

# **The neutral theory of biodiversity and other competitors to maximum entropy**

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# Biodiversity



# Biodiversity maintenance: Niche or Neutral?

- **Niche theory** proposes species differences allow coexistence of competitors
  - *stable coexistence (i.e. species can invade from low abundance)*
  - *species richness determined by number of niches*
  - *species' relative abundance determined by relative prevalence of their niches*
- **Neutral theory** proposes coexistence of competitors is due to species similarities
  - *dynamics are not stable, instead dominated by stochasticity*
  - *species richness determined by balance between colonization/speciation and extinction*
  - *species' relative abundances shaped by stochasticity and dispersal limitation*

# What is the idea behind Niche Theory?

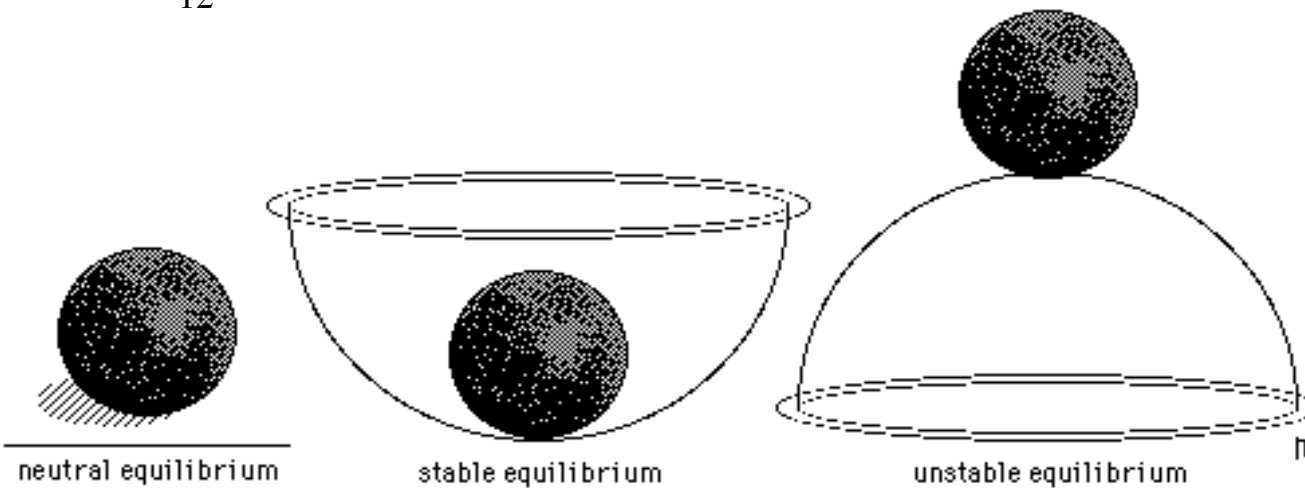
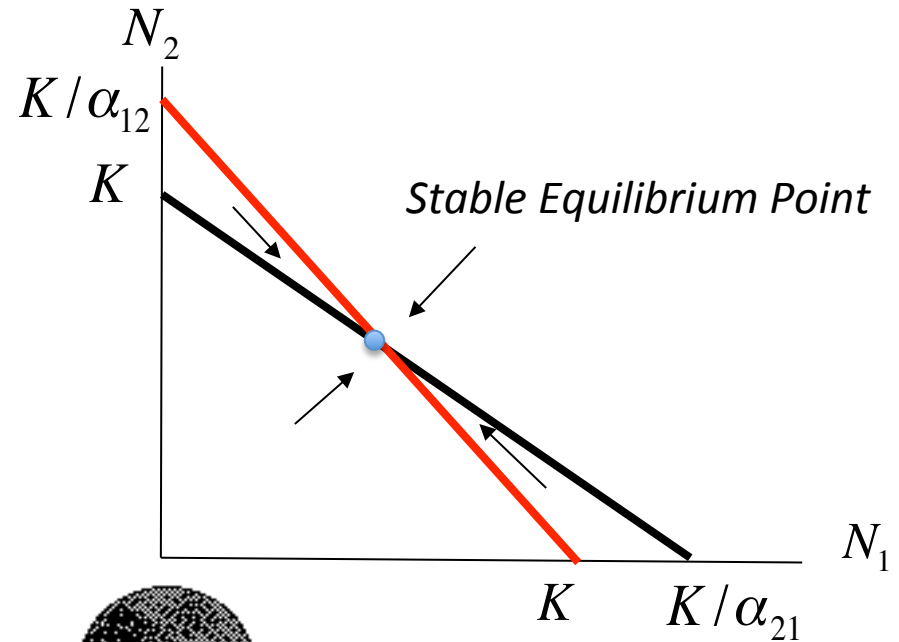
## *Coexistence through species differences*

In the context of the Lotka-Volterra competition model (with  $K_1=K_2=K$ ):

$$\frac{dN_1}{dt} = r_1 N_1 \left( \frac{K - N_1 - \alpha_{12} N_2}{K} \right)$$

$$\frac{dN_2}{dt} = r_2 N_2 \left( \frac{K - N_2 - \alpha_{21} N_1}{K} \right)$$

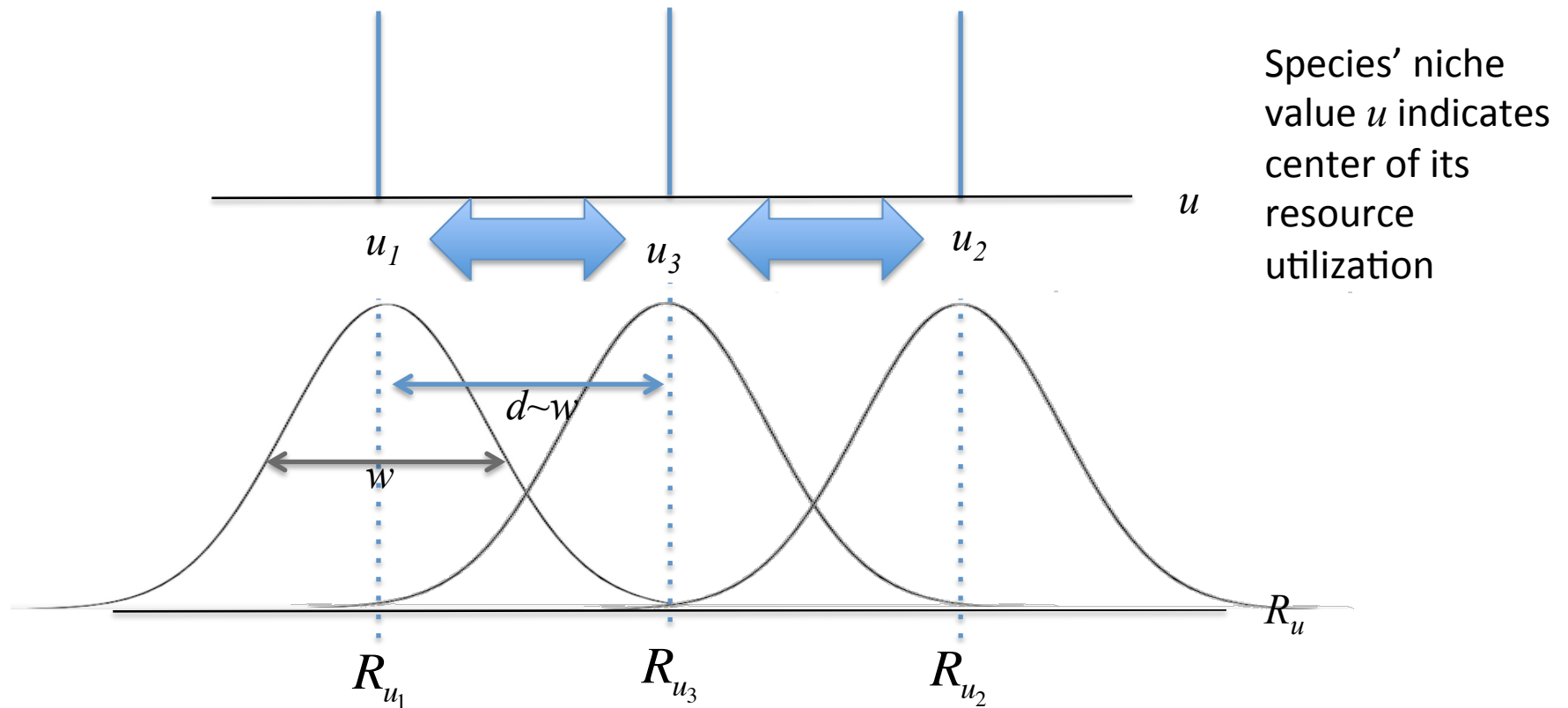
$$\alpha_{12} < 1 \quad \alpha_{21} < 1$$



# What is the idea behind Niche Theory?

*The differences have to be large enough!*

- Mac Arthur and Levins (1967) derived the principle of limiting similarity from model of competition among species on a 'niche' axis:



$$\frac{dN_i}{dt} = r \left( \frac{N_i}{K_i} \right) \left( K_i - N_i - \sum_{j=1}^S \alpha(u_i, u_j) N_j \right)$$

$\alpha(u_i, u_j)$  decreasing function of  $|u_i - u_j|$


# What is the idea behind Niche Theory?

*Differences in interaction with regulating factors*

- early definition of niche (Grinnell 1917):  
*set of conditions allowing persistence of population*
- here I am taking a definition of niche differences focused on what enables stable, robust coexistence of competitors:  
*differences in interaction with “regulating factors”*

Can show such differences are present when coexistence is robust across a large family of models:

Barabas, Pastor, Meszena, Ostling Ecology Letters (2014)

Factors that influence and are influenced by population sizes e.g. classic “resource”, or number of available patches with required conditions

# What is the idea behind Neutral Theory?

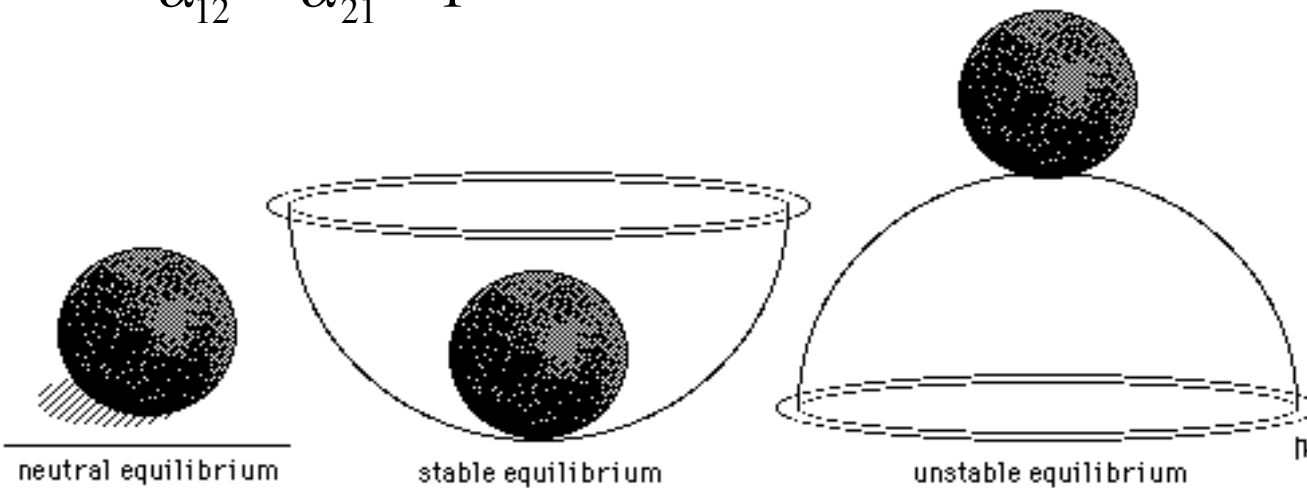
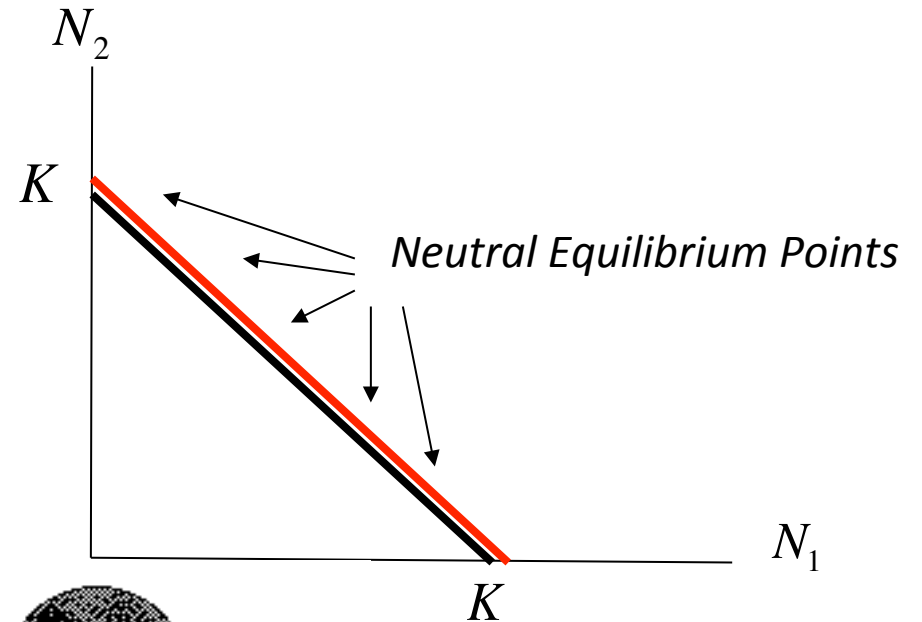
## *Coexistence through Similarity*

In the context of the Lotka-Volterra competition model (with  $K_1=K_2=K$ ):

$$\frac{dN_1}{dt} = r_1 N_1 \left( \frac{K - N_1 - \alpha_{12} N_2}{K} \right)$$

$$\frac{dN_2}{dt} = r_2 N_2 \left( \frac{K - N_2 - \alpha_{21} N_1}{K} \right)$$

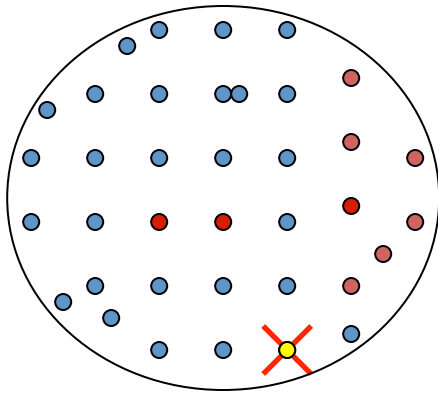
$$\alpha_{12} = \alpha_{21} = 1$$



# What do Neutral Models look like?

## Neutral Model: *Local community*

Local community at carrying capacity



Metacommunity →

### In each death and replacement event:

- a randomly chosen individual dies, and then...

#### 2 options:

- with probability  $m$ , it is replaced with the offspring of a random individual from the regional community (“metacommunity”)

or

- with probability  $(1 - m)$  it is replaced with an offspring of a random individual in the local community

$m$  = immigration rate

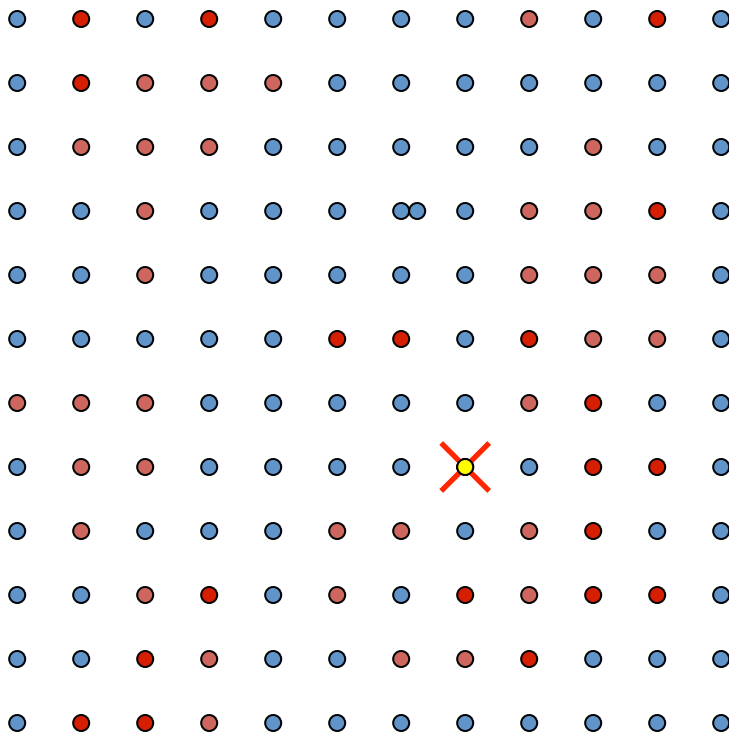
Assumes **all** individuals are  
*demographically equivalent*



# What do Neutral Models look like?

## Neutral Model: *Metacommunity*

Metacommunity at carrying capacity



### In each death and replacement event:

- a randomly chosen individual dies, and then...

#### 2 options:

- with probability  $\nu$ , it is replaced with an individual of a new species

or

- with probability  $(1-\nu)$  it is replaced with the offspring of a randomly chosen individual

$\nu$  = speciation rate

Local community predictions depend on  $\theta=2J_M\nu$  and  $m$

# Species abundance distribution

$P(n, t) \equiv$  probability species has abundance  $n$  at time  $t$  after arising from speciation

Note:

$$\tilde{P}(n) = \delta_{n0}$$

$$\frac{dP(n, t)}{dt} = W_{n-1}^+ P(n-1, t) + W_{n+1}^- P(n+1, t) - (W_n^+ + W_n^-) P(n, t)$$

zero-sum

$$W_n^+ = \left( \frac{J_M - n}{J_M} \right) (1 - \nu) \left( \frac{n}{J_M} \right)$$

non-interactive

$$W_n^+ = bn$$

$S(n, t) \equiv$  expected # of species in community with  $n$  individuals at time  $t$

$$= \int_0^t dt' \nu P(n, t - t') \quad + \text{terms going to 0 at large } t$$

# Species abundance distribution

$$\frac{dS(n,t)}{dt} = W_{n-1}^+ S(n-1,t) + W_{n+1}^- S(n+1,t) - (W_n^+ + W_n^-) S(n,t) + \nu \delta_{n1}$$

$$\tilde{S}(n) = \frac{W_{n-1}^+ W_{n-2}^+ \cdots W_1^+ \nu}{W_n^- W_{n-1}^- \cdots W_1^-}$$

non-interactive

$$\tilde{S}(n) = \frac{\nu}{b} \frac{(b/d)^n}{n}$$

**Species clearly differ in traits, and often in ways that could stabilize coexistence.**

**However, what is less clear is...**

- How strong of a role do differences play in maintaining diversity?
  - Are there enough niches for all species?
  - How strongly stabilized is the coexistence?
- How strong of a role do they play in shaping species' relative abundances and other patterns of community structure?

# Neutral theory as a “Null Model”

Neutral Theory may not be true. But...



## Patterns in Community Structure

- Species richness & degree of consistency of composition
- Species abundances and the distribution of abundance across species (i.e. how many rare versus common species)

# Problems with existing tests of Neutral Theory

- When neutral theory has succeeded, seems a stochastic niche model could do just as well
- When neutral theory has failed, typically easy to argue due to neutral model used ignoring “demographic complexity” that has little to do with niches

# Testing Neutral Theory in ecology vs. in evolutionary biology

- In evolutionary biology context, can deal with 'demographic complexity' using calibration on a large number of genes, or comparisons across similar species
- In ecological context, more difficult to achieve calibration or comparison

# Overcoming problems with tests of Neutral Theory

- Figure out what differences in community structure are produced by niches through study of stochastic niche models
- Figure out what demographic complexity is important to neutral model predictions
- Figure out how to construct tests of neutral theory so that we can ignore more of the demographic complexity



# Our stochastic niche model

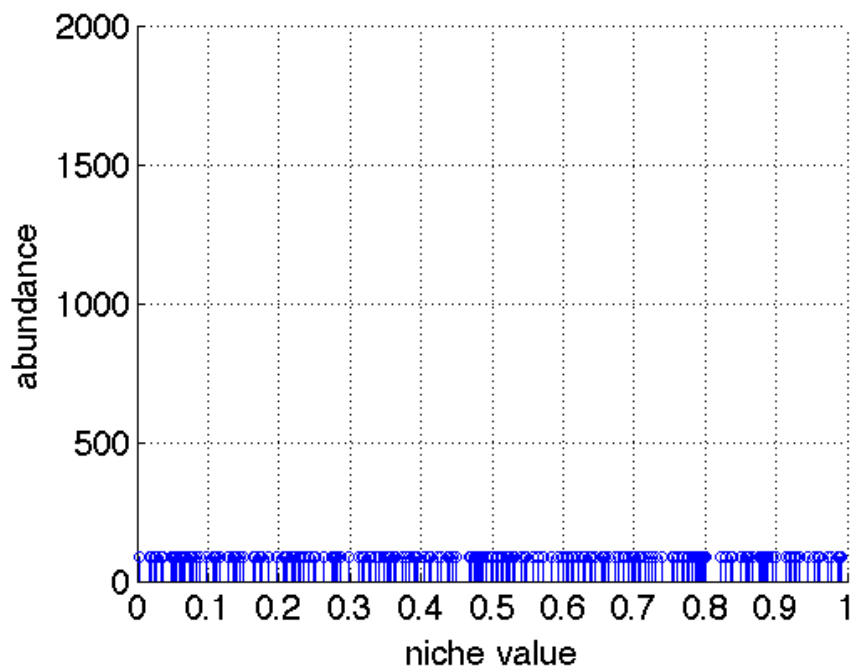
Study stochastic version of Lotka-Volterra competition on trait axis:

Niches emerge on the trait axis.

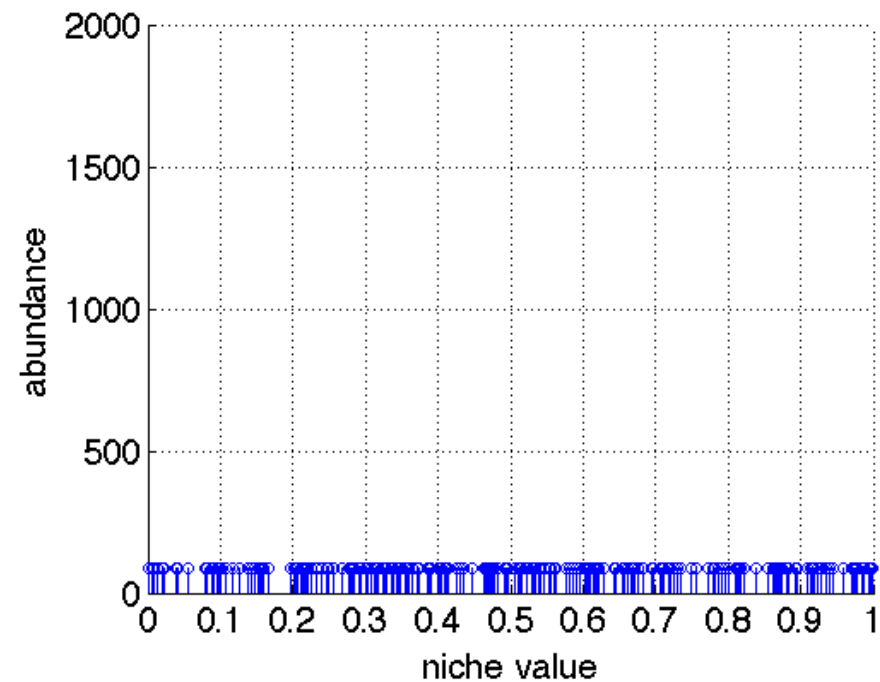
Species clustered rather than dispersed if # species > # niches.

Larger effect of niches on SAD than previously thought.

neutral



niche



# Overcoming problems with tests of Neutral Theory

- Figure out what differences in community structure are produced by niches through study of stochastic niche models
- Figure out what demographic complexity is important to neutral model predictions
- Figure out how to construct tests of neutral theory so that we can ignore more of the demographic complexity

# Maximum information entropy: a foundation for ecological theory

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Theoretical Population Biology 92 (2014) 69–77

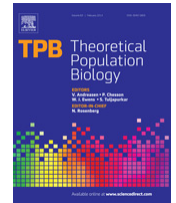


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Species abundance distributions, statistical mechanics and the priors of MaxEnt

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## The application of statistical physics to evolutionary biology

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