \mathsf{G} \end{pmatrix} \begin{pmatrix} \mathsf{G} \\ \mathsf{G} \end{pmatrix} \begin{pmatrix} \epsilon \\ \mathsf{A} \end{pmatrix} \begin{pmatrix} \mathsf{G} \\ \mathsf{C} \end{pmatrix} \begin{pmatrix} \epsilon \\ \mathsf{C} \end{pmatrix} \rightarrow \mathsf{TGCAGTAC}_{\epsilon}$$

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Inversions

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Given a positive integer n, let rv_n : $[n] \rightarrow [n]$ be the function that sends $i \in [n]$ to $(n + 1 - i) \in [n]$.

E.g. for $rv_5: [5] \rightarrow [5]$, we have

$$2 \mapsto 4$$

$$3 \mapsto 3$$

$$4 \mapsto 2$$

$$5 \mapsto 1$$

Given a segment (t, c): $[n_1] \rightarrow [n_0]$ in Seg (Ω) ,

$$rv_{n_0}(t,c)rv_{n_1}: [n_1] \to [n_0]$$

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Denote the inversion of the segment (t, c) by $(t, c)^{\dagger}$.

$$rv_{n_0}(t,c)rv_{n_1}: [n_1] \to [n_0]$$

reverses the order of the segment (t, c).

For example, if (t, c): [9] \rightarrow [6] is given by:

$$(t,c)=(ulletullet)(\circ)(ulletulletullet)(\circ)(\circ)(\circ)$$

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The map $(t,c) \mapsto (t,c)^{\dagger}$ induces an endofunctor $Inv : Seg(\Omega) \to Seg(\Omega)$.

$$E_b^{\epsilon} \colon \mathsf{Seg}(\Omega) \to \mathsf{Set}$$

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$$E_b^{\epsilon} : \operatorname{Seg}(\Omega) \to \operatorname{Set}$$

induces a natural transformation

$$\mathsf{E}^\epsilon_\mathsf{b} \Rightarrow \mathsf{E}^\epsilon_\mathsf{b} \circ \mathsf{Inv}$$

$$\mathsf{E}_b^\epsilon(t,c) o \mathsf{E}_b^\epsilon \circ \mathsf{Inv}(t,c) = \mathsf{E}_b^\epsilon(t,c)^\dagger$$

which maps any word to its inversion.

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For example:

$$E_1^{\epsilon}((\bullet \bullet)(\bullet)(\bullet \bullet \bullet)) \to E_1^{\epsilon}((\bullet \bullet \bullet)(\bullet)(\bullet \bullet))$$

$$E_b^{\epsilon} : \operatorname{Seg}(\Omega) \to \operatorname{Set}$$

induces a natural transformation

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AGTAGC → CGATGA

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AGTAGC → CGATGA

CTTACA → ACATTC

Inversion is useful for interpreting the 'lagging strand' (red) having to be read backwards.

