

Equivalence of Categories

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1. Prove that a functor $F: C \rightarrow D$ is an equivalence iff it is essentially surjective, full and faithful.

Hint: let me remind you of all the necessary definitions.

Definition 1. A category C consists of:

- a collection $\text{Ob}(C)$ of **objects**.
- for any pair of objects x, y , a set $\text{hom}(x, y)$ of **morphisms** from x to y . (If $f \in \text{hom}(x, y)$ we write $f: x \rightarrow y$.)

equipped with:

- for any object x , an **identity morphism** $1_x: x \rightarrow x$.
- for any pair of morphisms $f: x \rightarrow y$ and $g: y \rightarrow z$, a morphism $fg: x \rightarrow z$ called the **composite** of f and g .

such that:

- for any morphism $f: x \rightarrow y$, the **left and right unit laws** hold: $1_x f = f = f 1_y$.
- for any triple of morphisms $f: w \rightarrow x$, $g: x \rightarrow y$, $h: y \rightarrow z$, the **associative law** holds: $(fg)h = f(gh)$.

We usually write $x \in C$ as an abbreviation for $x \in \text{Ob}(C)$. An **isomorphism** is a morphism $f: x \rightarrow y$ with an **inverse**, i.e. a morphism $g: y \rightarrow x$ such that $fg = 1_x$ and $gf = 1_y$.

Definition 2. Given categories C, D , a **functor** $F: C \rightarrow D$ consists of:

- a function $F: \text{Ob}(C) \rightarrow \text{Ob}(D)$.
- for any pair of objects $x, y \in \text{Ob}(C)$, a function $F: \text{hom}(x, y) \rightarrow \text{hom}(F(x), F(y))$.

such that:

- **F preserves identities:** for any object $x \in C$, $F(1_x) = 1_{F(x)}$.
- **F preserves composition:** for any pair of morphisms $f: x \rightarrow y$, $g: y \rightarrow z$ in C , $F(fg) = F(f)F(g)$.

It's not hard to define identity functors and composition of functors, and to check the left and right unit law and associative law for these.

Definition 3. Given functors $F, G: C \rightarrow D$, a **natural transformation** $\alpha: F \Rightarrow G$ consists of:

- a function α mapping each object $x \in C$ to a morphism $\alpha_x: F(x) \rightarrow G(x)$

such that:

- for any morphism $f: x \rightarrow y$ in C , this diagram commutes:

$$\begin{array}{ccc} F(x) & \xrightarrow{F(f)} & F(y) \\ \alpha_x \downarrow & & \downarrow \alpha_y \\ G(x) & \xrightarrow{G(f)} & G(y) \end{array}$$

With a little thought you can figure out how to compose natural transformations $\alpha: F \rightarrow G$ and $\beta: G \Rightarrow H$ and get a natural transformation $\alpha\beta: F \Rightarrow H$. We can also define identity natural transformations. Again, it's not hard to check the left and right unit law and associativity for these.

Definition 4. Given functors $F, G: C \rightarrow D$, a **natural isomorphism** $\alpha: F \Rightarrow G$ is a natural transformation that has an **inverse**, i.e. a natural transformation $\beta: G \Rightarrow F$ such that $\alpha\beta = 1_F$ and $\beta\alpha = 1_G$.

It's not hard to see that a natural transformation $\alpha: F \Rightarrow G$ is a natural isomorphism iff for every object $x \in C$, the morphism α_x is invertible.

Definition 5. A functor $F: C \rightarrow D$ is an **equivalence** if it has a **weak inverse**, that is, a functor $G: D \rightarrow C$ such that there exist natural isomorphisms $\alpha: FG \Rightarrow 1_C$, $\beta: GF \Rightarrow 1_D$.

Definition 6. A functor $F: C \rightarrow D$ is **essentially surjective** if for every object $x \in D$ there is an object $\tilde{x} \in C$ such that $F(\tilde{x}) \cong x$.

Definition 7. A functor $F: C \rightarrow D$ is **full** if for every pair of objects $x, y \in C$, the function $F: \text{hom}(x, y) \rightarrow \text{hom}(F(x), F(y))$ is onto.

Definition 8. A functor $F: C \rightarrow D$ is **faithful** if for every pair of objects $x, y \in C$, the function $F: \text{hom}(x, y) \rightarrow \text{hom}(F(x), F(y))$ is one-to-one.

I will be glad to give you further hints if you need them. The fun part is constructing the weak inverse of a functor F using the fact that it's essentially surjective, full and faithful. This is a categorified version of constructing the inverse of a function using the fact that it's surjective and injective.