

# BUILDING 3D TQFTs

Suppose  $A \in 2Vect$  is a 2-algebra. We want to build a 3d TQFT from it: a symmetric monoidal functor

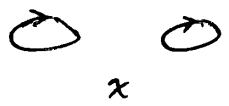
$$Z: 3Cob \rightarrow Vect$$

In fact, we'll do much more: we'll build an extended 3d TQFT, which assigns algebraic data to 1-, 2-, & 3-dimensional manifolds: a symmetric monoidal 2-functor

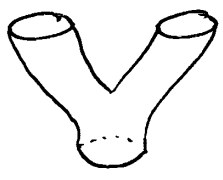
$$Z: 3Cob_2 \rightarrow 2Vect$$

where  $3Cob_2$  is a (symmetric monoidal) 2-category with

- 0) (compact oriented) 1-manifolds as objects:

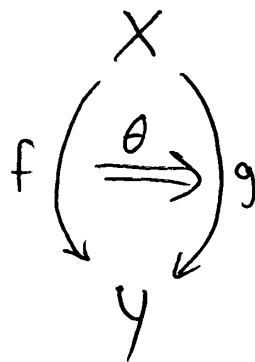
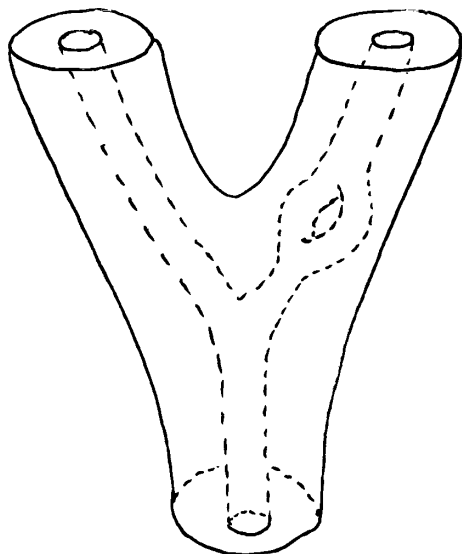


- 1) (compact oriented) 2-dimensional cobordisms between these as morphisms:



duals  $\sim$  orientation reversal  
(algebra) (geometry)

- 2) (compact oriented) 3-dimensional cobordisms between these as 2-morphisms:



Note: this is a manifold with corners

Such an extended TQFT automatically gives an ordinary TQFT. To begin to see this, note:

o) If  $x \in 3\text{Cob}_2$  is the empty set  $x = \emptyset$ , then

$$Z(x) \cong \text{Vect}$$

because  $\emptyset \in 3\text{Cob}_2$  is the unit object for disjoint union (the  $\otimes$  in  $3\text{Cob}_2$ ) while  $\text{Vect}$  is the unit for the  $\otimes$  in  $2\text{Vect}$ .

i) If  $f: \emptyset \rightarrow \emptyset$  is a morphism in  $3\text{Cob}_2$  — i.e. a 2-manifold with empty boundary (a “closed” 2-manifold) — then our extended TQFT gives

$$\begin{array}{ccc} Z(f) : Z(\emptyset) & \longrightarrow & Z(\emptyset) \\ \parallel & & \parallel \\ \text{Vect} & & \text{Vect} \end{array}$$

i.e. a  $1 \times 1$  matrix of vector spaces, i.e. a vector space!

Good: this is just what an ordinary 3d TQFT would do!

2) If we have a 2-morphism

$$f \begin{array}{c} \emptyset \\ \xrightarrow{\theta} \\ \emptyset \end{array} g \quad \text{in } 3\text{Cob}_2 \quad (\text{i.e. a cobordism between closed 2-manifolds})$$

our extended TQFT gives

$$Z(\theta) : Z(f) \implies Z(g)$$

i.e. a  $1 \times 1$  matrix of linear operators, i.e. an operator!

Good: this is what an ordinary 3d TQFT would do.

Summary: we have

$$\text{hom}_{3\text{Cob}_2}(\emptyset, \emptyset) \simeq 3\text{Cob}$$

↑  
a hom-category in the 2-category  $3\text{Cob}_2$

AND:

$$\text{hom}_{2\text{Vect}}(\text{Vect}, \text{Vect}) \simeq \text{Vect}$$

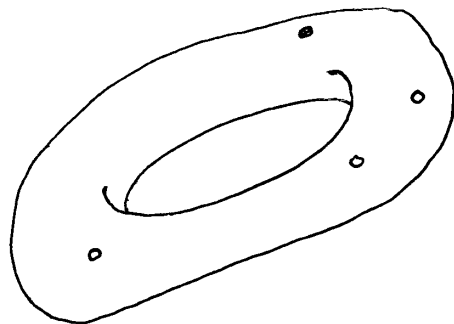
& our extended TQFT

$$Z : 3\text{Cob}_2 \longrightarrow 2\text{Vect}$$

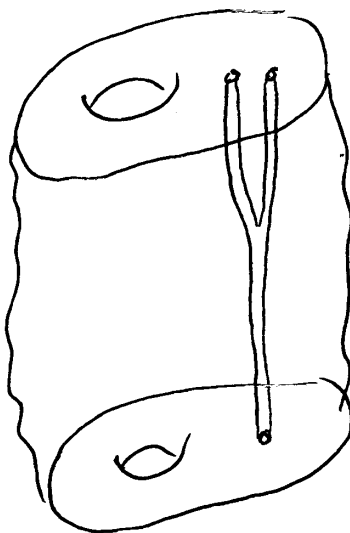
restricts to an ordinary TQFT

$$Z : 3\text{Cob} \longrightarrow \text{Vect}.$$

In physics, the fact that our extended TQFT assigns data to 2-manifolds with boundary:



allows us to describe 2d spaces including point particles, which can undergo various properties as time passes:

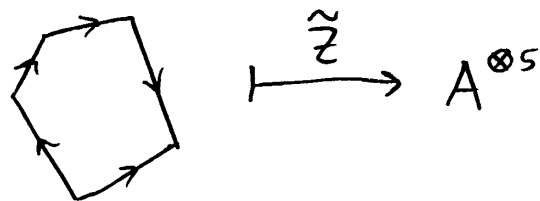


(although here we need some more sophisticated 2category theory than we've talked about so far: We need 2-morphisms  $\theta: f \Rightarrow g$  where  $f: x \rightarrow y$ ,  $g: x' \rightarrow y'$  — requiring some enhancements of our formalism

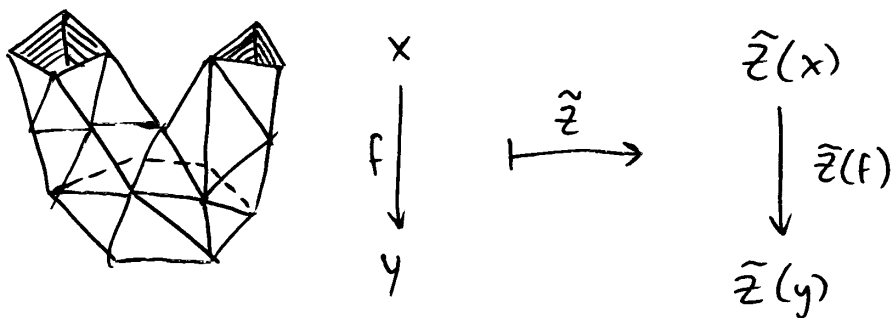
But: How do we get our 3d extended TQFT from a 2-algebra  $A \in \text{Vect}$ ? We'll start by

triangulating everything in sight, & attempting to define:

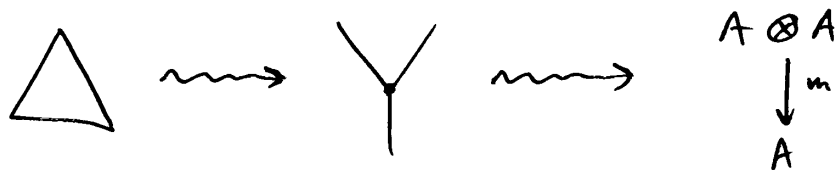
- 0) an object  $\tilde{Z}(x) \in 2Vect$  for every triangulated 1-manifold  $x$ :



- 1) a morphism  $\tilde{Z}(f): \tilde{Z}(x) \rightarrow \tilde{Z}(y)$  in  $2Vect$  for every triangulated 2d cobordism



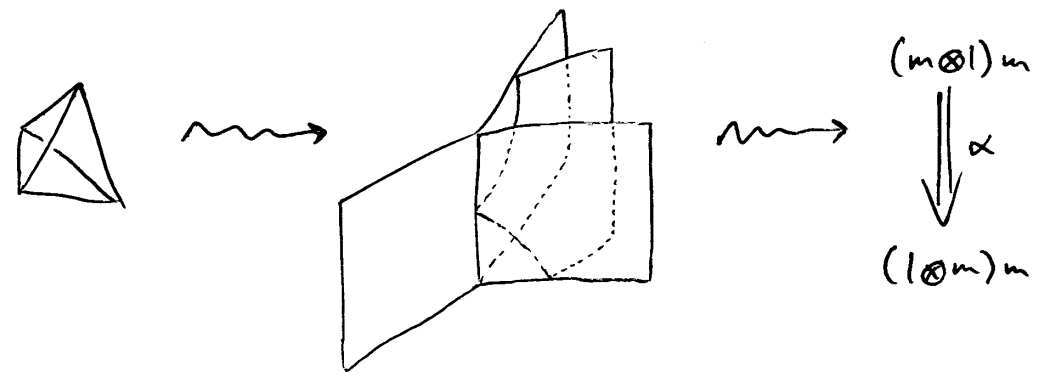
We'll do this by our old "Feynman diagram" or "Spin network" trick:



2) a 2-morphism  $\tilde{Z}(x) \begin{matrix} \xrightarrow{\tilde{Z}(f)} \\ \Downarrow \tilde{Z}(0) \\ \xrightarrow{\tilde{Z}(g)} \end{matrix} \tilde{Z}(y)$  in  $2Vect$

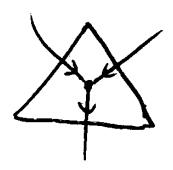
for every triangulated 3d cobordism  $X \begin{matrix} \xrightarrow{f} \\ \Downarrow \theta \\ \xrightarrow{g} \end{matrix} Y$

We'll do this by our new "spin foam" trick:

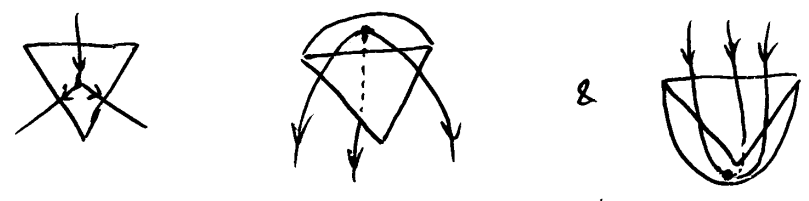


There are 2 main obstacles in our way.

1) As in the 2d case, we need to define  $\tilde{Z}$  not just on



but also:



As in the 2d case, this involves duality: need a pairing:

$$g: A \otimes A \rightarrow Vect$$

giving:

$$A \xrightarrow{\sim} A^* \cong \text{hom}(A, Vect)$$

$$a \mapsto g(a, -)$$

Here  $A$  will need to be semisimple. Note every 2-vector space  $A$  has:

$$\begin{aligned} \text{hom} : A^{\text{op}} \otimes A &\longrightarrow \text{Vect} \\ a \otimes b &\longmapsto \text{hom}(a, b) \end{aligned}$$

which gives

$$\begin{aligned} A^{\text{op}} &\xrightarrow{\sim} A^* && (\text{note: } \underline{\text{not}} A \simeq A^*) \\ a &\longmapsto \text{hom}(a, -) \end{aligned}$$

Also we need some ability to rotate our tetrahedra, getting not just

$$1 \quad \square \begin{array}{c} \diagup \\ \diagdown \end{array} \Rightarrow \square \begin{array}{c} \diagdown \\ \diagup \end{array}$$

but also

$$2 \quad \begin{array}{c} \diagdown \\ \diagup \end{array} \Rightarrow \begin{array}{c} \diagdown \\ \diagup \end{array}$$

$$3 \quad \begin{array}{c} \diagdown \\ \diagup \end{array} \Rightarrow \begin{array}{c} \diagup \\ \diagdown \end{array}$$

$$4 \quad \begin{array}{c} \diagdown \\ \diagup \end{array} \Rightarrow \square \begin{array}{c} \diagup \\ \diagdown \end{array}$$

$$5 \quad \square \begin{array}{c} \diagup \\ \diagdown \end{array} \Rightarrow \square \begin{array}{c} \diagdown \\ \diagup \end{array}$$

This requires another kind of duality. Both levels of duality are related to  $A$  being "semisimple".

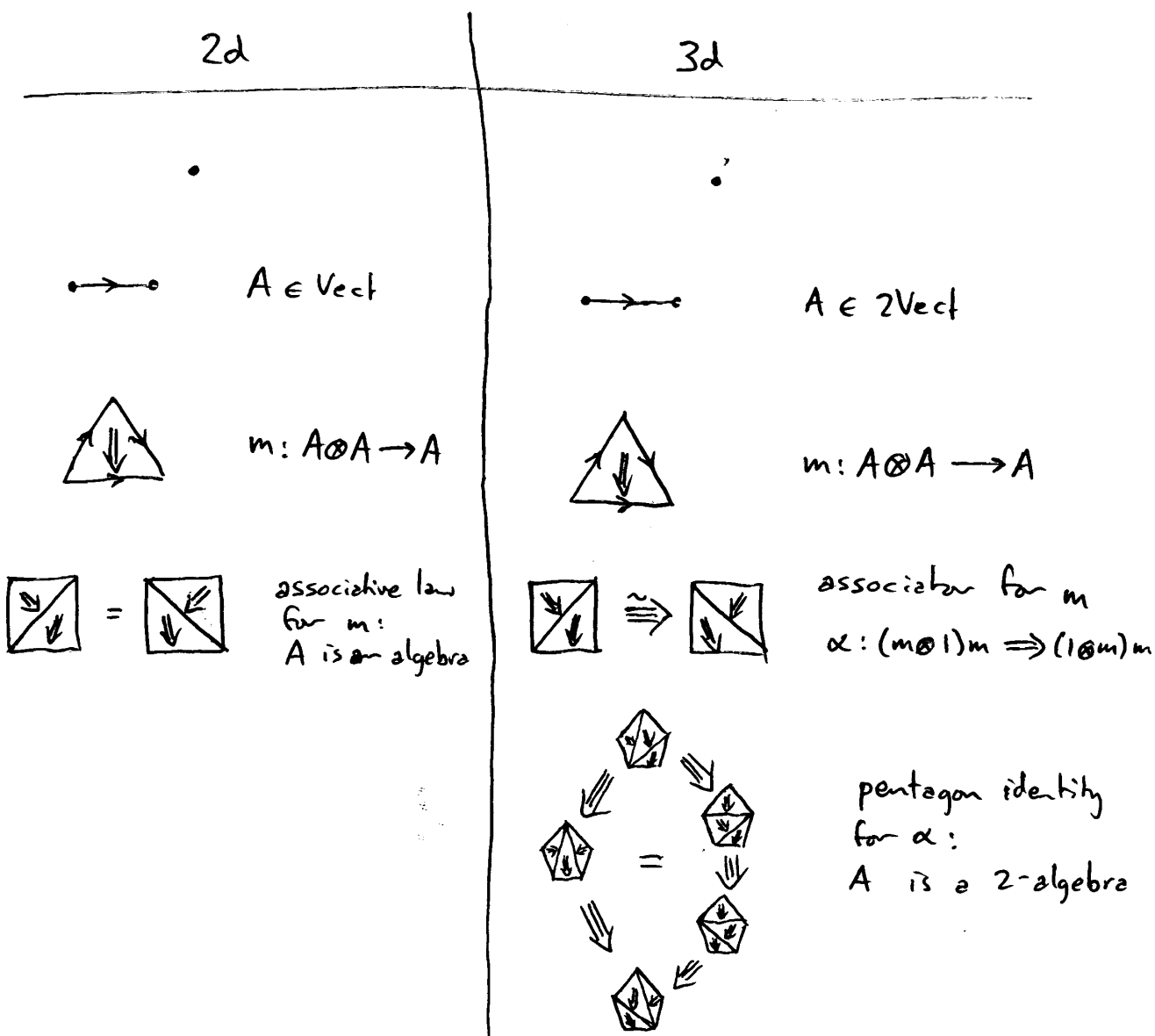
- 2)  $\tilde{\mathcal{Z}}$  should have some triangulation independence: we need the 2-3 & 1-4 Pachner moves. The 2-3 move is the pentagon identity. The 1-4 is related to semisimplicity.

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Let's continue trying to build a 3d extended TQFT:

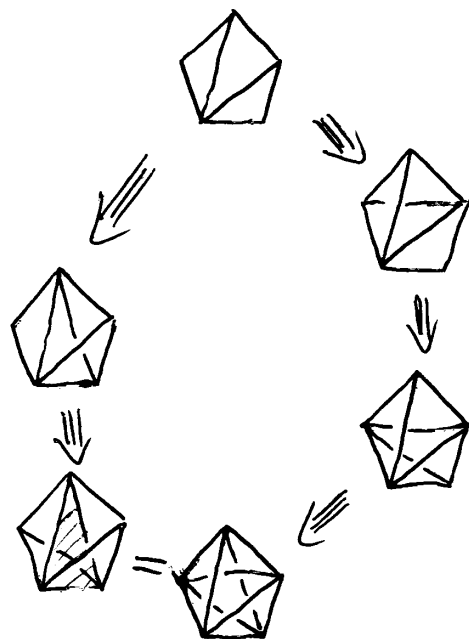
$$Z: 3\text{Cob}_2 \rightarrow 2\text{Vect}$$

from a 2-algebra  $A \in 2\text{Vect}$  according to our plan, but only addressing a few interesting issues (no complete treatment along these lines exists yet). Let's review our chart showing the analogy to the 2d case:

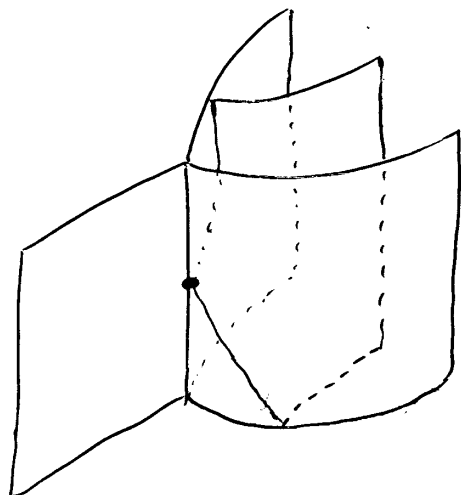




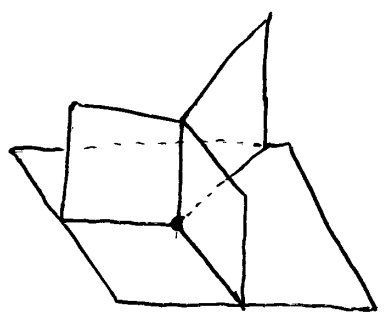
We can draw this in various other styles. E.g., as  
 "2-3 move" going from the front to the back of  
 a 4-simplex:



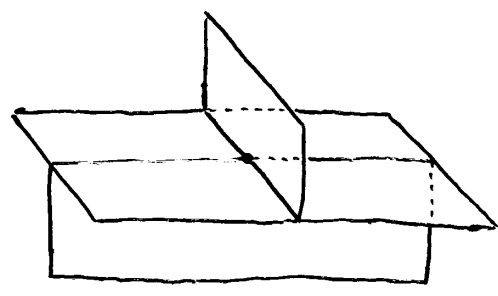
Or: the Poincaré dual "spin foam" picture, where  
 the associahedron looks like



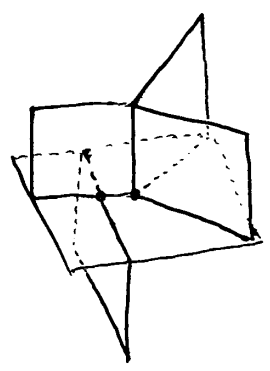
All that matters here is that we have 6 faces, 3 meeting along each of 4 edges that meet at a vertex. Two other ways to draw it:



or

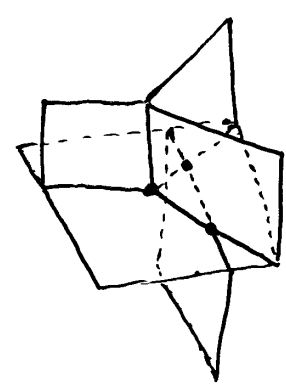


These styles let us draw the 2-3 move as a move on spin foams:



(2 vertices)

=



(3 vertices)

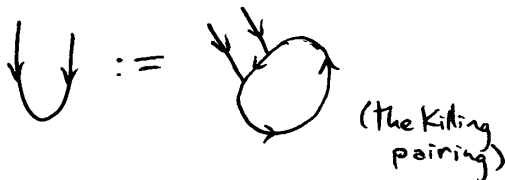
If we labelled all faces with indices for a basis of  $A$  & edges with indices for bases of

$$\text{hom}(e^i, e^j \otimes e^k) = m_{ij}^k$$

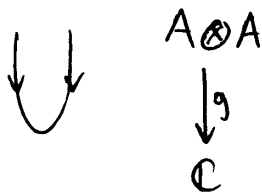
these diagrams specify two equal numbers - which for  $A = \text{Rep}(SU(2))$  are called the Biedenhorn-Elliott identities.

2d

We need  $A$  s.t. this pairing:



is nondegenerate:

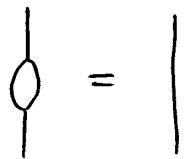


gives an isomorphism

$$A \xrightarrow{\sim} A^*$$

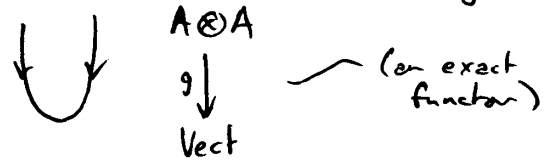
$$a \mapsto g(a, -)$$

From this we get the "bubble move":

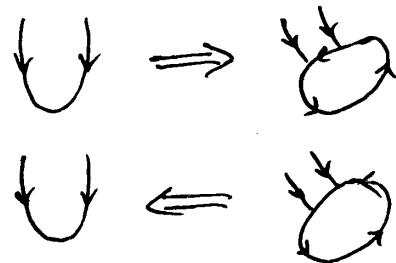


3d

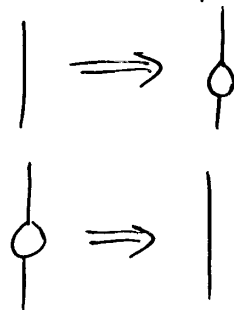
We need  $A$  to have a pairing



together with 2-morphisms

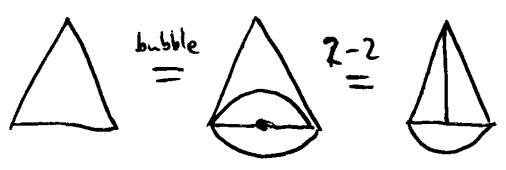


which need not be inverses!  
They will need to satisfy some coherence law which imply the 1-4 Pachner move. To guess this, use duality to get 2-morphisms

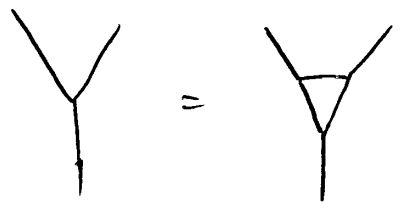


where now we assume  $g$  gives  $A \cong A^*$ .

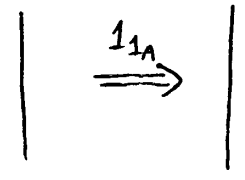
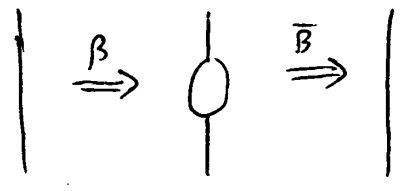
which implies the 1-3 move:



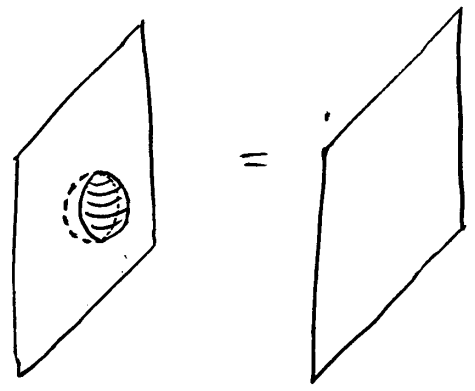
or dually:



We also want



i.e., in spin foam notation:

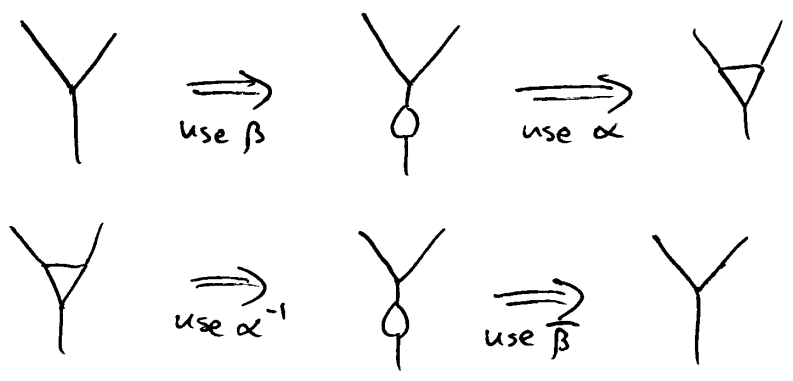


the 3d "bubble move"!

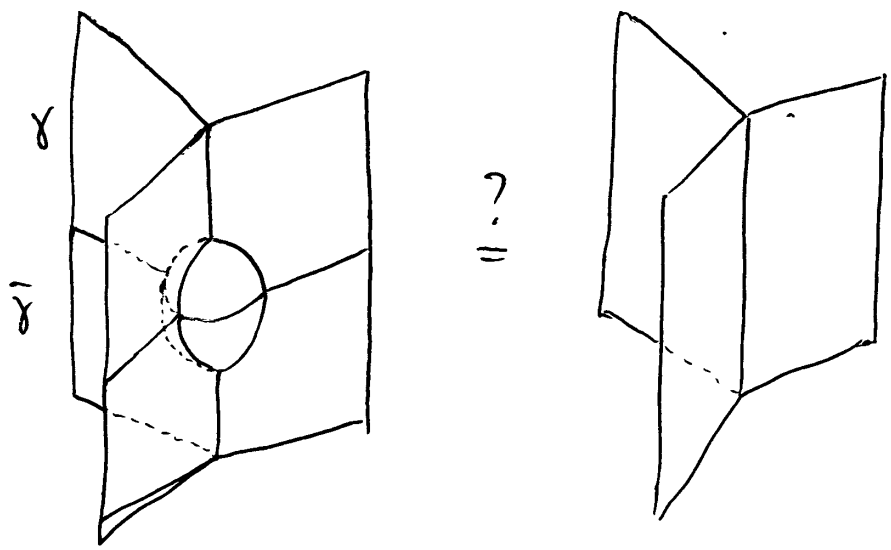
First, from  $| \xrightarrow{\beta} \bigcirc \& \bigcirc \xrightarrow{\bar{\beta}} |$ , let's get 2-morphisms



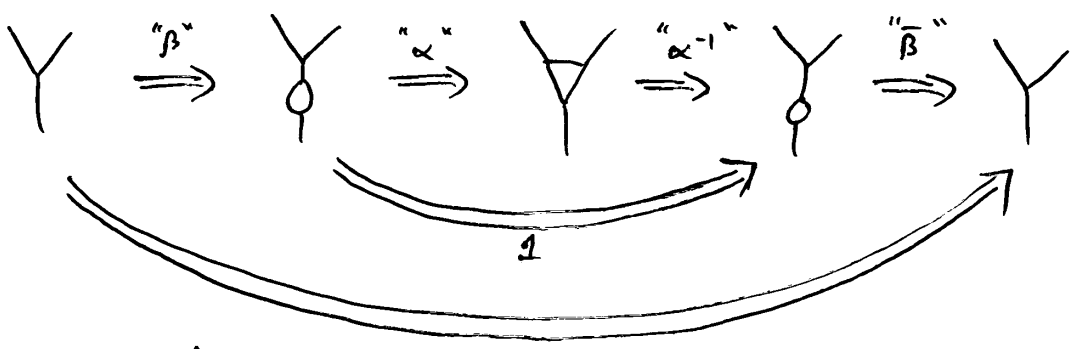
Here's how:



We know  $\beta\bar{\beta} = 1$  ; can we show  $\gamma\bar{\gamma} = 1$  ?



Yes! Here's how :



This commutes!