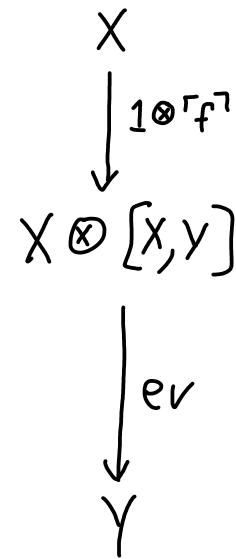
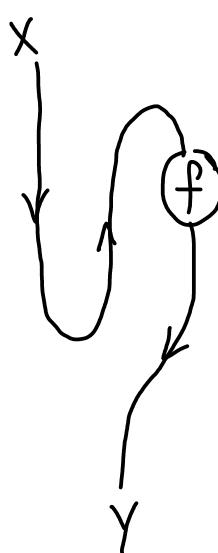


# \*-Autonomous Envelopes

Michael Shulman

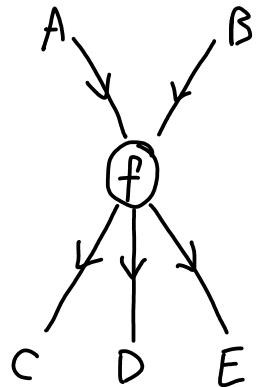
ACT@UCR Online Seminar, 22 Apr 2020

- 1. Review of string diagrams
- 2. Linear distributivity
- 3. The Chu construction
- 4. Polycategories
- 5. The Hyland envelope
- 6. Preserving tensors and cotensors

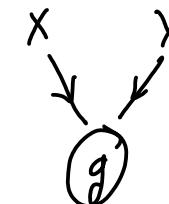


# I. Review of String diagrams and duality

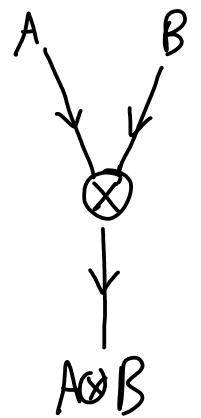
Let  $\mathcal{C}$  be a symmetric monoidal category (smc).



means

 $A \otimes B$ 
 $f$ 
 $C \otimes D \otimes E$ 


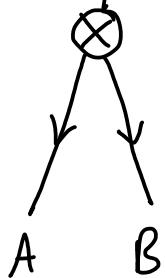
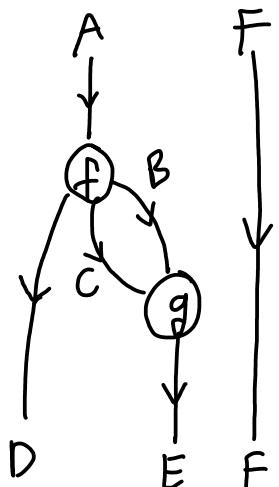
means

 $X \otimes Y$ 
 $g$ 
 $I$ 


and

 $A \otimes B$ 

are both


 $A \otimes B$ 
 $1_{A \otimes B}$ 
 $A \otimes B$ 


means

 $A \otimes F$ 
 $f \circ 1$ 
 $D \otimes C \otimes B \otimes F$ 
 $1 \otimes g \otimes 1$ 
 $D \otimes E \otimes F$

A dual of  $A$  is  $A^*$  with

$$\begin{array}{c} \text{I} \\ \uparrow \downarrow \eta \\ A \quad A^* \end{array}$$

and

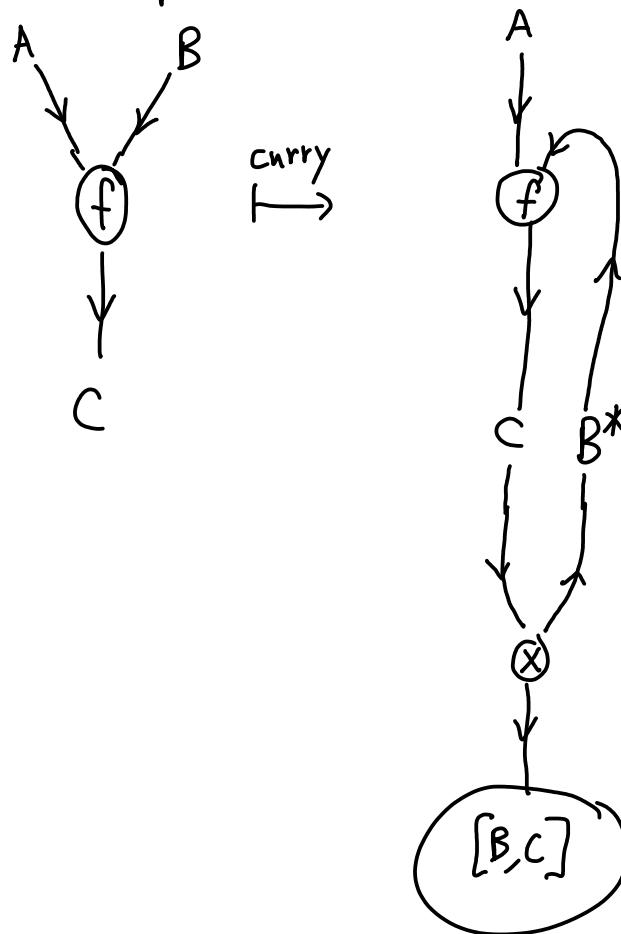
$$\begin{array}{c} A^* \quad A \\ \uparrow \downarrow \varepsilon \\ A^* \otimes A \\ \downarrow \quad \text{I} \end{array}$$

such  
that

$$\begin{array}{c} \downarrow \quad \downarrow \\ \text{I} = \downarrow \quad \text{I} = \downarrow \end{array}$$

$\mathcal{C}$  is compact (= rigid) if every object has a dual.

A compact category is automatically closed with  $[A, B] = A^* \otimes B$ :



$$\begin{array}{c} C \quad B^* \quad B \\ \downarrow \quad \uparrow \quad \downarrow \\ \text{I} = \quad \text{ev} \quad \downarrow \\ C \end{array}$$

$$\begin{array}{c} A \quad B \\ \downarrow \quad \downarrow \\ f \\ \uparrow \quad \downarrow \\ A \quad B \\ \downarrow \quad \downarrow \\ C \end{array} = \begin{array}{c} A \quad B \\ \downarrow \quad \downarrow \\ f \\ \downarrow \\ C \end{array}$$

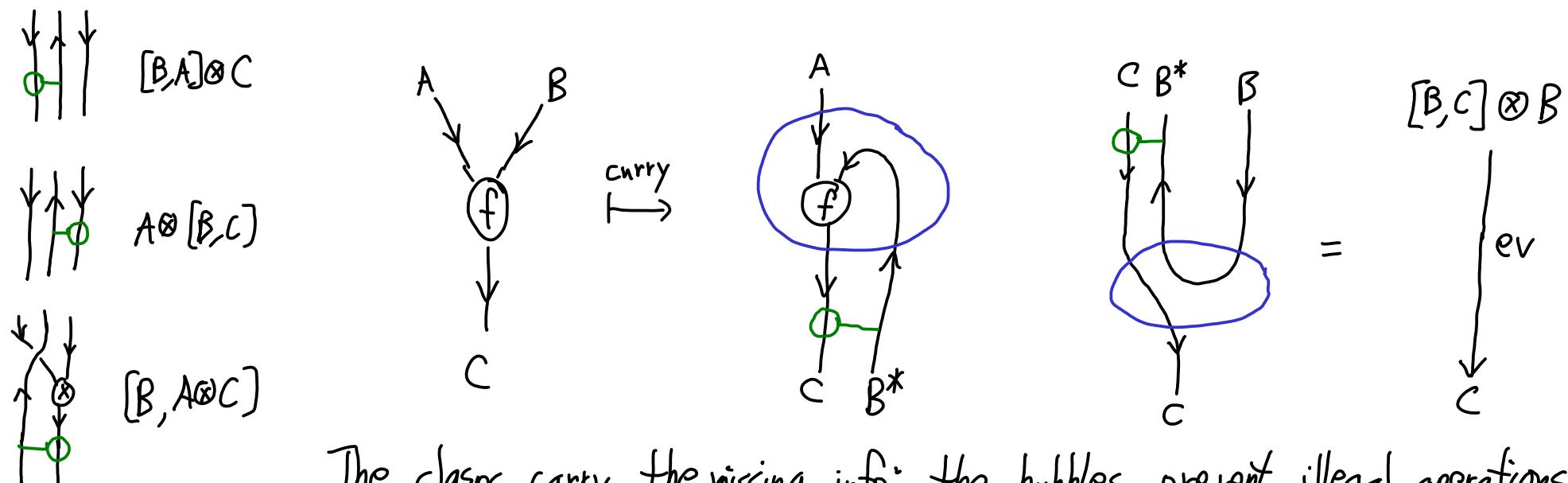
etc.

What about closed symmetric monoidal categories (csmc) that are not compact?  
 (e.g. Set, Ab, Vect, ...)

$$\begin{array}{ccc} \downarrow & \downarrow & \downarrow \\ A & B^* & C \\ \downarrow & \uparrow & \downarrow \\ = ? & & \end{array}$$

$[B,A] \otimes C$  ?  
 $A \otimes [B,C]$  ?  
 $[B, A \otimes C]$  ?

Baez-Say (Rosetta stone) proposed *clasps* and *bubbles*:



The clasps carry the missing info; the bubbles prevent illegal operations.

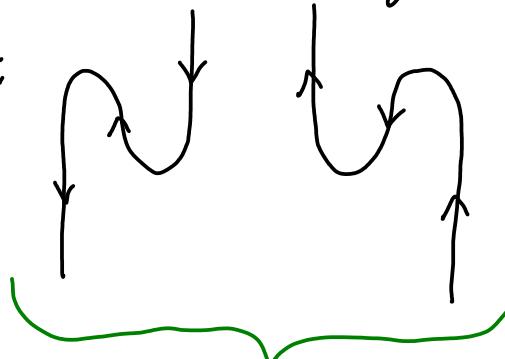
The main "illegal operation" to worry about is the trace:

$$\text{Diagram: } \text{f} \circ \text{f} = \frac{\text{I}}{\text{tr(f)}} \quad \text{which exists in any compact category, but not in most csmc's.}$$

Joyal-Street-Verity ("Traced monoidal categories"): A smc embeds in a compact one if and only if it is traced.

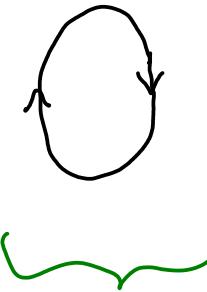
## 2. Linear Distributivity

OK:



simply connected (no loops)

NOT OK:

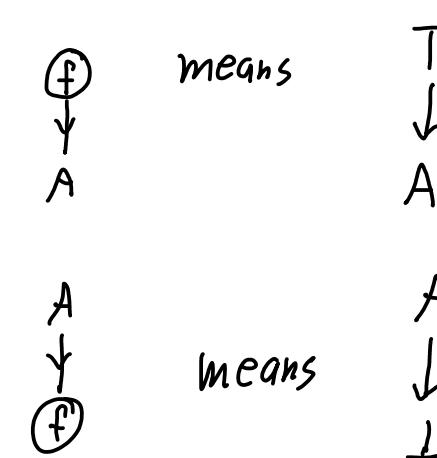
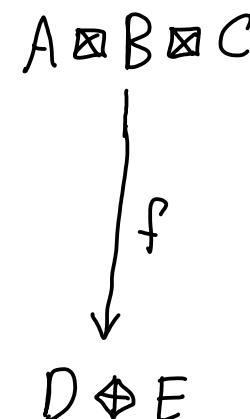
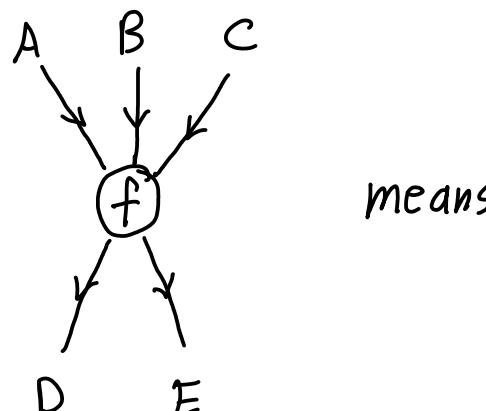


What's the difference?

NOT simply connected !

Idea: Forbid by using a different tensor product for inputs and outputs, so the output of  $\eta$  and the input of  $\varepsilon$  don't match.

A linearly distributive category (née "weakly distributive", Cockett-Seely) has two symmetric monoidal structures  $(\boxtimes, \top)$  and  $(\oplus, \perp)$  plus structure and axioms.



Let  $\mathcal{D}$  be linearly distributive. A dual of  $A$  is  $A^*$  with

$$\begin{array}{c} T \\ \downarrow \eta \\ A \end{array} = \begin{array}{c} A^* \\ \downarrow \gamma \\ A \end{array} \quad \text{and} \quad \begin{array}{c} A^* \otimes A \\ \downarrow \gamma \\ A^* \end{array} = \begin{array}{c} A^* \otimes A \\ \downarrow \varepsilon \\ \perp \end{array}$$

such  
that

(C)  $\begin{array}{c} \text{---} \\ \downarrow \gamma \\ \text{---} \end{array} = \begin{array}{c} \text{---} \\ \downarrow \varepsilon \\ \text{---} \end{array}$  and  $\begin{array}{c} \text{---} \\ \downarrow \varepsilon \\ \text{---} \end{array} = \begin{array}{c} \text{---} \\ \downarrow \gamma \\ \text{---} \end{array}$

What does this mean?

$$\begin{array}{c} A \\ \downarrow \cong \\ T \otimes A \\ \downarrow \eta \otimes 1 \\ (A \oplus A^*) \otimes A \\ \downarrow \delta \\ A \oplus (A^* \otimes A) \\ \downarrow 1 \otimes \varepsilon \\ A \oplus \perp \\ \downarrow \cong \\ A \end{array}$$

The structure of  $\mathcal{D}$  relating  $\otimes$  and  $\oplus$   
is morphisms  
 $(A \oplus B) \otimes C \rightarrow A \oplus (B \otimes C)$   
satisfying axioms.

NB Duals in a linearly distributive category do NOT have traces!

$$\begin{array}{c} T \\ \downarrow \eta \\ A^* \oplus A \\ \neq \\ A^* \otimes A \\ \downarrow \varepsilon \\ \perp \end{array}$$

We have

$$\begin{array}{c} A \\ \swarrow \quad \searrow \\ \square \\ \downarrow \\ A \otimes B \end{array} = \begin{array}{c} A \otimes B \\ \downarrow 1 \\ A \otimes B \end{array}$$

and

$$\begin{array}{c} A \oplus B \\ \uparrow \quad \downarrow \\ \diamond \\ A \quad B \\ \downarrow \\ A \oplus B \end{array} = \begin{array}{c} A \oplus B \\ \downarrow 1 \\ A \oplus B \end{array}$$

To make  $\begin{array}{c} A \\ \downarrow \\ \oplus \\ \swarrow \quad \searrow \\ B \quad C \end{array}$  into

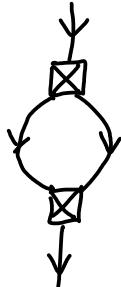
$$\begin{array}{c} A \\ \downarrow \\ \oplus \\ \downarrow \\ B \oplus C \end{array}$$

we also allow

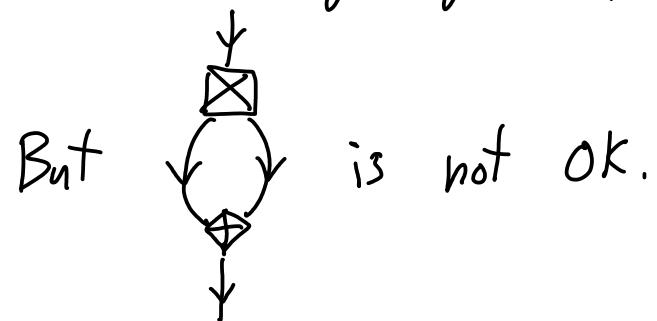
$$\begin{array}{c} A \quad B \\ \swarrow \quad \searrow \\ \diamond \\ \downarrow \\ A \oplus B \end{array} \text{ and } \begin{array}{c} A \otimes B \\ \downarrow \\ \square \\ \swarrow \quad \searrow \\ A \quad B \end{array}$$

which are **not morphisms** in  $\mathcal{D}$ , but formal bits of string-diagram syntax.

This complicates the validity criterion:

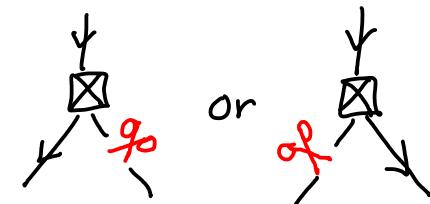
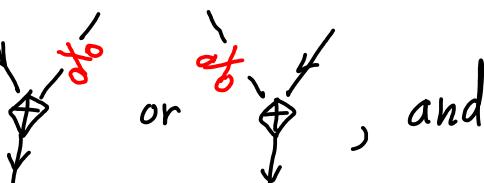


is OK,  
though not  
simply  
connected.



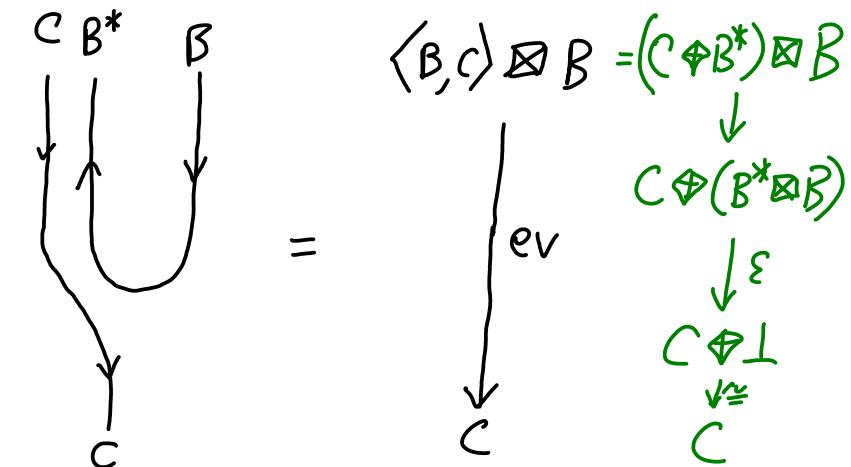
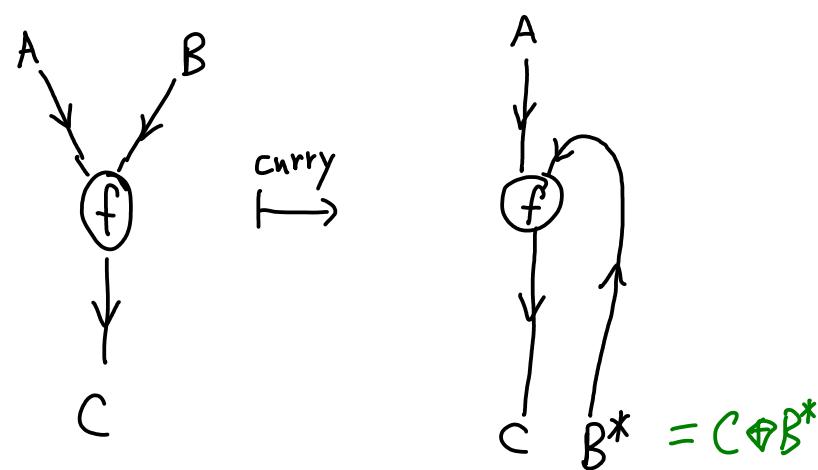
Global proof net criterion (Girard, Danos-Regnier): A string diagram without  $T, \perp$  is valid iff it becomes simply-connected whenever we cut exactly one of the paired edges of each formal node:

(For  $T, \perp$  see Blute-Cockett-Saely-Trimble.)



A linearly distributive category with duals for all objects is called  $\star$ -autonomous.  
 (Can then recover  $\otimes$  from duals by  $A \otimes B = (A^* \boxtimes B^*)^*$ , leading to the original definition by Barr as a csmc w/ duality  $\mathcal{C} \cong \mathcal{C}^{\text{op}}$ .)

In a  $\star$ -autonomous category,  $\boxtimes$  is closed (and  $\boxplus$  is co-closed) with  $\langle A, B \rangle = A^* \boxplus B$ . We can draw the same pictures without clasps/bubbles:



Can we embed an arbitrary csmc in a  $\star$ -autonomous category?

If so, we could use its string diagrams.

A more basic question: are there any  $\star$ -autonomous categories?

- 1) Any smc is linearly distributive with  $\boxtimes = \boxplus = \otimes$ . Then  $\star$ -autonomous  $\Leftrightarrow$  compact.
- 2) Any Boolean algebra is  $\star$ -autonomous with  $\boxtimes = \wedge$ ,  $\boxplus = \vee$ ,  $A^* = \neg A$ .  
 (But Boolean algebras don't categorify well.)

### 3. The Chu construction

How can we make a csmc  $\mathcal{C}$  into a  $*$ -autonomous category  $\mathcal{D}$ ?  
 Since we must have  $\mathcal{D} \simeq \mathcal{D}^{\text{op}}$ , one thing to try is  $\mathcal{D} = \mathcal{C} \times \mathcal{C}^{\text{op}}$ .  
 The simplest thing to try is to re-use the structure of  $(\mathcal{C}, \otimes, I)$  for the first components of  $(\boxtimes, T)$ :

$$(A^+, A^-) \boxtimes (B^+, B^-) = (A^+ \otimes B^+, ?) \quad (\text{to be filled in later})$$

$$T = (I, ?)$$

For the internal-horn  $\langle , \rangle$  of  $\boxtimes$  in  $\mathcal{D}$  we must have bijections

$$\begin{array}{c} T \rightarrow \langle (A^+, A^-), (B^+, B^-) \rangle \\ \hline (A^+, A^-) \rightarrow (B^+, B^-) \\ \hline A^+ \rightarrow B^+ & B^- \rightarrow A^- \\ \hline I \rightarrow [A^+, B^+] & I \rightarrow [B^-, A^-] \\ \hline I \rightarrow [A^+, B^+] \times [B^-, A^-] \end{array} \quad \xleftarrow{\text{becomes}} \quad \begin{array}{l} I \rightarrow [A^+, B^+] \times [B^-, A^-] \\ ? \leftarrow ? \end{array}$$

so this certainly works  
if  $T = (I, 1)$ .

so it's natural to guess

$$\langle (A^+, A^-), (B^+, B^-) \rangle = ([A^+, B^+] \times [B^-, A^-], ?)$$

This determines everything since  $\langle A, B \rangle = A^* \otimes B = (A \boxtimes B^*)^*$  !

$$(A^+, A^-) \boxtimes (B^+, B^-)^* = (A^+, A^-) \boxtimes (B^-, B^+)$$

$$= (A^+ \otimes B^-, ?)$$

$$\left( (A^+, A^-) \boxtimes (B^+, B^-)^* \right)^* = (? , A^+ \otimes B^-) = ([A^+, B^+] \times [B^-, A^-], ?)$$

$$= ([A^+, B^+] \times [B^-, A^-], A^+ \otimes B^-) \quad \text{and hence}$$

$$(A^+, A^-) \boxtimes (B^+, B^-) = (A^+ \otimes B^+, [A^+, B^-] \times [B^+, A^-]).$$

Theorem Any csmc with finite products embeds by a strong sym. monoidal functor in a  $*$ -autonomous category  $\text{Chu}(\mathcal{C}, 1) = \mathcal{C} \times \mathcal{C}^{\text{op}}$ , via  $A \mapsto (A, 1)$ .

BUT this is not generally a closed embedding.

$$\langle (A, 1), (B, 1) \rangle = ([A, B] \times [1, 1], A \otimes 1) \cong ([A, B], A \otimes 1) \not\cong ([A, B], 1)$$

unless  $A \otimes 1 \cong 1$  (e.g. if  $1 = 0$ ).

More general Chu constructions: Choose  $d \in \mathcal{C}$ .

obj:  $(A^+, A^-, A^+ \otimes A^- \rightarrow d)$

↓ ↑ such that a square commutes.  $\rightsquigarrow \text{Chu}(\mathcal{C}, d)$ .

mor  $(B^+, B^-, B^+ \otimes B^- \rightarrow d)$

products become pullbacks

E.g. | Lots of dualities embed in Chu's.

$\mathcal{C} = \text{Vect}$ ,  $d = \mathbb{k}$  ,  $\text{Hilb} \subset \text{Chu}(\mathcal{C}, d)$

$$V \otimes V \xrightarrow{\langle \cdot, \cdot \rangle} \mathbb{k}.$$

$\mathcal{C} = \text{Cat}$   $d = \text{Set}$  ,  $\text{Adj} \subset \text{Chu}(\mathcal{C}, d)$  (2-Chu constr.).

$$(A^{\text{op}}, A, \text{hom}: A^{\text{op}} \times A \rightarrow \text{Set})$$

$\mathcal{C} = \text{Set}$   $d = 2$  , Top as  $(X, \mathcal{O}(X), \in)$ .

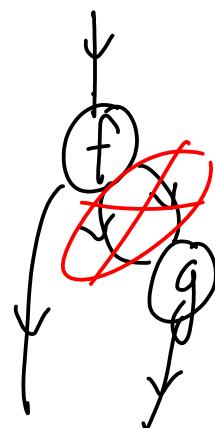
etc... Stone duality, Pontryagin duality...

#### 4. Polycategories.

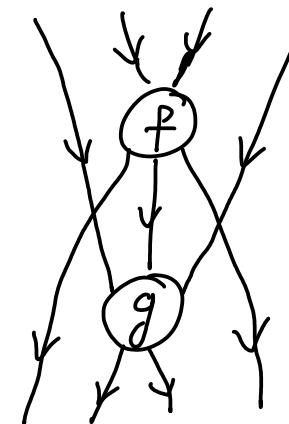
multicategory       $\mathcal{C}(A_1, \dots, A_n ; B) \cong \mathcal{C}(A_1 \otimes \dots \otimes A_n ; B)$ .

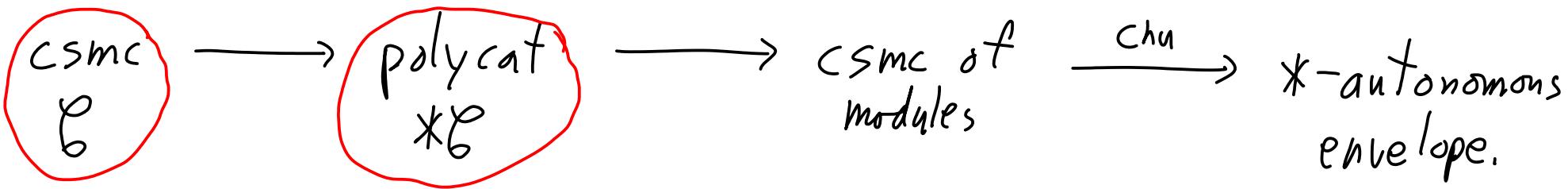
polycategory       $\mathcal{C}(A_1, \dots, A_m ; B_1, \dots, B_n) \cong \mathcal{C}(A_1 \boxtimes \dots \boxtimes A_m ; B_1 \boxplus \dots \boxplus B_n)$

Not a PROP — only allow composition along one object  
(simple connectivity)



OK in a PROP  
NOT in a polycat





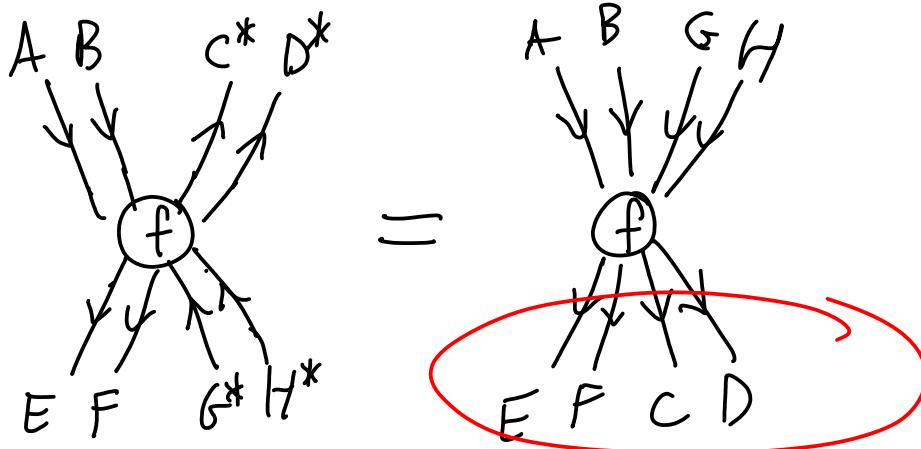
formally add duals to  $\mathcal{C}$ : new objects  $A^*$

$$\star\mathcal{C}(A, B; C^*, D, E^*) = \mathcal{C}(A, B, C, E; D) = \mathcal{C}(A \otimes B \otimes C \otimes E; D)$$



NB

a lot of homsets are empty in  $\star\mathcal{C}$ .



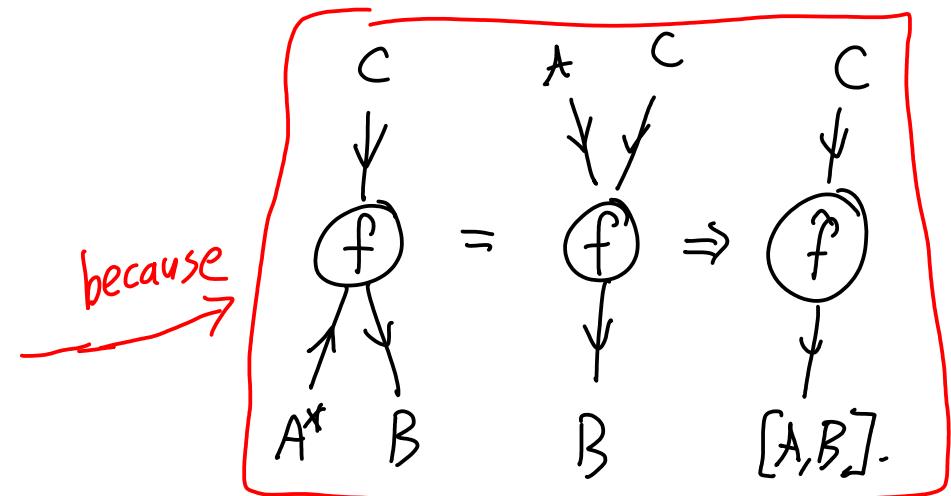
where on the right we mean morphisms in the underlying multicategory (not PROP) of  $\mathcal{C}$ . Thus, there are no such morphisms unless there is exactly one object in  $\{E, F, C, D\}$ .

Can  $\ast\mathcal{C}$  have  $\otimes$  and  $\oplus$ ? It has some, but not all.

$A \otimes B$  is  $A \boxtimes B$  in  $\ast\mathcal{C}$ .

$(A \otimes B)^*$  is  $A^* \boxplus B^*$  in  $\ast\mathcal{C}$ .

$[A, B]$  is  $A^* \boxplus B$  in  $\ast\mathcal{C}$ .



Need to add  $\otimes$  and  $\oplus$  to  $\ast\mathcal{C}$ , preserving the ones we had.

## 5. Hyland envelope

$P$  a polycat,  $A \in P$ . Have two representables

$$\mathcal{Z}_A(B_1 \dots B_m; C_1 \dots C_n) = P(B_1 \dots B_m; C_1 \dots C_n, A).$$

$${}_A\mathcal{Z}(B_1 \dots B_m; C_1 \dots C_n) = P(B_1 \dots B_m, A; C_1 \dots C_n).$$

$\mathcal{Z}_A, {}_A\mathcal{Z} \in \text{Mod}_P$  (A module has hom-sets  
 $M(B_1 \dots B_m; C_1 \dots C_n)$  w/ actions by arrows in  $P$ )

$\text{Mod}_P$  is a csmc, and has a canonical object " $P$ ".

Thm (Hyland)  $P \xrightarrow{\text{ff}} \text{Chu}(\text{Mod}_P, P) = \text{Env}_P$ .

(polycategorical Yoneda embedding).

Now consider only those modules that respect some specified  
 $\boxtimes$ s and  $\oplus$ s in  $P$ , to get an embedding that preserves those.

$\mathcal{G} \hookrightarrow [G^{\text{op}}, \text{Set}]$  Analogy  
 preserves limits,  $\uparrow$   
 bicomplete

consider only  $X: \mathcal{G}^{\text{op}} \rightarrow \text{Set}$   
 preserving some colimits.  
 in  $\mathcal{G}$

$P \hookrightarrow \text{Env}_{P,J}$ .

\*-autonomous

Answer: A csmc  $\mathcal{C}$ ,  $\mathcal{C} \hookrightarrow \text{Env}_{*\mathcal{C}, J}$

where  $J \ni A \otimes B = A \boxtimes B$

$$[A, B] = A^* \boxplus B.$$

This is a closed symmetric monoidal embedding, so any string diagram we draw in the \*-autonomous  $\text{Env}_{*\mathcal{C}, J}$  whose domain and codomain lie in (the image of  $\mathcal{C}$ ) represents a unique morphism in  $\mathcal{C}$ , and the operations  $\otimes, T$ , and  $\langle , \rangle$  of  $\text{Env}_{*\mathcal{C}, J}$  restrict to the operations  $\otimes, I$ , and  $[,]$  of  $\mathcal{C}$ .

But  $\text{Env}_{*\mathcal{C}, J}$  also has "new" objects like  $A \boxplus B$ , for  $A, B \in \mathcal{C}$ .