

# Higher Gauge Theory and the String Group

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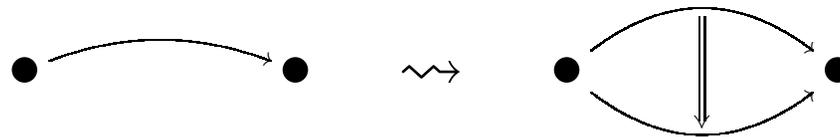


For more see: <http://math.ucr.edu/home/baez/esi/>

# Categorification

sets  $\rightsquigarrow$  categories  
functions  $\rightsquigarrow$  functors  
equations  $\rightsquigarrow$  natural isomorphisms

Categorification ‘boosts the dimension’ by one:

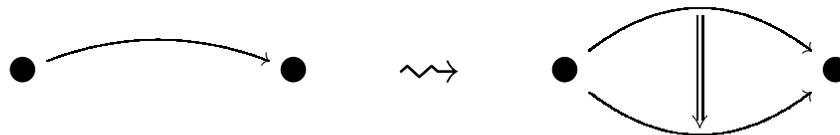


In **strict** categorification we keep equations as equations. This is evil... but today we’ll do it whenever it doesn’t cause trouble, just to save time.

# Higher Gauge Theory

**groups**  $\rightsquigarrow$  **2-groups**  
**Lie algebras**  $\rightsquigarrow$  **Lie 2-algebras**  
**bundles**  $\rightsquigarrow$  **2-bundles**  
**connections**  $\rightsquigarrow$  **2-connections**

Connections describe parallel transport for particles.  
2-Connections describe parallel transport for strings!



We should even go beyond  $n = 2...$  but not today.

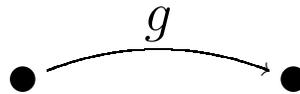
Fix a simply-connected compact simple Lie group  $G$ .  
Then:

- The Lie algebra  $\mathfrak{g}$  gives a 1-parameter family of Lie 2-algebras  $\mathbf{string}_k(\mathfrak{g})$ .
- When  $k \in \mathbb{Z}$ ,  $\mathbf{string}_k(\mathfrak{g})$  comes from a Lie 2-group  $\mathbf{String}_k(G)$ .
- The ‘geometric realization of the nerve’ of  $\mathbf{String}_k(G)$  is a topological group,  $|\mathbf{String}_k(G)|$ .
- Principal  $\mathbf{String}_k(G)$ -2-bundles are the same as  $|\mathbf{String}_k(G)|$ -bundles.
- For  $k = 1$ ,  $|\mathbf{String}_k(G)|$  is  $G$  with its 3rd homotopy group made trivial.
- We can define connections and characteristic classes for  $\mathbf{String}_k(G)$ -2-bundles!

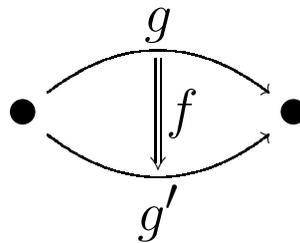
## 2-Groups

A **strict 2-group** is a category in Grp: a category with a group of objects and a group of morphisms, such that all the category operations are group homomorphisms.

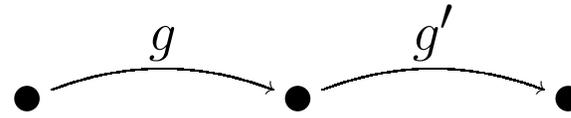
We draw the objects in a 2-group like this:



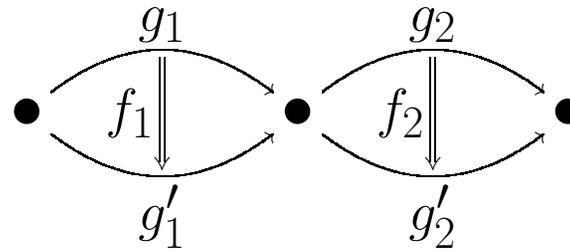
We draw the morphisms like this:



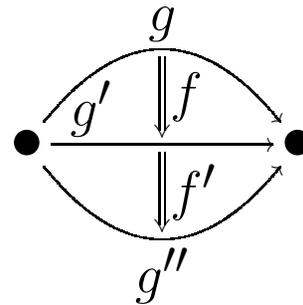
We can multiply objects:



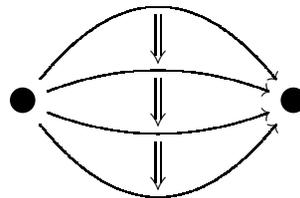
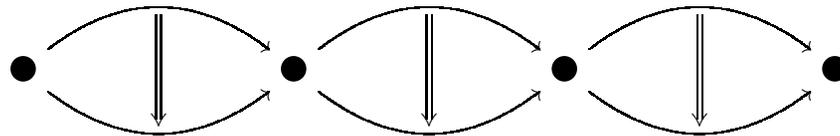
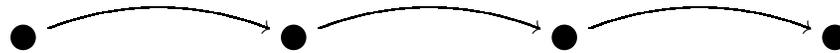
multiply morphisms:



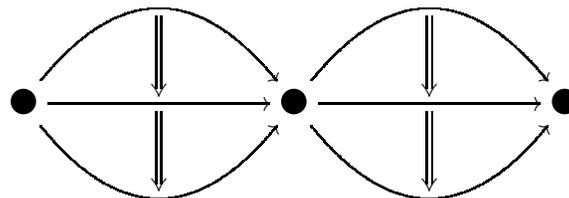
and compose morphisms:



All 3 operations have a unit and inverses. All 3 are associative, so these are well-defined:



Finally, the **interchange law** holds, meaning



is well-defined.

## Lie 2-Algebras

A **strict Lie 2-algebra** is a category in  $\text{LieAlg}$ : a category with a Lie algebra of objects and a Lie algebra of morphisms, such that all the category operations are Lie algebra homomorphisms.

The theory of strict Lie 2-algebras closely mimics the theory of 2-groups. For example...

**Theorem** (Mac Lane, Sinh). A 2-group is determined up to equivalence by:

- the group  $G$  of isomorphism classes of objects,
- the abelian group  $A$  of endomorphisms of any object,
- an action of  $G$  on  $A$ ,
- an element of  $H^3(G, A)$ .

**Theorem** (Gerstenhaber, Crans). A Lie 2-algebra is determined up to equivalence by:

- the Lie algebra  $\mathfrak{g}$  of isomorphism classes of objects,
- the vector space  $\mathfrak{a}$  of endomorphisms of any object,
- a representation of  $\mathfrak{g}$  on  $\mathfrak{a}$ ,
- an element of  $H^3(\mathfrak{g}, \mathfrak{a})$ .

Suppose  $G$  is a simply-connected compact simple Lie group. Let  $\mathfrak{g}$  be its Lie algebra. A lemma of Whitehead says:

$$H^3(\mathfrak{g}, \mathbb{R}) = \mathbb{R}$$

So:

**Corollary.** For any  $k \in \mathbb{R}$  there is a Lie 2-algebra  $\mathbf{string}_k(\mathfrak{g})$  for which:

- $\mathfrak{g}$  is the Lie algebra of isomorphism classes of objects;
- $\mathbb{R}$  is the vector space of endomorphisms of any object.

Every Lie 2-algebra with these properties is equivalent to  $\mathbf{string}_k(\mathfrak{g})$  for some unique  $k \in \mathbb{R}$ .

**Theorem.** For any  $k \in \mathbb{Z}$ ,  $\mathbf{string}_k(\mathfrak{g})$  is the Lie 2-algebra of an infinite-dimensional Lie 2-group  $\mathbf{String}_k(G)$ .

**Theorem.** The morphisms in  $\mathbf{String}_k(G)$  starting at the constant path form the level- $k$  central extension of the loop group  $\Omega G$ :

$$1 \longrightarrow \mathrm{U}(1) \longrightarrow \widehat{\Omega_k G} \longrightarrow \Omega G \longrightarrow 1$$

For any category  $\mathcal{C}$  there is a space  $|\mathcal{C}|$ , the **geometric realization of the nerve** of  $\mathcal{C}$ , built from a vertex for each object:

$$\bullet \ x$$

an edge for each morphism:

$$\bullet \xrightarrow{f} \bullet$$

a triangle for each composable pair of morphisms:

$$\begin{array}{ccc} & \bullet & \\ f \nearrow & & \searrow g \\ \bullet & \xrightarrow{fg} & \bullet \end{array}$$

a tetrahedron for each composable triple:

$$\begin{array}{ccccc} & & \bullet & & \\ & & \nearrow g & & \\ f \nearrow & & & & \searrow gh \\ \bullet & & & & \bullet \\ \nearrow fgh & \cdots & \searrow h & & \\ & & \bullet & & \\ & & \nearrow fg & & \end{array}$$

and so on...

A 2-group is a category *with a product and inverses*. So, if  $\mathcal{G}$  is a 2-group,  $|\mathcal{G}|$  is a topological group.

More generally, we can define a topological group  $|\mathcal{G}|$  for any *topological* 2-group  $\mathcal{G}$ .

**Theorem.** For any  $k \in \mathbb{Z}$ , there is a short exact sequence of topological groups:

$$1 \longrightarrow K(\mathbb{Z}, 2) \longrightarrow |\text{String}_k(G)| \xrightarrow{p} G \longrightarrow 1$$

where  $p$  is a fibration. When  $k = 1$ , this exhibits  $|\text{String}_k(G)|$  as the ‘3-connected cover’ of  $G$ : the topological group formed by making the 3rd homotopy group of  $G$  trivial.

For example, start with  $O(n)$ :

- Making  $\pi_0$  trivial gives  $SO(n)$ .
- Making  $\pi_1$  trivial gives  $Spin(n)$ .
- Making  $\pi_2$  trivial still gives  $Spin(n)$ .
- Making  $\pi_3$  trivial gives the 3-connected cover...  
something new and interesting: the **string group**.

So, we're getting the string group from a 2-group.

## 2-Bundles — Quick and Dirty

For any topological 2-group  $\mathcal{G}$  and any space  $X$ , we can define a **principal  $\mathcal{G}$ -2-bundle over  $X$**  to consist of:

- an open cover  $U_i$  of  $X$ ,
- continuous maps

$$g_{ij}: U_i \cap U_j \rightarrow \text{Ob}(\mathcal{G})$$

satisfying  $g_{ii} = 1$ , and

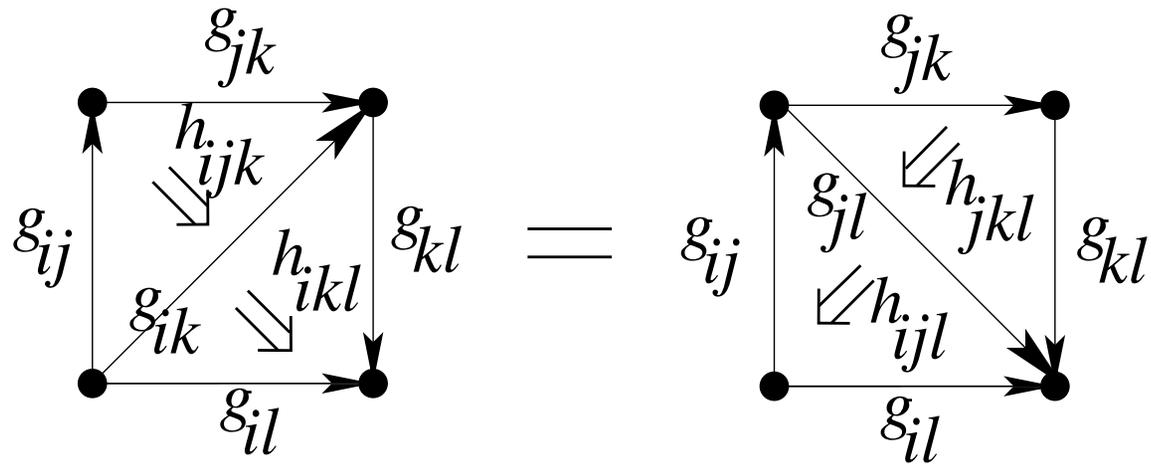
- continuous maps

$$h_{ijk}: U_i \cap U_j \cap U_k \rightarrow \text{Mor}(\mathcal{G})$$

with

$$h_{ijk}(x): g_{ij}(x)g_{jk}(x) \rightarrow g_{ik}(x)$$

satisfying the **nonabelian 2-cocycle condition**:



on any quadruple intersection  $U_i \cap U_j \cap U_k \cap U_\ell$ .

There's a natural notion of 'equivalence' for 2-bundles over  $X$ , since they form a 2-category.

**Theorem.** For any topological 2-group  $\mathcal{G}$  and paracompact Hausdorff space  $X$ , there is a 1-1 correspondence between:

- equivalence classes of principal  $\mathcal{G}$ -2-bundles over  $X$ ,
- isomorphism classes of principal  $|\mathcal{G}|$ -bundles over  $X$ ,
- homotopy classes of maps  $f: X \rightarrow B|\mathcal{G}|$ .

So,  $B|\mathcal{G}|$  is the classifying space for  $\mathcal{G}$ -2-bundles.

## Characteristic Classes

Let  $G$  be a simply-connected compact simple Lie group, and let  $\mathcal{G} = \text{String}_k(G)$  with  $k = 1$ .

The homomorphism

$$|\mathcal{G}| \xrightarrow{p} G$$

gives an algebra homomorphism:

$$H^*(BG, \mathbb{R}) \xrightarrow{p^*} H^*(B|\mathcal{G}|, \mathbb{R})$$

This is onto, with kernel generated by the ‘second Chern class’  $c_2 \in H^4(BG, \mathbb{R})$ .

In this case, the real characteristic classes of  $\mathcal{G}$ -2-bundles are just like those of  $G$ -bundles, but with the second Chern class killed!

There's a concept of 'connection' and 'curvature' for 2-bundles when  $\mathcal{G}$  is a Lie 2-group.

*In this case, we should be able to compute the real characteristic classes of a 2-bundle starting from a connection on this 2-bundle.*

Sati, Schreiber and Stasheff have already made progress towards this.