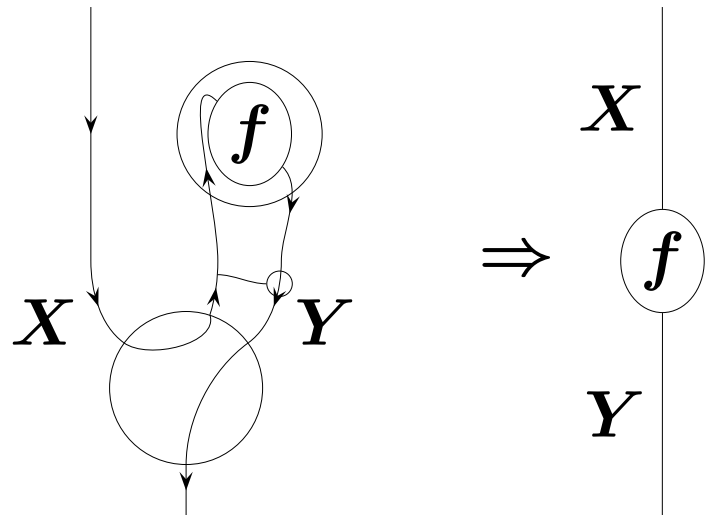


Computation and the Periodic Table

John C. Baez

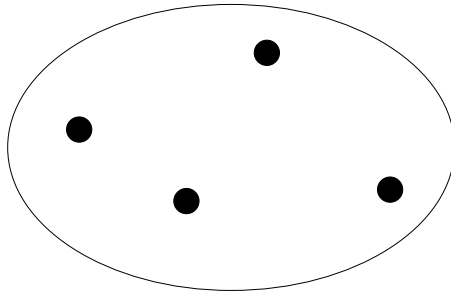
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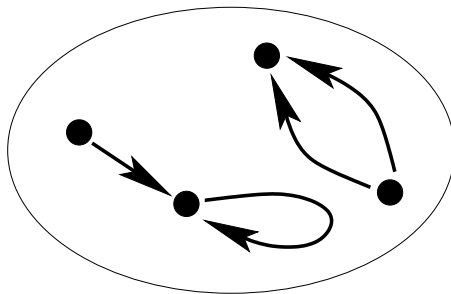
$$(\lambda x:X.f(x))(a) \Rightarrow f(a)$$

for references and more, see
<http://math.ucr.edu/home/baez/periodic/>

Once upon a time, mathematics was all about *sets*:

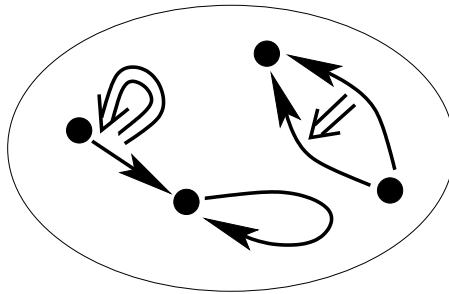


In 1945, Eilenberg and Mac Lane introduced *categories*:

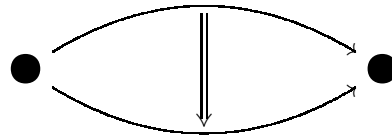


Category theory puts *processes* (morphisms): $\bullet \rightarrow \bullet$
on an equal footing with *things* (objects): \bullet

In 1967 Bénabou introduced *weak 2-categories*:



These include *processes between processes*, or ‘2-morphisms’:



We can compose 2-morphisms vertically:

$$\begin{array}{c}
 \begin{array}{ccc}
 x \bullet & & \bullet y \\
 \begin{array}{c} \xrightarrow{f} \\ \downarrow \alpha \\ \xrightarrow{f'} \\ \downarrow \alpha' \\ \xrightarrow{f''} \end{array} & & \\
 \end{array} & = & \begin{array}{ccc}
 x \bullet & & \bullet z \\
 \begin{array}{c} \xrightarrow{f} \\ \downarrow \alpha\alpha' \\ \xrightarrow{f''} \end{array} & & \\
 \end{array}
 \end{array}$$

or horizontally:

$$\begin{array}{ccc}
 x \bullet & \xrightarrow{f} & \bullet & \xrightarrow{g} & \bullet z \\
 \begin{array}{c} \downarrow \alpha \\ \downarrow \alpha' \end{array} & & & & \begin{array}{c} \downarrow \beta \\ \downarrow \beta' \end{array} \\
 \begin{array}{c} \xrightarrow{f'} \\ \xrightarrow{g'} \end{array} & & & &
 \end{array}
 =
 \begin{array}{ccc}
 x \bullet & & \bullet z \\
 \begin{array}{c} \xrightarrow{fg} \\ \downarrow \alpha \otimes \beta \\ \xrightarrow{f'g'} \end{array} & &
 \end{array}$$

and various laws hold, including the ‘interchange’ law:

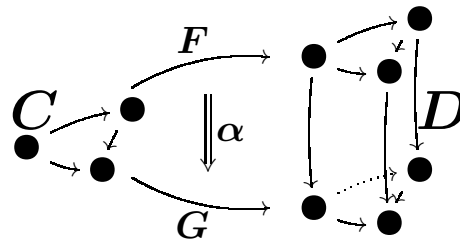
$$\begin{array}{ccc}
 \bullet & \xrightarrow{f} & \bullet & \xrightarrow{g} & \bullet \\
 \begin{array}{c} \downarrow \alpha \\ \downarrow \alpha' \end{array} & & & & \begin{array}{c} \downarrow \beta \\ \downarrow \beta' \end{array} \\
 \begin{array}{c} \xrightarrow{f'} \\ \xrightarrow{g''} \end{array} & & & & \begin{array}{c} \xrightarrow{g'} \\ \xrightarrow{f''} \end{array}
 \end{array}$$

$$(\alpha\alpha') \otimes (\beta\beta') = (\alpha \otimes \beta)(\alpha' \otimes \beta')$$

The ‘set of all sets’ is really a category: **Set**.

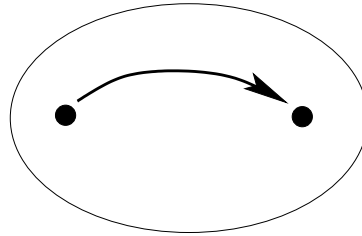
The ‘category of all categories’ is really a 2-category: **Cat**. It has:

- categories as objects,
- functors as morphisms,
- natural transformations as 2-morphisms.

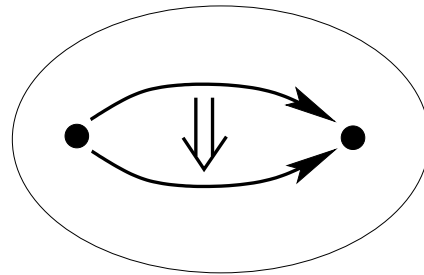


Cat is a ‘strict’ 2-category: all laws hold *exactly*, not just up to isomorphism. But there are also many interesting *weak* 2-categories!

For example, any topological space has a *fundamental groupoid*:



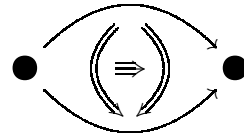
It also has a *fundamental 2-groupoid*:



This is a weak 2-category with:

- points as objects,
- paths as morphisms,
- homotopy classes of ‘paths of paths’ as 2-morphisms.

In 1995, Gordon, Power and Street introduced *weak 3-categories*:



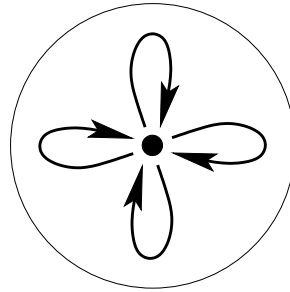
Now people are studying *weak n-categories* and even ∞ -categories. This is starting to have a big impact on topology and physics. How about computation?

Is computation about processes between processes between processes...?

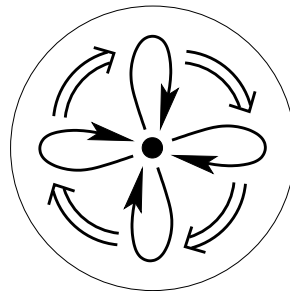
Yes! But to orient ourselves, we need some hypotheses about how *n-categories* work.

My favorite is the ‘Periodic Table’.

A category with one object is a *monoid* — a set with associative multiplication and a unit element:



A 2-category with one object is a *monoidal category* — a category with an associative ‘tensor product’ and a unit object:



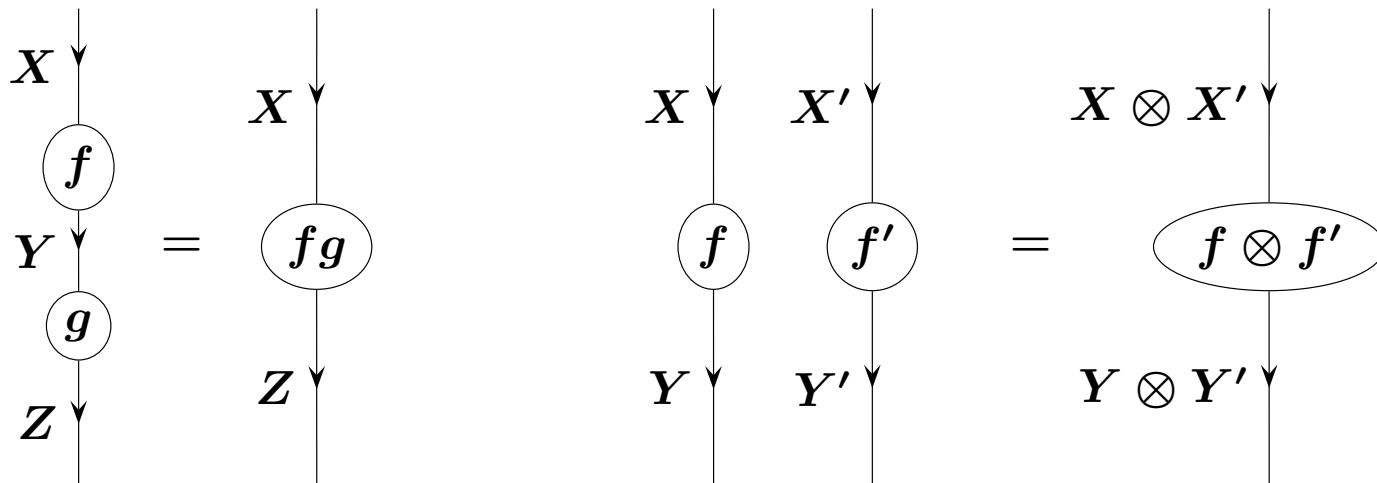
Now associativity and the unit laws are ‘weakened’:

$$(x \otimes y) \otimes z \cong x \otimes (y \otimes z), \quad I \otimes x \cong x \cong x \otimes I$$

To regard a 2-category with one object as a monoidal category:

- we ignore the object,
- we rename the morphisms ‘objects’,
- we rename the 2-morphisms ‘morphisms’.

Vertical and horizontal composition of 2-morphisms become composition and tensoring of morphisms:



In general, we expect an n -category with one object is a *monoidal $(n - 1)$ -category*.

For example:

- Set is a monoidal category, using the cartesian product $S \times T$ of sets.
- Cat is a monoidal 2-category, using the cartesian product $C \times D$ of categories.
- We expect that $n\text{Cat}$ is a monoidal $(n + 1)$ -category!

QUESTION: what's a *monoidal category* with just one object? It must be some sort of monoid...

It has one object, namely the unit I , and a set of morphisms $\alpha: I \rightarrow I$. We can compose morphisms:

$$\alpha\beta$$

and also tensor them:

$$\alpha \otimes \beta$$

Composition and tensoring are related by the interchange law:

$$(\alpha\alpha') \otimes (\beta\beta') = (\alpha \otimes \beta)(\alpha' \otimes \beta')$$

So, we can carry out the ‘Eckmann–Hilton argument’:

$$\begin{array}{|c|c|} \hline \alpha & \beta \\ \hline \end{array}
 \quad
 \begin{array}{|c|c|} \hline \alpha & 1 \\ \hline 1 & \beta \\ \hline \end{array}
 \quad
 \begin{array}{|c|} \hline \alpha \\ \hline \beta \\ \hline \end{array}
 \quad
 \begin{array}{|c|c|} \hline 1 & \alpha \\ \hline \beta & 1 \\ \hline \end{array}
 \quad
 \begin{array}{|c|c|} \hline \beta & \alpha \\ \hline \end{array}$$

$$\begin{aligned}
 \alpha \otimes \beta &= (\alpha \otimes 1)(1 \otimes \beta) & (1\beta) \otimes (\alpha 1) &= \beta \otimes \alpha \\
 &\parallel & &\parallel \\
 (\alpha 1) \otimes (1\beta) &= \alpha\beta = (1 \otimes \alpha)(\beta \otimes 1)
 \end{aligned}$$

ANSWER: a monoidal category with one object is a *commutative* monoid!

In other words: a 2-category with one object and one morphism is a commutative monoid.

What's the pattern?

An $(n+k)$ -category with only one j -morphism for $j < k$ can be reinterpreted as an n -category.

But, it will be an n -category with k ways to 'multiply':
a k -tuply monoidal n -category.

For example $n = 1, k = 1$: a 2-category with one object is a monoidal category.

When there are several ways to multiply, the Eckmann–Hilton argument gives a kind of 'commutativity'.

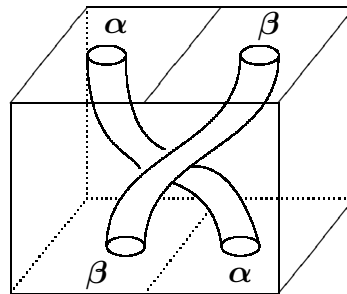
Our guesses are shown in the Periodic Table...

k-tuply monoidal *n*-categories

	<i>n</i> = 0	<i>n</i> = 1	<i>n</i> = 2
<i>k</i> = 0	sets	categories	2-categories
<i>k</i> = 1	monoids	monoidal categories	monoidal 2-categories
<i>k</i> = 2	commutative monoids	braided monoidal categories	braided monoidal 2-categories
<i>k</i> = 3	“	symmetric monoidal categories	symplectic monoidal 2-categories
<i>k</i> = 4	“	“	symmetric monoidal 2-categories
<i>k</i> = 5	“	“	“

Consider $n = 1$, $k = 2$: a doubly monoidal 1-category is a *braided monoidal category*. The Eckmann–Hilton argument gives the braiding:

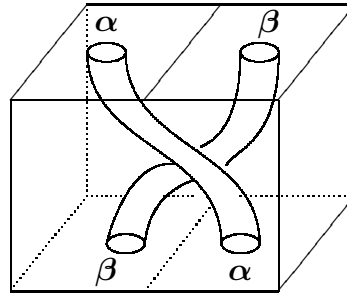
$$\begin{array}{|c|c|} \hline \alpha & \beta \\ \hline \end{array} \cong \begin{array}{|c|c|} \hline \alpha & 1 \\ \hline 1 & \beta \\ \hline \end{array} \cong \begin{array}{|c|} \hline \alpha \\ \hline \beta \\ \hline \end{array} \cong \begin{array}{|c|c|} \hline 1 & \alpha \\ \hline \beta & 1 \\ \hline \end{array} \cong \begin{array}{|c|c|} \hline \beta & \alpha \\ \hline \end{array}$$



$$B_{\alpha, \beta}: \alpha \otimes \beta \xrightarrow{\sim} \beta \otimes \alpha$$

The *process of proving an equation* has become an *isomorphism!* This happens when we move one step right in the Periodic Table.

Indeed, a *different proof* of commutativity becomes a *different isomorphism*:



$$B_{\beta, \alpha}^{-1} : \alpha \otimes \beta \xrightarrow{\sim} \beta \otimes \alpha$$

This explains the existence of knots!

Shum's theorem: 1Tang_2 , the category of 1d tangles in a (1+2)-dimensional cube, is the free braided monoidal category with duals on one object.

A triply monoidal 1-category is a *symmetric monoidal category*. Now we have ‘three dimensions of space’ instead of just two. This makes the two ways of moving α past β equal:

$$\begin{array}{c}
 \alpha \quad \beta \\
 \searrow \quad \nearrow \\
 \nearrow \quad \searrow \\
 \alpha \quad \beta
 \end{array}
 =
 \begin{array}{c}
 \alpha \quad \beta \\
 \searrow \quad \nearrow \\
 \searrow \quad \nearrow \\
 \alpha \quad \beta
 \end{array}$$

So, the situation is ‘more commutative’. This happens when we move one step down in the Periodic Table.

We can untie all knots in 4d:

Theorem: 1Tang_3 , the category of 1d tangles in a $(1 + 3)$ -dimensional cube, is the free symmetric monoidal category with duals on one object.

However, k -tuply monoidal n -categories seem to become ‘maximally commutative’ when k reaches $n + 2$.

For example, you can untie all n -dimensional knots in a $(2n + 2)$ -dimensional cube. Extra dimensions don’t help!

Stabilization Hypothesis: k -tuply monoidal n -categories are equivalent to $(k + 1)$ -tuply monoidal n -categories when $k \geq n + 2$.

We call these *stable n -categories*. These should serve as abstract contexts for computation in which data doesn’t get ‘tangled up’ as it moves.

n Cat should be a stable $(n + 1)$ -category.

Now, what about computation?

Topological quantum computation uses *braided* monoidal categories, but more often we use *symmetric* monoidal categories where:

- objects are *types* X, Y, Z, \dots
- morphisms $f: X \rightarrow Y$ are equivalence classes of *terms* of type Y with free variable of type X .

For example: Lambek showed that any theory in the simply typed λ -calculus gives a cartesian closed category. Two terms give the same morphism if they differ by certain *rewrite rules*, such as β -reduction:

$$(\lambda x: X. f(x))(a) \Rightarrow f(a)$$

Identifying terms that differ by rewrite rules amounts to *ignoring the process of computation!* To avoid this, use a 2-category where:

- objects are *types* X, Y, Z, \dots
- morphisms $f: X \rightarrow Y$ are *terms* of type Y with free variable of type X .
- 2-morphisms $\alpha: f \Rightarrow g$ are equivalence classes of *sequences of rewrites* going from f to g .

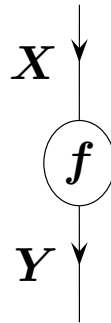
Any theory in the simply-typed λ -calculus should give a ‘cartesian closed 2-category’ this way.

More generally, we should get ‘monoidal closed 2-categories’ where the 2-morphisms are *processes of computation*.

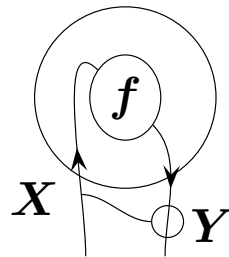
In a monoidal closed 2-category, any pair of objects (types) X, Y has a ‘function type’ $X \multimap Y$:

$$\begin{array}{c}
 \begin{array}{c}
 \text{---} \circ \\
 | \\
 X \uparrow \quad Y \downarrow
 \end{array}
 :=
 \begin{array}{c}
 \downarrow \\
 X \multimap Y
 \end{array}
 \end{array}$$

Any morphism $f: X \rightarrow Y$:

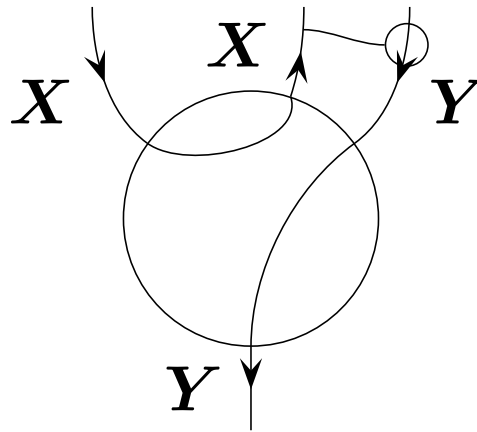


has a ‘name’ $\lceil f \rceil: I \rightarrow (X \multimap Y)$:



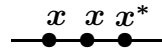
We also have an ‘evaluation’ morphism:

$$\text{ev}_{X,Y}: X \otimes (X \multimap Y) \rightarrow Y$$

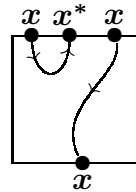


This 2-morphism exists in any monoidal closed 2-category.
 For example 2Tang_1 , which has:

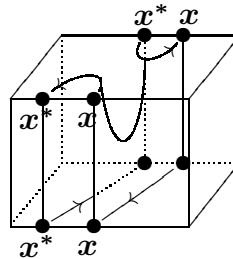
- collections of oriented points in the 1-cube as objects:



- 1d tangles in the 2-cube as morphisms:

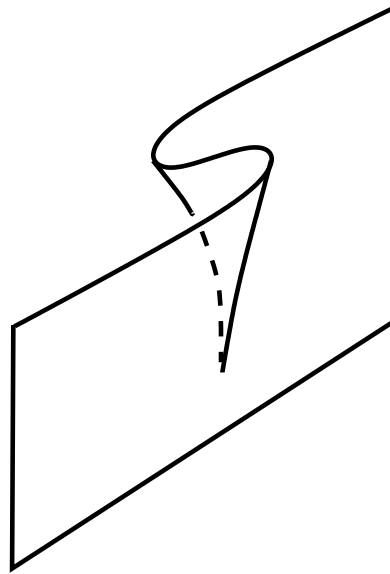


- isotopy classes of 2d tangles in the 3-cube as 2-morphisms:



Tangle Hypothesis: $n\text{Tang}_k$ is the free k -tuply monoidal n -category with duals on one object.

The 2-morphism analogous to β -reduction in 2Tang_1 is the *fold catastrophe*:



Like β -reduction, it ‘straightens out a zig-zag’.

This is the beginning of a long, unfinished story relating computation, topology and the Periodic Table.

k-tuply monoidal *n*-categories

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<i>k</i> = 4	“	“	symmetric monoidal 2-categories
<i>k</i> = 5	“	“	“

See my webpage for links to references, e.g.:

- R. A. G. Seely, Modeling computations in a 2-categorical framework, *LICS* 1987.
- Barnaby P. Hilken, Towards a proof theory of rewriting: the simply-typed 2λ -calculus, *Theor. Comp. Sci.* 170 (1996), 407.
- Albert Burroni, Higher-dimensional word problems with applications to equational logic, *Theor. Comp. Sci.* 115 (1993), 43.
- Yves Guiraud, The three dimensions of proofs, *Ann. Pure Appl. Logic* 141 (2006), 266.
- Vladimir Voevodsky, A very short note on the homotopy lambda calculus, 2006.

and also my seminar and work with Mike Stay.