

## Towards Symplectic Geometry

Last time we saw that the 1-form

$$\beta = p_i dq^i - H dt$$

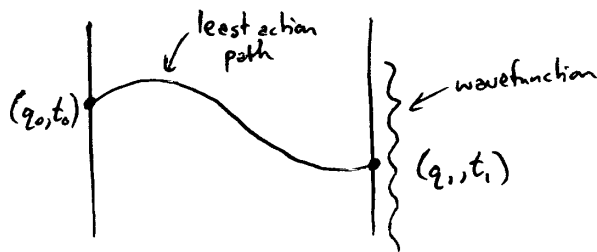
on the extended phase space

$$X \times \mathbb{R} \ni (q, p, t)$$

can be integrated to get the action, with least action giving "Hamilton's principal function"  $W(q_0, t_0; q_1, t_1)$ . Differentiating this we get momentum & energy - the Hamilton-Jacobi equations. These foreshadow quantum mechanics, since we can define a wavefunction

$$\psi(q_0, t_0; q_1, t_1) = e^{iW(q_0, t_0; q_1, t_1)/\hbar}$$

which (approximately) gives the amplitude for a quantum particle to get from  $(q_0, t_0)$  to  $(q_1, t_1)$



In full-fledged quantum mechanics we instead calculate  $\psi$  exactly by:

$$\psi(q_0, t_0; q_1, t_1) = \int_{q_0} e^{iS(q)} Dq$$

where  $\mathcal{P} = \{q: [t_0, t_1] \rightarrow Q : q(t_0) = q_0 \text{ \& } q(t_1) = q_1\}$  and  $Dq$  makes no sense (yet - except in certain special cases, like a particle in a potential on a Riemannian manifold  $Q$ ). The case when  $\psi = e^{iW/\hbar}$  is a good approximation to the full-fledged "path integral," is precisely the case when classical mechanics is approximately right, though really  $\psi = Ae^{iW/\hbar}$  works better (the eikonal or WKB approximation).

But in classical mechanics, people focus less on the extended phase space  $X \times \mathbb{R}$  than on the phase space  $X$ . The 1-form  $\beta$  doesn't live on  $X$ , but something does. If  $x: [t_0, t_1] \rightarrow X$  is a path, then we get  $C: [t_0, t_1] \rightarrow X \times \mathbb{R}$  with  $C(t) = (x(t), t)$  and then

$$S = \int_C \beta = \int_C p_i dq^i - H dt$$

& if  $x$  satisfies Hamilton's equations, so  $H$  is conserved:

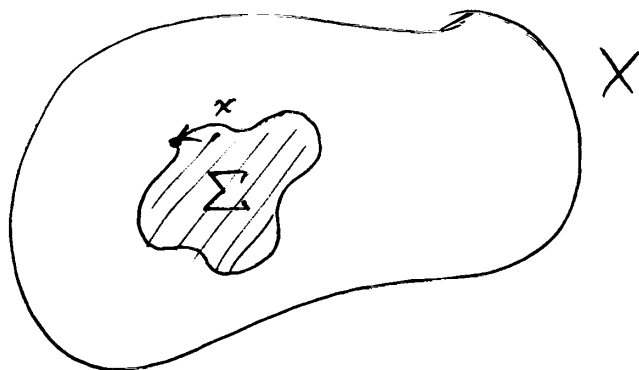
$$S = -E(t_1 - t_0) + \int_x p_i dq^i$$

where  $E$  is the energy. So people focus on

$$\alpha = p_i dq^i$$

on  $X$ .

If the system executes periodic motion, we can take  $x$  to be a loop in  $X$



& then action is

$$S = -E \cdot \text{period} + \int_X \alpha$$

$$= -E \cdot \text{period} + \int_{\Sigma} d\alpha$$

if  $\Sigma$  is any surface with  $\partial\Sigma = x$  by Stokes' thm  
(this works provided  $x$  is contractible) Note

$$d\alpha = dp_i \wedge dq^i$$

is a 2-form on  $X$  - people focus on this: the symplectic structure. In the Bohr-Sommerfeld "old quantum mechanics", energy eigenstates correspond to periodic orbits for which  $\int_{\Sigma} dp_i \wedge dq^i = n \cdot 2\pi\hbar = nh$  so that  $e^{i \int_{\Sigma} dp_i \wedge dq^i / \hbar} = 1$ .

In the modern "symplectic geometry" approach, people focus on the 1-form  $\alpha$  on  $T^*Q$  & especially on ~~the~~

$$\omega := d\alpha.$$

Now let's follow suit and develop classical mechanics starting with these.

First, let's describe

$$\alpha = p_i dq^i$$

in a coordinate free way. Any tangent vector at  $(q, p) \in T^*Q$  is of the form

$$v = a^i \frac{\partial}{\partial q^i} + b_i \frac{\partial}{\partial p_i}$$

using coordinates  $(q^i, p_i)$ . So

$$\alpha(v) = p_i a^i$$

Alternatively, let

$$\begin{aligned} \pi: T^*Q &\longrightarrow Q \\ (q, p) &\longmapsto q \end{aligned}$$

& we claim

$$\alpha(v) = p \left( \underbrace{d\pi(v)}_{\in T_q Q} \right) \in \mathbb{R}$$

$\in T_q^*Q$

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For any manifold  $Q$  (physically the configuration space),  $T^*Q$  has a 1-form on it:

$$\alpha = p_i dq^i$$

in local coordinates  $(q^i, p_i)$  on  $T^*Q$  coming from local coords. on  $Q$ . Given any tangent vector  $v \in T_{(q,p)}T^*Q$ , we can write

$$v = a^i \frac{\partial}{\partial q^i} + b_i \frac{\partial}{\partial p_i}$$

and then

$$\alpha(v) = p_i a^i.$$

$\alpha$  is called the canonical 1-form on  $T^*Q$  because it's coordinate-independent. We have

$$\begin{aligned} \pi: T^*Q &\longrightarrow Q \\ (q, p) &\longmapsto q \end{aligned}$$

& thus

$$d\pi: T_{(q,p)}T^*Q \longrightarrow T_q Q$$

& in fact

$$\alpha(v) = p(d\pi(v)) \quad \forall v \in T_{(q,p)}T^*Q$$

— a coordinate-free description of  $\alpha$ . Let's check that this is true. If

$$v = a^i \frac{\partial}{\partial q^i} + b_i \frac{\partial}{\partial p_i}$$

then

$$d\pi(v) = a^i \frac{\partial}{\partial q^i} \in T_q Q$$

where now  $\frac{\partial}{\partial q^i}$  are a basis of tangent vectors on  $Q$ .

Next

$$\begin{aligned} p(d\pi(v)) &= (p_j dq^j) \left( a^i \frac{\partial}{\partial q^i} \right) & dq^j \left( \frac{\partial}{\partial q^i} \right) &= \delta_i^j \\ &= p_i a^i, & \text{which is exactly right.} \end{aligned}$$

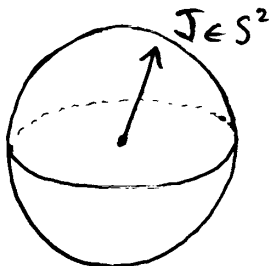
Symplectic geometry focuses not on  $\alpha$  but on the 2-form

$$\omega = d\alpha$$

We'll write down Hamilton's equations using  $\omega$  & show that  $\omega$  (unlike  $\alpha$ ) is invariant under the resulting time evolution maps

$$T^*Q \longrightarrow T^*Q \quad (\text{or } X \longrightarrow X)$$

If we isolate the key properties of  $\omega$  that make this work, we can generalize CM to systems whose phase space  $X$  is not  $T^*Q$  or some open subset of  $T^*Q$ . For example, a classical spinning point particle has  $X = S^2$ :



Such  $X$  look locally but not globally like some cotangent bundle,

and not in any canonical way.

Here are the key properties of  $\omega$ :

- 1)  $\omega$  is a 2-form on  $X$  (in this case  $X = T^*Q$ )
- 2)  $\omega$  is closed:  $d\omega = 0$  (in this case exact:  $\omega = d\alpha$ )
- 3)  $\omega$  is nondegenerate:

cp. 1st  
class  
space  
 $\Rightarrow \omega$   
not  
exact.

$$\begin{aligned} \theta: T_x X &\longrightarrow T_x^* X \\ v &\longmapsto \omega(v, -) \end{aligned}$$

is an isomorphism (it's 1-1, hence onto)

(in our example if  $v = a^i \frac{\partial}{\partial q^i} + b_i \frac{\partial}{\partial p_i}$  then

$$\begin{aligned} \theta(v) = \omega(v, -) &= dp_j \wedge dq^j \left( a^i \frac{\partial}{\partial q^i} + b_i \frac{\partial}{\partial p_i}, - \right) \\ &= b_j dq^j - a^j dp_j \end{aligned}$$

which is nonzero if  $v$  is nonzero:

$$\theta: \frac{\partial}{\partial q^i} \longmapsto -dp_i$$

$$\theta: \frac{\partial}{\partial p_i} \longmapsto dq^i$$

(—should remind you of Hamilton's equations!)

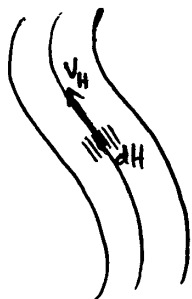
We define a symplectic structure on a manifold  $X$  to be a nondegenerate closed 2-form  $\omega$  on  $X$ , & we then call  $(X, \omega)$  a symplectic manifold.

Now let  $X$  be any symplectic manifold (e.g.  $T^*Q$ ). Given any function  $H: X \rightarrow \mathbb{R}$  (our Hamiltonian) we can define a vector field  $v_H$  on  $X$  by:

$$v_H = \theta^{-1}(dH)$$

and then Hamilton's equations say that a "state"  $x(t) \in X$  evolves as follows:

$$\frac{d}{dt} x(t) = v_H(x(t))$$



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## Hamilton's Equations

Let  $(X, \omega)$  be a symplectic manifold:  $\omega$  is a nondegenerate closed 2-form, so we get

$$\begin{aligned} \theta: T_x X &\rightarrow T_x^* X \\ v &\mapsto \omega(v, -) \end{aligned}$$

an isomorphism. For any  $H \in C^\infty(X)$  we thus get the Hamiltonian vector field

$$v_H = \theta^{-1}(dH)$$



level sets of  $H$



If  $X$  is the space of states of a classical system, we describe how a state  $x \in X$  evolves in time using the curve  $x(t) \in X$  satisfying Hamilton's equations:

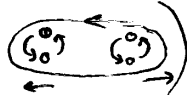
$$\frac{d}{dt} x(t) = v_H(x(t))$$

with  $x(0) = x$  as its initial conditions. (Note  $x$  is being used both for the initial state  $x \in X$  and the curve  $x: (a, b) \rightarrow X$  and  $x(0) = x$ .) We say  $v_H$  is integrable if this differential equation has a (unique) "global solution"  $x: \mathbb{R} \rightarrow X$  for all  $x \in X$ . It's easy to find nonintegrable examples:

$$X = T^*\mathbb{R}$$

$$H = \frac{p^2}{2m} - q^4$$

Here the particle shoots off to  $\pm\infty$  in finite time.

(There are even solutions in the 5-body Newtonian gravity problem where bodies shoot off to  $\infty$  in finite time! )

Let's see what these Hamilton's equations look like when  $X = T^*Q$ . Then

$$\omega = dp_i \wedge dq^i$$

and we have

$$\theta: T_x Q \longrightarrow T_x^* Q$$

$$\frac{\partial}{\partial q^i} \longmapsto -dp_i$$

$$\frac{\partial}{\partial p_i} \longmapsto dq^i$$

So

$$\Theta^{-1} : T_x^*Q \rightarrow T_xQ$$

$$dq^i \longmapsto \frac{\partial}{\partial p_i}$$

$$dp_i \longmapsto -\frac{\partial}{\partial q^i}$$

so given  $H : T^*Q \rightarrow \mathbb{R}$  we get

$$V_H = \Theta^{-1}(dH)$$

$$= \Theta^{-1}\left(\frac{\partial H}{\partial q^i} dq^i + \frac{\partial H}{\partial p_i} dp_i\right)$$

$$= \frac{\partial H}{\partial q^i} \frac{\partial}{\partial p_i} - \frac{\partial H}{\partial p_i} \frac{\partial}{\partial q^i}$$

& thus Hamilton's equations say

$$\frac{d}{dt} x(t) = V_H(x(t))$$

or

$$\frac{d}{dt} q^i(x(t)) = V_H(q^i) = -\frac{\partial H}{\partial p_i}$$

and

$$\frac{d}{dt} p_i(x(t)) = V_H(p_i) = \frac{\partial H}{\partial q^i}$$

Alas, these have a minus sign as compared with the usual Hamilton's eqns. ; to cure this we should define

$$\omega = -dx = dq_i \wedge dp^i$$

& get

$$\frac{dq^i}{dt} = \frac{\partial H}{\partial p_i} \quad \frac{dp_i}{dt} = -\frac{\partial H}{\partial q^i}$$

We've just discovered that any symplectic manifold  $(M, \omega)$  has a dual or time-reversed version  $(M, -\omega)$ .

This calculation seems special to the case of a cotangent bundle  $X = T^*Q$ , but in fact we have:

Derboux's Thm: If  $(X, \omega)$  is any symplectic manifold and  $x \in X$ , you can find symplectic coordinates  $p_i, q^i$  ( $i=1, \dots, n$ ) in a nbhd. of  $x$  s.t.

$$\omega = dq_i \wedge dp^i$$

So:

- 1) all symplectic manifolds are even-dimensional
- 2) all symplectic manifolds of the same dimension are locally alike - unlike Riemannian manifolds.

We can think of any  $F \in C^\infty(X)$  as an observable: it assigns to any state  $x \in X$  a number  $F(x) \in \mathbb{R}$  - the result of measuring something about  $x$ . We know how states evolve in time:

$$\frac{d}{dt} x(t) = v_H(x(t))$$

How do observables evolve in time? Given an observable  $F \in C^\infty(X)$  and a time  $t \in \mathbb{R}$  we get a new observable  $F_t \in C^\infty(X)$  by

$$F_t(x) = F(x(t))$$

We then have

$$\begin{aligned}
 \frac{d}{dt} F_t(x) &= \frac{d}{dt} F(x(t)) \\
 &= (V_H F)(x(t)) \\
 &= (V_H F_t)(x)
 \end{aligned}$$

} chain rule  
& Hamilton's eqns.

So, abstracting:

$$\frac{d}{dt} F_t = V_H F_t$$

$$\begin{aligned}
 \frac{d}{dt} F(x(t)) &= \nabla F \cdot x'(t) \\
 &= dF(x'(t)) \\
 &= \underbrace{\nabla}_{V} F(x(t)) \\
 &= V F(x(t))
 \end{aligned}$$