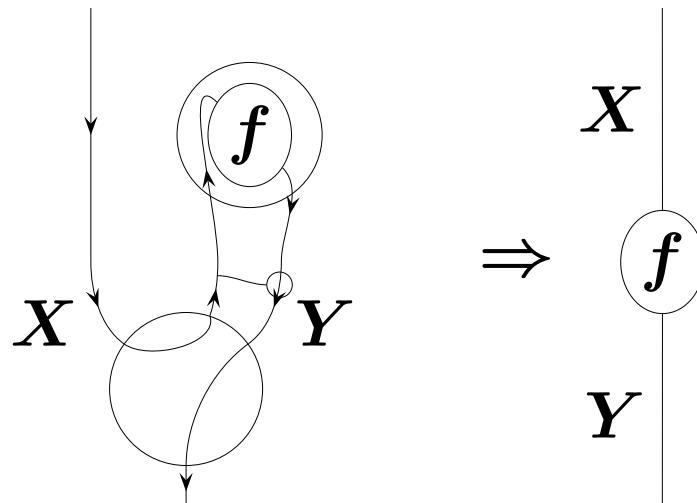


Computation and the Periodic Table

John C. Baez

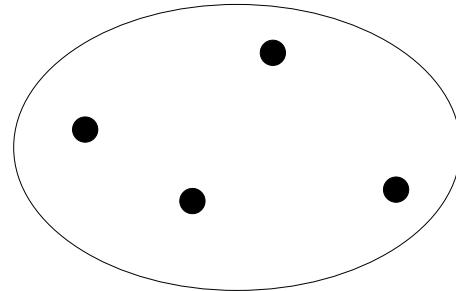
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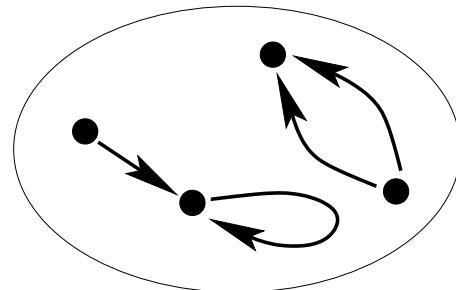
$$(\lambda x:X.f(x))(a) \Rightarrow f(a)$$

for references and more, see
<http://math.ucr.edu/home/baez/periodic/>

Once upon a time, mathematics was all about *sets*:

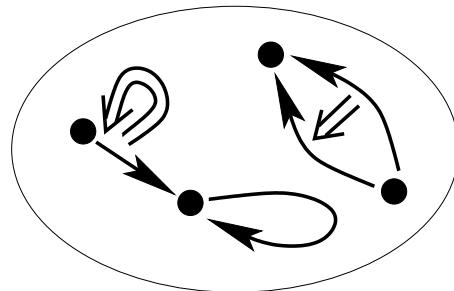


In 1945, Eilenberg and Mac Lane introduced *categories*:

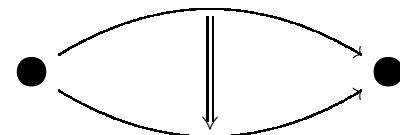


Category theory puts *processes* (morphisms): $\bullet \rightarrow \bullet$
on an equal footing with *things* (objects): \bullet

In 1967 Bénabou introduced *weak 2-categories*:



These include *processes between processes*, or ‘2-morphisms’:



We can compose 2-morphisms vertically:

$$x \bullet \begin{array}{c} f \\ \swarrow \downarrow f' \parallel \alpha \\ \searrow \downarrow \alpha' \\ f'' \end{array} \bullet y = x \bullet \begin{array}{c} f \\ \downarrow \alpha \alpha' \\ f'' \end{array} \bullet z$$

or horizontally:

$$x \bullet \begin{array}{c} f \\ \downarrow \alpha \\ f' \end{array} \bullet \begin{array}{c} g \\ \downarrow \beta \\ g' \end{array} \bullet z = x \bullet \begin{array}{c} fg \\ \downarrow \alpha \otimes \beta \\ f'g' \end{array} \bullet z$$

and various laws hold, including the ‘interchange’ law:

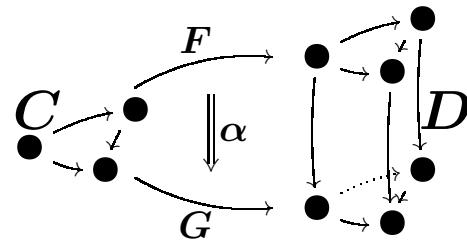
$$\bullet \begin{array}{c} f \\ \swarrow \downarrow f' \parallel \alpha \\ \searrow \downarrow \alpha' \\ f'' \end{array} \bullet \begin{array}{c} g \\ \downarrow \beta \\ g' \end{array} \bullet$$

$$(\alpha \alpha') \otimes (\beta \beta') = (\alpha \otimes \beta)(\alpha' \otimes \beta')$$

The ‘set of all sets’ is really a category: Set .

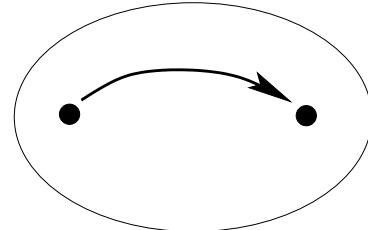
The ‘category of all categories’ is really a **2-category**:
 Cat . It has:

- categories as objects,
- functors as morphisms,
- natural transformations as 2-morphisms.

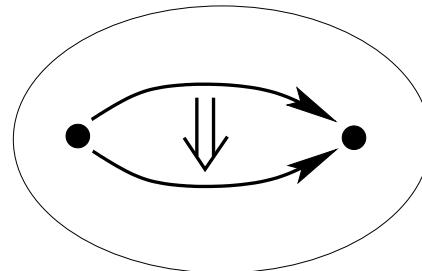


Cat is a ‘strict’ 2-category: all laws hold *exactly*, not just up to isomorphism. But there are also many interesting *weak* 2-categories!

For example, any topological space has a *fundamental groupoid*:



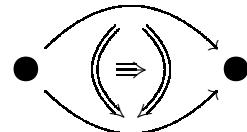
It also has a *fundamental 2-groupoid*:



This is a weak 2-category with:

- points as objects,
- paths as morphisms,
- homotopy classes of ‘paths of paths’ as 2-morphisms.

In 1995, Gordon, Power and Street introduced *weak 3-categories*:



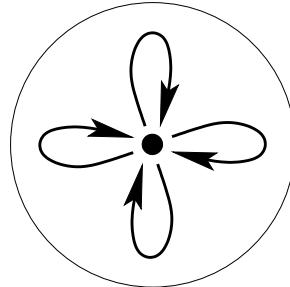
Now people are studying *weak n -categories* and even ∞ -categories. This is starting to have a big impact on topology and physics. How about computation?

Is computation about processes between processes between processes...?

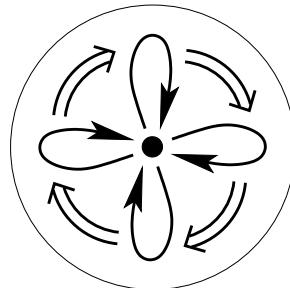
Yes! But to orient ourselves, we need some hypotheses about how n -categories work.

My favorite is the ‘Periodic Table’.

A category with one object is a *monoid* — a set with associative multiplication and a unit element:



A 2-category with one object is a *monoidal category* — a category with an associative ‘tensor product’ and a unit object:



Now associativity and the unit laws are ‘weakened’:

$$(x \otimes y) \otimes z \cong x \otimes (y \otimes z), \quad I \otimes x \cong x \cong x \otimes I$$

To regard a 2-category with one object as a monoidal category:

- we ignore the object,
- we rename the morphisms ‘objects’,
- we rename the 2-morphisms ‘morphisms’.

Vertical and horizontal composition of 2-morphisms become composition and tensoring of morphisms:

$$\begin{array}{ccc}
 \begin{array}{c} X \\ \downarrow \\ \textcircled{f} \\ \downarrow \\ Y \\ \downarrow \\ \textcircled{g} \\ \downarrow \\ Z \end{array} & = & \begin{array}{c} X \\ \downarrow \\ \textcircled{fg} \\ \downarrow \\ Z \end{array} \\
 \begin{array}{c} X \\ \downarrow \\ \textcircled{f} \\ \downarrow \\ X' \\ \downarrow \\ \textcircled{f'} \\ \downarrow \\ Y \\ \downarrow \\ Y' \end{array} & = & \begin{array}{c} X \otimes X' \\ \downarrow \\ \textcircled{f \otimes f'} \\ \downarrow \\ Y \otimes Y' \end{array}
 \end{array}$$

In general, we expect an n -category with one object is a *monoidal* $(n - 1)$ -category.

For example:

- Set is a monoidal category, using the cartesian product $S \times T$ of sets.
- Cat is a monoidal 2-category, using the cartesian product $C \times D$ of categories.
- We expect that n Cat is a monoidal $(n + 1)$ -category!

QUESTION: what's a *monoidal category* with just one object? It must be some sort of monoid...

It has one object, namely the unit I , and a set of morphisms $\alpha: I \rightarrow I$. We can compose morphisms:

$$\alpha\beta$$

and also tensor them:

$$\alpha \otimes \beta$$

Composition and tensoring are related by the interchange law:

$$(\alpha\alpha') \otimes (\beta\beta') = (\alpha \otimes \beta)(\alpha' \otimes \beta')$$

So, we can carry out the ‘Eckmann–Hilton argument’:

α	β
----------	---------

α	1
1	β

α
β

1	α
β	1

β	α
---------	----------

$$\alpha \otimes \beta = (\alpha \otimes 1)(1 \otimes \beta) \qquad \qquad (1\beta) \otimes (\alpha 1) = \beta \otimes \alpha$$

||

||

$$(\alpha 1) \otimes (1\beta) = \alpha\beta = (1 \otimes \alpha)(\beta \otimes 1)$$

ANSWER: a monoidal category with one object is a *commutative monoid*!

In other words: a 2-category with one object and one morphism is a commutative monoid.

What's the pattern?

An $(n+k)$ -category with only one j -morphism for $j < k$ can be reinterpreted as an n -category.

But, it will be an n -category with k ways to ‘multiply’: a *k -tuply monoidal n -category*.

For example $n = 1, k = 1$: a 2-category with one object is a monoidal category.

When there are several ways to multiply, the Eckmann–Hilton argument gives a kind of ‘commutativity’.

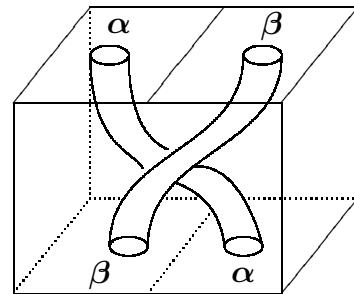
Our guesses are shown in the Periodic Table...

k -tuply monoidal n -categories

	$n = 0$	$n = 1$	$n = 2$
$k = 0$	sets	categories	2-categories
$k = 1$	monoids	monoidal categories	monoidal 2-categories
$k = 2$	commutative monoids	braided monoidal categories	braided monoidal 2-categories
$k = 3$	"	symmetric monoidal categories	sylleptic monoidal 2-categories
$k = 4$	"	"	symmetric monoidal 2-categories
$k = 5$	"	"	"

Consider $n = 1$, $k = 2$: a doubly monoidal 1-category is a *braided monoidal category*. The Eckmann–Hilton argument gives the braiding:

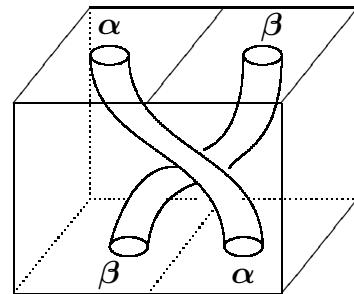
$$\begin{array}{|c|c|} \hline \alpha & \beta \\ \hline \end{array} \cong \begin{array}{|c|c|} \hline \alpha & 1 \\ \hline 1 & \beta \\ \hline \end{array} \cong \begin{array}{|c|c|} \hline \alpha \\ \hline \beta \\ \hline \end{array} \cong \begin{array}{|c|c|} \hline 1 & \alpha \\ \hline \beta & 1 \\ \hline \end{array} \cong \begin{array}{|c|c|} \hline \beta & \alpha \\ \hline \end{array}$$



$$B_{\alpha,\beta}: \alpha \otimes \beta \xrightarrow{\sim} \beta \otimes \alpha$$

The *process of proving an equation* has become an *isomorphism!* This happens when we move one step right in the Periodic Table.

Indeed, a *different proof* of commutativity becomes a *different isomorphism*:

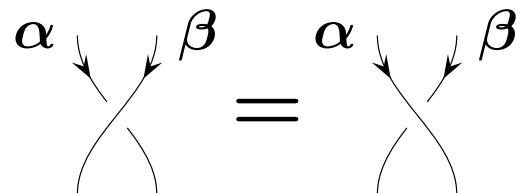


$$B_{\beta, \alpha}^{-1} : \alpha \otimes \beta \xrightarrow{\sim} \beta \otimes \alpha$$

This explains the existence of knots!

Shum's theorem: 1Tang_2 , the category of 1d tangles in a (1+2)-dimensional cube, is the free braided monoidal category with duals on one object.

A triply monoidal 1-category is a *symmetric monoidal category*. Now we have ‘three dimensions of space’ instead of just two. This makes the two ways of moving α past β equal:



So, the situation is ‘more commutative’. This happens when we move one step down in the Periodic Table.

We can untie all knots in 4d:

Theorem: 1Tang_3 , the category of 1d tangles in a $(1+3)$ -dimensional cube, is the free symmetric monoidal category with duals on one object.

However, k -tuply monoidal n -categories seem to become ‘maximally commutative’ when k reaches $n+2$.

For example, you can untie all n -dimensional knots in a $(2n+2)$ -dimensional cube. Extra dimensions don’t help!

Stabilization Hypothesis: k -tuply monoidal n -categories are equivalent to $(k+1)$ -tuply monoidal n -categories when $k \geq n+2$.

We call these *stable n -categories*. These should serve as abstract contexts for computation in which data doesn’t get ‘tangled up’ as it moves.

$n\text{Cat}$ should be a stable $(n+1)$ -category.

Now, what about computation?

Topological quantum computation uses *braided* monoidal categories, but more often we use *symmetric* monoidal categories where:

- objects are *types* X, Y, Z, \dots
- morphisms $f: X \rightarrow Y$ are equivalence classes of *terms* of type Y with free variable of type X .

For example: Lambek showed that any theory in the simply typed λ -calculus gives a cartesian closed category. Two terms give the same morphism if they differ by certain *rewrite rules*, such as β -reduction:

$$(\lambda x:X.f(x))(a) \Rightarrow f(a)$$

Identifying terms that differ by rewrite rules amounts to *ignoring the process of computation!* To avoid this, use a 2-category where:

- objects are *types* X, Y, Z, \dots
- morphisms $f: X \rightarrow Y$ are *terms* of type Y with free variable of type X .
- 2-morphisms $\alpha: f \Rightarrow g$ are equivalence classes of *sequences of rewrites* going from f to g .

Any theory in the simply-typed λ -calculus should give a ‘cartesian closed 2-category’ this way.

More generally, we should get ‘monoidal closed 2-categories’ where the 2-morphisms are *processes of computation*.

In a monoidal closed 2-category, any pair of objects (types) X, Y has a ‘function type’ $X \multimap Y$:

$$\begin{array}{c} X \xrightarrow{\quad Y \quad} \\ \uparrow \quad \downarrow \\ \text{---} \end{array} \quad := \quad \downarrow X \multimap Y$$

Any morphism $f: X \rightarrow Y$:

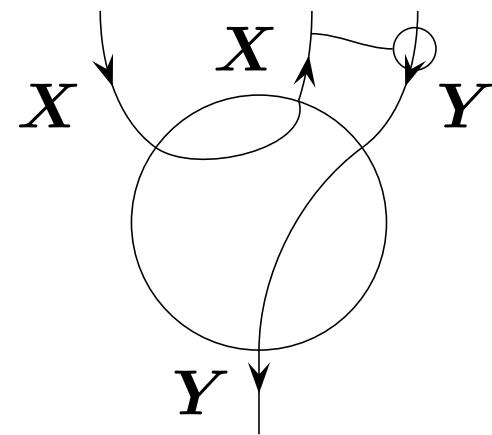
$$\begin{array}{c} X \downarrow \\ \text{---} \\ f \\ \text{---} \\ Y \downarrow \end{array}$$

has a ‘name’ $\lceil f \rceil: I \rightarrow (X \multimap Y)$:

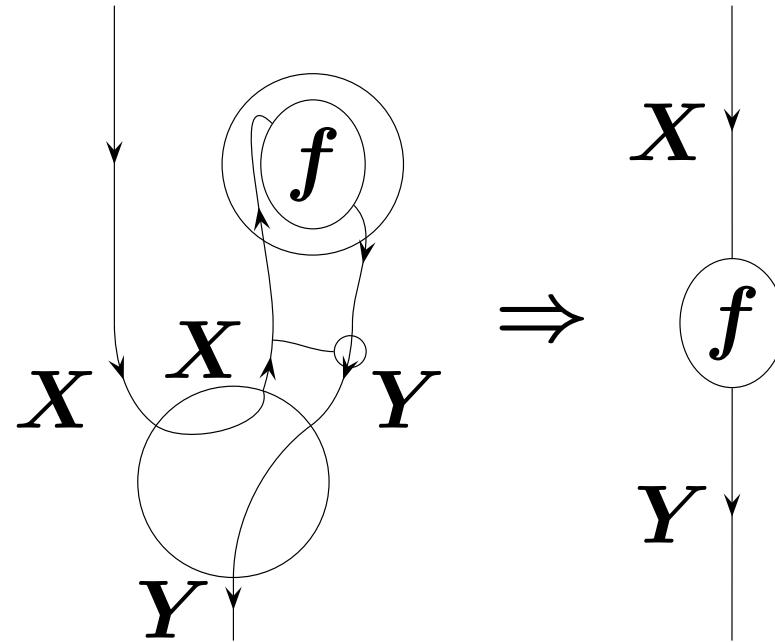
$$\begin{array}{c} \text{---} \\ \text{---} \\ f \\ \text{---} \\ X \xrightarrow{\quad Y \quad} \end{array}$$

We also have an ‘evaluation’ morphism:

$$\text{ev}_{X,Y} : X \otimes (X \multimap Y) \rightarrow Y$$



But, evaluating the name of f does not give f . It gives a morphism *isomorphic* to f via some 2-morphism:

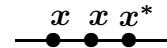


In the λ -calculus, this 2-morphism corresponds to β -reduction:

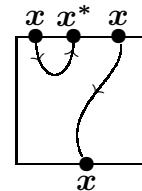
$$(\lambda x : X. f(x))(a) \rightarrow f(a)$$

This 2-morphism exists in any monoidal closed 2-category. For example 2Tang_1 , which has:

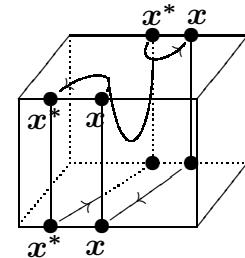
- collections of oriented points in the 1-cube as objects:



- 1d tangles in the 2-cube as morphisms:

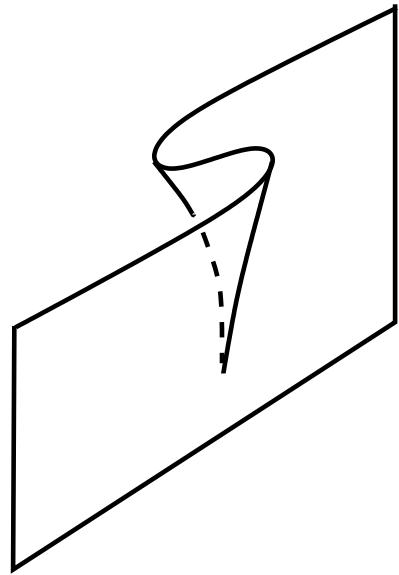


- isotopy classes of 2d tangles in the 3-cube as 2-morphisms:



Tangle Hypothesis: $n\text{Tang}_k$ is the free k -tuply monoidal n -category with duals on one object.

The 2-morphism analogous to β -reduction in 2Tang_1 is the *fold catastrophe*:



Like β -reduction, it ‘straightens out a zig-zag’.

This is the beginning of a long, unfinished story relating computation, topology and the Periodic Table.

k -tuply monoidal n -categories

	$n = 0$	$n = 1$	$n = 2$
$k = 0$	sets	categories	2-categories
$k = 1$	monoids	monoidal categories	monoidal 2-categories
$k = 2$	commutative monoids	braided monoidal categories	braided monoidal 2-categories
$k = 3$	"	symmetric monoidal categories	sylleptic monoidal 2-categories
$k = 4$	"	"	symmetric monoidal 2-categories
$k = 5$	"	"	"

See my webpage for links to references, e.g.:

- R. A. G. Seely, Modeling computations in a 2-categorical framework, *LICS* 1987.
- Barnaby P. Hilken, Towards a proof theory of rewriting: the simply-typed 2λ -calculus, *Theor. Comp. Sci.* 170 (1996), 407.
- Albert Burroni, Higher-dimensional word problems with applications to equational logic, *Theor. Comp. Sci.* 115 (1993), 43.
- Yves Guiraud, The three dimensions of proofs, *Ann. Pure Appl. Logic* 141 (2006), 266.
- Vladimir Voevodsky, A very short note on the homotopy lambda calculus, 2006.

and also my seminar and work with Mike Stay.