

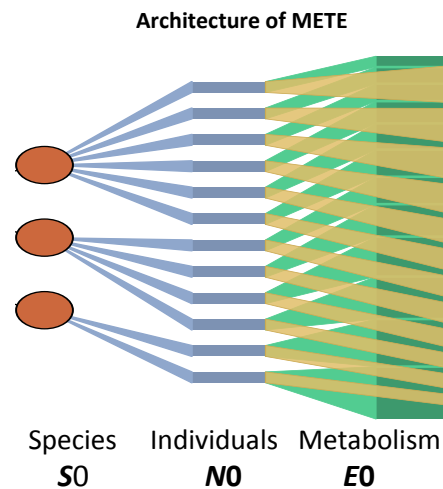
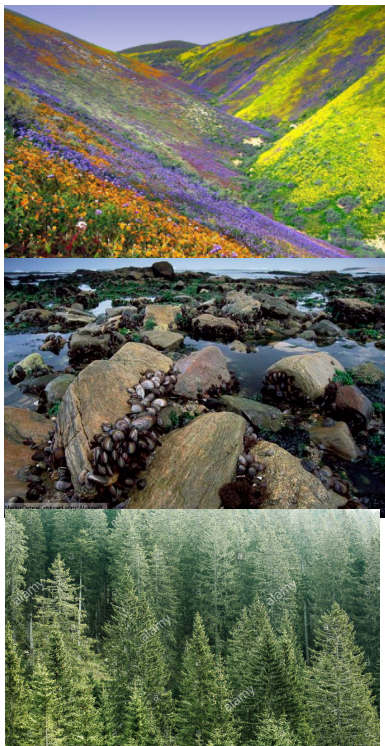
# Dynamics of Disturbed Ecosystems

SMB Mini-symposium, June, 2021

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UC Berkeley

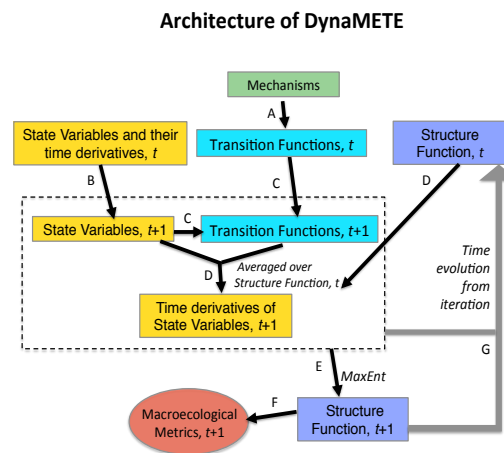
Static  
Purely MaxEnt



An allocation problem

Dynamic  
Hybrid MaxEnt + Mechanism

Hybrid MaxEnt + Mechanism



# MaxEnt is a top-down (macro → micro) inference procedure



SYSTEM	State Variables (macro)	Probability Distributions (micro)
Thermodynamic	P, V, T	Molecular Kinetic energies, ...
Network	Number of nodes and edges	Linkages across nodes, flow distributions
Economic	# sectors, firms, nations, people; total production	Individual incomes, inputs and outputs, ...
Neural Net	# neurons and synapses	Neuron firing sequence correlations
Community structure in ecosystem	Area, # species, # individuals, total metabolic rate	Individuals among species, metabolism among individuals, species and individuals over space ...

# Maximum Entropy Theory of Ecology

## State Variables (Constraints)

$S$ : # species (or  
families etc.)

$N$ : # individuals

$E$ : metabolic rate

## Objective Function

$$H = -\sum R \log(R)$$

$R(n, \varepsilon | S, N, E)$  = ecological  
"structure function"

$n$  = abundance of a species

$\varepsilon$  = metabolic rate of an individual

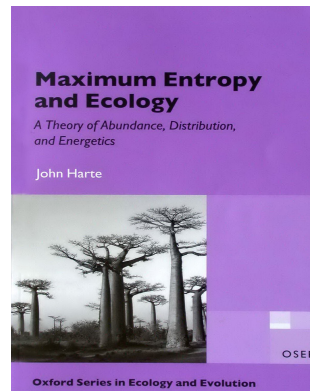
## Derivable from $H_{max}$

- **Abundance distribution over species**
- **Metabolic rate distribution over individuals**
- **metabolism-abundance correlations**
- **structure of taxonomic trees**

## And with area as an additional constraint:

- **Spatial clustering and species-area relationships**

Predictions are applicable across  
all taxa, spatial scales, habitats,  
with no adjustable parameters





# Numerous Tests of Predictions

At ~ 20 distinct habitats: ~  $10^5$  Species, ~ $10^{14}$  individuals

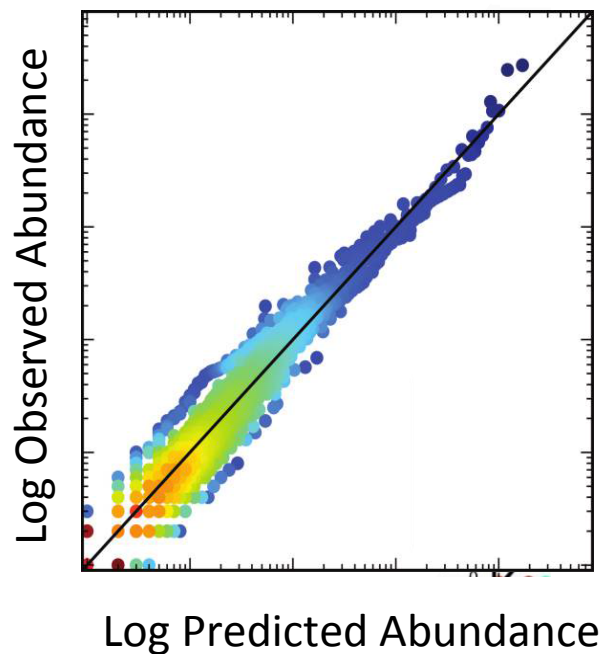
36 serpentine meadow plots in CA  
11 Smithsonian humid tropical forest plots  
Dry tropical forest, Costa Rica  
Plant census in Anza Borrego desert  
Breeding bird censuses in southern Africa  
Temperate Forest floor vegetation  
Tree census data from Western Ghats  
Hawaiian arthropods  
Panamanian arthropods  
Human gut microbiome  
Coastal pine forest at Pt. Reyes  
Sierran and Rocky Mt. Meadow vegetation  
Recovering erosion site vegetation





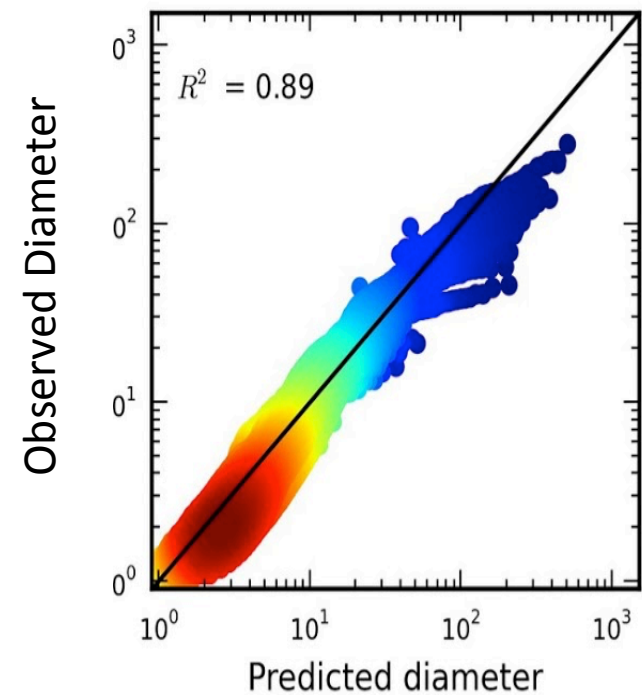
# Tests of METE

Abundance  
distribution



**15,848 plant, mammal, arthropod,  
and bird communities:** (*White et al., 2012*)

Body-size  
distribution



**76 forest communities**  
(*Xiao et al. 2015*)

# Three unexpected predictions are made by the Maximum Entropy Theory of Ecology

1. A counter-intuitive spatial distribution rule: Individuals obey the Laplace distribution, not the Poisson. (Like a Bose gas)

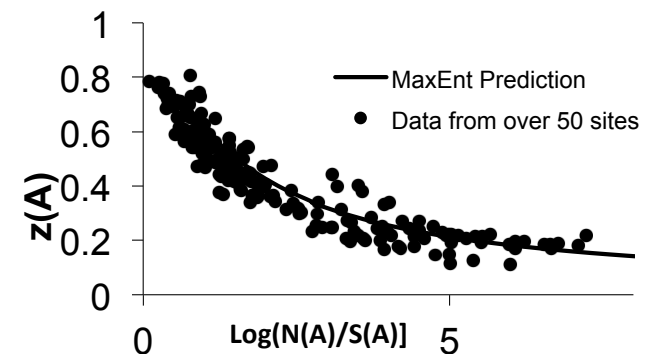
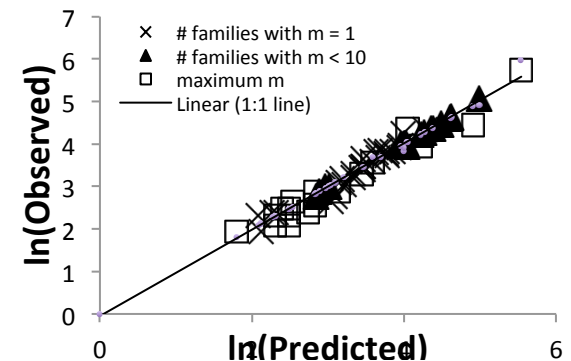
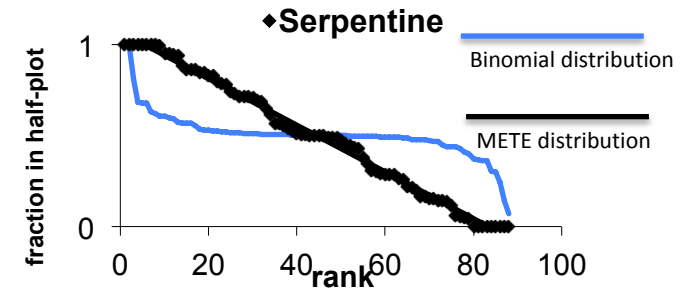
(Harte et al., Ecology, 2008)

2. Taxonomy influences Macroecology: The size-abundance rule is modified by the shape of the entire taxonomic tree.

(Harte et al., Ecology Letters, 2015)

3. Scale collapse of the species-area relationship: All SARs can be plotted on a universal curve.

(Harte et al., Ecology Letters, 2009)

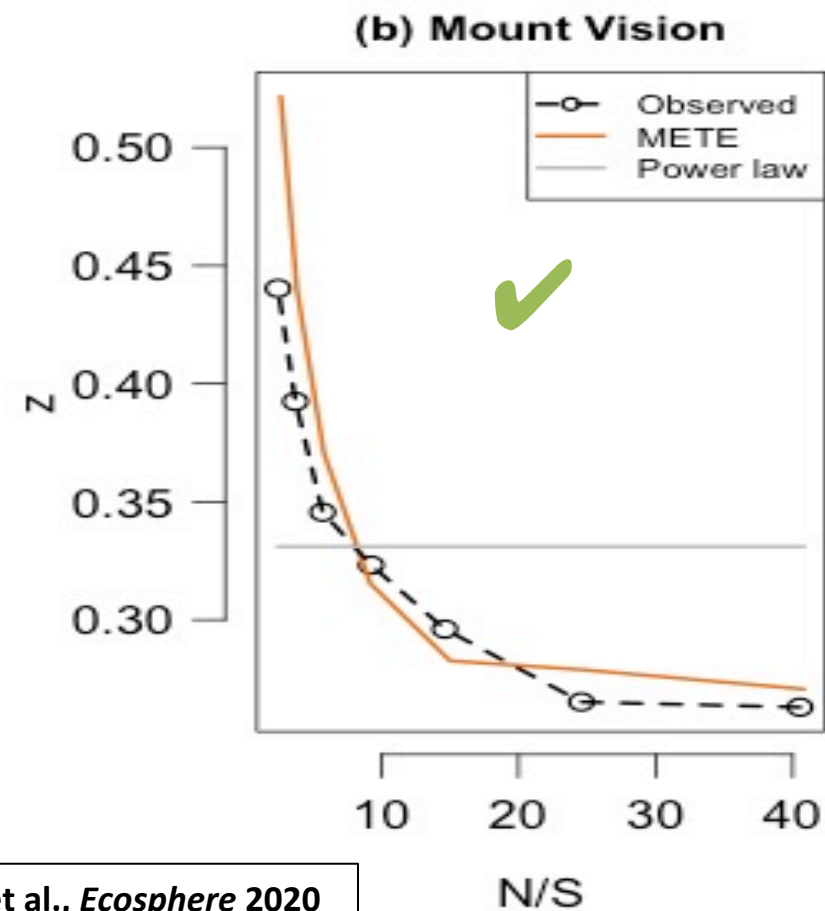
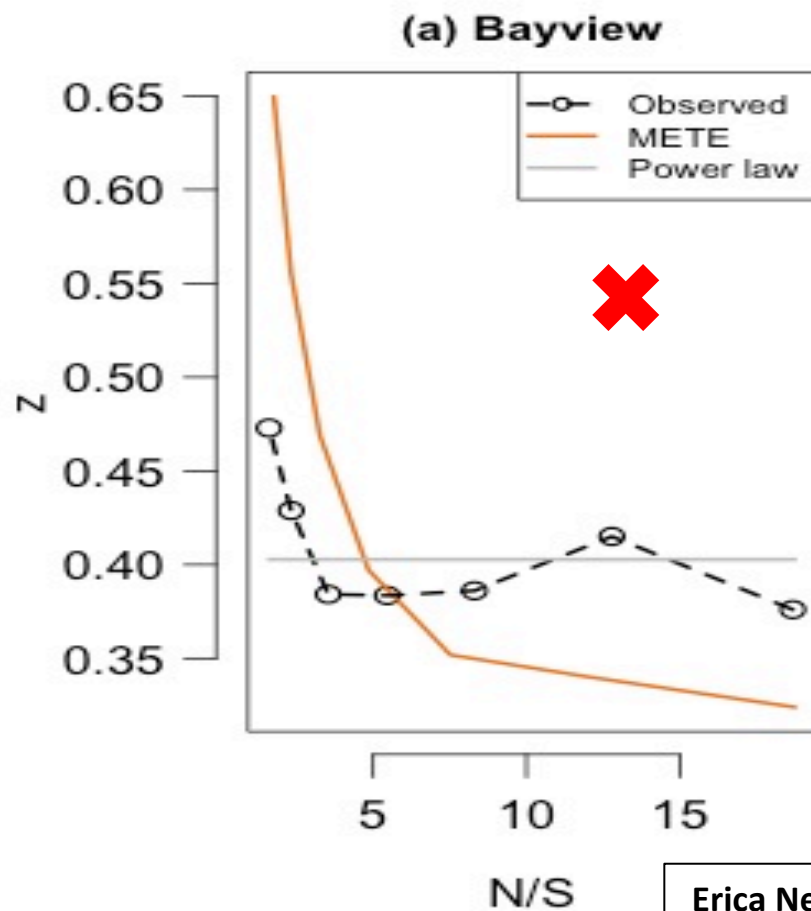


# Disturbance: METE predictions fail for ecosystems in which the State Variables are rapidly changing!

E.G.: aftermath of fire in a fire-adapted Bishop Pine Forest

recently burned, rapidly changing

100y post fire, quasi-steady-state



Erica Newman et al., *Ecosphere* 2020

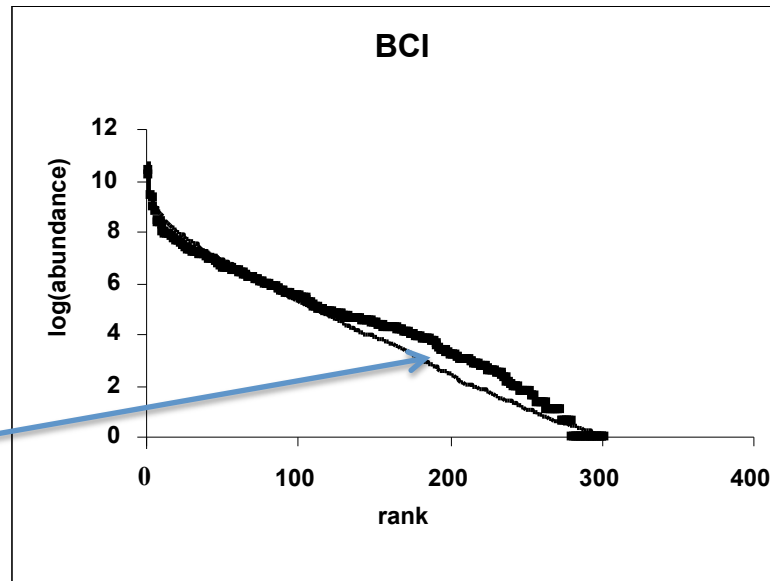


# Another Example

## The BCI 50 ha plot: A dynamic system

The creation of Gatun Lake isolated the plot from its immigrant source pool. It is losing species (Condit et al.; Egbert Leigh, pers. comm.)

Predicted log-series abundance distribution fails at BCI.



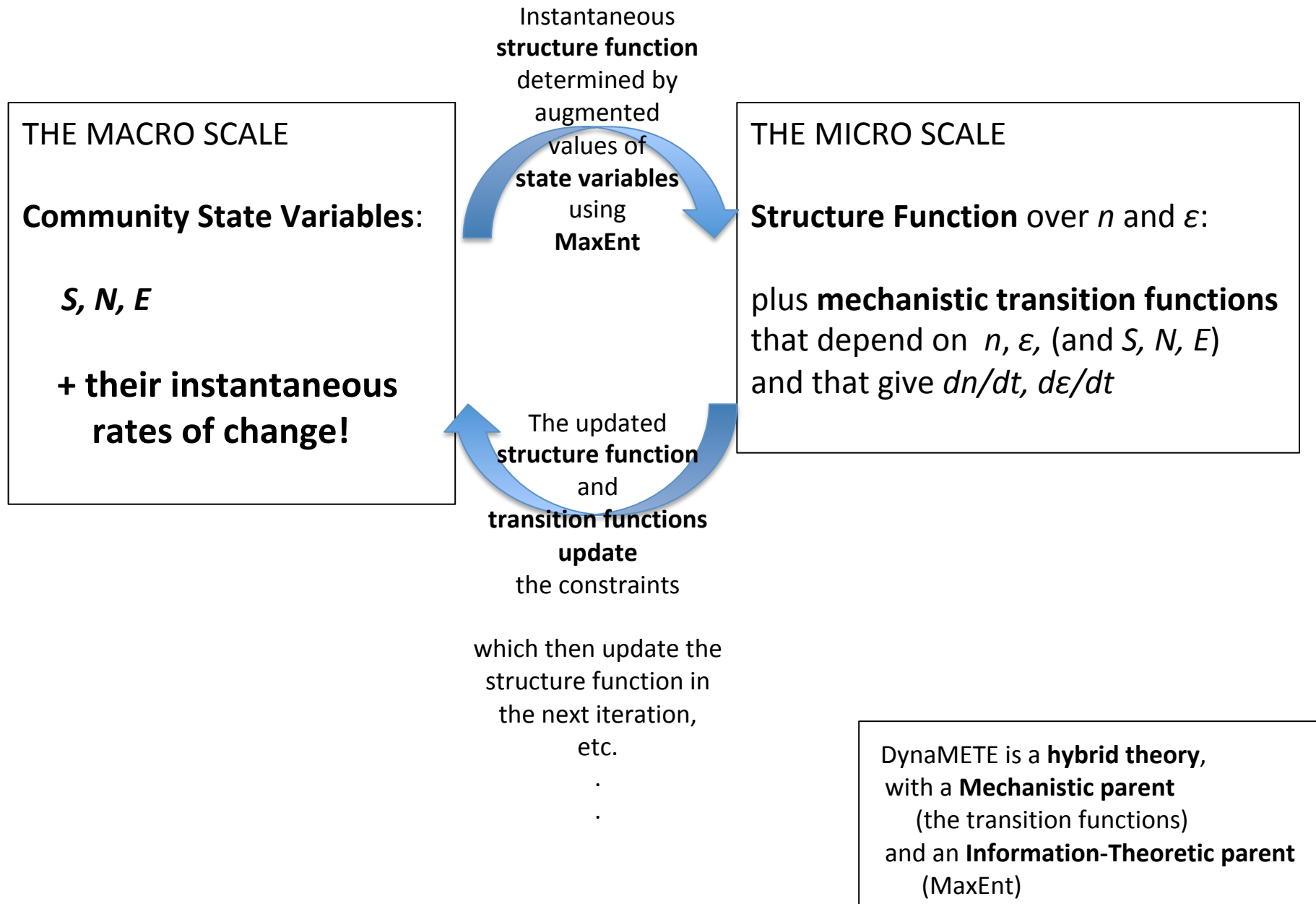
# A Theory of Dynamic Macroecology

A photograph of a tropical storm, likely a hurricane or typhoon, with a well-defined eye and swirling clouds. In the foreground, several palm trees are visible, some of which are leaning or broken, suggesting the force of the wind. The word "DynaMETE" is overlaid in large, bold, red letters across the center of the image.

**DynaMETE**

Harte, Umemura and Brush, DynaMETE: A Hybrid MaxEnt-plus-Mechanism theory of Dynamic Macroecology. *Ecology Letters* 24: 935–949 (2021).

# The Essential Idea





# The Eqs. of DynaMETE

Notation:  $W = S, N, E$ ;  $f_w = \text{transition functions}$  (e.g.,  $f_N = dn/dt = b_0 n - d_0 n(E/E_0)$ )

Constraint equations:

$$N/S = \sum_{n,\varepsilon} n R(n,\varepsilon); \quad E/S = \sum_{n,\varepsilon} n \varepsilon R(n,\varepsilon); \quad dW/dt = S \sum_{n,\varepsilon} f_w R(n,\varepsilon)$$

Hybrid structure function:  $R(n, \varepsilon) = Z^{-1} e^{-\lambda_1 n} \cdot e^{-\lambda_2 n \varepsilon} \cdot e^{-\sum_W \lambda_W f_W(n, \varepsilon)}$

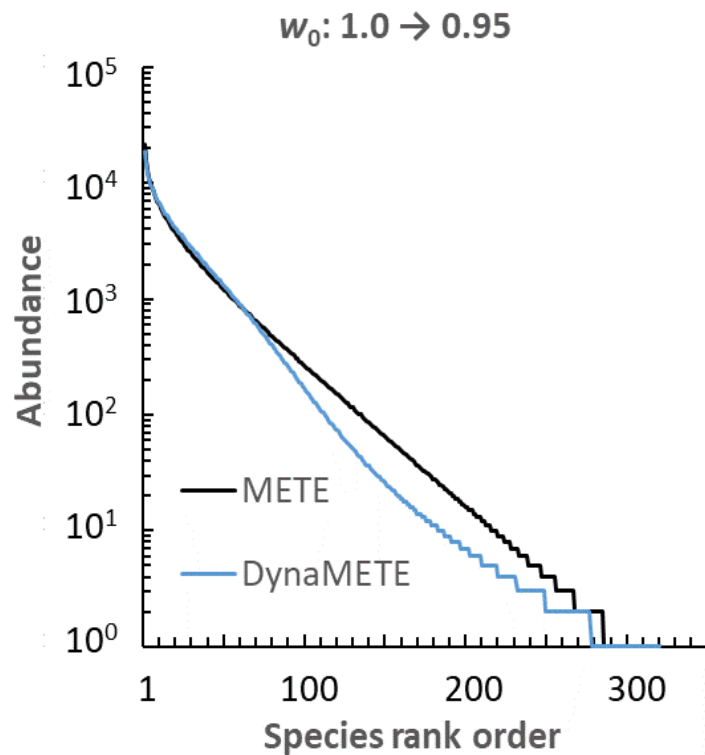
**Specific mechanisms of disturbance  
(e.g., changes in growth or death or  
immigration rates) drive the state  
variables,  $W$ :**

**The structure function is  
updated in time by an iteration  
procedure, and from the *time-  
dependent*  $R(n, \varepsilon)$ , the time-  
dependent metrics of  
macroecology can be derived,**

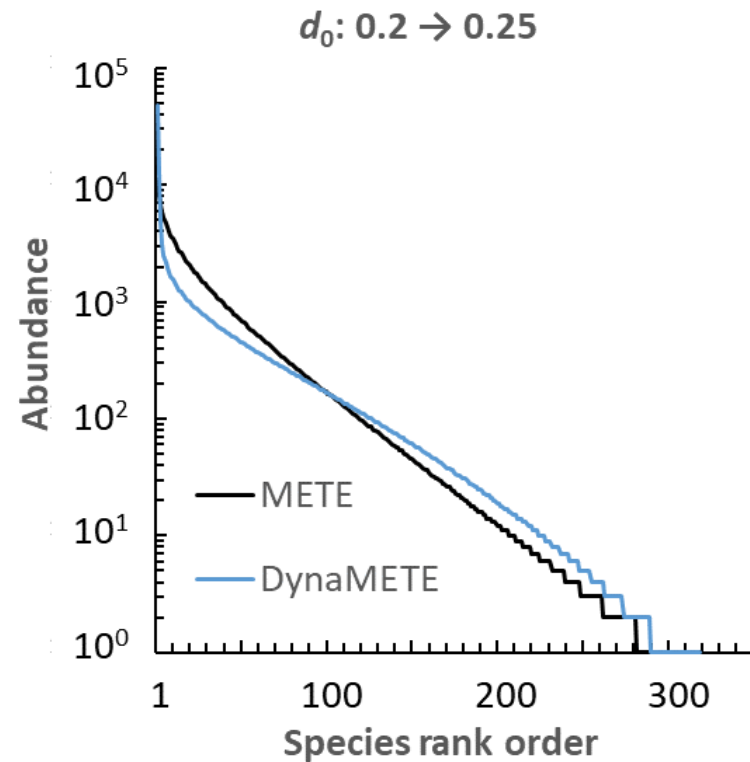
Harte, Umemura and Brush,  
*Ecology Letters* 24: 935–949 (2021).

# Different mechanisms of disturbance generate different macroecological patterns

A decrease in the growth rate of individuals



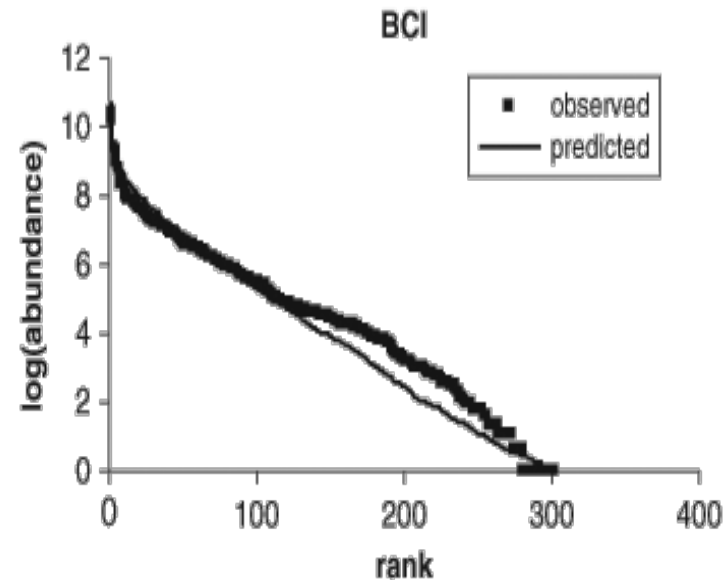
An increase in the death rate of individuals



# Disturbance at Barro Colorado Island (BCI)

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- Predicted log-series species-abundance distribution fails for forest data from BCI
- Possible sources of disturbance
  - Isolation and loss of immigrants
  - Increase in death rates
  - Change in growth rates



(Harte 2011)

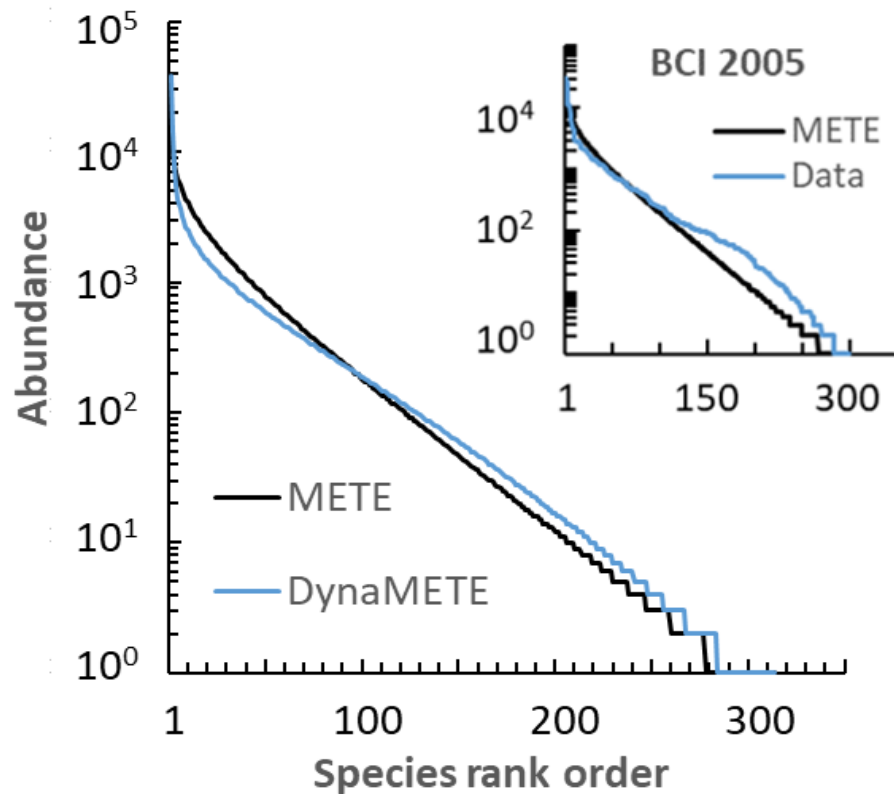


The Smithsonian 50 ha tropical forest plot at Barro Colorado Island looks like a disturbed ecosystem!

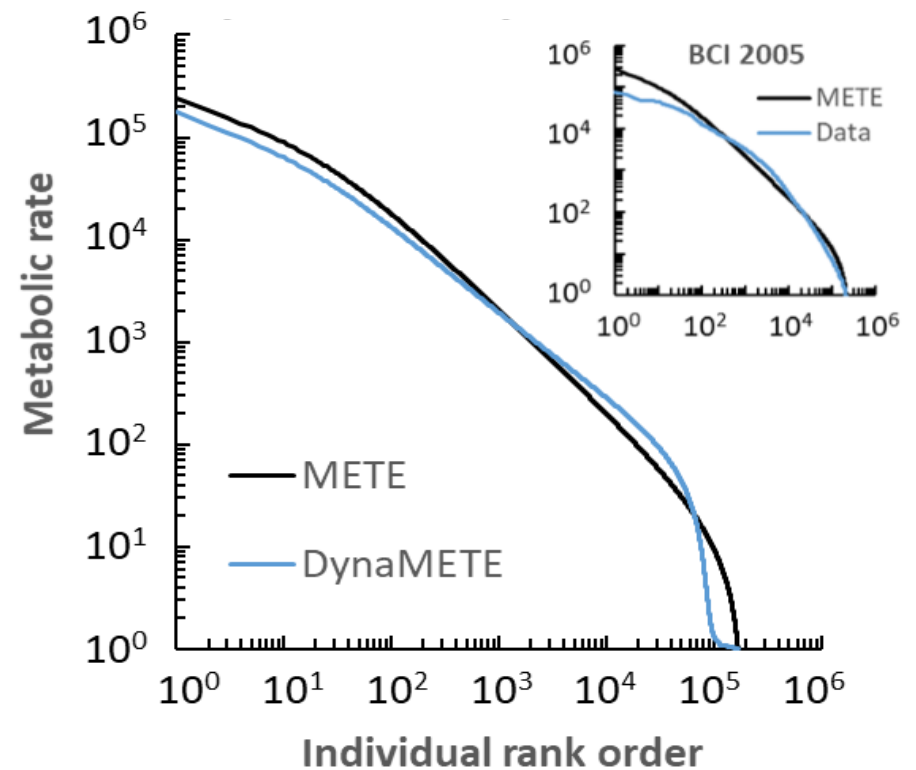


The immigration rate and ontogenic growth rate are decreased, and the death rate is increased:

Species-abundance distribution

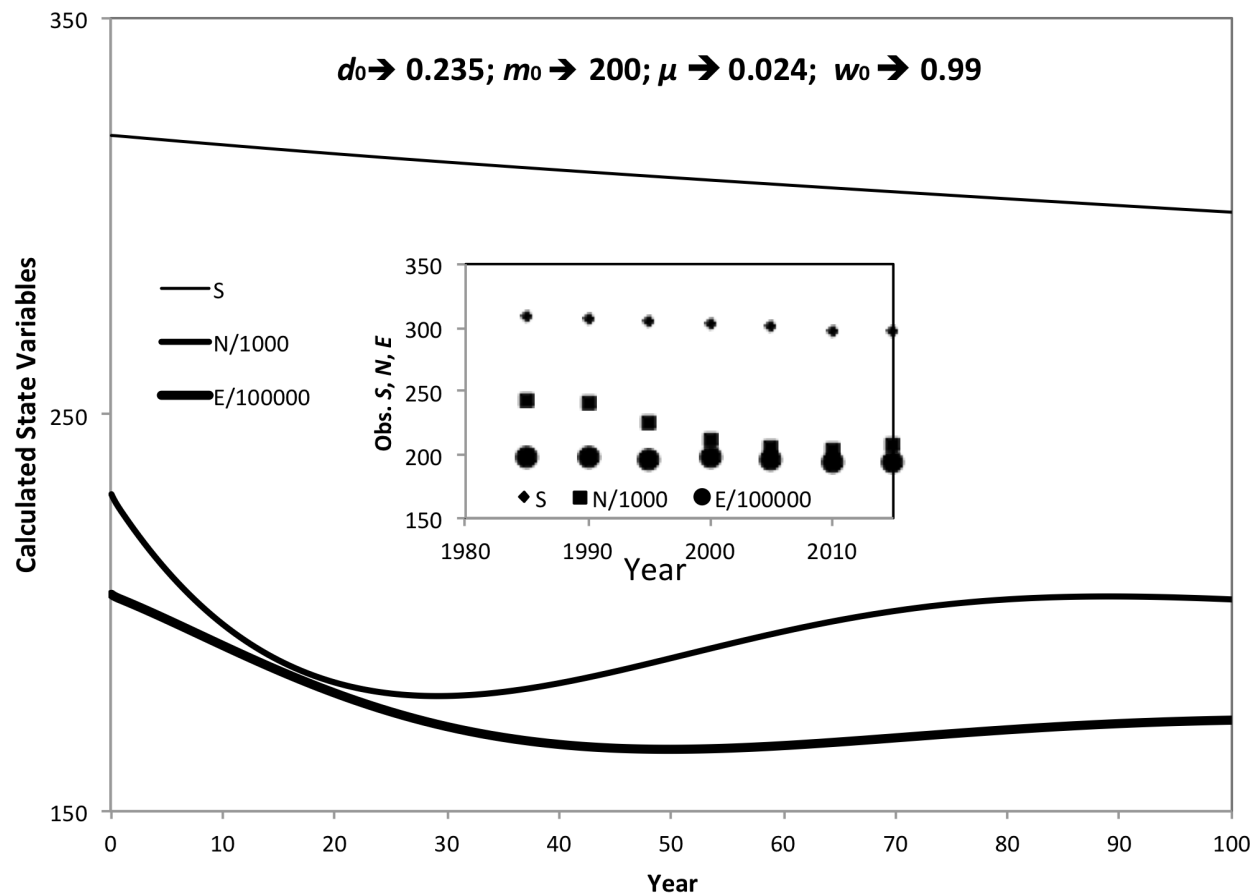


Metabolic rate distribution



DynaMETE also predicts the time trajectories of the state variables in response to a perturbation

Again, qualitative agreement with what is observed at BCI



The steady state limit of DynaMETE agrees with METE

But makes additional predictions:  
in the steady-state,  
DynaMETE predicts an “ideal biodiversity law”  
analogous to  $PV=nRT$

$$P = 0.343 B^{3/4} S^{1/4} \ln^{3/4} (1/\beta)$$

$P$  = community metabolic rate or productivity

$B$  = community biomass

$S$  = community species richness

$1/\beta \approx (N/S) * \ln(N/S)$

$N$  = community abundance



# A powerful New Analytical Method for Solving for the Time Evolution of the Lagrange Multipliers

Iterating DynaMETE entails solving for 5 Lagrange Multipliers at each time step.

That requires finding the location of the absolute maximum of a 5-dimensional surface at each time step. To run the model out 100 years →  $10^3$  time steps for sufficient accuracy

## **Lambda Dynamics**

We can derive five coupled equations for the five  $d\lambda/dt$ 's:

$$\sum_j C_{i,j} * d\lambda_j/dt = F_i$$

The  $F_i$  are function of the  $\lambda$ 's and transition functions at time  $t$ .

The  $C_{i,j}$  are covariances of the transition functions and  $n$  or  $n\epsilon$ .

They are *easily* calculated from the structure function at time  $t$ .

The lambdas at time  $t+1$  are then determined by inverting a 5x5 matrix of covariances to get their time derivatives at time  $t$ . Thus the  $\lambda$ 's can be updated algebraically.

**This is much faster and more accurate than searching for a maximum in 5-D.**

## SUMMARY

- The Maximum Entropy Theory of Ecology provides remarkably accurate predictions for the static shapes of numerous patterns observed in quasi steady-state ecosystems.
- But the predictions fail in rapidly changing systems.
- A dynamic extension of METE (DynaMETE) offers a possible approach to describing disturbed, rapidly changing ecosystems.
- With DynaMETE we can now predict the mechanism of disturbance from quantitative signatures of deviation from METE.
- DynaMETE also predicts the future trajectories of state variables and allows identification of system characteristics that result in resilience under different types of disturbance.
- With iterated MaxEnt, a new method of solving for the time dependence of the Lagrange Multipliers avoids having to find absolute maxima in 5-D.
- Hybridizing the Maximum Information Entropy method with explicit mechanisms of disturbance provides a foundation for the construction of a general theory of complex systems undergoing disturbance. Application may be possible to non-steady-state systems in economics, linguistics, thermodynamics and many other complex dynamic systems, where MaxEnt has proven useful in the static limit.

**Thanks to my students and postdocs  
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**Especially Micah Brush and Kaito Umemura**

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# Dynamic Maximum Entropy Theory

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Thanks for Listening!

Questions?

