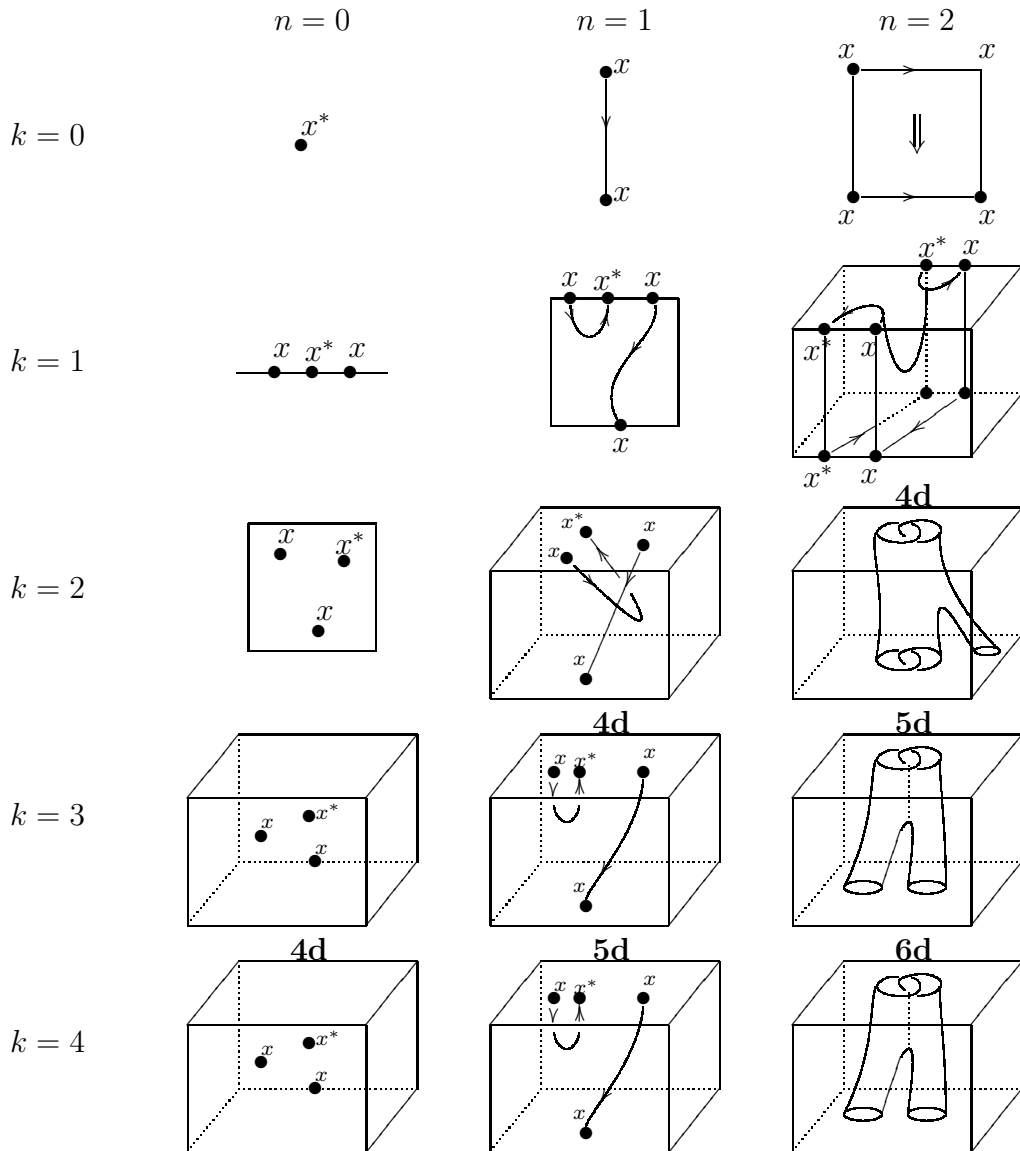


# Why $n$ -Categories?

John C. Baez



many figures by Aaron Lauda

# Every Interesting Equation is a Lie!

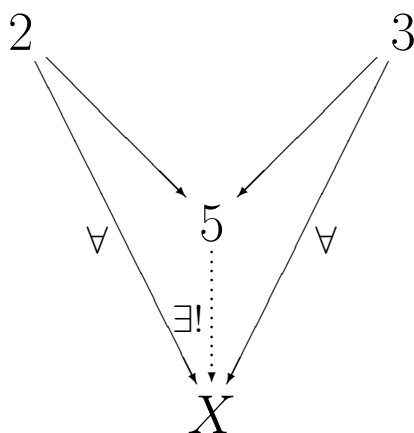
$x = x$  true, but boring

$x = y$  potentially interesting—  
but says two *different*  
things are *the same*!

Any interesting equation is really a summary of  
an interesting *process*. For example:

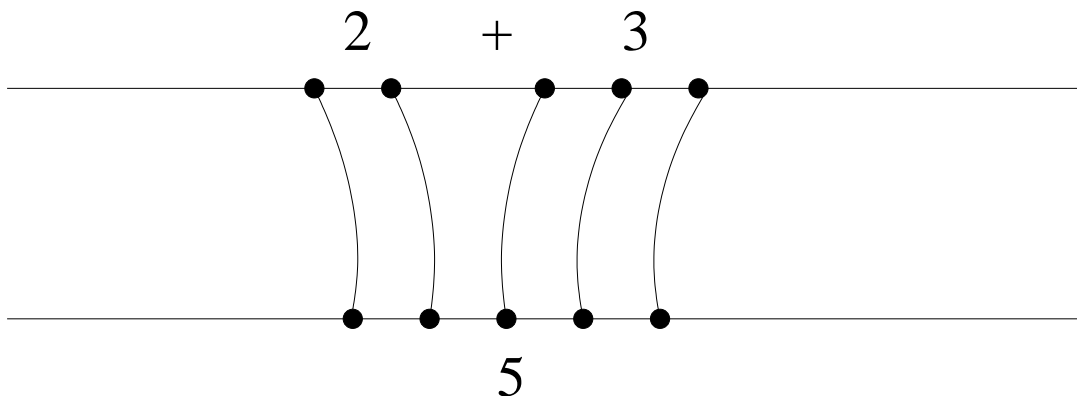
$$\begin{array}{c} 2 + 3 \\ \parallel \\ 5 \end{array}$$

is short for:

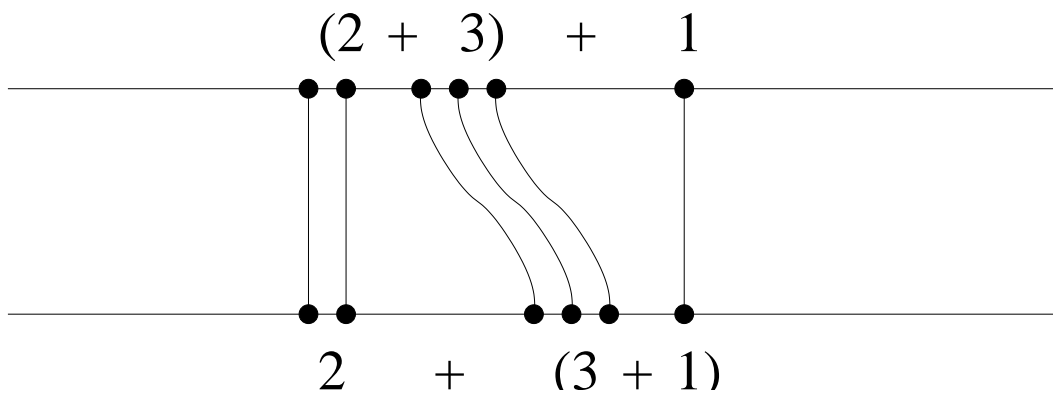


## Codimension 1: Composition, Associator,...

We add by putting 0-dimensional rocks in a 1-dimensional line:

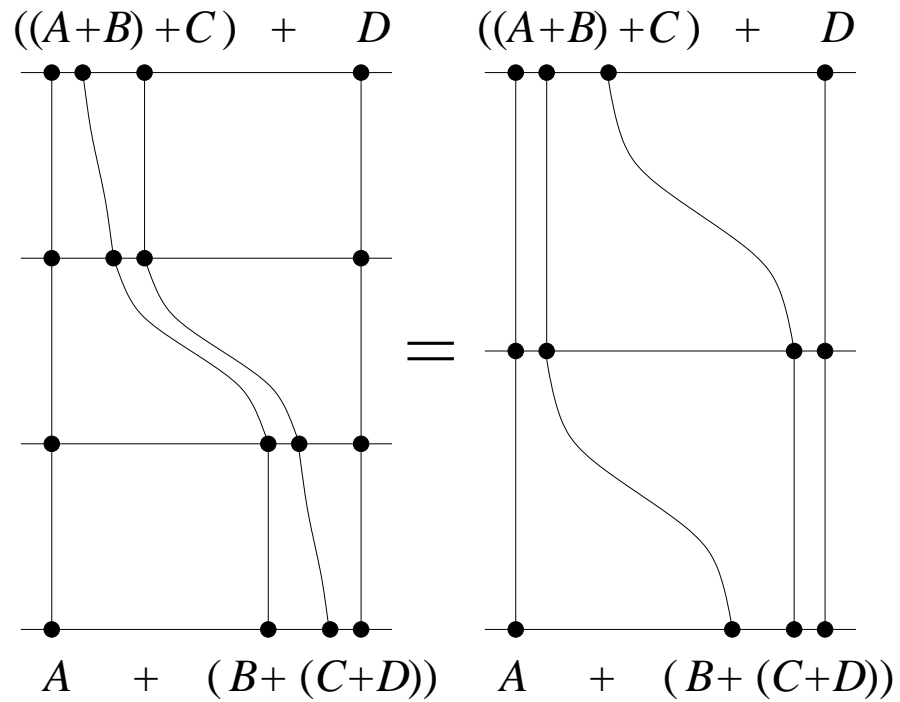


Proving associativity takes time:



We call this proof the **associator**: note 1-dimensional ‘worldlines’ in 2-dimensional ‘spacetime’, hence again codimension  $2-1 = 1$ .

The associator satisfies the **pentagon identity**:

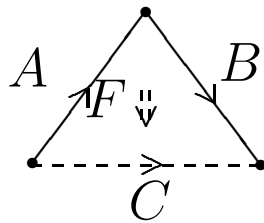


But the process of proving this traces out a 2d surface in 3 dimensions: the **pentagonator**!

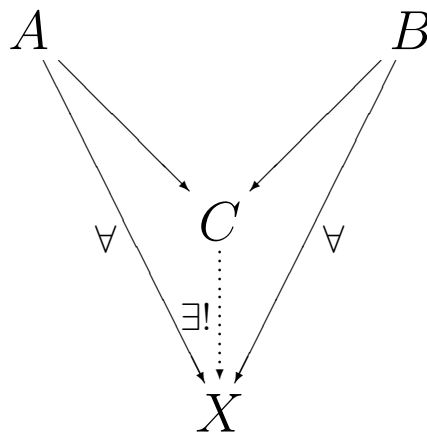
And so on....

## Higher Associative Laws: a Simplicial Viewpoint

The hierarchy of ‘higher associative laws’ can also be formalized using *simplices*:



If every way of filling the triangular ‘horn’ factors through  $F$ , we may call it *a process of composing*  $A$  and  $B$ , and call  $C$  *a composite*. This applies to addition of sets:



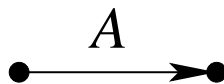
and many other examples, especially *composition of paths in a topological space*.

Considering higher-dimensional horns, we get this hierarchy:

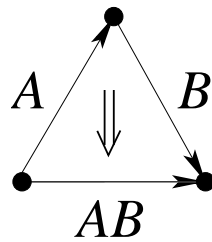
Object:



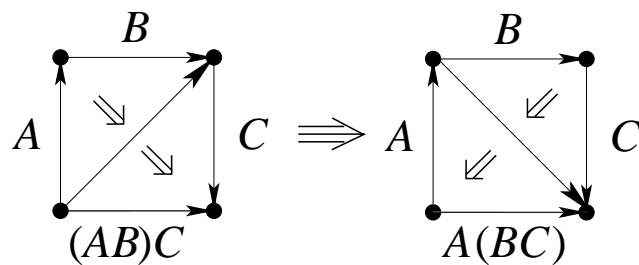
Morphism:



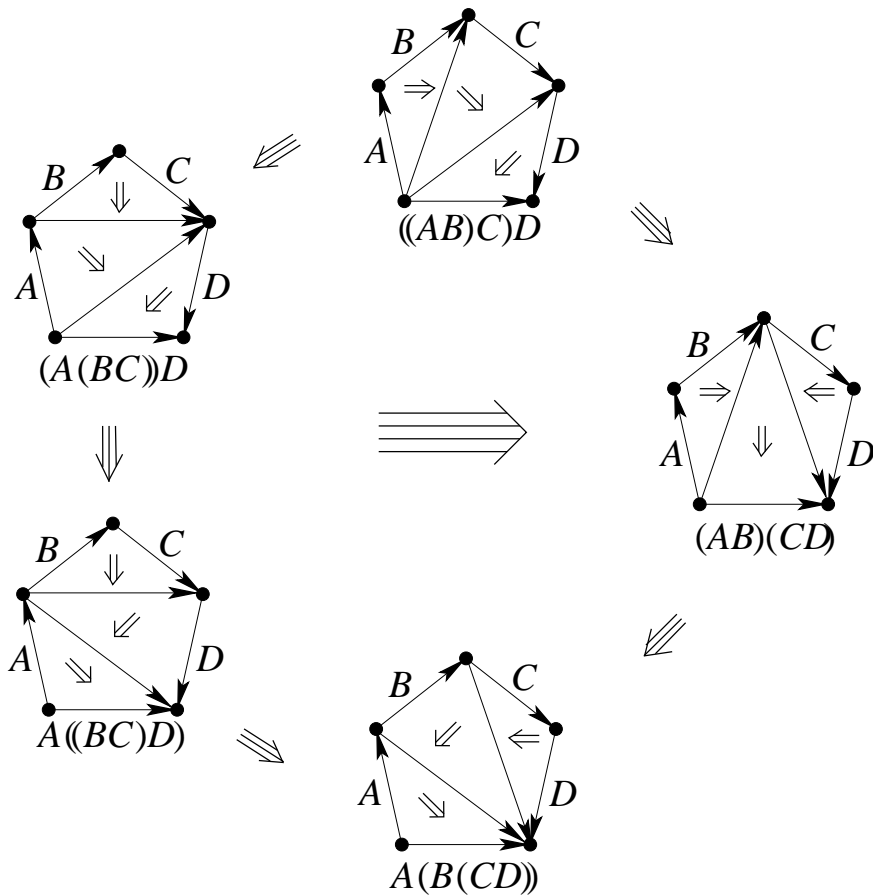
Composition:



Associator:



Pentagonator:



... and so on forever: the Stasheff associahedra!

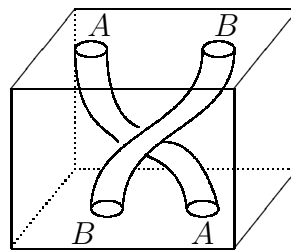
(But there's a subtlety in higher dimensions, appearing already in the next associahedron: the *commutative* law gets involved!)

## Codimension 2: Braiding, Yang–Baxterator,...

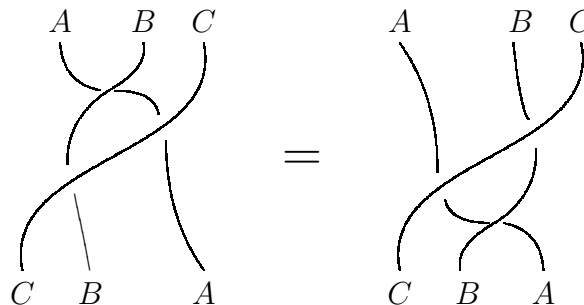
If space is at least 2-dimensional, we can prove the commutative law:

$$A + B = B + A$$

by sliding two piles of rocks around each other. But the proof takes time:

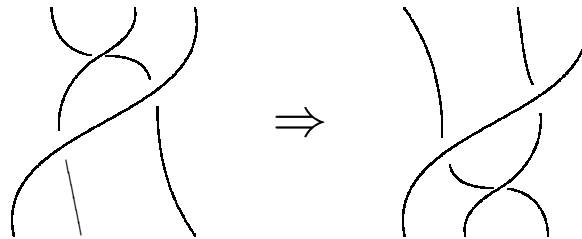


Note 1-dimensional ‘worldlines’ in 3-dimensional ‘spacetime’: the **braiding**. The braiding in turn satisfies the **Yang–Baxter equation**:

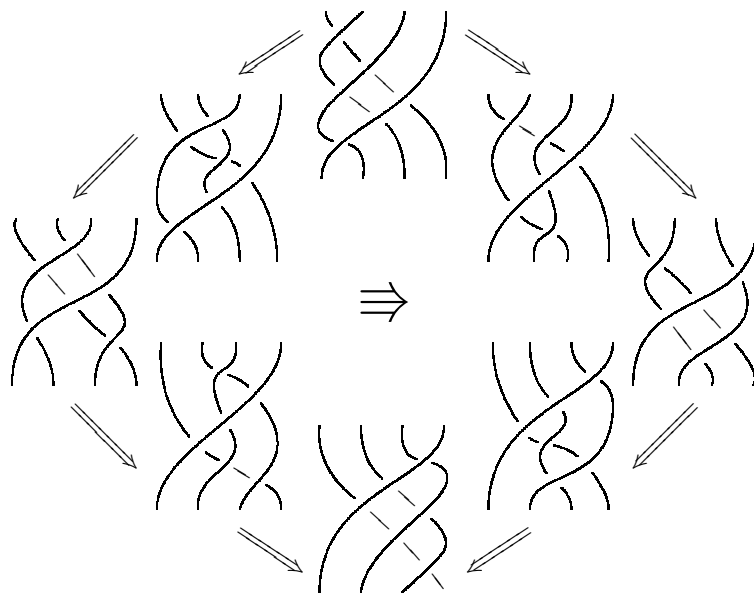




The process of proving the Yang–Baxter equation traces out a 2d surface in 4 dimensions, the **Yang–Baxterator**:



This in turn satisfies the **Zamolodchikov tetrahedron equation**:

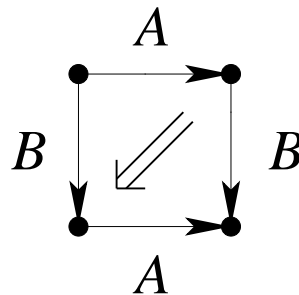


but the proof of this traces out a 3d surface in 5 dimensions... *and so on!*

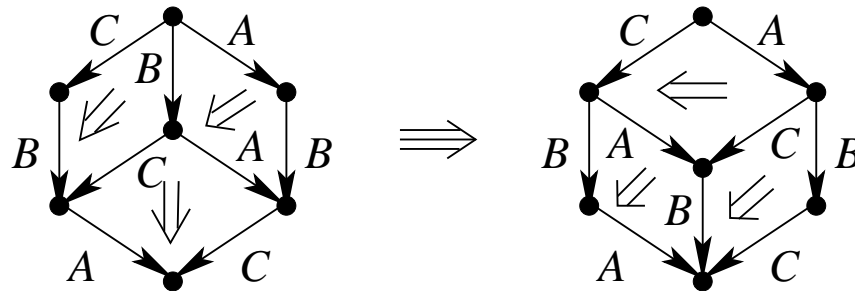
## Higher Commutative Laws: a Cubical Viewpoint

The hierarchy of ‘higher commutative laws’ can also be formalized using *cubes*.

Braiding:



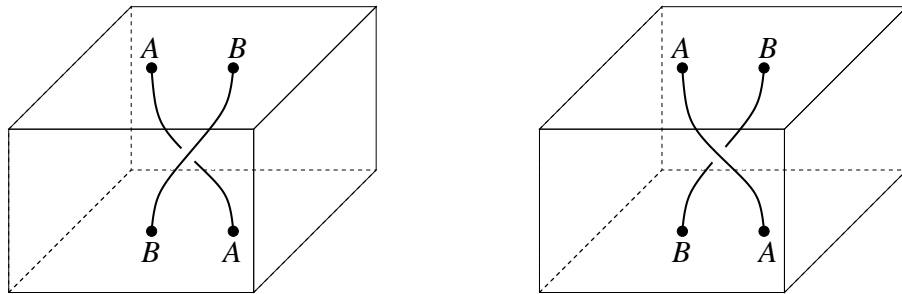
Yang-Baxterator:



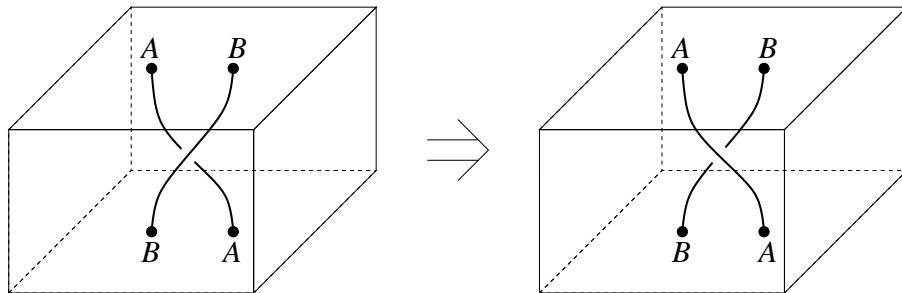
Similarly, the Zamolodchikov tetrahedron equation relates the ‘front’ and ‘back’ of a 4-cube, each of which is built from 4 Yang–Baxterator 3-cubes... *and so on!*

### Codimension 3: Syllepsis,...

If space is 2-dimensional, there are two fundamentally different proofs that  $A + B = B + A$ : the **braiding** versus the **inverse braiding**:



since these are nonisotopic braids in 3d space-time. But if space is at least 3-dimensional, one proof can be continuously deformed to the other:



since all braids in 4d spacetime are isotopic! This process traces out a 2d surface in 5 dimensions: the **syllepsis**.

The syllepsis satisfies a law of its own... but the proof of this traces out a 3d surface in 6 dimensions... *and so on!*

### **And so on for higher codimensions!**

For example, in codimension 4 we get an isotopy between the syllepsis and the ‘inverse syllepsis’, which are 2d surfaces in 6 dimensions. This isotopy traces out a 3d surface in 7 dimensions, and satisfies a law whose proof traces out a 4d surface in 8 dimensions, etc....

**In short: a hierarchy of ‘higher braidings’, one for each codimension  $k \geq 2$ , each satisfying a hierarchy of laws.**

Warning: this is a drastically **simplified** version of the story!

## Why $n$ -Categories?

We've seen how beautiful but overwhelmingly complex structures arise when we *treat every equation as a summary of a process*. I've only *begun* to describe these structures! To keep track of them, we need  $n$ -categories - and not just a definition, but a detailed theory of them.

In particular:

Let a  **$k$ -tuply monoidal  $n$ -category** be an  $(n+k)$ -category that is trivial below dimension  $k$  - viewed as an  $n$ -category with  $k$  ways to multiply objects.

Everything I've said so far should be summarized by some theorem relating  $k$ -tuply monoidal  $n$ -categories to ' $n$ -braids in codimension  $k$ '. A bit more precisely...

**The Braid Hypothesis:** The free  $k$ -tuply monoidal  $n$ -category on one object is  $n\mathbf{Braid}_k$ , where:

- objects are finite collections of points in  $\mathbb{R}^k$ , i.e. elements of

$$X_k = \bigsqcup_{j=0}^{\infty} \frac{\{(x_1, \dots, x_j) : x_i \in \mathbb{R}^k, x_i \text{ distinct}\}}{S_j}$$

- morphisms are paths in  $X_k$ ,
- 2-morphisms are paths of paths in  $X_k$ ,
- etc...
- $n$ -morphisms are *homotopy classes* of paths of paths of paths... in  $X_k$ .

## THE PERIODIC TABLE

We expect  $k$ -tuply monoidal  
 $n$ -categories go like this:

	$n = 0$	$n = 1$	$n = 2$
$k = 0$	sets	categories	2-categories
$k = 1$	monoids	monoidal categories	monoidal 2-categories
$k = 2$	commutative monoids	braided monoidal categories	braided monoidal 2-categories
$k = 3$	“	symmetric monoidal categories	sylleptic monoidal 2-categories
$k = 4$	“	“	symmetric monoidal 2-categories
$k = 5$	“	“	“
$k = 6$	“	“	“

- $n$  acts like the *dimension*.
- $k$  acts like the *codimension*.

## The Braid Hypothesis: Examples

The free monoid on one generator is  $0\text{Braid}_1$ , the **natural numbers**: isotopy classes of collections of points on the line.

The free braided monoidal category on one generator is  $1\text{Braid}_2$ , the **braid groupoid**: collections of points in the plane and isotopy classes of braids in 3d going between these.

The free symmetric monoidal category on one generator is  $1\text{Braid}_3$ , the **groupoid of finite sets**: collections of points in  $\mathbb{R}^3$  and isotopy classes of braids in 4d going between these. *We live here!*

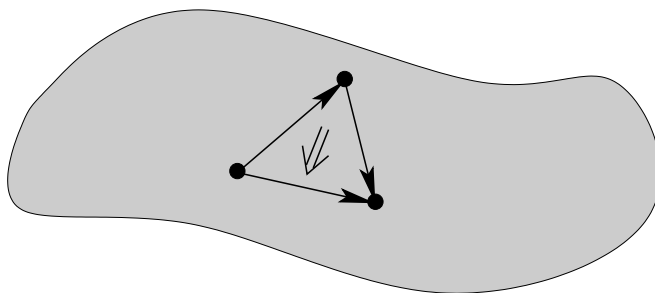
The free braided monoidal 2-category on one generator is  $2\text{Braid}_4$ , the **2-braid 2-groupoid**: collections of points in the plane, braids in 3d between these, and isotopy classes of 2-braids in 4d between these.

All these are just different *views* of a single concept: ‘the true natural numbers’.



## How To Understand $n$ -Categories

Topology lights the way, since every space  $X$  has a ‘fundamental  $\omega$ -groupoid’,  $\Pi_\infty(X)$ . In the simplicial framework:



it’s the simplicial set whose  $j$ -cells are just maps

$$F : \Delta^j \rightarrow X.$$

Technically this is a **Kan complex**: every horn has a filler!

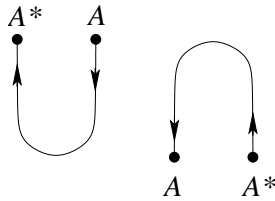
**The Homotopy Hypothesis** (baby version): equivalence classes of  $\omega$ -groupoids are the same as **homotopy types**: homotopy equivalence classes of locally nice spaces (e.g. CW complexes).

$n\text{Braid}_k$  corresponds to the homotopy type where we take  $X_k$ , the space of finite collections of points in  $\mathbb{R}^k$ , and ‘kill homotopy groups above  $\pi_n$ ’ by attaching cells.

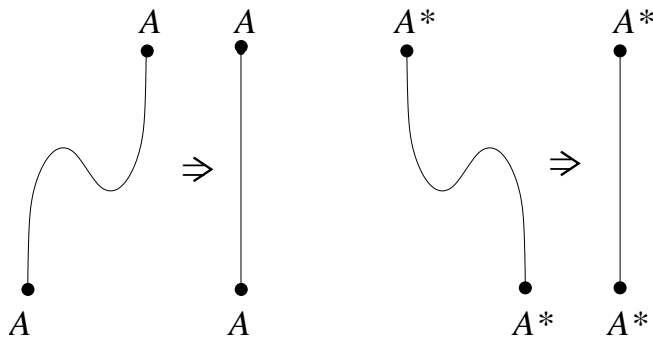
## This is Just the Beginning...

... though not of my talk, you'll be glad to know.

More interesting than  $n$ -groupoids are ' $n$ -categories with duals', where all  $j$ -morphisms have, not weak inverses, but 'duals' or 'adjoints':



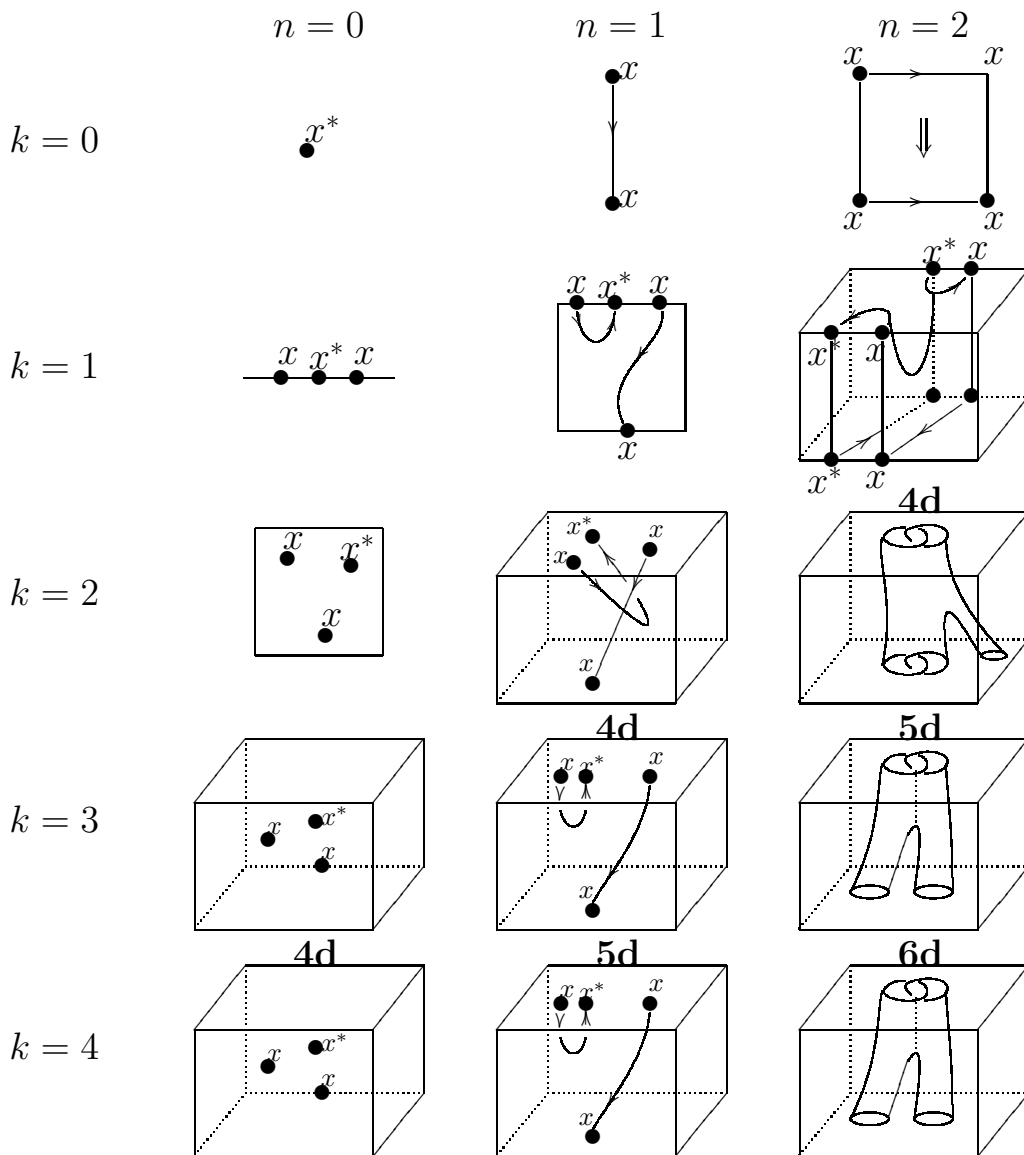
satisfying weakened 'zigzag identities':



which satisfy laws of their own... and so on.

This allows a form of 'subtraction', so it gives us some new views of 'the true integers'....

**The Tangle Hypothesis:** The free  $k$ -tuply monoidal  $n$ -category with duals on one generator is  $n\text{Tang}_k$ : top-dimensional morphisms are  $n$ -dimensional framed tangles in  $n + k$  dimensions.



# Algebraic Structures and the Free Such Structures on One Generator

sets	$\mathbf{1}$
monoids	$\mathbb{N}$
groups	$\mathbb{Z}$
$k$ -tuply monoidal $n$ -categories	$n\text{Braid}_k \simeq \Pi_{n+k}(X_k)$
$k$ -tuply monoidal $\omega$ -categories	$X_k$
$k$ -tuply groupal $n$ -groupoids	$\Pi_{n+k}(S^k)$
$k$ -tuply groupal $\omega$ -groupoids	$S^k$
strict $k$ -tuply groupal $\omega$ -groupoids	$K(\mathbb{Z}, k)$
$k$ -tuply monoidal $n$ -categories with duals	$n\text{Tang}_k$

Thom-Pontryagin map:

$$n\text{Tang}_k \rightarrow \Pi_{n+k}(S^k)$$

From homotopy to homology:

$$\Pi_{n+k}(S^k) \rightarrow K(\mathbb{Z}, k)$$