

5)  $q_{ij} = 1$  for all distinct  $i, j \in I := \{l \neq a: q_{al} = 1\}$ . Indeed,  $z' = z - e^a + e^i + e^j + e^n$  is  $> 0$  and satisfies  $z'_n = s + 1$ , hence  $2 \leq q(z') = 5 - q(z|e^a) - q_{al} - q_{aj} - q_{an} + q_{ij} + q_{in} + q_{jn} = 1 + q_{ij}$ .

6)  $z_n = 3 + \sum_{i \in I} z_i = -1 + \sum_{j \in J} z_j$ , where  $J := \{j: q_{aj} = 0\}$ . For,  $1 = q(z|e^a) = 2z_a + \sum_{i \in I} z_i - z_n$  and  $0 = q(z|e^n) = -z_a - \sum_{i \in I} z_i - \sum_{j \in J} z_j + 2z_n = (z_n - \sum_{i \in I} z_i) - z_a - \sum_{j \in J} z_j + z_n = 3 - 2 - \sum_{j \in J} z_j + z_n$ .

7)  $z_i = 1$  and  $q_{ij} = 0$  if  $i \in I$  and  $j \in J$ . For,  $0 = q(z|e^i) = z_a + 2z_i + \sum_{i \neq l \in I} z_l + \sum_{j \in J} z_j q_{ij} - z_n = (\sum_{i \in I} z_i - z_n) + z_a + z_i + \sum_{j \in J} z_j q_{ij} = -1 + z_i + \sum_{j \in J} z_j q_{ij}$ .

8) If  $c \in J$  is such that  $z_c \geq z_j$  for all  $j \in J$ , there are two distinct  $j, l$  in  $J$  such that  $q_{cj} = q_{cl} = 0$ . For,  $0 = q(z|e^c) = 2z_c + \sum_{c \neq j \in J} z_j q_{cj} - z_n = z_c - \sum_{j \in J'} z_j + (\sum_{j \in J} z_j - z_n) = z_c + 1 - \sum_{j \in J'} z_j$ , where  $J' = \{j \in J: q_{cj} = 0\}$ . Since  $z_c \geq z_j$  for each  $j \in J'$ ,  $J'$  has at least 2 elements.

9) Conclusion: Suppose that  $s = z_n \geq 7$ . By 6) and 7),  $I$  has at least 4 elements  $i_1, i_2, i_3, i_4$ . The "full" subbigraph of the bigraph of  $q$  which is formed by the vertices  $a, i_1, i_2, i_3, i_4, c, j, l, n$  is therefore isomorphic to the fourth bigraph of Fig. 1 or to a bigraph having one broken edge less (between  $j$  and  $l$ ). This leads us to the contradiction

$$q(e^a + e^{i_1} + e^{i_2} + e^{i_3} + e^{i_4} + 3e^c + 2e^j + 2e^l + 6e^n) = -4 + 4q_{jl} \leq 0. \quad \checkmark$$

## 6.8. Remarks and References

1. In [135, 1977], A.V. Roiter examines functions on  $\mathbb{Z}^n$  of the form  $q(x) = \sum_i q_i x_i^2 + \sum_{i < j} q_{ij} x_i x_j$ , where  $q_i, q_{ij}/q_i$  and  $q_{ij}/q_j$  are integers and  $q_i > 0$ .
2. The roots of a positive unit form provide a system of roots in the sense of [29, Bourbaki, 1968]. Dynkin graphs were introduced in [44, Dynkin, 1947].
3. [118, Ovsienko, 1978].
4. [75, von Höhne, 1988].
5. See [135, Roiter, 1977], where a more precise theorem is proved for integral quadratic forms.
6. [119, Ovsienko, 1979]. Ovsienko proves his theorem in the more general context of Roiter's integral forms. His key idea is to reduce the proof to the case of an  $L$ -bigraph (which satisfies the statements 1), 2) and 3) of 6.7). The faithful weakly positive  $L$ -bigraphs (=sincere weakly positive graphical forms) are classified in [132, Ringel, 1984]. We owe the details of our proof to K. Bongartz who leans on Ringel.

## 7. Representations of Quivers

In this section, we examine representations of a finite quiver  $Q$  over the algebraically closed field  $k$ . These representations are identified with left modules over the  $k$ -category of paths  $kQ$ . Unless otherwise stated, we assume that they are pointwise finite.

**7.1.** In our investigation, a central rôle is played by the quadratic form<sup>1</sup>  $q_Q: \mathbb{Z}^{Q_0} \rightarrow \mathbb{Z}$  defined by

$$q_Q(d) = \sum_{a \in Q_0} d(a)^2 - \sum_{a \in Q_0} d(\alpha a) d(ha).$$

We are especially interested in the value  $q_Q(\dim V)$  of  $q_Q$  at the dimension-function  $\dim V: x \mapsto \dim V(x)$  of a representation  $V$ .

**Theorem<sup>2</sup>.** The number of isoclasses of indecomposable representations of  $Q$  is finite if and only if  $q_Q$  is positive definite. If this is the case, the map  $V \mapsto \dim V$  provides a bijection between the set of these isoclasses and the set of positive roots of  $q_Q$ .

As we know by 6.2,  $q_Q$  is positive definite if and only if  $Q$  is a disjoint union of Dynkin quivers. It follows that, if  $Q$  is Dynkin of type  $A_n$ , it has  $\frac{1}{2}n(n+1)$  isoclasses of indecomposables. Among them, one only is *omnipresent*<sup>3</sup>. It may be delineated as follows:

$$k \overset{1}{\text{---}} k \overset{1}{\text{---}} k \text{---} \cdots \text{---} k \overset{1}{\text{---}} k$$

If  $Q$  is Dynkin of type  $D_n$ , it has  $(n-1)n$  isoclasses of indecomposables. Up to isomorphism, the omnipresent indecomposables are those of Fig. 1 (according to the orientations of the arrows,  $a$  is represented by the matrix  $[0 \ 1]$  or  $[1 \ 0]^T$ ,  $b$  by  $[1 \ 0]$  or  $[0 \ 1]^T$  and  $c$  by  $[1 \ 1]$  or  $[1 \ 1]^T$ ):

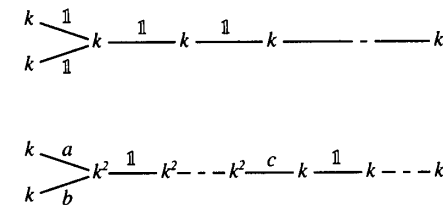


Fig. 1

If  $Q$  is Dynkin of type  $E_6, E_7$  or  $E_8$ , it has 36, 63 or 120 isoclasses of indecomposables respectively. A concrete description will be produced in Sect. 10.

**Example 1.** A vector space  $V_0$  together with 3 subspaces  $V_1, V_2, V_3$  can be interpreted as a representation of the quiver  $x_1 \xrightarrow{x_2} x_0 \leftarrow x_3$ . These representations can easily be classified "by hand". In particular, when  $V_0$  has dimension 4 and  $V_1, V_2, V_3$  are pairwise supplementary subspaces of dimension 2, the associated representation is a direct sum of 2 isomorphic indecomposables with dimension-function  $1 \searrow 2 \rightarrow 1$ . In geometrical terms, this means that in the projective 3-space

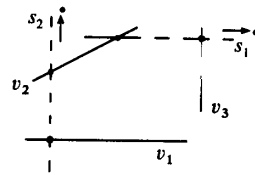


Fig. 2

three straight lines  $v_1, v_2, v_3$  in skew position admit two common secants  $s_1, s_2$  in skew position (Fig. 2).

**Example 2.** Let  $V_n = k^n$  be the space of  $n$ -columns and  $V_i$  the  $i$ -dimensional subspace  $\{x \in k^n: x_q = 0 \text{ if } i < q\}$ . Each invertible  $n \times n$ -matrix  $g$  gives rise to the representation

$$V_1 \rightarrow V_2 \rightarrow \dots \rightarrow V_{n-1} \rightarrow V_n \leftarrow gV_{n-1} \leftarrow \dots \leftarrow gV_2 \leftarrow gV_1$$

of a Dynkin quiver of type  $A_{2n-1}$  (the maps are inclusions). Our classification of the indecomposables here means that  $V_n$  admits a basis  $b^1, \dots, b^n$  such that  $b^i \in V_i \cap gV_{\sigma i}$ ,  $V_i = \bigoplus_{h \leq i} kb^h$  and  $gV_j = \bigoplus_{\sigma h \leq j} kb^h$  for some permutation  $\sigma$  (in terms of algebraic groups, two Borel subgroups of  $GL_n$  contain a common maximal torus<sup>4</sup>). Denoting by  $e^1, \dots, e^n$  the natural basis of  $k^n$ , by  $\underline{\sigma}$  the permutation-matrix such that  $\underline{\sigma}e^i = e^{\sigma i}$  and by  $\underline{b}$  the upper triangular matrix with columns  $b^1, \dots, b^n$ , we get  $\underline{b}e^i = b^i$ ,  $\underline{b}^{-1}gV_j = \bigoplus_{\sigma h \leq j} kb^{-1}b^h = \bigoplus_{\sigma h \leq j} ke^h = \underline{\sigma}^{-1}V_j$  and  $\underline{\sigma}\underline{b}^{-1}gV_i \subset V_i$ . We infer that  $g = \underline{\sigma}\underline{b}^{-1}\underline{c}$ , where  $\underline{\sigma}^{-1}$  is a permutation-matrix and  $\underline{b}, \underline{c}$  are upper triangular.

**7.2.** Among the possible *proofs* of Theorem 7.1, we choose one which stresses the rôle of the quadratic form  $q_Q$ . It uses elementary notions of algebraic geometry and homological algebra.

We first notice that two representations  $V$  and  $W$  of  $Q$  give rise to an exact sequence

$$(*) \quad 0 \rightarrow \text{Hom}(V, W) \xrightarrow{\gamma} \prod_{x \in Q_v} \text{Hom}_k(V(x), W(x)) \xrightarrow{\delta} \prod_{\alpha \in Q_a} \text{Hom}_k(V(t\alpha), W(h\alpha)) \rightarrow \xrightarrow{\varepsilon} \text{Ext}^1(V, W) \rightarrow 0,$$

where  $\gamma$  denotes the inclusion,  $\delta$  maps a family  $(f(x))_{x \in Q_v}$  onto  $(f(h\alpha)V(\alpha) - W(\alpha)f(t\alpha))_{\alpha \in Q_a}$  and  $\varepsilon$  maps  $(g(\alpha))_{\alpha \in Q_a}$  onto the equivalence class of the exact sequence  $0 \rightarrow W \xrightarrow{i} E \xrightarrow{p} V \rightarrow 0$  such that  $E(x) = W(x) \oplus V(x)$  for each  $x \in Q_v$  and  $E(\alpha) = \begin{bmatrix} W(\alpha) & g(\alpha) \\ 0 & V(\alpha) \end{bmatrix}$  for each  $\alpha \in Q_a$  (the morphisms  $i$  and  $p$  are the obvious ones).

If  $d$  and  $e$  are the dimension-functions of  $V$  and  $W$ ,  $(*)$  implies

$$\begin{aligned} \dim \text{Hom}(V, W) - \dim \text{Ext}^1(V, W) &= \sum_x \dim \text{Hom}_k(V(x), W(x)) \\ &\quad - \sum_{\alpha} \dim \text{Hom}_k(V(t\alpha), W(h\alpha)) \\ &= \sum_x d(x)e(x) - \sum_{\alpha} d(t\alpha)e(h\alpha) \end{aligned}$$

and in particular

$$\dim \text{Hom}(V, V) - \dim \text{Ext}^1(V, V) = \sum_x d(x)^2 - \sum_{\alpha} d(t\alpha)d(h\alpha) = q_Q(d).$$

**Lemma.** If  $q_Q$  is positive definite, we have  $\text{Hom}(V, V) = k1_V$  for each indecomposable representation  $V$  of  $Q$ .

*Proof*<sup>5</sup>. Let  $V$  be a counterexample of minimal dimension and  $f$  a non-zero nilpotent endomorphism of  $V$  whose image  $I$  has minimal dimension. Set  $K = \text{Ker } f = K_1 \oplus \dots \oplus K_s$ , where each  $K_i$  is indecomposable.

Since  $\dim I$  is minimal,  $I$  is indecomposable, we have  $f^2 = 0$ , hence  $I \subset K$ , and each non-zero projection  $p_i: I \rightarrow K_i$  is injective. Since  $V$  is indecomposable, the equivalence class  $\varepsilon = (\varepsilon_i) \in \text{Ext}^1(I, K) \xrightarrow{\sim} \bigoplus_i \text{Ext}^1(I, K_i)$  of the exact sequence  $0 \rightarrow K \rightarrow V \rightarrow I \rightarrow 0$  is non-zero, and so is each  $\varepsilon_i$ .

Now, since  $p_i: I \rightarrow K_i$  is injective, the exact sequences  $(*)$  applied to  $V = K_i$ ,  $I$  and  $W = K_i$  show that  $\text{Ext}^1(p_i, K_i): \text{Ext}^1(K_i, K_i) \rightarrow \text{Ext}^1(I, K_i)$  is surjective. It follows that  $\text{Ext}^1(K_i, K_i) \neq 0$ . On the other hand, the minimality of  $\dim V$  implies  $\text{Hom}(K_i, K_i) = k1_{K_i}$ , hence the required contradiction

$$\begin{aligned} 0 < q_Q(\dim K_i) &= \dim \text{Hom}(K_i, K_i) - \dim \text{Ext}^1(K_i, K_i) \\ &= 1 - \dim \text{Ext}^1(K_i, K_i) \leq 0. \quad \square \end{aligned}$$

**7.3. Proof of theorem 7.1.** With the notations of 7.2, suppose that  $V = W$  and that  $V(x) = k^{d(x)}$  for each  $x \in Q_v$ . The representation  $V$  can then be identified with the family

$$(V(\alpha))_{\alpha \in Q_a} \in \prod_{\alpha} \text{Hom}_k(V(t\alpha), V(h\alpha)) \xrightarrow{\sim} \prod_{\alpha} k^{d(h\alpha) \times d(t\alpha)}.$$

We denote this product by  $X_d$  and endow it with its natural structure of an algebraic variety of dimension  $\sum_{\alpha} d(t\alpha)d(h\alpha)$ .

On the other hand, the space  $\prod_x \text{Hom}_k(V(x), V(x))$  of  $(*)$  is identified with a product of matrix-algebras  $\prod_x k^{d(x) \times d(x)}$ . Its invertible elements form an algebraic group  $G_d = \prod_x GL_{d(x)}$  of dimension  $\sum_x d(x)^2$ . The formula  $(gV)(\alpha) = g(h\alpha)V(\alpha)g(t\alpha)^{-1}$  defines an action of  $G_d$  on  $X_d$  whose orbits correspond bijectively to the isoclasses of representations of  $Q$  with dimension-function  $d$ .

The *isotropy group*  $G_{dV} = \{g \in G_d: gV = V\}$  is the group of automorphisms of  $V$ , i.e. of invertible elements of  $\text{Hom}(V, V)$ . It is Zariski-open in  $\text{Hom}(V, V)$  and has the same dimension. It follows<sup>6</sup> that the orbit  $G_dV = \{gV: g \in G_d\}$  has the dimension  $\dim G_dV = \dim G_d - \dim G_{dV} = \dim G_d - \dim \text{Hom}(V, V)$  and that

$$\begin{aligned} \dim \operatorname{Hom}(V, V) - \dim \operatorname{Ext}^1(V, V) &= q_Q(d) = \dim G_d - \dim X_d \\ &= \dim \operatorname{Hom}(V, V) - (\dim X_d - \dim G_d V). \end{aligned}$$

These equalities imply  $\dim X_d > \dim G_d V$  if  $q_Q(d) \leq 0$ . In this case, there are infinitely many orbits, hence infinitely many isoclasses of indecomposables with dimension-function  $\leq d$ . The case arises when  $q_Q$  is not positive definite, because then there is a  $d > 0$  such that  $q_Q(d) \leq 0$ .

If  $q_Q$  is positive definite and  $V$  indecomposable, our Lemma 7.2 implies

$$0 < q_Q(d) = 1 - (\dim X_d - \dim G_d V)$$

hence

$$q_Q(d) = 1 \text{ and } \dim X_d = \dim G_d V.$$

It follows that  $G_d V$  is Zariski-open<sup>7</sup> and dense in  $X_d$ . Therefore, it coincides with the orbit of any other indecomposable in  $X_d$ , and the map  $V \mapsto \dim V$  provides an injection from the set of isoclasses of indecomposables into the set of positive roots.

It remains to prove that each positive root  $d$  is the dimension-function of an indecomposable: We already know that the number of isoclasses of indecomposables is finite. It follows that  $X_d$  contains only finitely many orbits, and one of them, say  $G_d V$ , must have the same dimension as  $X_d$ . So we have  $1 = q_Q(d) = \dim \operatorname{Hom}(V, V) - \dim \operatorname{Ext}^1(V, V) = k1_V$  is local, and  $V$  is indecomposable.  $\checkmark$

**7.4.** Let us return to the *general* case of a *finite quiver*  $Q$ . The objective is to describe the subset of  $\mathbb{Z}^{Q_v}$  formed by the dimension-functions of the indecomposable representations. For this we consider the bilinear form  $q_Q(d|e) = q_Q(d + e) - q_Q(d) - q_Q(e)$  associated with  $q_Q$ . By 7.2, this form satisfies

$$\begin{aligned} q_Q(\dim V | \dim W) &= \dim \operatorname{Hom}(V, W) + \dim \operatorname{Hom}(W, V) - \dim \operatorname{Ext}^1(V, W) \\ &\quad - \dim \operatorname{Ext}^1(W, V). \end{aligned}$$

It is also determined by the following formulas, where  $e^i(i) = 1$  and  $e^i(j) = 0$  if  $j \neq i \in Q_v$ :

$$\begin{aligned} \frac{1}{2} q_Q(e^i | e^i) &= 1 - \text{number of loops } \overset{i}{\circlearrowleft} \\ -q_Q(e^i | e^j) &= \text{number of arrows between } i \text{ and } j \neq i. \end{aligned}$$

In particular, we have  $q_Q(e^i | e^i) = 2$  if  $e^i$  is a *simple root*, i.e. if there is no loop at  $i$ . The formula

$$\sigma_i(d) = d - q_Q(e^i | d) e^i, \quad d \in \mathbb{Z}^{Q_v},$$

then defines the *reflection* in the direction  $e^i$ , i.e. the automorphism of  $\mathbb{Z}^{Q_v}$  which maps  $e^i$  onto  $-e^i$  and fixes the vectors orthogonal to  $e^i$ . The group generated by these reflections is the *Weyl group*  $W_Q$ . The positive functions belonging to the orbit  $W_Q e^i$  of a simple root  $e^i$  are the *real roots* (6.5). We denote their set by  $R_Q^{\text{re}}$ .

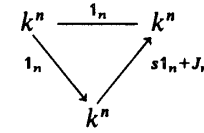
The *fundamental cone*  $K_Q \subset \mathbb{Z}^{Q_v}$  consists of the positive functions  $d$  which satisfy  $q_Q(e^i | d) \leq 0$  for each simple root  $e^i$  and have a connected (non-empty)

support. Under the action of  $W_Q$  it generates the set  $R_Q^{\text{im}} = \bigcup_{w \in W_Q} w K_Q$  of *imaginary roots*.<sup>8</sup>

**Theorem<sup>9</sup>.** *If  $d$  is a real root, there is exactly one isoclass of indecomposables with dimension-function  $d$ . If  $d$  is an imaginary root, there are infinitely many such isoclasses. There is none if  $d \notin R_Q^{\text{re}} \cup R_Q^{\text{im}}$ .*

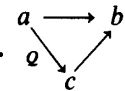
If  $q_Q$  is positive definite, there is no imaginary root, and the real roots coincide with the positive roots as follows from our theorems or from 6.5.

If  $Q$  is an extended Dynkin quiver, the quadratic form  $q_Q$  is positive semi-definite. The *isotropic* functions, on which  $q_Q$  vanishes, are then integral multiples of the *isotropic generator*  $\delta^Q$  (6.3). In this case, we have  $R_Q^{\text{im}} = K_Q = \{n\delta^Q : n \in \mathbb{N} \setminus \{0\}\}$ , and it is easy<sup>10</sup> to exhibit an infinite family of non-isomorphic indecomposables with dimension-function  $n\delta^Q$ ,  $n > 0$ . In the case of Example 1 below, the required family is



where  $s1_n + J_n$  denotes a "Jordan-block" with eigenvalue  $s$  (1.7).

If  $Q$  contains a component which is *neither Dynkin nor extended Dynkin*, there are functions  $d \in K_Q$  such that  $q_Q(d) < 0$ , but there is no<sup>11</sup> positive  $d$  with support  $Q$  such that  $q_Q(e^i | d) \geq 0$  for all  $i \in Q_v$ .

**Example 1.** ,  $q_Q(xe^a + ye^b + ze^c) = x^2 + y^2 + z^2 - yz - xz - xy$

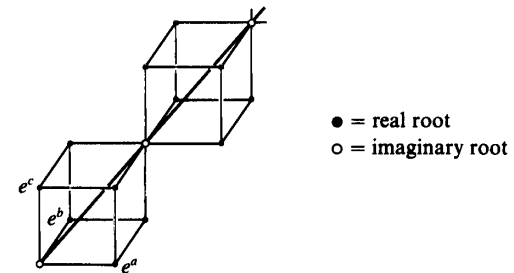


Fig. 3