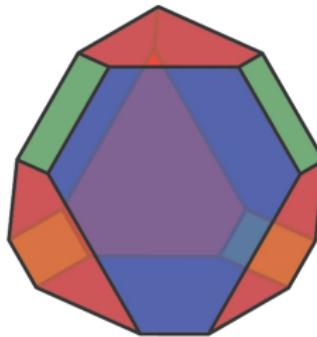


Spans and the Categorified Heisenberg Algebra – 2

John Baez



for more, see:

<http://math.ucr.edu/home/baez/spans/>

Last time I stated this theorem:

Theorem (Alex Hoffnung, Mike Stay)

There is a symmetric monoidal bicategory with:

- *groupoids as objects*
- *spans of groupoids as morphisms*
- *maps of spans as 2-morphisms*

What is a symmetric monoidal bicategory?

k -tuply monoidal n -categories

	$n = 0$	$n = 1$	$n = 2$
$k = 0$	sets	categories	bicategories
$k = 1$	monoids	monoidal categories	monoidal bicategories
$k = 2$	commutative monoids	braided monoidal categories	braided monoidal bicategories
$k = 3$	"	symmetric monoidal categories	sylleptic monoidal bicategories
$k = 4$	"	"	symmetric monoidal bicategories
$k = 5$	"	"	"

The chart goes on, but we only need the second column:

- bicategories
- monoidal bicategories
- braided monoidal bicategories
- sylleptic monoidal bicategories
- symmetric monoidal bicategories

Let me explain these concepts, using beautiful pictures taken from here:

- Mike Stay, [Compact closed bicategories](#), arXiv:1301.1053.

Read this paper for more details!

For starters, a **bicategory** \mathcal{B} consists of:

- a collection of **objects**, which we write as $A, B, C, \dots \in \mathcal{B}$.
- for any pair of objects $A, B \in \mathcal{B}$, a category $\mathcal{B}(A, B)$.
- for each triple $A, B, C \in \mathcal{B}$, a **composition** functor
 - $\circ: \mathcal{B}(B, C) \times \mathcal{B}(A, B) \rightarrow \mathcal{B}(A, C)$.
- for each object A in \mathcal{B} , an **identity** 1-morphism $1_A: A \rightarrow A$.

An object $f \in \mathcal{B}(A, B)$ is called a **1-morphism** and written $f: A \rightarrow B$. A morphism α in $\mathcal{B}(A, B)$ is called a **2-morphism** and written like $\alpha: f \Rightarrow g$. An invertible 2-morphism is called a **2-isomorphism** and written $\alpha: f \xrightarrow{\sim} g$.

A bicategory also has:

- given $f: C \rightarrow D$, $g: B \rightarrow C$, $h: A \rightarrow B$, a natural 2-isomorphism called the **associator for composition**:

$$\alpha_{f,g,h}: (f \circ g) \circ h \xrightarrow{\sim} f \circ (g \circ h)$$

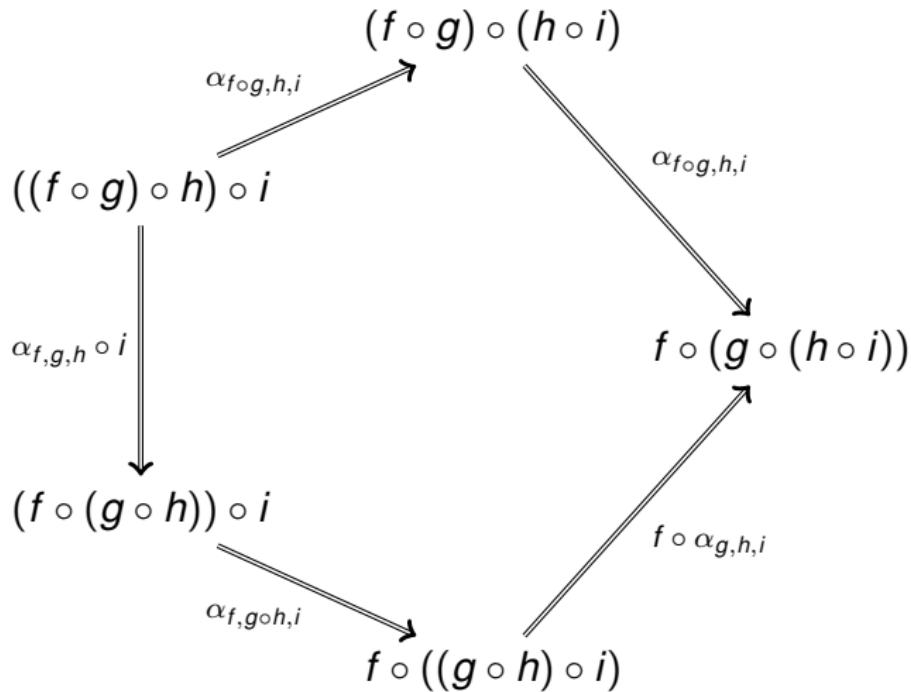
- given $f: A \rightarrow B$, natural 2-isomorphisms called **left and right unitors for composition**:

$$\lambda_f: 1_B \circ f \xrightarrow{\sim} f$$

$$\rho_f: f \circ 1_A \xrightarrow{\sim} f$$

Finally, we require that α , λ , and ρ satisfy some equations...

- The **pentagon identity**, saying this diagram commutes:



- The **triangle identities**, saying these diagrams commute:

$$\begin{array}{ccc}
 (1 \circ f) \circ g & \xrightarrow{\alpha_{1,f,g}} & (f \circ g) \circ 1 \\
 \downarrow \lambda_f \circ g & & \downarrow \rho_{f \circ g} \\
 f \circ g & \xleftarrow{\lambda_{f \circ g}} & f \circ (g \circ 1)
 \end{array}$$

$$\begin{array}{ccc}
 (f \circ 1) \circ g & \xrightarrow{\alpha_{f,1,g}} & f \circ (1 \circ g) \\
 \downarrow \rho_f \circ g & & \downarrow f \circ \lambda_g \\
 f \circ g & \xleftarrow{f \circ \lambda_g} &
 \end{array}$$

(We only need the last, but it's better to use all three.)

We have:

- functors between bicategories,
- pseudonatural transformations between such functors,
- modifications between pseudonatural transformations.

For these read:

- Tom Leinster, [Basic bicategories](#), arXiv:math/9810017.

A pseudonatural transformation $\alpha: F \Rightarrow G$ is like a natural transformation, but this square commutes *up to a 2-isomorphism*:

$$\begin{array}{ccc} F(A) & \xrightarrow{F(f)} & F(B) \\ \alpha_A \downarrow & \alpha_f \swarrow \curvearrowright & \downarrow \alpha_B \\ G(A) & \xrightarrow[G(f)]{} & G(B) \end{array}$$

An **equivalence** $f: A \xrightarrow{\sim} B$ in a bicategory is a morphism equipped with a **weak inverse** $f^{-1}: B \rightarrow A$ and 2-isomorphisms

$$\alpha: f^{-1} \circ f \xrightarrow{\sim} 1_A \quad \beta: f \circ f^{-1} \xrightarrow{\sim} 1_B$$

A **pseudonatural equivalence** $\alpha: F \xrightarrow{\sim} G$ is a pseudonatural transformation where each morphism $\alpha_A: F(A) \xrightarrow{\sim} G(A)$ is an equivalence.

A **monoidal bicategory** \mathcal{B} is a bicategory with a tensor product. It consists of the following:

- A bicategory \mathcal{B} .
- A **tensor product** functor $\otimes: \mathcal{B} \times \mathcal{B} \rightarrow \mathcal{B}$.
- A pseudonatural equivalence called the **associator for the tensor product**:

$$a_{A,B,C}: (A \otimes B) \otimes C \xrightarrow{\sim} A \otimes (B \otimes C)$$

- An object $I \in \mathcal{B}$, the **unit for the tensor product**.
- Pseudonatural equivalences called the **left and right unitors for the tensor product**:

$$\ell_A: I \otimes A \xrightarrow{\sim} A$$

$$r_A: A \otimes I \xrightarrow{\sim} A$$

- The **pentagonator** 2-isomorphism π_{ABCD} , giving a modification between pseudonatural transformations:

$$\begin{array}{ccccc}
 & & (A \otimes B) \otimes (C \otimes D) & & \\
 & \nearrow a & & \searrow a & \\
 ((A \otimes B) \otimes C) \otimes D & & \uparrow \pi_{ABCD} & & A \otimes (B \otimes (C \otimes D)) \\
 \downarrow a \otimes 1 & & & & \nearrow 1 \otimes a \\
 (A \otimes (B \otimes C)) \otimes D & & & & \\
 & \searrow a & & \nearrow 1 \otimes a & \\
 & & A \otimes ((B \otimes C) \otimes D) & &
 \end{array}$$

- The **left, middle and right 2-unitors** 2-isomorphisms λ_{AB} , μ_{AB} and ρ_{AB} , also giving modifications:

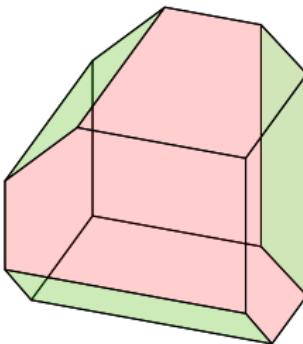
$$\begin{array}{ccc} (I \otimes A) \otimes B & & (A \otimes B) \otimes I \\ \downarrow \ell \otimes 1 & \searrow a & \downarrow r \\ A \otimes B & \xrightarrow{\lambda_{AB}} & I \otimes (A \otimes B) \end{array}$$

ℓ_{AB}

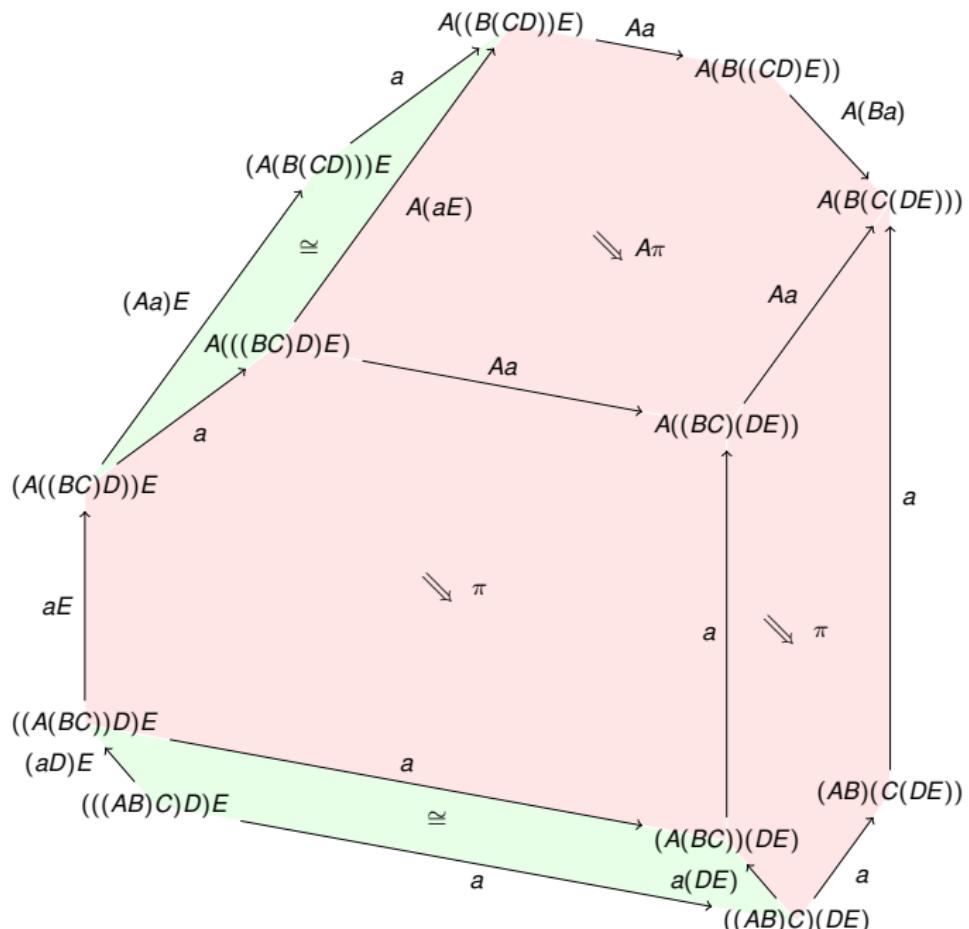
$$\begin{array}{ccc} (A \otimes B) \otimes I & & A \otimes (B \otimes I) \\ \downarrow r & \searrow a & \downarrow 1 \otimes r \\ A \otimes B & \xrightarrow{\rho_{AB}} & A \otimes (B \otimes I) \end{array}$$

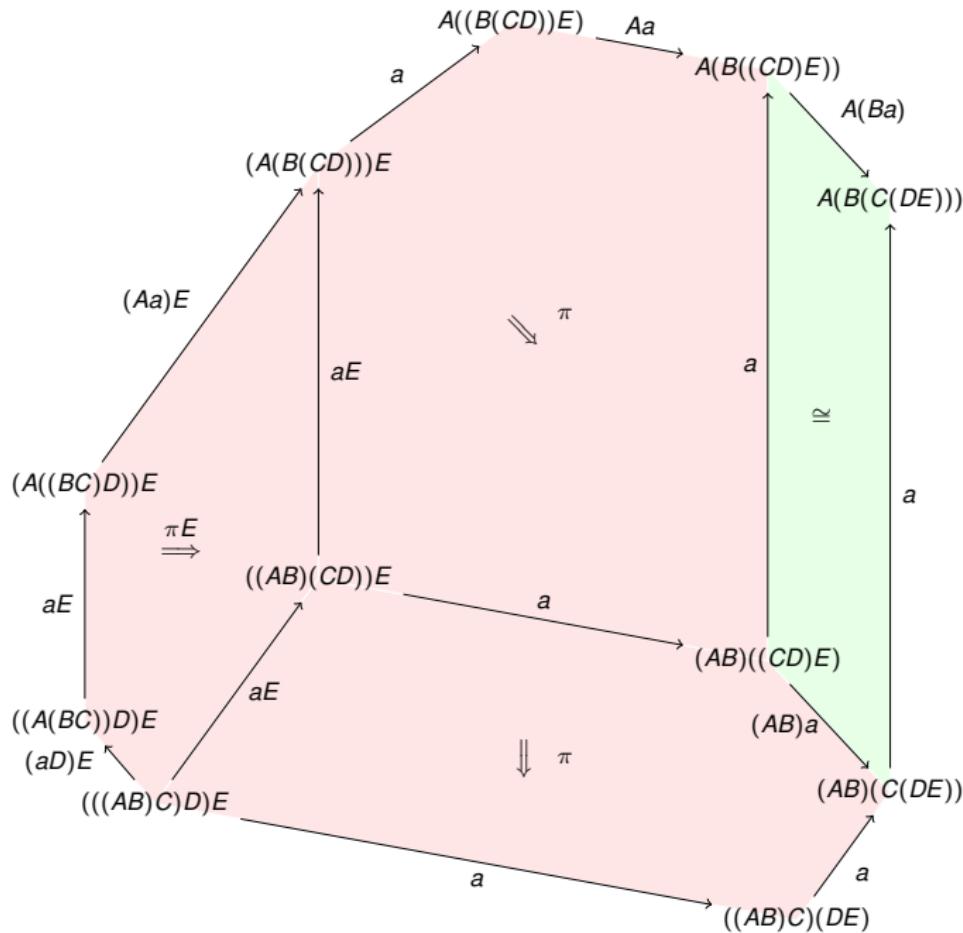
$$\begin{array}{ccc} (A \otimes I) \otimes B & & A \otimes (I \otimes B) \\ \downarrow r \otimes 1 & \searrow a & \downarrow 1 \otimes \ell \\ A \otimes B & \xrightarrow{\mu_{AB}} & A \otimes (I \otimes B) \end{array}$$

- The pentagonator obeys Stasheff's **associahedron axiom**. This polyhedron has one vertex for each way of putting parentheses in $A \otimes B \otimes C \otimes D \otimes E$:



We see pink pentagonators, but also green rectangles arising from associator pseudonatural equivalences. Let's look at the front and back in detail, omitting \otimes symbols and writing identity morphisms like 1_A simply as A :

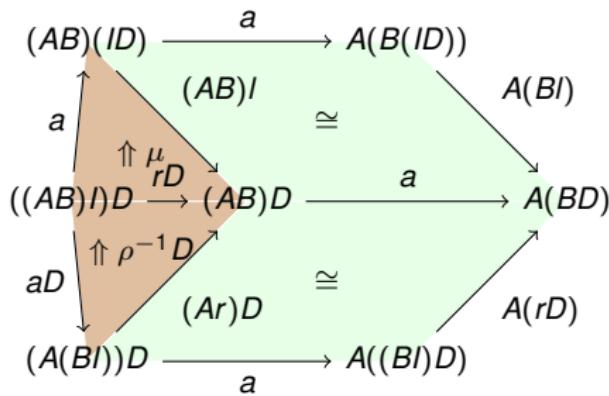
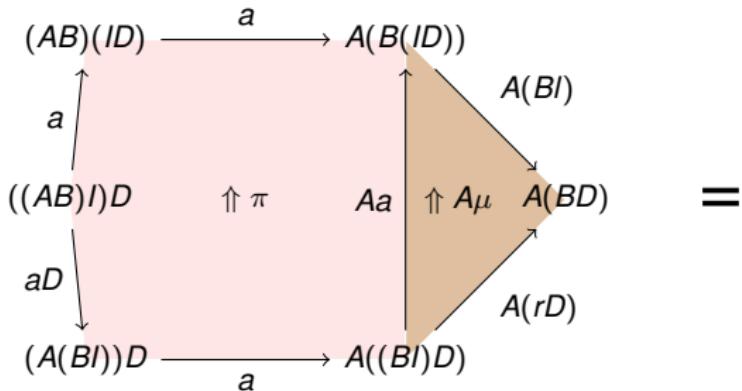




- The 2-unitors obey four equations... but those with the unit object I at front or back are automatic, so we only need two:

$$\begin{array}{ccc}
 ((AI)C)D & \xrightarrow{a} & (AI)(CD) \\
 \downarrow aD & \uparrow \pi & \text{brown shaded region} \\
 (A(IC))D & \xrightarrow{a} & A((IC)D)
 \end{array} =
 \begin{array}{c}
 r(CD) \\
 a \downarrow \mu^{-1} \nearrow AI \\
 A(I(CD)) \xrightarrow{Aa} A(CD) \\
 \uparrow A\lambda \quad \nearrow A(ID) \\
 A(ID)
 \end{array}$$

$$\begin{array}{ccc}
 ((AI)C)D & \xrightarrow{a} & (AI)(CD) \\
 \downarrow aD & \uparrow \mu^{-1} D \nearrow (rC)D & \cong \quad r(CD) \\
 (AC)D & \xrightarrow{a} & A(CD) \\
 \downarrow (AI)D & \cong & \nearrow A(ID) \\
 (A(IC))D & \xrightarrow{a} & A((IC)D)
 \end{array}$$



A **braided** monoidal bicategory \mathcal{B} consists of:

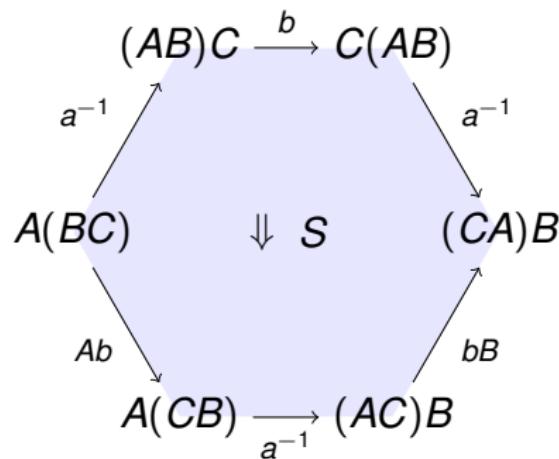
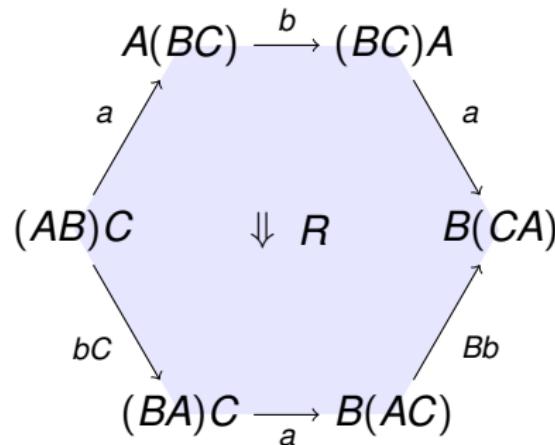
- A monoidal bicategory \mathcal{B} .
- A pseudonatural equivalence called the **braiding**:

$$b_{AB} : A \otimes B \xrightarrow{\sim} B \otimes A$$

- Invertible modifications R and S called **hexagonators**:

R relates the two ways to braid A over $B \otimes C$.

S relates the two ways to braid $A \otimes B$ over C .



- The hexagonators obey three equations relating ways to shuffle 4 objects:

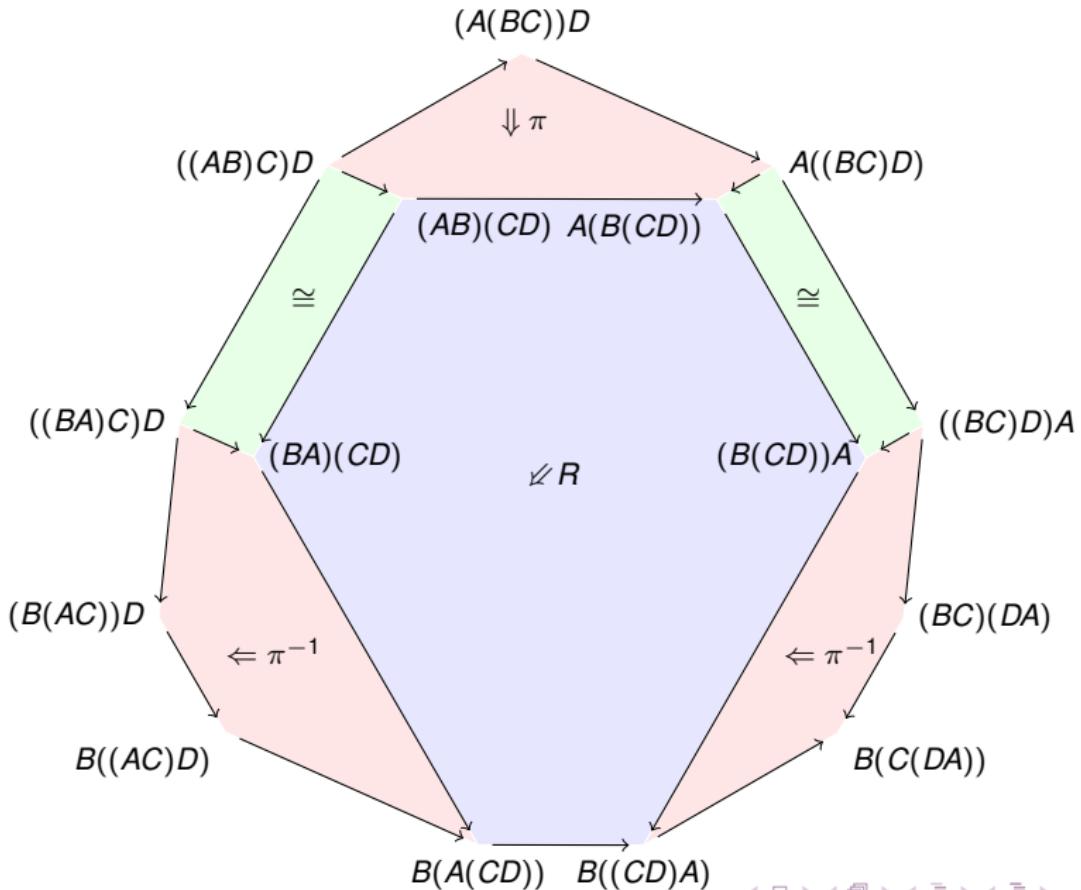
$$A \otimes (B \otimes C \otimes D) \xrightarrow{\sim} (B \otimes C \otimes D) \otimes A$$

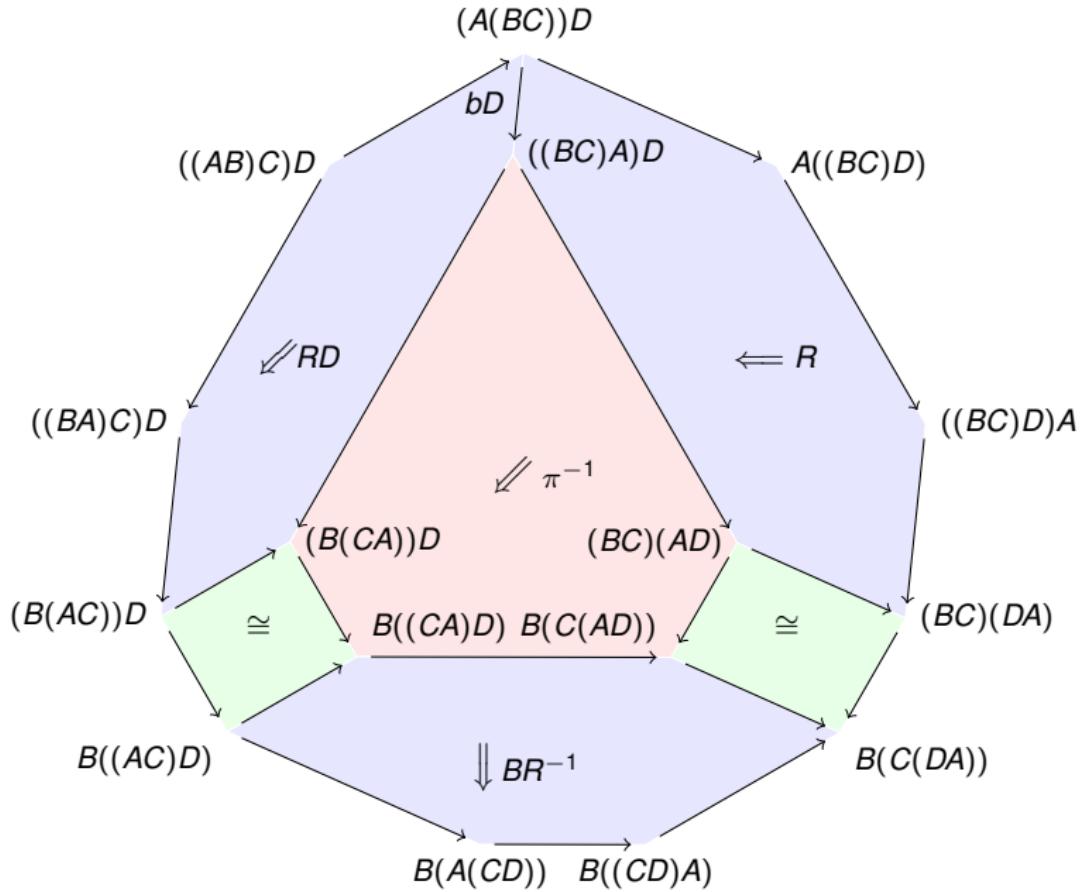
$$(A \otimes B \otimes C) \otimes D \xrightarrow{\sim} D \otimes (A \otimes B \otimes C)$$

$$(A \otimes B) \otimes (C \otimes D) \xrightarrow{\sim} (C \otimes D) \otimes (A \otimes B)$$

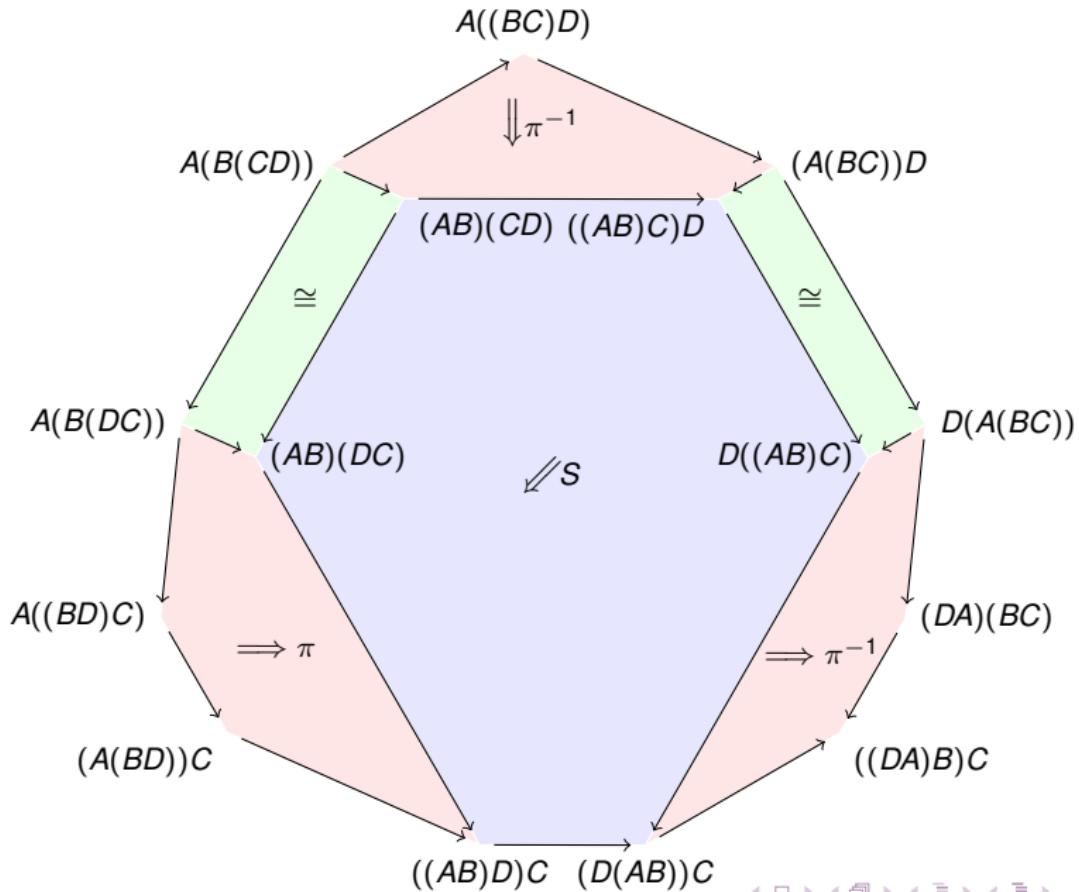
Let's look at the front and back of each. All morphisms are built from associators and braidings in obvious ways, so we don't need to label them!

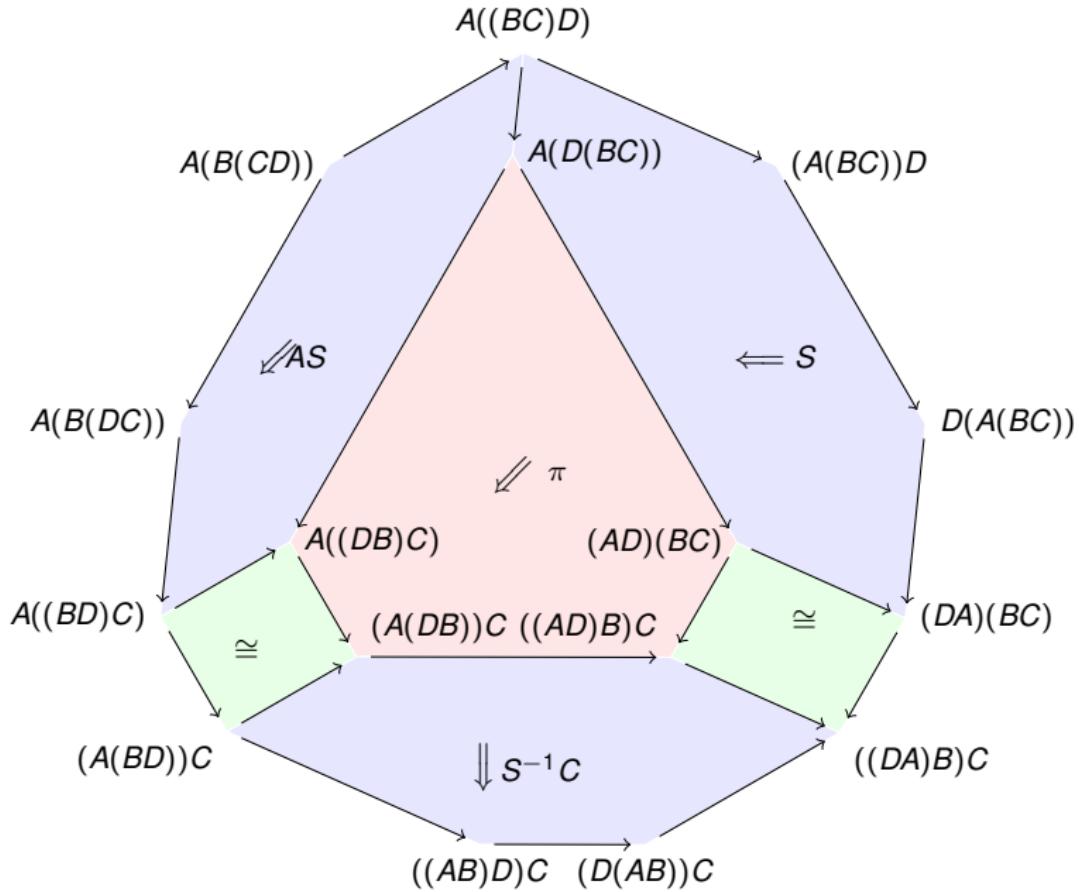
$$A \otimes (B \otimes C \otimes D) \xrightarrow{\sim} (B \otimes C \otimes D) \otimes A$$



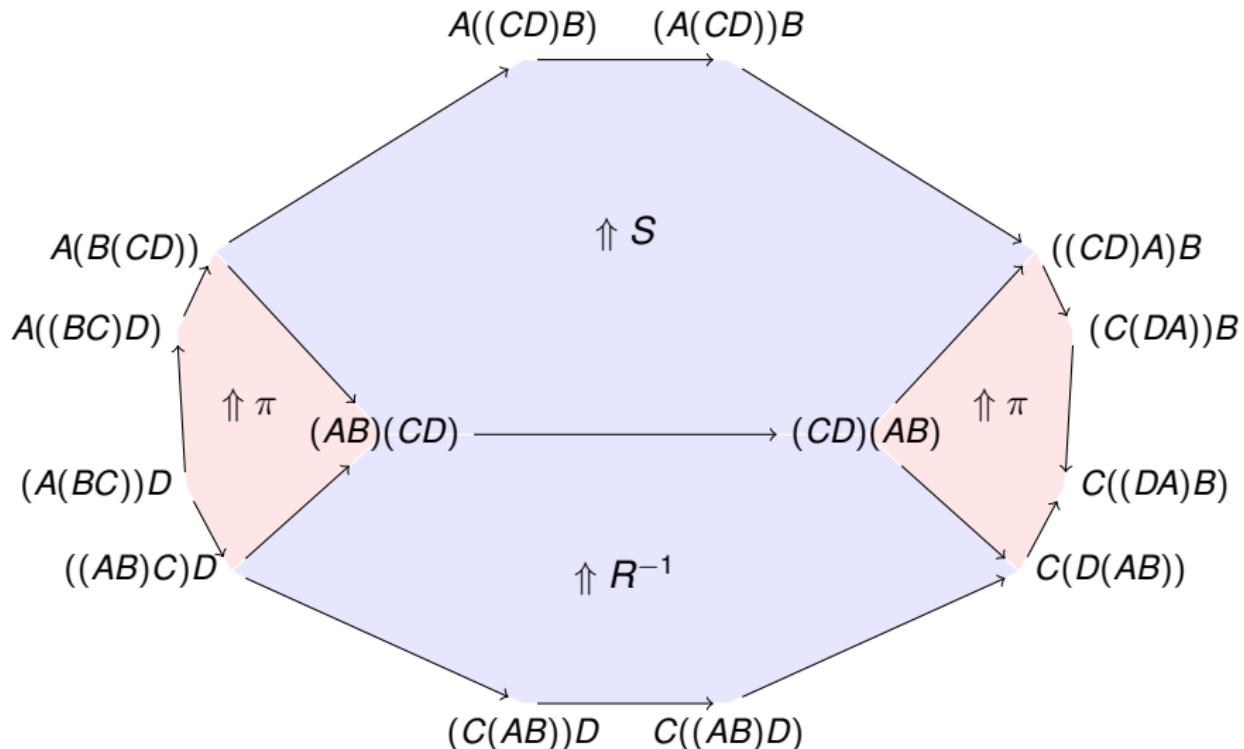


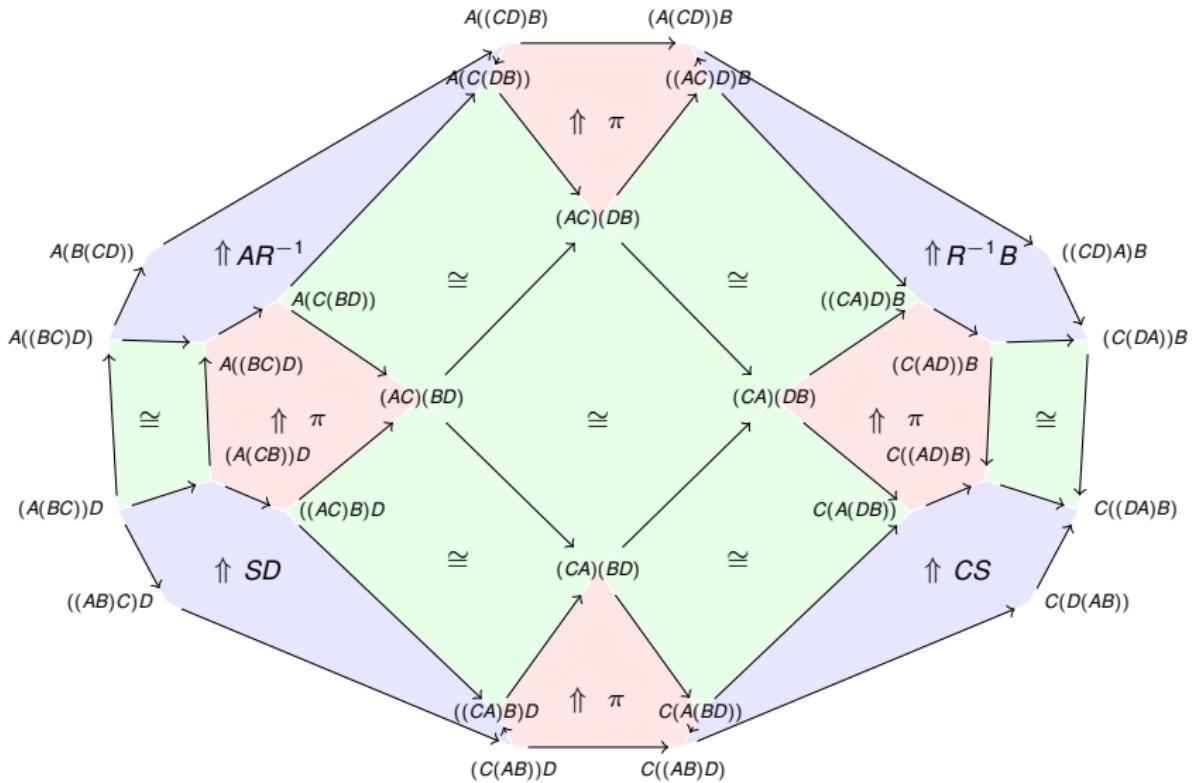
$$(A \otimes B \otimes C) \otimes D \xrightarrow{\sim} D \otimes (A \otimes B \otimes C)$$



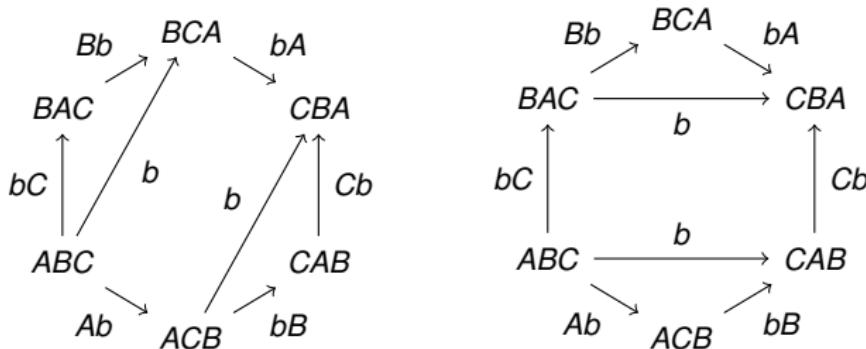


$$(A \otimes B) \otimes (C \otimes D) \xrightarrow{\sim} (C \otimes D) \otimes (A \otimes B)$$

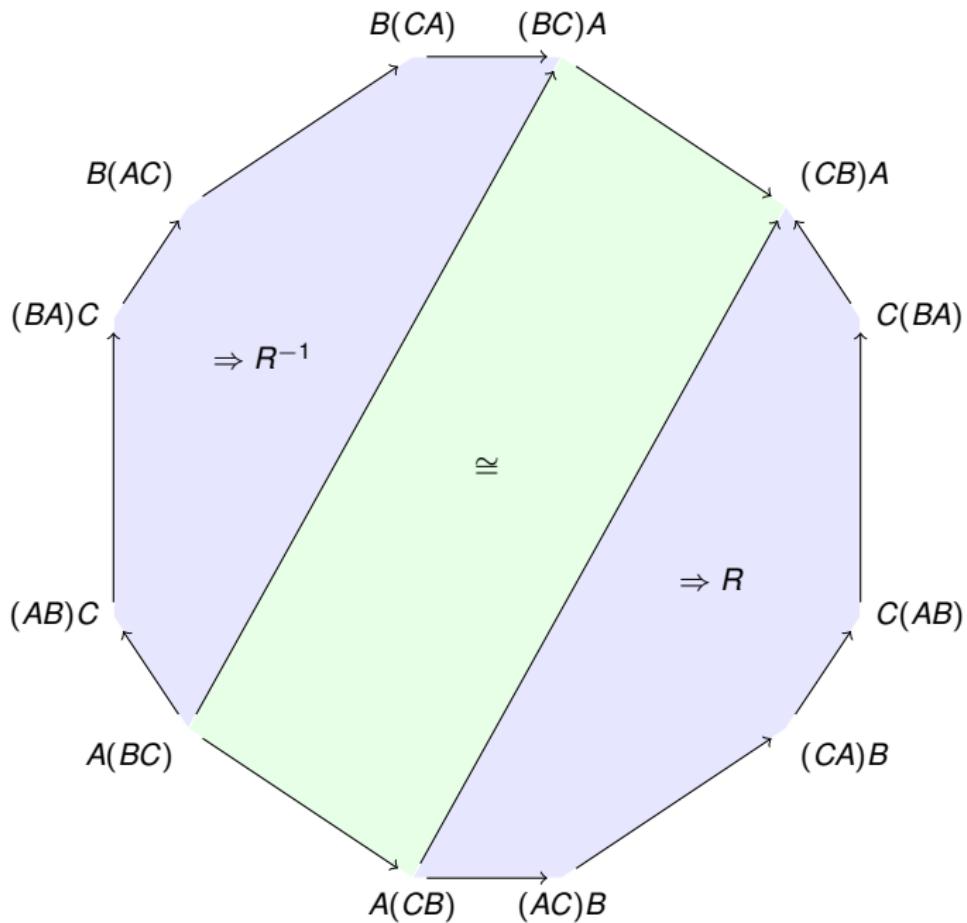


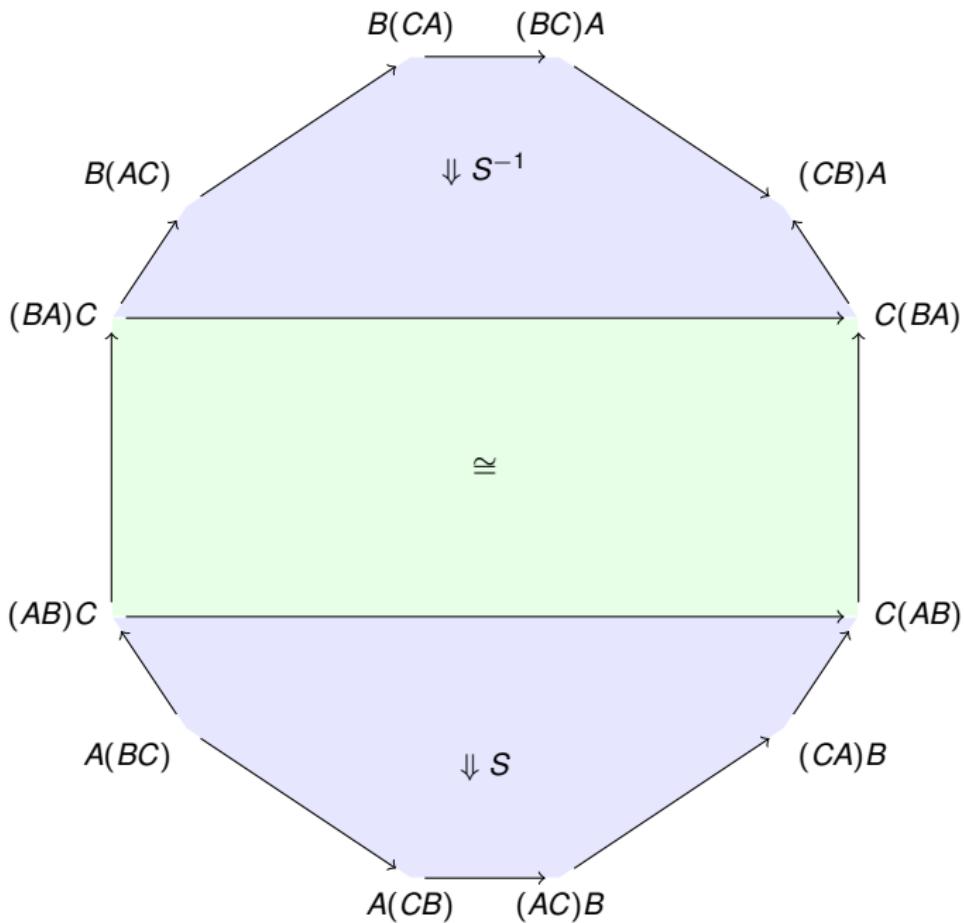


- Finally, there is a law noticed by Lawrence Breen. In a braided monoidal category, there are two proofs of the **Yang–Baxter equations**. Omitting associators and \otimes symbols, they are:



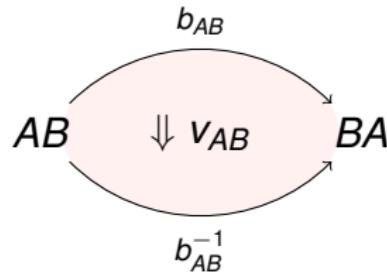
In a braided monoidal bicategory these become 2-morphisms. Breen's law says they're equal! Let's look at front and back...



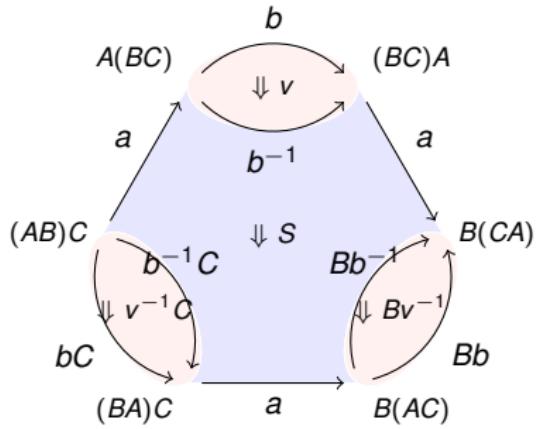
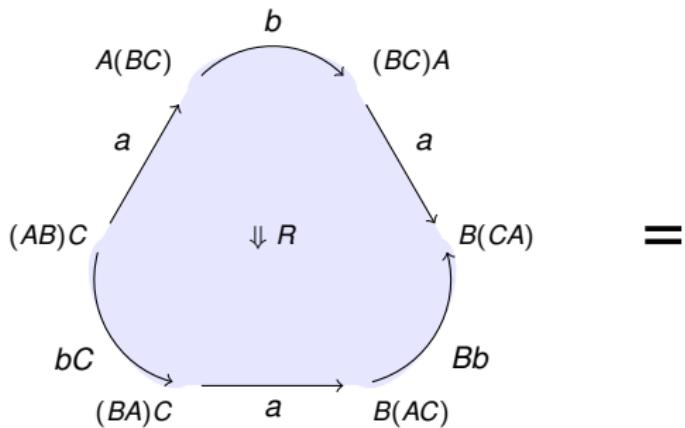


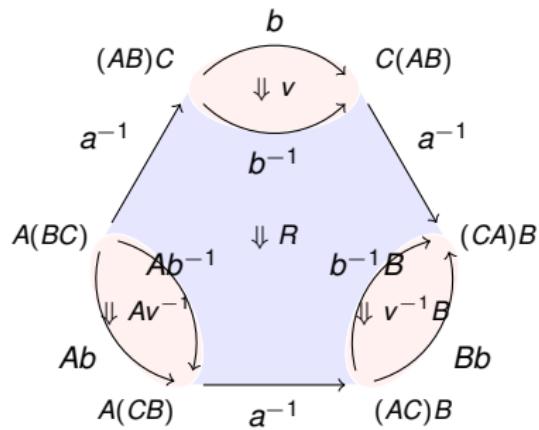
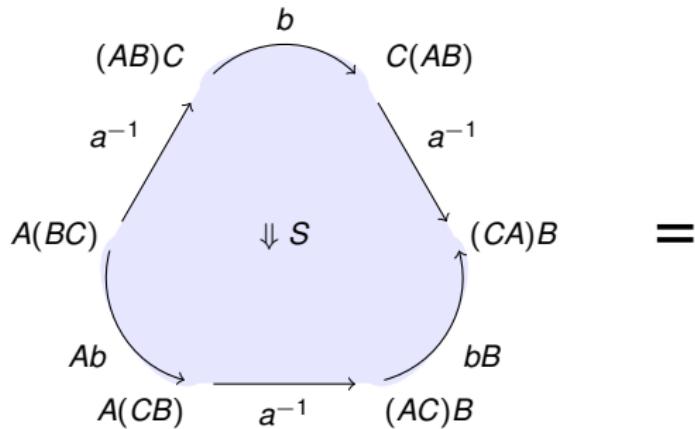
A **sylleptic** monoidal bicategory consists of:

- A braided monoidal bicategory \mathcal{B} .
- An invertible modification called the **syllepsis**, going between the braiding and inverse braiding:



- The syllepsis obeys two equations...





Finally, a **symmetric** monoidal bicategory is a sylleptic monoidal bicategory \mathcal{B} such that for all $A, B \in \mathcal{B}$, the following diagram commutes:

$$\begin{array}{ccc}
 A \otimes B & \xrightarrow{b} & B \otimes A \\
 b \downarrow & \swarrow 1 & \uparrow b \\
 B \otimes A & \xrightarrow{b} & A \otimes B
 \end{array}
 =
 \begin{array}{ccc}
 A \otimes B & \xrightarrow{b} & B \otimes A \\
 b \downarrow & = & \uparrow b \\
 B \otimes A & \xrightarrow{1} & A \otimes B \\
 & \searrow v & \\
 & B \otimes A & \xrightarrow{b} A \otimes B
 \end{array}$$

Note: here and elsewhere we are using the same names (like v) for 'rotated' versions of these 2-isomorphisms. Mike Stay explains this idea but uses more careful notation.

So, now you've seen the $n = 2$ column of the periodic table:

k -tuply monoidal n -categories			
	$n = 0$	$n = 1$	$n = 2$
$k = 0$	sets	categories	bicategories
$k = 1$	monoids	monoidal categories	monoidal bicategories
$k = 2$	commutative monoids	braided monoidal categories	braided monoidal bicategories
$k = 3$	"	symmetric monoidal categories	sylleptic monoidal bicategories
$k = 4$	"	"	symmetric monoidal bicategories
$k = 5$	"	"	"

Next time we will work with it *informally* and explain the categorified Heisenberg algebra using spans of groupoids.