

# ***THE LANDAUER LIMIT AND THERMODYNAMICS OF BIOLOGICAL SYSTEMS***

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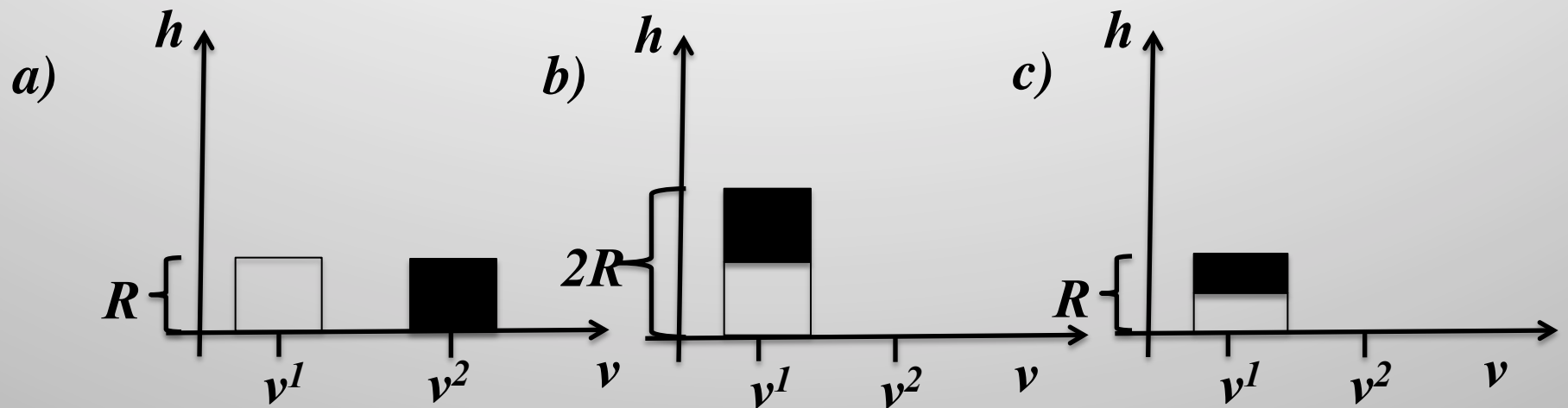
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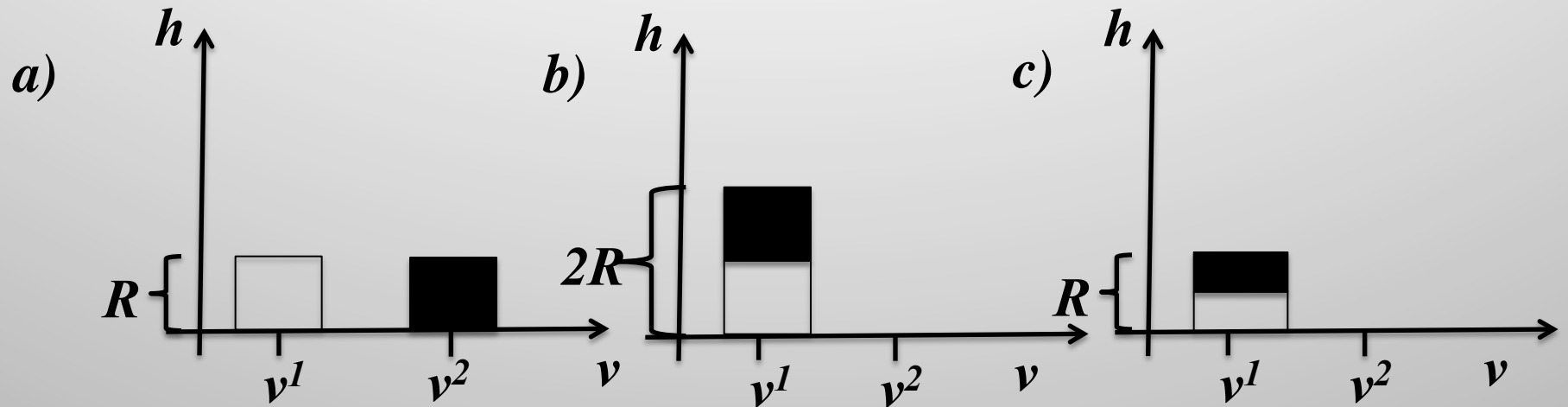


## HEAT OF ERASING A BIT



*Thermodynamic cost to erase a bit - the minimal amount of entropy that must be expelled to the environment - is  $\ln[2]$*

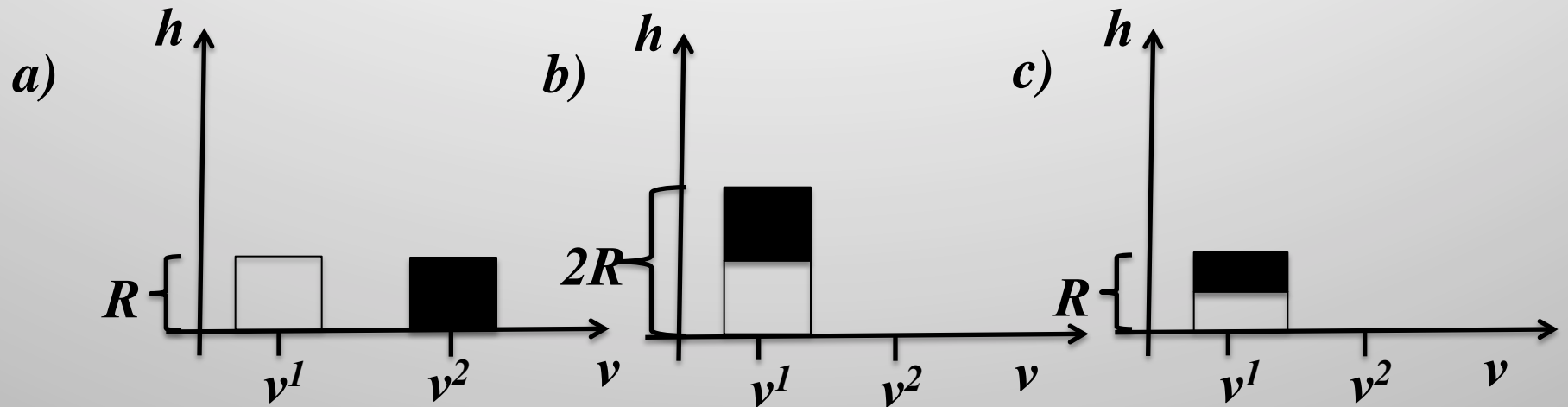
## HEAT OF ERASING A BIT



- Crucially, DO know precise pre-erasure value of bit
  - After all, *a computer is useless if don't know its initial state*

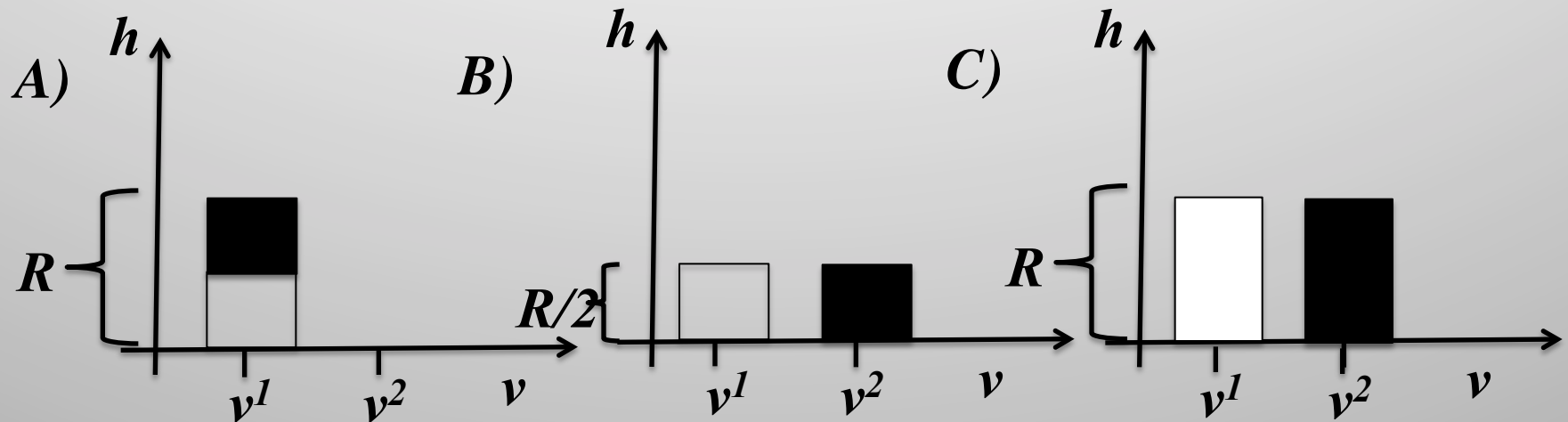
Bennett, 2003: “If erasure is applied to random data, the operation may be thermodynamically reversible ... but if it is applied to known data, it is thermodynamically irreversible.”

## ***HEAT OF ERASING A BIT***



- Crucially, DO know precise pre-erasure value of bit
  - *After all, a computer is useless if don't know its initial state*
- In fact, the prior distribution over the pre-erasure value – and in particular the entropy of that prior – is irrelevant
- Requires careful engineering to make this property hold
- “Local detailed balance” does *not* hold

# REFRIGERATION BY RANDOMIZING A BIT



- **Example:** *Adiabatic demagnetization*
- **Exploited in modern engineering:**
  - *Noisy error correction computing*
  - **Real** (not “pseudo”) random number generators

## *SOME ERASING AND SOME RANDOMIZATION*

- *What is the thermodynamic cost for an arbitrary conditional distribution from  $X = \{0, 1, 2, 3\}$  into itself?*
- *E.g., what if*
  - *0 and 1 go to 0 (as in bit erasure);*  
*i.e.,  $P(0 | 0) = P(0 | 1) = 1$*
  - *2 goes to 0 with probability .8, stays the same otherwise;*  
*i.e.,  $P(0 | 2) = .8, P(2 | 2) = .2$*
  - *3 goes to 2 with probability .4, and to 0 with probability .6;*  
*i.e.,  $P(2 | 3) = .5, P(0 | 3) = .6$*

# *THERMODYNAMIC COST*

$$\begin{aligned}\mathbb{E}(\text{cost}) &= \sum_{v_t, v_{t+1}} \pi(v_{t+1} | v_t) P(v_t) \ln \left[ \sum_{v'_t} \pi(v_{t+1} | v_t) \right] \\ &= I(W_{t+1}; V_{t+1}) - I(W_t; V_t)\end{aligned}$$

- where  $v_t$  is the *observable*  $v$ 's value at time  $t$ ;
- $\pi(. | .)$  is the conditional distribution of *dynamics*;
- $I(. ; .)$  is *mutual information*;
- $W$  is *unobserved degrees of freedom*;

# **THERMODYNAMIC COST**

$$\mathbb{E}(\text{cost}) = \sum_{v_t, v_{t+1}} \pi(v_{t+1} | v_t) P(v_t) \ln \left[ \sum_{v'_t} \pi(v_{t+1} | v'_t) \right]$$

- *where  $v_t$  is the observable  $v$ 's value at time  $t$ ;*
- *$\pi(. | .)$  is the conditional distribution of dynamics;*

***Example:***

***In a 2-to-1 map,  $\pi(0 | 0) = \pi(0 | 1) = 1$ ,  
so expected cost equals  $\ln[2]$***



# *BOUNDS ON THERMODYNAMIC COST*

*Given the evolution kernel  $\pi(. | .)$ , as one varies  $P(v_t)$ :*

$$0 \leq \mathbb{E}(\text{cost}) + H(V_t) + H(V_{t+1}) \leq \log[|V|] - \max_{v_t} \left[ KL(\pi(V_{t+1} | a) \parallel \Pi^+(V_{t+1})) \right]$$

- *where  $v_t$  is the observable  $v$ 's value at time  $t$ ;*
- **$H(.)$**  *is Shannon entropy;*
- **$KL(. \parallel .)$**  *is KL divergence;*
- **$\Pi^+(v_{t+1})$**   $= \sum_{v_t} \pi(v_{t+1} | v_t) / |V|$

# **BOUNDS ON** **THERMODYNAMIC COST**

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- $\Pi^+(v_{t+1}) = \sum_{v_t} \pi(v_{t+1} | v_t) / |V|$

*Example: In a 2-to-1 map both bounds are tight:*

*Thermodynamic cost is the drop in Shannon entropies over  $V$*

## **K'TH ORDER MARKOV CHAINS**

*For a k'th order Markov chain, thermodynamic cost during a single step is bounded below by*

$$L \equiv H(V_t \mid V_{t+1}, \dots, V_{t+k-1}) - H(V_{t+k} \mid V_{t+1}, \dots, V_{t+k-1})$$

*and above by*

*Very messy expression*

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*If  $P(v)$  has reached stationarity, lower bound is*

$$\mathbb{E}(\text{cost}) = I(V_t; V_{t+1}, \dots, V_{t+k-1}) - I(V_{t+k}; V_{t+1}, \dots, V_{t+k-1})$$

*(Cf. Still et al. 2012)*

# *THERMODYNAMIC COST*

**Tons of other fun results, including:**

- 1) *Second law: Thermodynamic cost is non-negative for any process that goes from distribution A to distribution B and then back to distribution B*
- 2) *Examples of coarse-graining (in the statistical physics sense) that **increase** thermodynamic cost*
- 3) *Examples of coarse-graining that **decrease** thermo. cost*
- 4) *Implications for optimal **compiler design***
- 5) *Analysis of thermodynamic cost of **Hidden Markov Models***

## *IMPLICATIONS FOR DESIGN OF BRAINS*

- *$P(x_t)$  a dynamic process outside of a brain;*
  - *Natural selection favors brains that:*
    - *(generate  $v_t$ 's that) predict future of  $x$  accurately;*
- but ...*
- *not generate heat that needs to be dissipated;*
  - *not require free energy from environment (need to create all that heat)*

**Natural selection favors brains that:**

- 1) Accurately predict future (quantified with a fitness function);**
- 2) Using a prediction program with minimal thermo. cost**

## *IMPLICATIONS FOR BIOCHEMISTRY*

- *Natural selection favors (phenotypes of) a prokaryote that:*
  - *(generate  $v_i$ 's that) maximize fitness;*

*but ...*

- *not generate heat that needs to be dissipated;*
- *not require free energy from environment (need to create all that heat)*

**Natural selection favors prokaryotes that:**

- 1) Behave as well as possible (quantified with a fitness function);**
- 2) While implementing behavior with minimal thermo. cost**

# **COMPLEXITY DYNAMICS** **OF BIOSPHERE**

$$\mathbb{E}(cost) = \sum_{v_t, v_{t+1}} \pi(v_{t+1} | v_t) P(v_t) \ln \left[ \sum_{v'_t} \pi(v_{t+1} | v_t) \right]$$

- *where  $v_t$  is the observable  $v$ 's value at time  $t$ ;*
- *$\pi(. | .)$  is the conditional distribution of dynamics;*

*N.b., thermodynamic cost varies with  $t$ :*

*For what kernels  $\pi(. | .)$  does thermo. cost  
increase with time?*



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*Plug in  $\pi(. | .)$  of terrestrial biosphere:*

*Does thermo. cost of biosphere behavior  
increase with time?*

# **COMPLEXITY DYNAMICS** **OF BIOSPHERE**

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- *where  $v_t$  is the observable  $v$ 's value at time  $t$ ;*
- *$\pi(. | .)$  is the conditional distribution of dynamics;*

*Plug in  $\pi(. | .)$  of terrestrial biosphere:*

*How far is thermo. cost of biosphere  
from upper bound of solar free energy flux?*