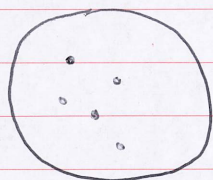
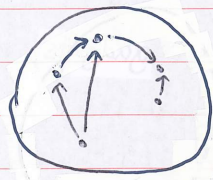


Category Theory:

- unifies mathematics
- studies the mathematics of mathematics
- moves toward higher-dimensional algebra ("homotopifying" mathematics)



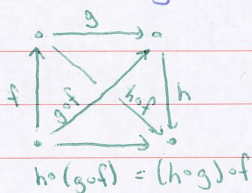
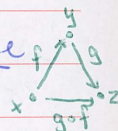
set theory
0-dimensional



category theory
1-dimensional

Def A category C consists of:

- a class $Ob(C)$ of objects
(If $x \in Ob(C)$ we write simply $x \in C$)
- Given $x, y \in C$ there's a set $hom(x, y)$, called a homset, whose elements are called morphisms or arrows from x to y . If $f \in hom(x, y)$ we write $f: x \rightarrow y$.
- Given $f: x \rightarrow y$ and $g: y \rightarrow z$ there is a morphism called their composite $g \circ f: x \rightarrow z$
- Composition is associative: $(h \circ g) \circ f = h \circ (g \circ f)$ if either side is well-defined.



- For any $x \in C$, there is an identity morphism $1_x: x \rightarrow x$



- We have the left and right unit laws:

$$1_x \circ f = f \quad \text{for any } f: x' \rightarrow x$$

$$f \circ 1_{x'} = f \quad \text{for any } f: x \rightarrow x'$$

Examples of categories

Categories of mathematical objects

For any kind of mathematical object, there's a category with objects of that kind & morphisms being the structure-preserving maps between objects of that kind.

- Set is the category with sets as objects & functions as morphisms.
- Grp is the category of groups and homomorphisms
- For k any field, Vect_k of vector spaces over k and linear maps.
- Ring is the category of rings & ring homomorphisms

These are categories of "algebraic" objects, namely:

- a set] stuff
- with operations] structure
- obeying equations] properties

with morphisms being functions that preserve the operations.

All this is formalized in "universal algebra", using "algebraic theories".

There are also categories of non-algebraic gadgets:

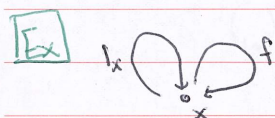
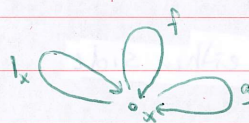
- Top, the category of topological spaces & continuous maps.
- Met, the category of metric spaces & continuous maps.
- Meas, the category of measurable spaces & measurable maps

Categories as mathematical objects

There are lots of small, manageable categories:

Def A monoid is a category with one object.

(Then $\text{hom}(x, x)$ for this object x is a set with associative product & unit.)



with $1_x \circ f = f$
 $f \circ 1_x = f$

$f \circ f = 1_x$ is usually called $\mathbb{Z}/2$

Or we could take $f \circ f = f$, and this gives another famous monoid:

$1_x = \text{TRUE}$

$f = \text{FALSE}$

$\circ = \text{AND}$

or

$1_x = \text{FALSE}$

$f = \text{TRUE}$

$\circ = \text{OR}$

Def A morphism $f: x \rightarrow y$ is an isomorphism if it has an inverse $g: y \rightarrow x$, i.e. a morphism with

$$g \circ f = 1_x$$

$$f \circ g = 1_y$$

If there exists an isomorphism between 2 objects $x, y \in C$, we say they're isomorphic.

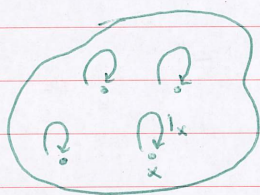
Def A category where all morphisms are isomorphisms is called a groupoid.

Ex "the groupoid of finite sets" is obtained by taking FinSet , with finite sets as objects and functions as morphisms, and then throwing out all morphisms except isomorphisms (i.e. bijections), getting a groupoid.

Def A monoid that is a groupoid is called a group.

(The usual "elements" of a group are now the morphisms.)

Def A category with only identity morphisms is a discrete category.



So any set is the set of objects of some discrete category in a unique way.

So a discrete category is "essentially the same" as a set.

Def A preorder is a category with at most one morphism in each hom set.



or



is $\text{hom}(x, y)$

If there is a morphism $f: x \rightarrow y$ in a preorder we say " $x \leq y$ "; if not we say " $x \not\leq y$ ".

For a preorder, the category axioms just say

• composition: $x \leq y$ & $y \leq z \Rightarrow x \leq z$

• associativity is automatic

• identities: $x \leq x$ always

• left & right unit laws are automatic

We're not getting antisymmetry:

$$x \leq y \text{ \& \& } y \leq x \Rightarrow x = y$$

Categories as Mathematical Object, cont.

Def A preorder is a category C where for all $x, y \in C$ there is at most one morphism $f: x \rightarrow y$.

We write " $x \leq y$ " iff $\exists f: x \rightarrow y$.

We know what C is if we know this relation on objects (if C is a preorder), & then the category axioms simply say:

- there's a class of objects
- there's a relation \leq on objects
- $x \leq y$ & $y \leq z \Rightarrow x \leq z$ (composition) $\forall x, y, z \in C$
- $x \leq x$ (identities) $\forall x \in C$

Def An equivalence relation is a preorder that's also a groupoid.

Prop A preorder C is a groupoid iff this extra law holds:
 $x \leq y \Rightarrow y \leq x \quad \forall x, y \in C$.

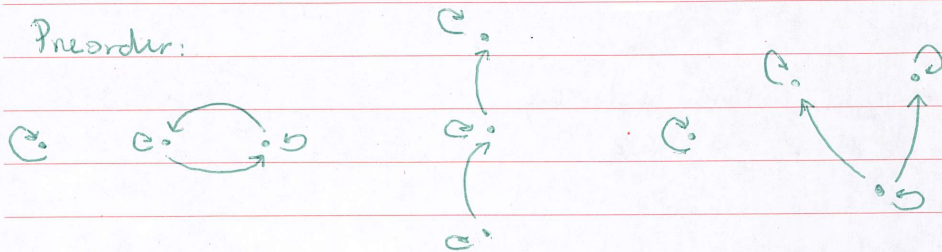
Here we have transitivity, reflexivity, & symmetry of " \leq "
 so we usually call this relation \sim .

Prop A preorder is skeletal, i.e. isomorphic objects are equal, iff this extra law holds:

$$x \leq y \text{ \& \> } y \leq x \Rightarrow x = y \quad \forall x, y \in C$$

In this case we say C is a poset.

Preorder:



this part is a groupoid
 but not a poset

this part is a poset
 but not a groupoid

Since categories can be seen as mathematical objects, we should define maps between them:

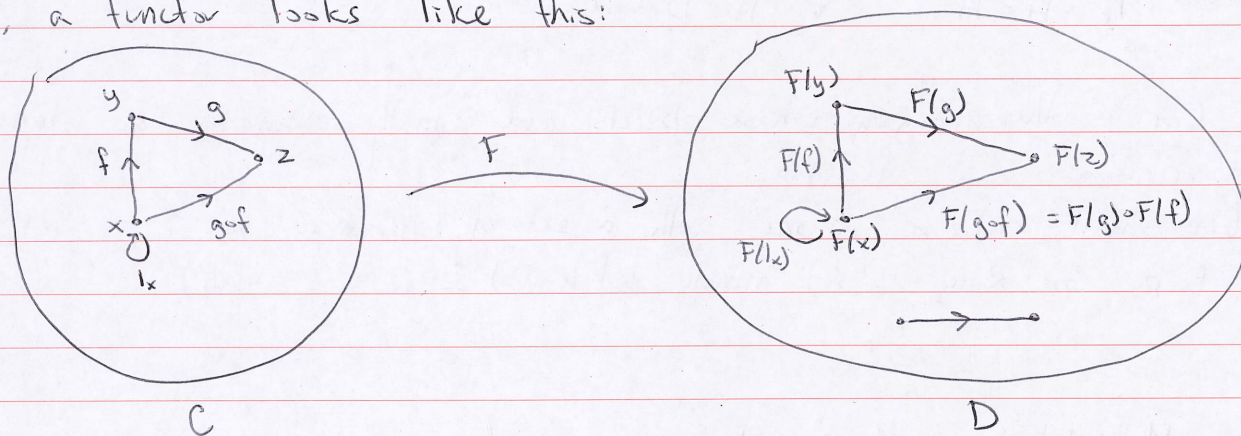
Def Given categories C & D , a functor $F: C \rightarrow D$ consists of:

- a function called F from $Ob(C)$ to $Ob(D)$: if $x \in C$ then $F(x) \in D$
- functions called F from $hom_C(x, y)$ ($\forall x, y \in C$) to $hom_D(F(x), F(y))$:
if $f: x \rightarrow y$ then $F(f): F(x) \rightarrow F(y)$

such that:

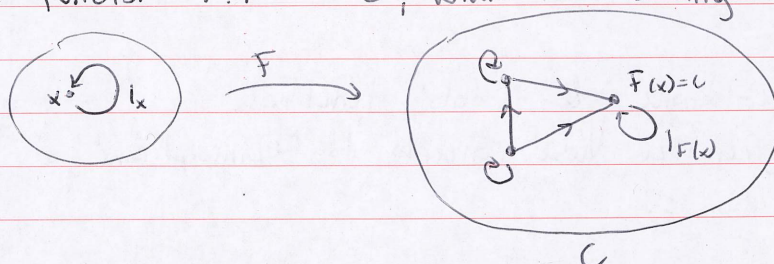
- $F(g \circ f) = F(g) \circ F(f)$ whenever either side is well-defined.
- $F(1_x) = 1_{F(x)} \quad \forall x \in C$

So, a functor looks like this:



Ex There's a category called "1". It looks like this: $x \overset{f}{\rightarrow} 1_x$

What is a functor $F: 1 \rightarrow C$, where C is any category?



The answer is: "an object in C ", since for any $c \in C \quad \exists!$
 $F: 1 \rightarrow C$ s.t. $F(x) = c$.

Ex There's a category called "2". $x \overset{f}{\rightarrow} y$
(a poset)

What is a functor $F: \mathcal{C} \rightarrow \mathcal{D}$?

It's just a morphism or arrow in \mathcal{C} !

For any morphism $g: C \rightarrow C'$ in \mathcal{C} , $\exists!$ functor $F: \mathcal{C} \rightarrow \mathcal{D}$
s.t. $F(g) = g$.

Prop If $F: \mathcal{C} \rightarrow \mathcal{D}$ & $G: \mathcal{D} \rightarrow \mathcal{E}$ are functors then you can define a functor $G \circ F: \mathcal{C} \rightarrow \mathcal{E}$, and $(H \circ G) \circ F = H \circ (G \circ F)$.

Also, for any category \mathcal{C} there's an identity functor $I_{\mathcal{C}}: \mathcal{C} \rightarrow \mathcal{C}$ with

$$I_{\mathcal{C}}(x) = x \quad \forall x \in \mathcal{C}$$

$$I_{\mathcal{C}}(f) = f \quad \forall f: x \rightarrow y \text{ in } \mathcal{C}$$

$$\text{and } F \circ I_{\mathcal{C}} = F \quad \forall F: \mathcal{C} \rightarrow \mathcal{D}$$

$$I_{\mathcal{D}} \circ H = H \quad \forall H: \mathcal{D} \rightarrow \mathcal{E}$$

Def \mathbf{Cat} is the category whose objects are "small" categories & whose morphisms are functors.

(A "small" category is one with a set of objects --- so e.g. \mathbf{Set} or \mathbf{Grp} or \mathbf{Ring} is not small, while $\mathbf{1}$ & $\mathbf{2}$ are small.)

Doing Mathematics inside a category.

A lot of math is done in \mathbf{Set} , the category of sets & functions. Let's try to generalize all that stuff to other categories: replace \mathbf{Set} by a general category \mathcal{C} .

In \mathbf{Set} we have "one-to-one" & "onto" functions.

In a category \mathcal{C} we generalize these concepts to "epimorphisms" or "epis" & "monomorphisms" or "monos".

Def A morphism $f: X \rightarrow Y$ is a mono if $\forall g, h: Q \rightarrow X$ we have
 $f \circ g = f \circ h \Rightarrow g = h$

$$Q \begin{array}{c} \xrightarrow{g} \\ \xrightarrow{h} \end{array} X \xrightarrow{f} Y$$

Prop In Set, a morphism is monic iff it's a one-to-one function.

Turning around the arrows in the definition of mono, we get:

Def A morphism $f: Y \rightarrow X$ is an epi if $\forall g, h: X \rightarrow Q$ we have $g \circ f = h \circ f \Rightarrow g = h$.

$$Y \xrightarrow{f} X \begin{matrix} \xrightarrow{g} \\ \xrightarrow{h} \end{matrix} Q$$

Prop In Set, a morphism is epi iff it's an onto function.

Def A morphism $f: X \rightarrow Y$ is an iso if $\exists f^{-1}: Y \rightarrow X$ that's a
left inverse $f^{-1} \circ f = 1_X$
 & a right inverse $f \circ f^{-1} = 1_Y$

Prop In Set, $f: X \rightarrow Y$ is a mono iff it has a left inverse, and an epi
 iff it has a right inverse (using the Axiom of Choice).
 Thus f is an iso iff it's a mono & epi.

← assume we have identity
Prop In Ring (rings & ring homomorphisms) $f: \mathbb{Z} \rightarrow \mathbb{Q}$
 $n \mapsto n$

is a mono and an epi but not an iso; in fact it has neither left
 nor right inverse.

Pf:

There's no ring homomorphism $g: \mathbb{Q} \rightarrow \mathbb{Z}$ since it would send
 $\frac{1}{2}$ to some multiplicative inverse of 2.

Why is f a mono?

Need:

$$f \circ g = f \circ h \Rightarrow g = h$$

$$\text{If } (f \circ g)(r) = (f \circ h)(r) \quad \forall r \in \mathbb{R},$$

$$\text{since } f \text{ is 1-1 } g(r) = h(r) \quad \forall r \quad (\text{as a function})$$

$$\Rightarrow g = h$$

Why is f epi?

Need:

$$g \circ f = h \circ f \Rightarrow g = h$$

$$\mathbb{Z} \xrightarrow{f} \mathbb{Q} \xrightarrow{g} \mathbb{R}$$

We know $g(p) = h(p)$ & $g(q) = h(q)$

So $g(1) = g(\frac{1}{q}) = g(q) \cdot g(\frac{1}{q})$, so we can write $g(\frac{1}{q}) = \frac{1}{g(q)}$.

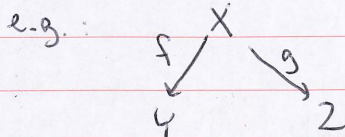
$$\text{So } g(\frac{p}{q}) = g(p) \cdot g(\frac{1}{q}) = \frac{g(p)}{g(q)}$$

So g (& similarly h) is determined by its values on integers; since they
 agree on \mathbb{Z} they're equal

Puzzle: In Top, find $f: X \rightarrow Y$ that is epi & mono but not an
 isomorphism.

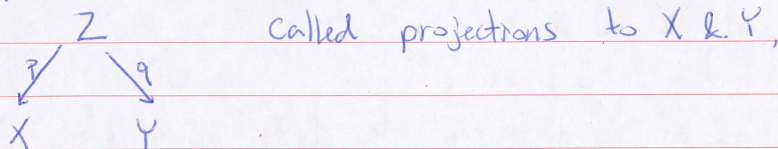
Limits & Colimits

These are ways of building new objects in a category \mathcal{C} from diagrams in \mathcal{C} ,

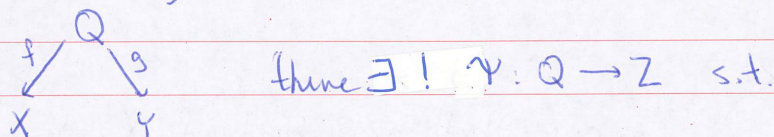


An example of a limit is:

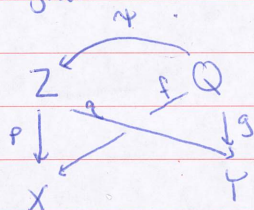
Def Given objects $X, Y \in \mathcal{C}$, a product of them is an object Z equipped with morphisms



s.t. for any candidate Q



this diagram commutes



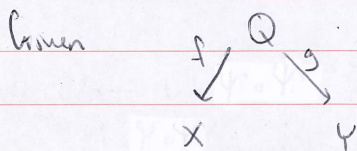
i.e.

$$\begin{aligned} f &= p \circ \gamma \\ g &= q \circ \gamma \end{aligned}$$

The definition of coproduct is just the same but with all arrows reversed.

Prop In Set , we get a product of X & Y by taking $X \times Y = \{(x, y) : x \in X, y \in Y\}$ with $p(x, y) = x$ and $q(x, y) = y$.

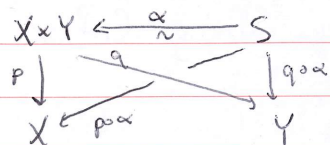
Pf:



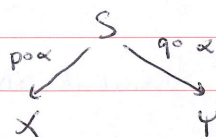
let $\gamma: Q \rightarrow X \times Y$ be $\gamma(q) = (f(q), g(q))$

We indeed get $p \circ \gamma = f$, $q \circ \gamma = g$ & γ is the unique map obeying these equations.

But we could also take as our product any set S that's isomorphic to $X \times Y$, via some iso. $\alpha: S \rightarrow X \times Y$



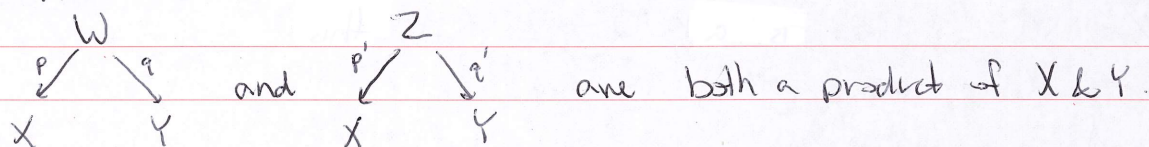
Use $p \circ \alpha$ & $q \circ \alpha$ as projections; then you can check



is also a product of X & Y .

So "any object isomorphic to a product can also be a product."

Prop Suppose

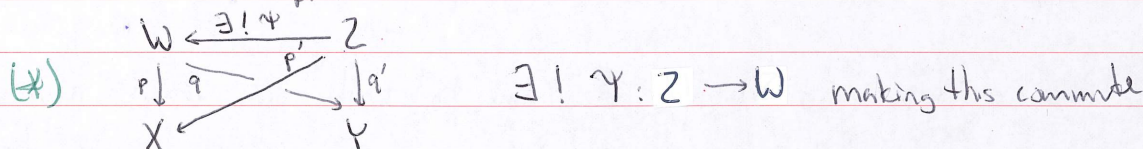


Then W & Z are isomorphic

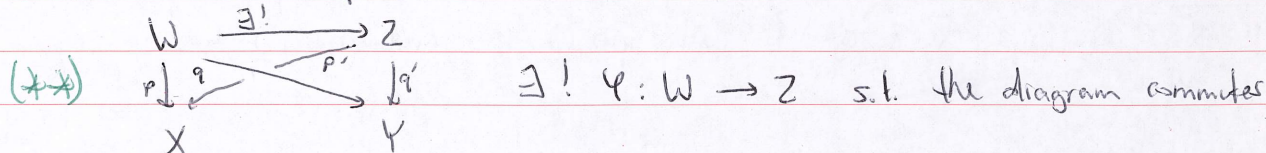
"Products are unique up to isomorphism."

Pf:

Since W is the product

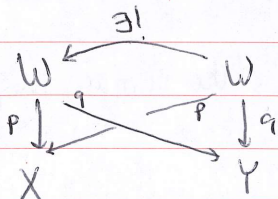


Since Z is the product



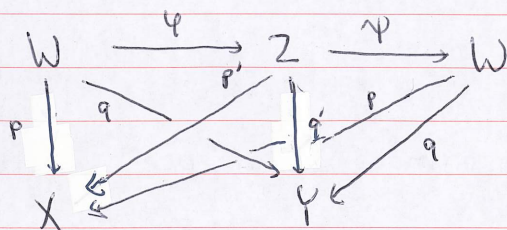
Suffices to show φ & ψ are inverse. Why is $\psi \circ \varphi: W \rightarrow W$ the identity?

If we can show this, the same argument will show $\varphi \circ \psi = 1_Z$.



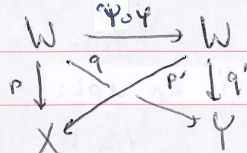
There is a unique arrow making this commute since W is the product.

$1_W: W \rightarrow W$ does the job, but also $\varphi \circ \psi$ does the job.



This commutes since $*$ & $+$ do

so this commutes:



so $\psi \circ \varphi = 1_W$ by uniqueness.

Whoops:

Prop If a morphism is an iso, it's both a mono & an epi.

(We've seen the converse is false)

Pf:

If $f: X \rightarrow Y$ has a left inverse f^{-1} , it's a mono:

$$f \circ g = f \circ h \Rightarrow f^{-1} \circ f \circ g = f^{-1} \circ f \circ h \Rightarrow g = h \quad \forall g, h$$

Similarly, if f has a right inverse f^{-1} , it's an epi

$$g \circ f = h \circ f \Rightarrow g \circ f \circ f^{-1} = h \circ f \circ f^{-1} \Rightarrow g = h \quad \forall g, h$$

Def A morphism with a left inverse is called a split monomorphism;
a morphism with a right inverse is called a split epimorphism.

In Set every mono (or epi) splits, but we saw not in Ring (or Top).

Coproducts

Def Given objects X & Y , a coproduct of X & Y is an object Z equipped with morphisms

$$\begin{array}{ccc} X & & Y \\ & \searrow i & \swarrow j \\ & Z & \end{array} \quad (\text{where } i \text{ \& } j \text{ are called } \underline{\text{inclusions}}),$$

which is universal: for any diagram

$$\begin{array}{ccc} X & & Y \\ & \searrow f & \swarrow g \\ & Q & \end{array}$$

$\exists ! \psi: Z \rightarrow Q$ making
the following diagram commute:
i.e. $f = \psi \circ i$
 $g = \psi \circ j$

$$\begin{array}{ccc} X & & Y \\ & \searrow f & \swarrow g \\ & Z & \xrightarrow{\psi} Q \end{array}$$

Prop In Set, a coproduct of X & Y is their disjoint union

$$X + Y = X \times \{0\} \cup Y \times \{1\} \quad \text{with } i: X \rightarrow X + Y$$

$$j: Y \rightarrow X + Y$$

$$x \mapsto (x, 0)$$

$$y \mapsto (y, 1)$$

PRODUCTS \times

Set cartesian product $S \times T$

Top cartesian product $X \times Y$ w/ product topology

Grp product of groups $G \times H$

Ab Grp $A \oplus B = A \times B$ product of abelian groups

Vect $_K$ $V \oplus W = V \times W$ direct sum of vector spaces

COPRODUCTS $+$

disjoint union $S \sqcup T = S + T$

disjoint union $X \sqcup Y = X + Y$

free product $G * H$

$A \oplus B$

$V \oplus W$

abelian categories

The free product $G * H$ consists of equivalence classes of words

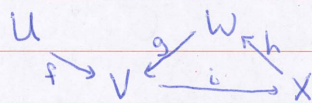
x_1, x_2, \dots, x_n where $x_i \in G \cup H$

where $x_1, \dots, x_{i-1}, 1, x_{i+1}, \dots, x_n \sim x_1, \dots, x_{i-1}, x_{i+1}, \dots, x_n$ where 1 is the identity in G or H

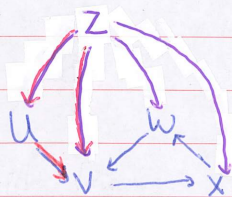
and $x_1, \dots, x_i, x_{i+1}, \dots, x_n = x_1, \dots, x_i, y, x_{i+2}, \dots, x_n$ if $x_i, x_{i+1} \in G$ or $x_i, x_{i+1} \in H$ and $y = x_i x_{i+1}$

General limits & colimits

Given any diagram in a category C :



a cone over the diagram is:



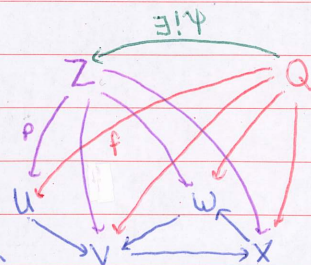
a choice of morphisms from Z to each object in the diagram, such that all newly formed triangles commute.

A limit of the diagram is a cone that's universal:

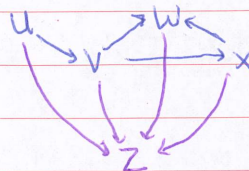
i.e., given any competitor (another candidate), another cone over the same diagram:

$\exists ! \psi: Q \rightarrow Z$ s.t. all triangles including ψ commute:

if U is any object in the diagram and $p: Z \rightarrow U$ is the morphism in the universal cone, and $f: Q \rightarrow U$ is the morphism in the competitor then $f = p \circ \psi$.

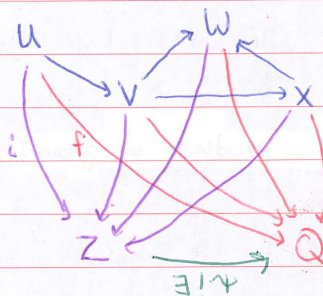


A cocone is like a cone, but with morphisms to Z instead of from:



A colimit is the 'universal cocone':

$$f = \psi \circ i$$



Examples of different diagrams

Diagrams

LIMITS

COLIMITS



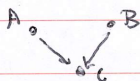
(binary)
product

(binary)
coproduct



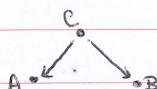
equalizer

coequalizer



pullback

C



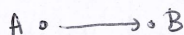
C

pushout

$\bullet A$

A

A



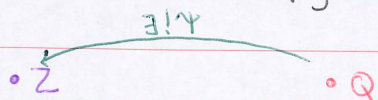
A

B

terminal object 1

initial object 0

What's a limit of the empty diagram:



It's an object Z s.t. for all objects Q , $\exists! \gamma: Q \rightarrow Z$.

This is called a terminal object.

In Set , any 1-element set is a terminal object.

In Vect_k , any 0-dim. vector space is a terminal object.

Similarly, an initial object Z is one s.t. for any object Q , $\exists! \gamma: Z \rightarrow Q$.

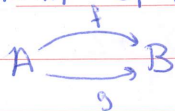
In Set , the empty set is an initial object.

In Vect_k , any 0-dim. vector space is an initial object.

In any abelian category, initial objects are terminal & vice versa.

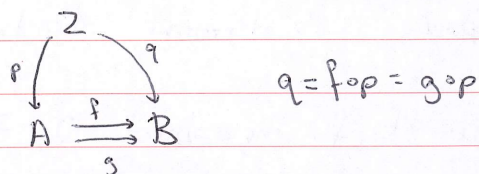
Equalizer

Def A limit of this diagram:



is called an equalizer.

Prop In Set, the equalizer of $A \rightrightarrows B$ is



with

$$Z = \{a \in A : f(a) = g(a)\}$$

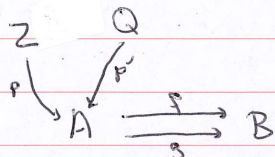
where $p: Z \rightarrow A$ has $p(a) = a$ for all $a \in Z$. (It's an inclusion)

q is forced to be $f \circ p = g \circ p$.

Note Since q is determined by p , we usually don't draw it, & write an equalizer like $Z \xrightarrow{p} A \rightrightarrows B$.
Similarly for lots of other limits and colimits.

Pf:

We need to check that this cone is universal, so take a competitor:



and we want to show

$\exists ! \psi: Q \rightarrow Z$ making everything commute: $p \circ \psi = p'$

$$(p \circ \psi)(q) = \psi(q) \quad \forall q \in Q \quad \text{since } p(a) = a \quad \forall a \in Z.$$

Thus $\psi \circ p = p'$ simply says $\psi(q) = p'(q) \quad \forall q \in Q$

Thus $\exists ! \psi$ making everything commute, namely $\psi = p'$.

Prop In Grp, AbGrp, or Vect $_k$, the equalizer of $A \rightrightarrows B$ is $\ker(f-g)$.

Note $\ker(f-g) = \{a \in A : f(a) = g(a)\}$

Pf: the same as before

Prop If $Z \xrightarrow{i} A \xrightleftharpoons[f]{g} B$ is an equalizer then i is monic.

Moral monics and limits get along well;
epics and colimits do too.

Pf:

Assume we have an equalizer

To check that i is monic we consider $Y \xrightleftharpoons[k]{h} Z \xrightarrow{i} A \xrightleftharpoons[f]{g} B$
and show $i \circ h = i \circ k \Rightarrow h = k$

Y is a competitor to Z .

Since Z is universal, $\exists ! \psi: Y \rightarrow Z$ making everything commute, so
 $\psi \circ h = k$

Coequalizers

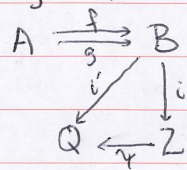
Def A coequalizer of $A \xrightleftharpoons[f]{g} B$ is a universal cocone over this diagram,
i.e. $A \xrightleftharpoons[f]{g} B$
 $\downarrow i$ commutes s.t. if we have a competitor $A \xrightleftharpoons[f]{g} B$
 $\swarrow i' \searrow i$
 $Q \quad Z$

$\exists ! \psi: Z \rightarrow Q$ making everything commute.

Prop In Set, the coequalizer of $A \xrightleftharpoons[f]{g} B$ is $A \xrightleftharpoons[f]{g} B \xrightarrow{i} Z$
where $Z = B/\sim$ where \sim is the finest equivalence relation s.t. $f(a) \sim g(a) \forall a \in A$
and $i: B \rightarrow Z$
 $b \mapsto [b]$ ← its equivalence class

Pf:

$i \circ f = i \circ g$ with this definition, so this is a cocone. Why is it universal?



Why $\exists ! \psi: Z \rightarrow Q$ making this commute?

To commute, we need

$$\psi \circ i = i'$$

$$\psi(i(b)) = i'(b) \quad \forall b \in B$$

$$\psi([b]) = i'(b)$$

This shows ψ is unique if it exists; to show it exists need to check it's well-defined:
(continued)

Pf: (continued)

$$[b] = [b'] \xRightarrow{\text{need}} i'(b) = i'(b')$$



$$b \sim b'$$



??? Either $b, b' \in \text{im}(f) \cap \text{im}(g)$ and $b = b'$
or $b, b' \in \text{im}(f) \cap \text{im}(g)$ and ...

Find the good proof!

Prop In AbGrp or Vect_k , the coequalizer of $A \xrightarrow{f} B$ is $\text{coker}(f-g) = B / \text{im}(f-g)$

Prop If $A \xrightarrow{f} B \xrightarrow{p} Z$ is a coequalizer, p is epic.

Pf:

Same as proof of the "dual" proposition for equalizers.

Pullbacks

Def The limit of this diagram
$$\begin{array}{ccc} & B & \\ & \downarrow g & \\ A & \xrightarrow{f} & C \end{array}$$
 is called a pullback,

& denoted $A \times_C B \xrightarrow{q} B$
$$\begin{array}{ccc} A \times_C B & \xrightarrow{q} & B \\ p \downarrow & & \downarrow g \\ A & \xrightarrow{f} & C \end{array}$$
 The object here is " A times B over C ", or the fibred product, and we only need to draw its morphisms to A & B called projections.

We write
$$\begin{array}{ccc} Z & \longrightarrow & B \\ \downarrow & \lrcorner & \downarrow \\ A & \longrightarrow & C \end{array}$$
 when Z is a pullback.

Prop In Set , the pullback of $A \xrightarrow{f} C \xleftarrow{g} B$ is

$$A \times_C B = \{(a, b) \in A \times B : f(a) = g(b)\} \quad \text{with} \quad \begin{array}{l} p: A \times_C B \rightarrow A \\ (a, b) \mapsto a \end{array} \quad \begin{array}{l} q: A \times_C B \rightarrow B \\ (a, b) \mapsto b \end{array}$$

Pf:

This is clearly a cone; to show it's universal use the next Prop. \square

Prop Given

$$\begin{array}{ccc} & B & \\ & \downarrow g & \\ A & \xrightarrow{f} & C \end{array}$$

if the product $A \times B$ exists and if this equalizer exists:

$$\begin{array}{ccc} Z & & \\ \downarrow i & & \\ A \times B & \xrightarrow{\pi_2} & B \\ \pi_1 \downarrow & & \downarrow g \\ A & \xrightarrow{f} & C \end{array}$$

where $i: Z \rightarrow A \times B$ is the equalizer of $A \times B \xrightarrow{f \circ \pi_1} C \xleftarrow{g \circ \pi_2} C$
 then this is a pullback:

$$\begin{array}{ccc} Z & \xrightarrow{\pi_{oi}} & B \\ \pi_{oi} \downarrow & & \downarrow g \\ A & \xrightarrow{f} & C \end{array}$$

Prop In Set, a coequalizer of $A \xrightarrow{f} B$ is the set $Z = B/\sim$ where \sim is the finest equiv. relation on B s.t. $f(a) \sim g(a) \ \forall a \in A$. with map $i: A \xrightarrow{f} B \xrightarrow{i} Z$ s.t. $i(b) = [b]$. Note: $i \circ f = i \circ g$

Pf:

Consider a competitor $A \xrightarrow{f} B \xrightarrow{i} Z$ with $i \circ f = i \circ g$

Want: $\exists! \psi: Z \rightarrow Q$

Try $\psi([b]) = i'(b) \ \forall b \in B$

If ψ is well-defined, then the diagram commutes since $\psi(i(b)) = i'(b)$, and ψ is unique since this formula specifies it.

Why is ψ well-defined?

Assume $b \sim b'$, need to show $i'(b) = i'(b')$.

If $b \sim b'$, then $b = b_1 \sim b_2 \sim b_3 \sim \dots \sim b_n = b'$ where for each i either $b_i = f(a)$ & $b_{i+1} = g(a)$ OR $b_i = g(a)$ & $b_{i+1} = f(a)$ for some a (depending on i).

Need to check $i'(b_i) = i'(b_{i+1})$ for each $i = 1, \dots, n-1$.

Either $b_i = f(a)$ & $b_{i+1} = g(a)$ — in which case $i'(b_i) = i'(f(a)) = i'(g(a)) = i'(b_{i+1})$
OR $b_i = g(a)$ & $b_{i+1} = f(a)$, which works similarly. \square

Pullbacks & Pushouts

Prop To compute a pullback of $A \xrightarrow{f} C \xleftarrow{g} B$ it suffices to take a product of A & B :

$$\begin{array}{ccc} A \times B & \xrightarrow{\pi_2} & B \\ \pi_1 \downarrow & & \downarrow g \\ A & \xrightarrow{f} & C \end{array}$$

and then form the equalizer of: $Z \xrightarrow{i} A \times B \xrightarrow[g \circ \pi_2]{f \circ \pi_1} C$
giving the desired pullback:

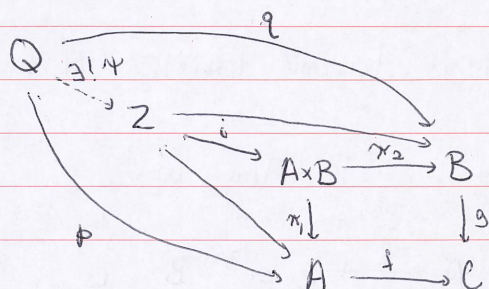
$$\begin{array}{ccc} Z & \xrightarrow{\pi_2 \circ i} & B \\ \pi_1 \circ i \downarrow & & \downarrow g \\ A & \xrightarrow{f} & C \end{array}$$

(Pf on next page)

Pf.

Note the last square commutes since $f \circ \pi_1 \circ i = g \circ \pi_2 \circ i$, so it's a candidate for being the pullback.

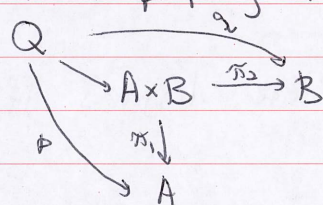
To show it's universal, consider a competitor:



only little square
does not commute

How do we show $\exists! \psi: Q \rightarrow Z$ making the newly formed triangle commute?

By the universal property of the product, we get



making this commute

Why is Q a competitor?

$$\text{Need: } f \circ \pi_1 \circ \psi = g \circ \pi_2 \circ \psi$$

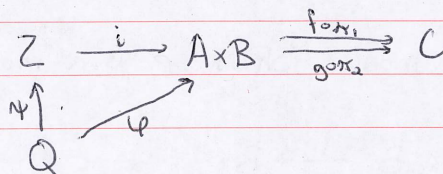
$$f \circ \pi_1 \circ \psi = f \circ p$$

$$= g \circ q$$

$$= g \circ \pi_2 \circ i$$

(by various comm. diagrams)

By the universal property of the equalizer, $\exists! \psi: Q \rightarrow Z$ making this diagram commute:



In particular, $\psi = i \circ \psi$.

Why does this imply:

$$(1) \pi_1 \circ i \circ \psi = p$$

$$(2) \pi_2 \circ i \circ \psi = q$$

(3) a unique ψ making (1) & (2) true.

(continued)

Pf: (continued)

For (1) & (2), suffices to show $\pi_1 \circ \varphi = p$ and $\pi_2 \circ \varphi = q$, but we already had this by the universal property of the product.

Exercise: check (3)

"Category theory makes trivial things trivially trivial." - Michael Barr

"I'm content to let them be trivial." - Timothy Gowers

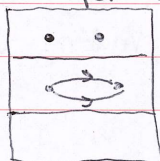
Prop In Set, a pullback of $A \xrightarrow{f} C \xleftarrow{g} B$ is $Z = \{(a,b) \in A \times B : f(a) = g(b)\}$ with obvious maps to A & B.

Pf:

Previous Prop. combined with our description of products & equalizers in Set.

In fact, a category has limits for all finite diagrams iff it has:

- products
- equalizers
- terminal object 1



Prop If this is a pullback:

$$\begin{array}{ccc} A \times_c B & \xrightarrow{q} & B \\ p \downarrow & \lrcorner & \downarrow g \\ A & \xrightarrow{f} & C \end{array} \quad \begin{array}{l} \text{and } g \text{ is mono,} \\ \text{then } p \text{ is a mono.} \end{array}$$

Pf:

Assume g is a mono. Show p is a mono:

$$\begin{array}{ccc} X & \xrightleftharpoons[k]{h} & A \times_c B \xrightarrow{q} B \\ p \downarrow & & \downarrow g \\ A & \xrightarrow{f} & C \end{array}$$

Need: $p \circ h = p \circ k \Rightarrow h = k$.

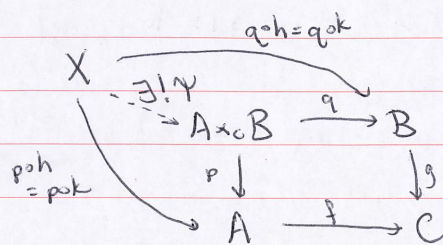
$$\begin{aligned} p \circ h = p \circ k &\Rightarrow f \circ p \circ h = f \circ p \circ k \\ &\Rightarrow g \circ q \circ h = g \circ q \circ k \\ &\Rightarrow q \circ h = q \circ k \end{aligned}$$

since g is mono

(continued)

Pf: (continued)

Note X is a competitor to the pullback



$$\begin{aligned}
 f \circ p \circ h &= g \circ q \circ h \\
 &= g \circ q \circ k
 \end{aligned}$$

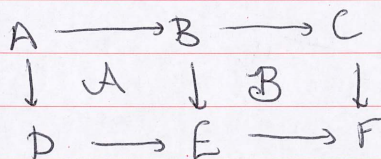
So $\exists! \gamma: X \rightarrow A \times_c B$ making this commute.

Both h & k do make it commute.

So $h = k$



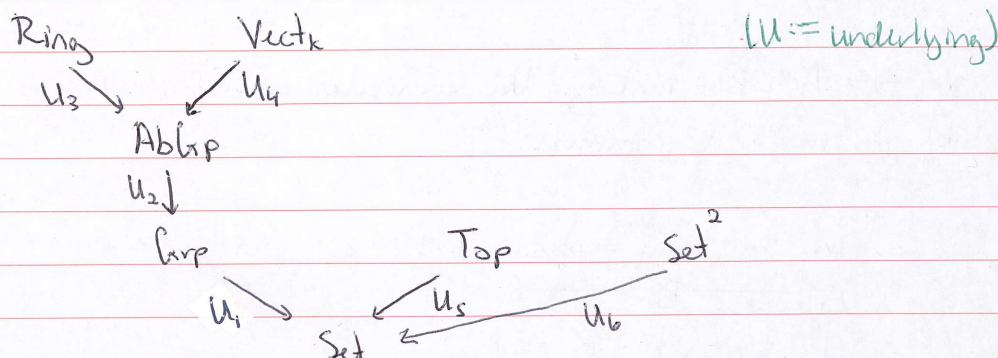
Prop Given



- 1) If A & B are pullbacks, so is the combined square AB .
- 2) If B & AB are pullbacks, so is A .

Mathematics Between Categories

Recall that given categories C & D , a functor $F: C \rightarrow D$ is a map sending objects $c \in C$ to object $F(c) \in D$, morphisms $f: c \rightarrow c'$ in C to morphisms $F(f): F(c) \rightarrow F(c')$ in D , preserving composition $F(f' \circ f) = F(f') \circ F(f)$ & identities $F(1_c) = 1_{F(c)}$.



There are many "forgetful functors" going from categories of "fancy" mathematical gadgets to categories of less fancy ones, forgetting some extra properties, structure, or stuff.

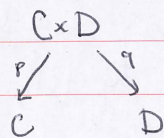
Ex $U_1: \text{Grp} \rightarrow \text{Set}$ sends any group G to its underlying set, and any homomorphism $f: G \rightarrow G'$ to its underlying function.

Ex Given categories C & D , there's a category $C \times D$, where objects are ordered pairs (c, d) with $c \in C$, $d \in D$, and morphisms are ordered pairs (f, g) with f a morphism in C , g a morphism in D : given $f: c \rightarrow c'$ in C and $g: d \rightarrow d'$ in D then $(f, g): (c, d) \rightarrow (c', d')$. We define $(f', g') \circ (f, g) = (f' \circ f, g' \circ g)$ and $1_{(c, d)} = (1_c, 1_d)$.

In fact $C \times D$ is the product of the objects $C, D \in \text{Cat}$, which is the category with

- (small) categories as objects
- functors as morphisms

Among other things this means we have projections



Set is a large category, but we can still define $\text{Set}^2 = \text{Set} \times \text{Set}$, with pairs of sets as objects.

In the chart, let $U_0: \text{Set}^2 \rightarrow \text{Set}$ be the projection onto the first component.
 $(S, T) \mapsto S$

Functions can be nice in two ways: one-to-one & onto

Functors can be nice in three ways:

Def A functor $F: C \rightarrow D$ is faithful if for any $c, c' \in C$
 $F: \text{hom}(c, c') \rightarrow \text{hom}(F(c), F(c'))$ is one-to-one.

Def A functor $F: C \rightarrow D$ is full if for any $c, c' \in C$
 $F: \text{hom}(c, c') \rightarrow \text{hom}(F(c), F(c'))$ is onto.

Def A functor $F: C \rightarrow D$ is essentially surjective if for any $d \in D$, there exists $c \in C$ such that $F(c) \cong d$ meaning there exists an isomorphism $g: F(c) \rightarrow d$ in D .

✓ finite diml vector spaces

Ex Compare $\text{FinVect}_{\mathbb{R}}$ to this category C , with

- $\{0\}, \mathbb{R}, \mathbb{R}^2, \mathbb{R}^3, \dots$ as objects
- all linear maps between these as morphisms

$$F: C \rightarrow \text{FinVect}$$

$$\mathbb{R}^n \rightarrow \mathbb{R}^n \quad \text{and similarly for morphisms: } f: \mathbb{R}^n \rightarrow \mathbb{R}^m \mapsto f: \mathbb{R}^n \rightarrow \mathbb{R}^m$$

This is faithful & full, not surjective on objects, but essentially surjective.

Later we'll define "equivalent" categories & see that if $F: C \rightarrow D$ is faithful, full, & essentially surjective then C & D are equivalent.

We say:

Def • A functor $U: C \rightarrow D$ forgets nothing if it's faithful, full, & ess. surj.

- U forgets (at most) properties if it's faithful & full.
- U forgets (at most) structure if it's faithful.
- In general we say U forgets (at most) stuff.

Ex $U_1: \text{Grp} \rightarrow \text{Set}$ forgets (at most) structure.

It's faithful: given $f, f': G \rightarrow G'$ in Grp , $U_1(f) = U_1(f') \Rightarrow f = f'$.

It's not full: there are usually functions $f: U_1(G) \rightarrow U_1(G')$ that don't come from group homomorphism, e.g.: $f(gh) \neq f(g)f(h)$ or $f(1) \neq 1$.

Ex $U_2: \text{AbGrp} \rightarrow \text{Grp}$ forgets (at most) properties: the comm. law is forgotten.

This is faithful and also full: if you have any group homomorphism $f: U_2(A) \rightarrow U_2(A')$ then $U(f')$ for some homomorphism of abelian groups $f': A \rightarrow A'$.

But it's not ess. surjective: if G is nonabelian, $G \not\cong U_2(A)$ for some $A \in \text{AbGrp}$.

Ex $U_b: \text{Set}^2 \rightarrow \text{Set}$

forgets stuff: $U_b(S, S') = S$ It forgets the second set in the pair.

Technically, it's not faithful:

we can have 2 different morphisms $(f, g), (f, g'): (S, S') \rightarrow (T, T')$ with $U_b(f, g) = f = U_b(f, g')$

In our chart, every forgetful functor $U: C \rightarrow D$ has a "left adjoint" $F: D \rightarrow C$ which "freely creates" the stuff, structure or properties that U forgets.

Ex $F_1: \text{Set} \rightarrow \text{Grp}$ takes a set S and forms the free group on S , $F_1(S)$.

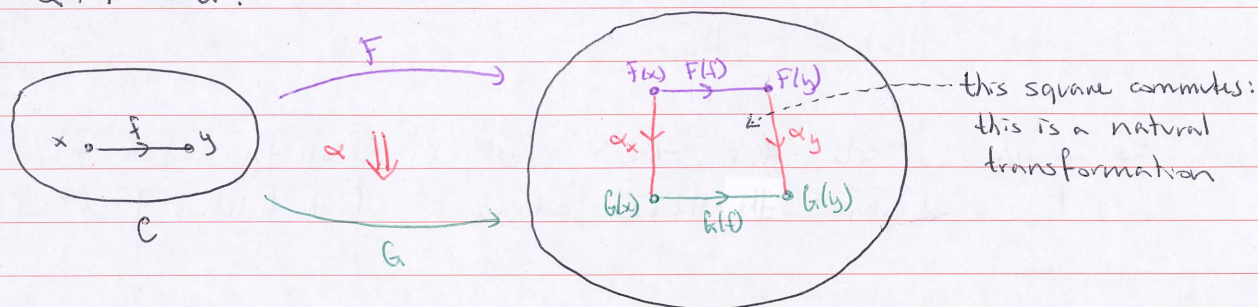
$F_2: \text{Grp} \rightarrow \text{AbGrp}$ abelianizes any group G , forming

$$F_2(G) = \frac{G}{\langle xyx^{-1}y^{-1} \rangle} \quad \leftarrow \begin{array}{l} \text{normal subgp} \\ \text{gen. by these} \\ \text{elements} \end{array}$$

Ex $L_b: \text{Set} \rightarrow \text{Set}^2$
 $S \mapsto (S, \emptyset)$

To define adjoint functors (and many other things) we need...
Natural Transformations

Given 2 functors $F, G: C \rightarrow D$ we can define a natural transformation $\alpha: F \Rightarrow G$!



Def Given functors $F, G: C \rightarrow D$ a transformation $\alpha: F \Rightarrow G$ is a function sending each object $x \in C$ to a morphism $\alpha_x: F(x) \rightarrow G(x)$.

We say α is a natural transformation if for each morphism $f: x \rightarrow y$ in C this square commutes:

$$\begin{array}{ccc} F(x) & \xrightarrow{F(f)} & F(y) \\ \alpha_x \downarrow & & \downarrow \alpha_y \\ G(x) & \xrightarrow{G(f)} & G(y) \end{array}$$

Prop Given categories C & D there's a category, the functor category D^C , with:

- objects being functors $F: C \rightarrow D$
- morphisms being natural transformations $\alpha: F \Rightarrow G$

In D^C we compose $\alpha: F \Rightarrow G$, $\beta: G \Rightarrow H$ to get $\beta \circ \alpha: F \Rightarrow H$ as follows:

$$(\beta \circ \alpha)_x: F(x) \rightarrow H(x) \quad \forall x \in C \quad \text{is given by } \beta_x \circ \alpha_x.$$

In D^C the identity $1_F: F \Rightarrow F$, $(1_F)_x: F(x) \rightarrow F(x) \quad x \in C$ is given by $1_{F(x)}$.

Pf:

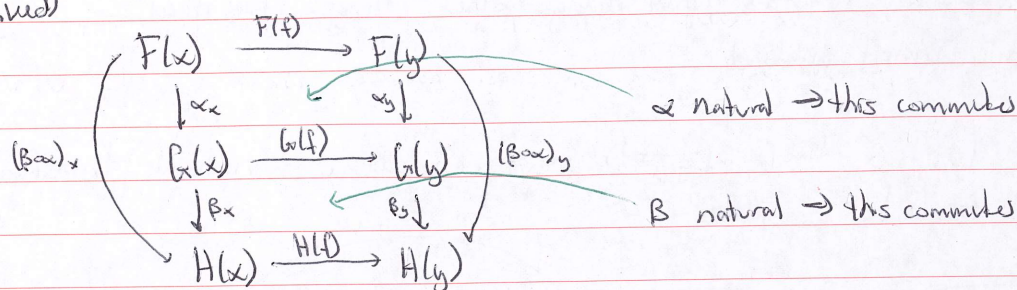
Check that the composite $\beta \circ \alpha$ is natural:

given $f: x \rightarrow y$ in C , want this to commute:

$$\begin{array}{ccc} F(x) & \xrightarrow{F(f)} & F(y) \\ (\beta \circ \alpha)_x \downarrow & & \downarrow (\beta \circ \alpha)_y \\ H(x) & \xrightarrow{H(f)} & H(y) \end{array}$$

(continued)

Pf: (continued)



So just as given 2 sets X, Y there's a set Y^X of all fns $f: X \rightarrow Y$
 2 categories X, Y there's a category Y^X of all functors $F: X \rightarrow Y$

Given 2 sets X & Y , they have a product:

$$X \times Y = \{(x, y) : x \in X, y \in Y\}$$

Notice $X \times Y \neq Y \times X$

but $X \times Y \cong Y \times X$

and there's a specific "good" isomorphism

$$\alpha_{x,y}: X \times Y \longrightarrow Y \times X$$

$$(x, y) \longmapsto (y, x)$$

It's "good" because it's natural, in the sense we just defined.

There are 2 functors from Set^2 to Set ,

$$F: (X, Y) \longmapsto X \times Y$$

$$G: (X, Y) \longmapsto Y \times X$$


and α is a natural transformation from F to G .

In fact it's a "natural isomorphism":

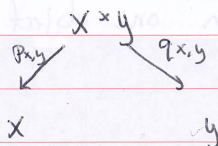
Def If $F, G: C \rightarrow D$ are functors and $\alpha: F \Rightarrow G$ is a nat. tran., we say
 α is a natural isomorphism if $\alpha_x: F(x) \rightarrow G(x)$ is an isomorphism $\forall x \in C$.

Prop $\alpha: F \Rightarrow G$ is a natural isomorphism iff it has an inverse $\alpha^{-1}: G \Rightarrow F$ in D^C .

Pf:

Key idea: $(\alpha^{-1})_x = (\alpha_x)^{-1}$ 

Prop Suppose \mathcal{C} is a category with binary products: any pair of objects $x, y \in \mathcal{C}$ has a product. Then we can choose, for any pair $x, y \in \mathcal{C}$, a specific product:



and then there is a functor $\pi: \mathcal{C}^2 \rightarrow \mathcal{C}$
 $(x, y) \mapsto x \times y$

In fact there are 2 functors:

$\pi: \mathcal{C}^2 \rightarrow \mathcal{C}$ (this is the functor π)

$$(x, y) \mapsto x \times y$$

$\pi: \mathcal{C}^2 \rightarrow \mathcal{C}$

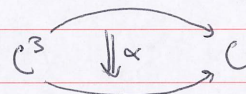
$$(x, y) \mapsto y \times x$$

and these are naturally isomorphic.

We say "products are commutative up to natural isomorphism."

Also, products are associative up to natural isomorphism:

$$\alpha_{x,y,z}: (x \times y) \times z \xrightarrow{\sim} x \times (y \times z)$$



(Just keep using universal property of product.)

Def A cartesian category is a category with binary products and a terminal object. (I.e. it's a category where any finite set of objects has a product — a finite products category).

One can show that in a cartesian category we have natural isomorphisms

$$l_x: 1 \times x \xrightarrow{\sim} x$$

$$r_x: x \times 1 \xrightarrow{\sim} x$$

All this works similarly in a cat. w/ finite coproducts:

$$B_{x,y}: x + y \xrightarrow{\sim} y + x$$

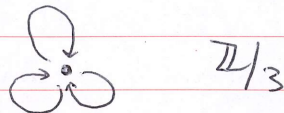
$$\alpha_{x,y,z}: (x + y) + z \xrightarrow{\sim} x + (y + z)$$

$$l_x: 0 + x \xrightarrow{\sim} x$$

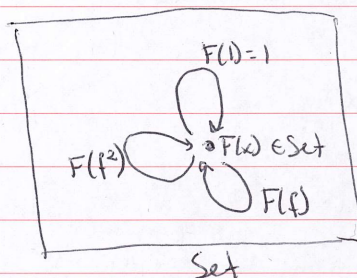
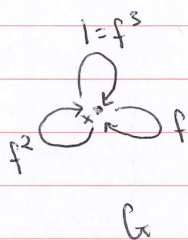
$$r_x: x + 0 \xrightarrow{\sim} x$$

In the case $C = \text{FinSet}$ (finite sets & functions) these give familiar laws of arithmetic: \mathbb{N} is the set of isomorphism classes of objects in FinSet .

Another example: A group is a category G with one object and with all morphisms invertible:



What's a functor $F: G \rightarrow \text{Set}$?



F picks out a set $X = F(x)$ and for each group element f it picks out a function $F(f): X \rightarrow X$ s.t. $F(ff') = F(f)F(f')$ & $F(1) = 1_X$.

So: X is a set acted by the group G , or a G -set.

So: a functor $F: G \rightarrow \text{Set}$ is a G -Set. What's a natural transformation between 2 such functors?

We saw that a 1-object category G with all morphisms invertible is a group.

We saw that a functor $F: G \rightarrow \text{Set}$ is a G -set: a set with functions

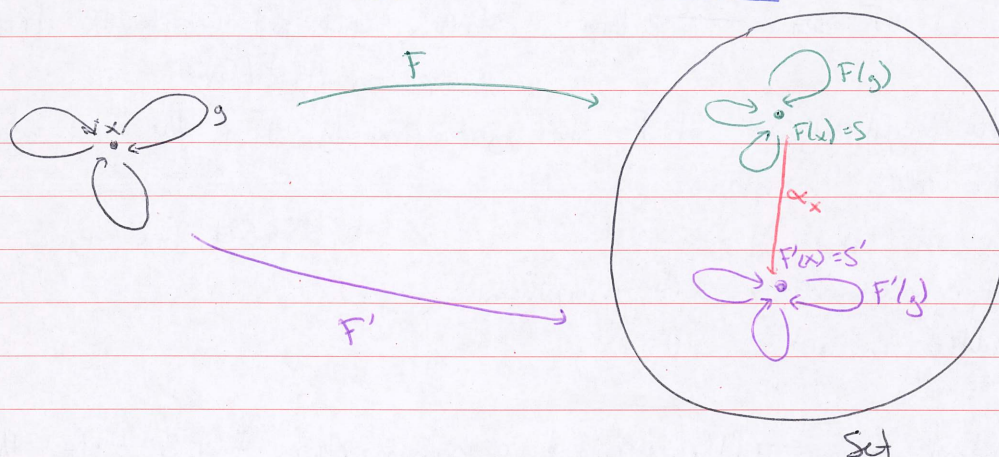
$$F(g): S \rightarrow S \quad \text{for each } g \in G \text{ s.t.}$$

$$F(gg') = F(g) \circ F(g')$$

$$F(1) = 1_S$$

Given 2 functors $F, F': G \rightarrow \text{Set}$, what's a natural transformation $\alpha: F \Rightarrow F'$?

It's called a map of G -sets or G -equivariant map, but let's draw one:



It's a function $\alpha_x: F(x) \rightarrow F'(x)$, where $x \in G$ is the one object, $F(x) = S$ is our first G -set, and $F'(x) = S'$ is our second, s.t. all squares like this commute:

$$\begin{array}{ccc} F(x) & \xrightarrow{F(g)} & F(x) \\ \alpha_x \downarrow & & \downarrow \alpha_x \\ F'(x) & \xrightarrow{F'(g)} & F'(x) \end{array}$$

$$\text{so } F'(g) \circ \alpha_x = \alpha_x \circ F(g)$$

Another example of natural transformations

[Ex] Two sets are isomorphic if there are functions $F: X \rightarrow Y$, $G: Y \rightarrow X$ s.t.

$$G \circ F = 1_X \text{ \& } F \circ G = 1_Y.$$

Given F , when can you find such a G ? Iff f is 1-1 & onto.

For categories we say:

[Def] An equivalence of categories C & D consists of functors $F: C \rightarrow D$, $G: D \rightarrow C$ and natural isomorphisms $\alpha: G \circ F \xrightarrow{\sim} 1_C$, $\beta: F \circ G \xrightarrow{\sim} 1_D$. We say that F & G are weak inverses. We say C & D are equivalent if there exists an equivalence between them.

Thm Given a functor $F: C \rightarrow D$, it's part of an equivalence (F, G, α, β) iff F is faithful, full, & essentially surjective. If such a G exists, it may not be unique, but if G' was another one, it's naturally isomorphic to G .

Another example: adjoint functors

Recall an example: $U: \text{Grp} \rightarrow \text{Set}$ sending each group G to its underlying set $U(G)$
 $F: \text{Set} \rightarrow \text{Grp}$ sending each set S to the free group on it $F(S)$.

We say U is the "right adjoint" of F , or synonymously, F is the "left adjoint" of U . The basic idea: morphisms

$FS \rightarrow G$ in Grp $S \in \text{Set}, G \in \text{Grp}$
 are in 1-1 correspondence with morphisms
 $S \rightarrow UG$ in Set

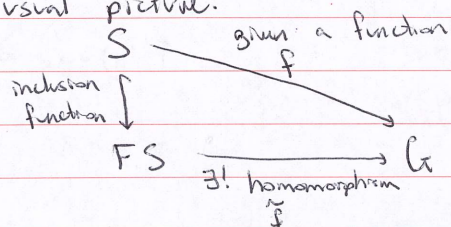
Why?

Given a function $f: S \rightarrow UG$ we get a homomorphism $\tilde{f}: FS \rightarrow G$, the unique one s.t. $\tilde{f}(s) = f(s)$ for $s \in S \subseteq FS$.

Conversely,

given a homomorphism $h: FS \rightarrow G$, we get $h|_S: S \rightarrow UG$ by restricting h to $S \subseteq FS$.

The usual picture:



mixes up morphisms in Set & Grp in the same diagram.

We prefer to say there's a bijection
 $\text{hom}(FS, G) \cong \text{hom}(S, UG)$
 \uparrow in Grp \uparrow in Set

Note F is on the left of $\text{hom}(F-, -)$,
 U is on the right of $\text{hom}(-, U-)$

To define adjoint functors, we need to say that this kind of bijection is "natural".

What functors give $\text{hom}(FS, G)$ & $\text{hom}(S, UG)$?

They must be 2 functors from $\text{Set} \times \text{Grp}$ to Set :

on objects, these do:

$$(S, G) \mapsto \text{hom}(FS, G) \in \text{Set}$$

$$(S, G) \mapsto \text{hom}(S, UG) \in \text{Set}$$

What's the "hom" doing here?

Prop For any category there's a functor $\text{hom}: C^{\text{op}} \times C \rightarrow \text{Set}$
called the hom functor. $(c, c') \mapsto \text{hom}(c, c')$

Here C^{op} is the opposite of C : the category with one morphism $f^{\text{op}}: y \rightarrow x$ for each $f: x \rightarrow y$ in C , and $f^{\text{op}} \circ g^{\text{op}} = (g \circ f)^{\text{op}}$ with same identity morphisms.

Sketch of Pf:

Need to define $\text{hom}: C^{\text{op}} \times C \rightarrow \text{Set}$ on morphisms.

Given a morphism in $C^{\text{op}} \times C$:

$$\psi: (x, y) \rightarrow (x', y')$$

i.e. a pair of morphisms

$$f^{\text{op}}: x \rightarrow x' \text{ in } C^{\text{op}}$$

$$\text{i.e. } f: x' \rightarrow x \text{ in } C$$

$$g: y \rightarrow y' \text{ in } C$$

We need to define a morphism

$$\text{hom}(\psi): \text{hom}(x, y) \rightarrow \text{hom}(x', y')$$

in Set , i.e. a function.

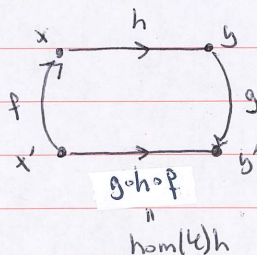
Given $h \in \text{hom}(x, y)$, what $\text{hom}(\psi)h \in \text{hom}(x', y')$?

It's $g \circ h \circ f$

Thus the hom-functor

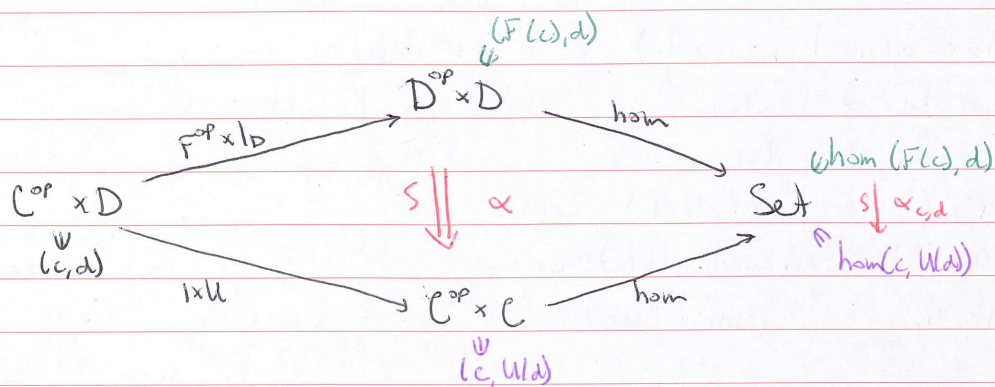
$$\text{hom}: C^{\text{op}} \times C \rightarrow \text{Set}$$

will not only describe the hom sets, but also composition in C .



Then: check it's really a functor - e.g. check it preserves composition.

Given functors $F: C \rightarrow D$, $U: D \rightarrow C$, how can we say the isomorphism $\text{hom}(Fc, d) \cong \text{hom}(c, Ud)$ is natural?



Here $F^{op}: C^{op} \rightarrow D^{op}$ is just F in disguise $F^{op}(f^{op}) = (F(f))^{op}$
and α is a natural isomorphism.

Given functors

$$\begin{array}{c} D \\ F \uparrow \downarrow U \\ C \end{array}$$

we say F is a left adjoint of U or U is a right adjoint of F if there's a natural isomorphism

$$\begin{array}{ccccc} C^{op} \times D & \xrightarrow{F^{op} \times 1} & D^{op} \times D & \xrightarrow{\text{hom}} & \text{Set} \\ & & \downarrow \alpha & & \\ & \searrow 1 \times U & C^{op} \times C & \xrightarrow{\text{hom}} & \end{array}$$

So we have bijections

$$\alpha_{c,d}: \text{hom}(F_c, d) \xrightarrow{\sim} \text{hom}(c, U_d) \quad \text{for all } c \in C, d \in D$$

which are natural, i.e. making certain squares commute.

At first let's downplay the naturality condition & look at examples, focussing on the bijections.

Ex

$$\begin{array}{c} \text{Grp} \\ F \uparrow \downarrow U \\ \text{Set} \end{array}$$

where U_G is the underlying set of $G \in \text{Grp}$, FS is the free group on $S \in \text{Set}$.

The bijection lets us turn any function $f: S \rightarrow U_G$

into a homomorphism $\tilde{f} = \alpha_{S,G}^{-1}(f): FS \rightarrow G$

& conversely: any homomorphism $h: FS \rightarrow G$

comes from a function $\tilde{h} = \alpha_{S,G}(h): S \rightarrow U_G$

Ex

Does the forgetful functor

$$\begin{array}{c} \text{Vect}_k \\ \downarrow U \\ \text{Set} \end{array}$$

have a left adjoint?

Is there some famous functor $F: \text{Set} \rightarrow \text{Vect}_k$?

Yes, for any set S there's a vector space FS whose basis is S :

$$FS = \left\{ \sum_{s \in S} c_s s_i : c_i \in k, \text{ only finitely many nonzero} \right\}$$

where the sums are formal expressions.

What does $F: \text{Set} \rightarrow \text{Vect}_k$ do to a morphism $f: S \rightarrow T$ in Set ?

It should give a linear map $Ff: FS \rightarrow FT$. What is it?

$$Ff\left(\sum_{s \in S} c_s s_i\right) = \sum_{s \in S} c_s f(s_i) \in FT$$

Check F is a functor:

$$F(g \circ f) = F(g) \circ F(f)$$

$$F(1_S) = 1_{F(S)}$$

(continued)

Why is F left adjoint to U ? Need bijections:

$$\text{hom}(FS, V) \cong \text{hom}(S, UV) \quad \forall S \in \text{Set} \quad \forall V \in \text{Vect}_K$$

(and then check they're natural)

Need: given a function $f: S \rightarrow UV$

we can define a linear map $\tilde{f}: FS \rightarrow V$

in some "natural" way. Try

$$\tilde{f}\left(\sum_{s_i \in S} c_i s_i\right) = \sum_{s_i \in S} c_i f(s_i)$$

Conversely, given a linear map $l: FS \rightarrow V$

need a function $l: S \rightarrow UV$

Try: $l(s) = l(s)$

Check these maps are inverses: $\widetilde{(\tilde{f})} = f$ and $\widetilde{(l)} = l$.

So, we have a bijection $\text{hom}(FS, V) \cong \text{hom}(S, UV)$

Sometimes a functor has both a left & right adjoint.

Ex $\text{Top} \xrightarrow{U} \text{Set}$

To dream up a left adjoint, think of ways to turn a set S into a topological space.

One is the discrete topology: here you give S as many open sets as possible, so every subset is open.

Another is the indiscrete topology: here you give S as few open sets as possible, only \emptyset & S are open.

The left adjoint of $U: \text{Top} \rightarrow \text{Set}$, say $L: \text{Set} \rightarrow \text{Top}$ must have

$$\text{hom}(LS, X) \cong \text{hom}(S, UX) \quad S \in \text{Set}, \quad X \in \text{Top}$$

i.e. here continuous maps $\tilde{f}: LS \rightarrow X$ are "the same" as functions $f: S \rightarrow UX$

To make this true, LS should have as many open sets as possible, so LS is S with discrete topology.

The right adjoint of U , say $R: \text{Set} \rightarrow \text{Top}$, has

$$\text{hom}(UX, S) \cong \text{hom}(X, RS)$$

i.e. continuous maps $h: X \rightarrow RS$ are "the same" as functions $h: UX \rightarrow S$

To make this true, RS should have as few open sets as possible, i.e.

it should be S with indiscrete topology.

Suppose \mathcal{C} is any category. There's always a functor $\Delta: \mathcal{C} \rightarrow \mathcal{C} \times \mathcal{C}$ called the diagonal with

$$\Delta(c) = (c, c) \quad c \in \mathcal{C}$$

$$\text{ \& if } f: c \rightarrow c'$$

$$\Delta f: \Delta c \rightarrow \Delta c' \text{ is given by } \Delta f = (f, f): (c, c) \rightarrow (c', c')$$

Prop If \mathcal{C} has binary products then the functor $\times: \mathcal{C} \times \mathcal{C} \rightarrow \mathcal{C}$ is the right adjoint of $\Delta: \mathcal{C} \rightarrow \mathcal{C} \times \mathcal{C}$.

(In fact, the converse is true: Δ has a right adjoint iff \mathcal{C} has binary products, & then it's \times .)

Sketch of Pf:

For starter we need bijections

$$\text{hom}(\Delta c, (c', c'')) \cong \text{hom}(c, c' \times c'') \quad c \in \mathcal{C} \quad (c', c'') \in \mathcal{C} \times \mathcal{C}$$

The left side:

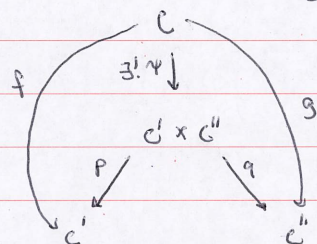
$$\begin{aligned} \text{hom}(\Delta c, (c', c'')) &= \text{hom}((c, c), (c', c'')) \\ &\cong \text{hom}(c, c') \times \text{hom}(c, c'') \end{aligned}$$

since a morphism from (c, c) to (c', c'') is a pair $f: c \rightarrow c', g: c \rightarrow c''$

So we need:

$$\text{hom}(c, c') \times \text{hom}(c, c'') \cong \text{hom}(c, c' \times c'')$$

Indeed, the universal property of the product says:



so (f, g) gives Υ & conversely Υ gives $f = p \circ \Upsilon$ & $g = q \circ \Upsilon$, so we have a bijection:

$$\begin{aligned} \text{hom}(c, c') \times \text{hom}(c, c'') &\xrightarrow{\sim} \text{hom}(c, c' \times c'') \\ (f, g) &\longmapsto \Upsilon \end{aligned}$$

Prop If \mathcal{C} has binary coproducts, $\Delta: \mathcal{C} \rightarrow \mathcal{C} \times \mathcal{C}$ has a left adjoint, $+: \mathcal{C} \times \mathcal{C} \rightarrow \mathcal{C}$ assigning to each pair (c', c'') their coproduct $c' + c''$.

(Conversely, if Δ has a left adjoint, \mathcal{C} has binary coproducts & left adjoint is $+$.)

(Sketch of Pf on next page)

Sketch of Pf:

For starters we need a bijection

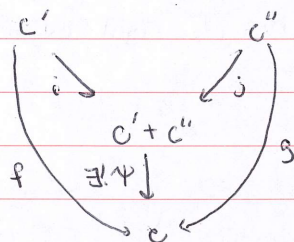
$$\text{hom}(c' + c'', c) \cong \text{hom}(c', c''), \Delta c).$$

$$\begin{aligned} \text{Note: } \text{hom}(c', c''), \Delta c) &\cong \text{hom}(c', c''), (c, c) \\ &\cong \text{hom}(c', c) \times \text{hom}(c'', c) \end{aligned}$$

So need:

$$\text{hom}(c' + c'', c) \cong \text{hom}(c', c) \times \text{hom}(c'', c)$$

and indeed the definition of coproducts gives



so our bijection
sends $\psi \mapsto (f, g)$



A product (an example of a limit) is an example of a right adjoint -
it's easy to describe morphisms going into it.

A coproduct (an example of a colimit) is an example of a left adjoint -
it's easy to describe morphisms going out of it.

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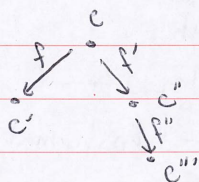
Last time we saw that if C has products, the functor $\times: C^2 \rightarrow C$
 is a right adjoint to the
 diagonal functor $\Delta: C \rightarrow C^2$
 $c \mapsto (c, c)$

& similarly $+: C^2 \rightarrow C$, if C has coproducts, is a left adjoint to Δ .

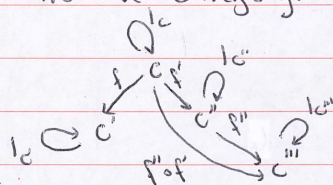
(Thus $\oplus: \text{Vect}_k^2 \rightarrow \text{Vect}_k$ is both left & right adjoint to $\Delta: \text{Vect}_k \rightarrow \text{Vect}_k^2$.)

In fact if a category has limits, these limits give a right adjoint to some functor:
 "limits are right adjoints". Similarly "colimits are left adjoints".

We often think about the limit of a diagram in a category C . What's a
 "diagram in C ", really?

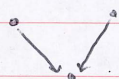


Namely, it's a collection of objects & morphisms between them.
 We can make it into a category:

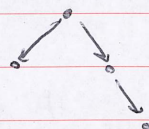


Now it's a subcategory of C .

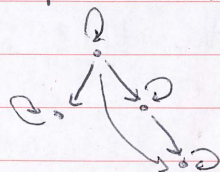
We're often interested in diagram of some shape, like pullbacks



or

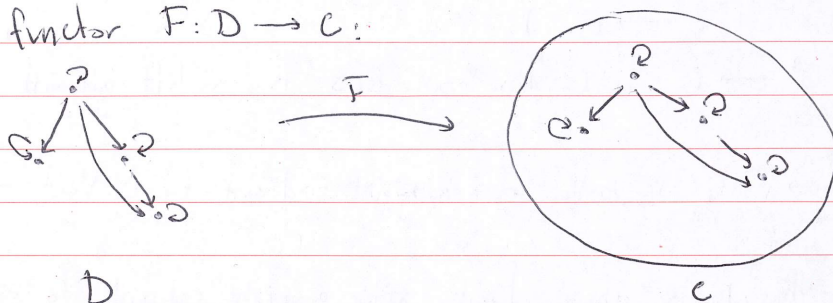


These "shapes" can be interpreted as categories:



Let D be any category: we'll take this as our "diagram shape". What is a D -shaped diagram in some category C ?

It's a functor $F: D \rightarrow C$:

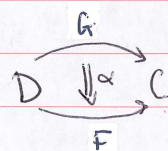


When we take the limit of this diagram, we get an object (defined up to isomorphism) $\lim F \in C$.

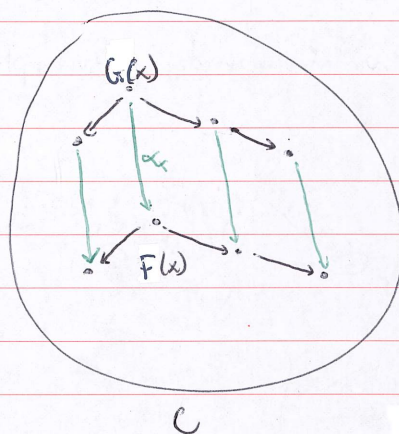
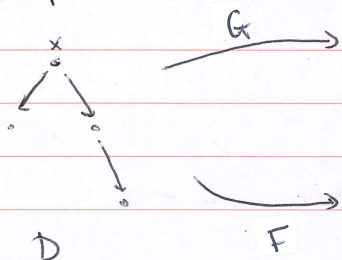
What's the process that takes us from $F: D \rightarrow C$ to $\lim F \in C$?

The key: there's a category C^D with:

- objects being functors $F: D \rightarrow C$
- morphisms being natural transformations

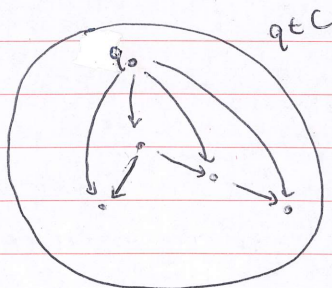


These morphisms look like

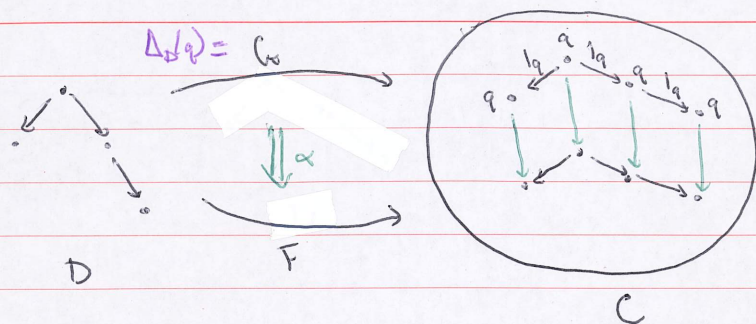


where all the squares commute

When we take a limit of $F: C \rightarrow D$, we study cones over F :



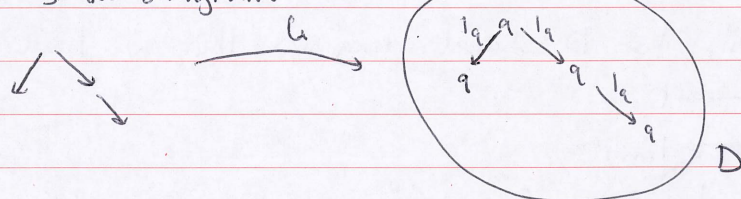
A cone over F is a natural transformation $\alpha: G \Rightarrow F$ where G sends every object of D to some object C & G sends every morphism of D to the identity morphism of that object.



Here $G: D \rightarrow C$ was determined by the object $q \in C$, via the above recipe. It turns an object $q \in C$ into an object $G \in C^D$.

So this recipe should be a functor $\Delta_D: C \rightarrow C^D$

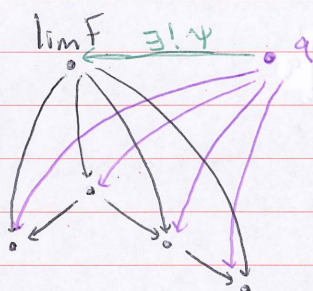
$\Delta_D(q)$ is the diagram



Here $G = \Delta_D(q)$

So: a cone over F with apex $q \in C$ is a natural transformation $\alpha: \Delta_D(q) \Rightarrow F$

What's the limit of a diagram? If $F \in C^D$



It's a universal cone over that diagram.

Remember U is the right adjoint of F if:

$$\text{hom}(Fx, y) \cong \text{hom}(x, Uy)$$

So adjoint functors are about converting one kind of morphism into another in a bijective way, & that's what we're doing when we're stating the universal property:

- morphisms $\gamma: q \rightarrow \lim F$ in C
 - cones over F with apex q , i.e. natural transformations $\alpha: \Delta_D(q) \Rightarrow F$
- morphisms α from $\Delta_D(q)$ to F in C^D .

$$\text{So: } \text{hom}(\Delta_D(q), F) \cong \text{hom}(q, \lim F)$$

So it looks like we have

$$\lim: C^D \rightarrow C$$

which is right adjoint to

$$\Delta_D: C \rightarrow C^D$$

This is true - you need to check that

$$\text{hom}(\Delta_D(q), F) \cong \text{hom}(q, \lim F)$$

is a natural bijection to finish the proof of:

Thm If C has all limits for D -shaped diagrams, then we have a functor

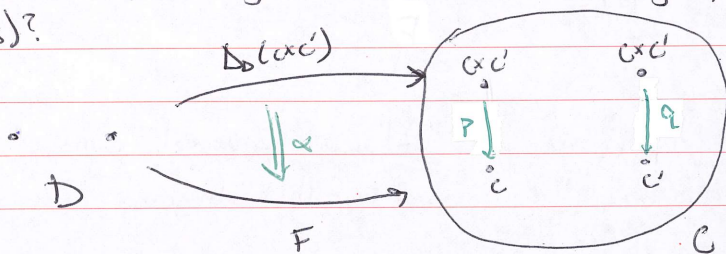
$$\lim: C^D \rightarrow C$$

$$F \mapsto \lim F$$

which is right adjoint to $\Delta_D: C \rightarrow C^D$.

The converse is true too: if $\Delta_D: C \rightarrow C^D$ has a right adjoint, then this gives limits of D -shaped diagrams in C .

What choice of D gives the case of binary products (a special case of limits)?



Here D has 2 objects & only identity morphisms, so we could call it 2 ,
so $C^D = C^2$ & $x: C^2 \rightarrow C$ is right adjoint to $\Delta_2 = \Delta: C \rightarrow C^2$.

Similarly,

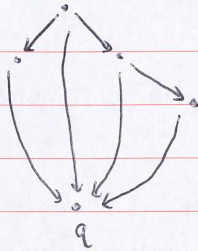
Thm If a category C has colimits of all D -shaped diagrams, there's a functor

$$\text{colim}: C^D \rightarrow C \text{ left adjoint to } \Delta_D: C \rightarrow C^D \text{ \& conversely.}$$

$$\text{So } \text{hom}(\text{colim } F, q) \cong \text{hom}(F, \Delta_D q).$$

Note

$\alpha \in \text{hom}(F, D_{\text{dg}})$ is a cocycle:



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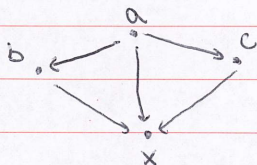
Thm Left adjoints preserve colimits; right adjoints preserve limits.

Pf: (sketch)

Let's show that if $F: C \rightarrow D$ is a left adjoint to $U: D \rightarrow C$, then F preserves colimits.

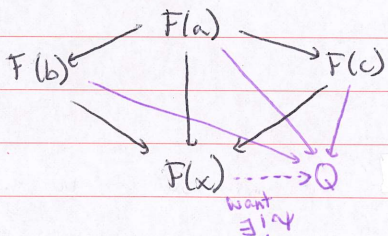
For concreteness, let's show F preserves pushouts - general case is analogous.

So suppose we have a pushout in C :



Here x is the apex of a cocone on the diagram we're taking a colimit of, & the universal property holds.

The claim is that applying F to this universal cocone gives a universal cocone in D :



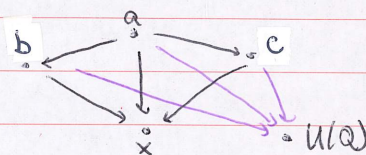
Choose a competitor cocone with apex Q . Need to show $\exists! \psi: F(x) \rightarrow Q$ making the newly formed triangle commute.

We can look at $U(Q) \in C$

Note $\text{hom}(F(x), Q) \cong \text{hom}(x, U(Q))$

So to get $\psi: F(x) \rightarrow Q$, let's find

$\varphi: x \rightarrow U(Q)$.



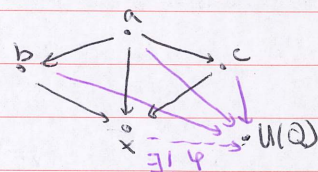
$U(Q)$ becomes a competitor due to the adjointness

of F & U , e.g. $\text{hom}(F(a), Q) \cong \text{hom}(a, U(Q))$

For some reason, the triangles involving $U(Q)$ commute since those involving Q commute.

So $U(Q)$ is a competitor.

Thus $\exists! \varphi: x \rightarrow U(Q)$ making the newly formed triangles commute.



This gives us $\psi: F(x) \rightarrow Q$, check it makes its newly formed triangle commute & is unique (since φ is).

Ex $F: \text{Set} \rightarrow \text{Grp}$ preserves colimits, e.g. coproducts, so

$$F(S+T) \cong F(S) + F(T)$$

Here $S+T$ is the disjoint S & T , $F(S+T)$ is the free group with elements of $S+T$ as generators, and $F(S) + F(T) = F(S) * F(T)$ is the "free product" of $F(S)$ & $F(T)$.

Ex $U: \text{Grp} \rightarrow \text{Set}$ preserves limits, e.g. products:

$$U(G \times H) \cong U(G) \times U(H)$$

where $G \times H$ is the usual product of groups G & H .

Thm The composite of left adjoints is a left adjoint. The composite of right adjoints is a right adjoint.

Pf:

Suppose we have functors $C \xrightarrow{F} D \xrightarrow{F'} E$

and F & F' are left adjoints of functors U, U' .

$$C \xleftarrow{U} D \xleftarrow{U'} E$$

We'll show that $F' \circ F: C \rightarrow E$ is the left adjoint of $U \circ U': E \rightarrow C$.

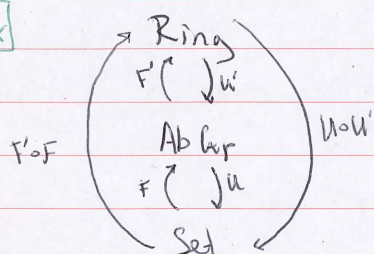
Want a natural isomorphism $\text{hom}(F' \circ F(c), e) \cong \text{hom}(c, U \circ U'(e))$

Here's how we get it:

$$\text{hom}(F' \circ F(c), e) \cong \text{hom}(F(c), U'(e)) \quad , \text{ since } F' \text{ is left adjoint to } U'$$

$$\cong \text{hom}(c, U \circ U'(e)) \quad , \text{ since } F \text{ is left adjoint to } U \quad \square$$

Ex



$F' \circ F$ is left adjoint to the forgetful functor $U \circ U'$ from Ring to Set .

Starting from \emptyset (the initial set) we get $F(\emptyset) = \{0\}$ (the trivial abelian group, which is the initial abelian group) & then $F'(F(\emptyset)) = \mathbb{Z}$ (the ring of integers, which is the initial ring).

Starting from a one-element set $\{x\}$, we get

$$F(\{x\}) = \{\dots, -x, 0, x, x+x, \dots\} \cong \mathbb{Z}$$

& then $F'(F(\{x\})) = \mathbb{Z}[x]$ the ring of polynomials in x with integer coefficients.

Units and counits of adjunctions (= pair of adjoint functors)

Suppose we have $F \overset{D}{\underset{C}{\dashv}} U$ with F left adjoint to U .

$$\text{hom}(F_c, d) \cong \text{hom}(c, U_d) \quad \forall c \in C, \forall d \in D$$

We can apply this bijection to an identity morphism & get something interesting. We can do this if $d = F_c$.

$$\begin{array}{ccc} \text{hom}(F_c, F_c) & \xrightarrow{\varphi} & \text{hom}(c, U F_c) \\ \downarrow \text{id}_{F_c} & & \downarrow \varphi(1_{F_c}) \end{array}$$

$\varphi(1_{F_c})$ is called the unit, $\eta_c: c \rightarrow U F_c$

We can also apply φ^{-1} to an identity if $c = U_d$.

$$\begin{array}{ccc} \text{hom}(F U_d, d) & \xleftarrow{\varphi^{-1}} & \text{hom}(U_d, U_d) \\ \downarrow \varphi^{-1}(1_{U_d}) & & \downarrow 1_{U_d} \end{array}$$

$\varphi^{-1}(1_{U_d})$ is called the counit, $\epsilon_d: F U_d \rightarrow d$

These give various famous morphisms.

Ex $F: \text{Set} \rightarrow \text{Grp}$

$U: \text{Grp} \rightarrow \text{Set}$

Given any set S , we get a unit: $\eta_S: S \rightarrow U F S$

This is the "inclusion of the generators": elements of S are generators of $F S$.

Given a group G , get: $\epsilon_G: F U G \rightarrow G$

$$\begin{array}{ccc} g_1^{i_1} * g_2^{i_2} \cdots g_n^{i_n} & \longmapsto & g_1^{i_1} \cdots g_n^{i_n} \\ \text{"formal product"} & & \text{"actual product"} \\ \text{in } F U G & & \text{in } G \end{array} \quad g_i \in G$$

The counits "convert" formal expressions into actual ones."

2/16/16

An adjunction is a pair of categories \mathcal{C} & \mathcal{D} , a pair of functors

$$\begin{array}{c} \mathcal{D} \\ F \uparrow \downarrow U \\ \mathcal{C} \end{array}$$

& a natural isomorphism $\alpha_{c,d}: \text{hom}(Fc, d) \xrightarrow{\sim} \text{hom}(c, Ud)$
So F is left adjoint to U & U is right adjoint to F .

What does the naturality of α actually say?

We're taking $(c, d) \in \mathcal{C}^{\text{op}} \times \mathcal{D}$ & applying two functors to it, getting objects $\text{hom}(Fc, d)$ & $\text{hom}(c, Ud) \in \text{Set}$.

α is a natural isomorphism between these functors, so we get a commuting square for each morphism $(f, g): (c, d) \rightarrow (c', d')$ in $\mathcal{C}^{\text{op}} \times \mathcal{D}$

$$\begin{array}{l} \text{i.e. } f: c' \rightarrow c \text{ in } \mathcal{C} \\ g: d \rightarrow d' \text{ in } \mathcal{D} \end{array}$$

The commuting square is:

$$\begin{array}{ccccc} Fc & \xrightarrow{h} & d & & \\ \uparrow Ff & & \downarrow g & & \\ Fc' & \xrightarrow{h'} & d' & & \end{array} \quad \begin{array}{ccccc} \text{hom}(Fc, d) & \xrightarrow{\alpha_{c,d}} & \text{hom}(c, Ud) & & \\ \downarrow \text{hom}(Ff, g) & & \downarrow \text{hom}(f, Ug) & & \\ \text{hom}(Fc', d') & \xrightarrow{\alpha_{c',d'}} & \text{hom}(c', Ud') & & \end{array}$$

$h \mapsto g \circ h \circ Ff$ $k \mapsto Ug \circ k \circ f$

Here $\text{hom}(Ff, g)$ is just a name for the map

$$\begin{array}{ccc} \text{hom}(Fc, d) & \longrightarrow & \text{hom}(Fc', d') \\ h & \longmapsto & g \circ h \circ Ff \end{array}$$

& similarly

$$\begin{array}{ccc} \text{hom}(f, Ug): \text{hom}(c, Ud) & \longrightarrow & \text{hom}(c', Ud') \\ k & \longmapsto & Ug \circ k \circ f \end{array}$$

So saying that α is natural says the square commutes, i.e.

$$\alpha_{c',d'}(g \circ h \circ Ff) = Ug \circ \alpha_{c,d}(h) \circ f$$

Let's use naturality to prove something about the unit & counit of an adjunction.

$$\begin{array}{ccc} \text{Recall: } \alpha_{c, Fc}: \text{hom}(Fc, Fc) & \xrightarrow{\sim} & \text{hom}(c, UFc) \\ 1_{Fc} & \longmapsto & z_c \end{array}$$

where $z_c = \alpha_{c, Fc}(1_{Fc})$ is the unit of the adjunction: $z_c: c \rightarrow UFc$

Also

$$\begin{array}{ccc} \text{hom}(F\text{Id}, d) & \xleftarrow[\sim]{\alpha^{-1}_{\text{Id}, d}} & \text{hom}(\text{Id}, \text{Id}) \\ \varepsilon_d \uparrow & & \downarrow \text{Id} \end{array}$$

where $\varepsilon_d = \alpha^{-1}_{\text{Id}, d}(\text{Id})$ is the counit: $\varepsilon_d: F\text{Id} \rightarrow d$.

Ex if $\begin{array}{c} \text{Vect} \\ F \uparrow \quad \downarrow U \\ \text{Set} \end{array}$ is our adjunction

$$i_S: S \rightarrow \text{UFS} \quad S \in \text{Set}$$

is the "inclusion of the basis elements into the vector space they're a basis of"

$$\varepsilon_v: FUV \rightarrow V \quad v \in \text{Vect}$$

$$c_1 v_1 + \dots + c_n v_n \mapsto c_1 v_1 + \dots + c_n v_n$$

formal lin. comb.

is "evaluation of formal linear combinations"

Thm Given an adjunction, we can recover the natural isomorphism $\alpha_{c,d}: \text{hom}(F_c, d) \rightarrow \text{hom}(c, \text{Id})$ and its inverse from the unit & counit.

i.e. there's a formula for α in terms of η & α^{-1} in terms of ε .

Pf:

Use naturality of α :

$$\begin{array}{ccccc} & & \xrightarrow{\text{Id}_{F_c}} & & \\ & \text{hom}(F_c, F_c) & \xrightarrow{\quad} & \text{hom}(c, \text{Id}) & \\ \downarrow h & \downarrow \text{hom}(F_c, f) & & \downarrow \text{hom}(1_c, \text{Id}) & \downarrow k \\ f \circ h \circ F_c & \text{hom}(F_c, d) & \xrightarrow{\alpha_{c,d}} & \text{hom}(c, \text{Id}) & \text{Id} \circ k \circ 1_c \\ & \downarrow f & & & \\ & & \xrightarrow{\quad} & & \end{array}$$

So we get

$$\begin{array}{ccc} \text{Id}_{F_c} & \xrightarrow{\quad} & \text{Id} \\ \downarrow & & \downarrow \\ f & \xrightarrow{\quad} & \alpha_{c,d}(f) = \text{Id} \circ k \circ 1_c \end{array}$$

So we can recover α from the unit.

Pf: (continued)

Similarly

$$\begin{array}{ccccc}
 & \xleftarrow{\epsilon_d} & & \xleftarrow{1_{Ud}} & \\
 h \downarrow & \text{hom}(FUd, d) & \xleftarrow{\alpha_{Ud, d}^{-1}} & \text{hom}(Ud, Ud) & \downarrow k \\
 & \downarrow & & \downarrow & \\
 1_d \circ h \circ Fg & \text{hom}(Fc, d) & \xleftarrow{\alpha_{c, d}^{-1}} & \text{hom}(c, Ud) & \downarrow 1_{Ud} \circ k \circ g \\
 & \downarrow \alpha_{c, d}^{-1}(g) & & \downarrow g & \\
 & & \xleftarrow{\quad} & &
 \end{array}$$

So

$$\begin{array}{ccc}
 \epsilon_d & \xleftarrow{\quad} & 1_{Ud} \\
 \downarrow & & \downarrow \\
 \alpha_{c, d}^{-1}(g) = \epsilon_d \circ Fg & \xleftarrow{\quad} & g
 \end{array}$$

So:

$$\alpha_{c, d}^{-1}(g) = \epsilon_d \circ Fg$$



This hints that there's another way to think about adjoint functors that says $F: C \rightarrow D$ & $U: D \rightarrow C$ are adjoint if we have natural transformations

$$\begin{array}{ll}
 \eta: 1_C \Rightarrow UF & (\text{giving } \eta_c: c \rightarrow UFc) \\
 \epsilon: FU \Rightarrow 1_D & (\text{giving } \epsilon_d: FUd \rightarrow d)
 \end{array}$$

obeying 2 equations.

We can draw η & ϵ as:

$$\begin{array}{c}
 \eta \\
 \text{U} \quad \text{F}
 \end{array}
 \quad
 \begin{array}{c}
 \text{F} \quad \text{U} \\
 \epsilon
 \end{array}$$

& the equations say:

$$\begin{array}{c} \epsilon \\ \text{F} \quad \text{U} \quad \text{F} \end{array} = \text{F}
 \quad
 \begin{array}{c} \eta \\ \text{U} \quad \text{F} \quad \text{U} \end{array} = \text{U}$$

These are called the zigzag equations

These pictures make sense as part of 2-dim. diagrammatic notation for:

- categories
 - functors
 - natural transformations
- } an example of a 2-category

Toward Topos Theory

A topos is a category that's enough like \mathbf{Set} that you can do "all mathematics" in it.

In brief: a topos is a cartesian closed category with finite limits & a subobject classifier.

Recall a category is cartesian if it has binary products \times & a terminal object 1 , i.e. it has finite products.

A category has finite limits if you can take the limit of any finite-sized diagram.

In fact, a category with finite limits is the same as a cartesian category with equalizers.

Roughly, a category is cartesian closed if it has objects like X^Y (in \mathbf{Set} these are sets of functions).

In \mathbf{Set} , subsets $S \subseteq T$ are in 1-1 correspondence with characteristic functions $\chi: T \longrightarrow \{0, 1\}$
 \uparrow
 $\{F, T\}$

$\{F, T\}$ is the subobject classifier in \mathbf{Set} .

Any topos has its own subobject classifier Ω .

2/18/16

Cartesian Closed Categories or CCC's

We've studied addition:

 $X + Y$ - coproduct: left adjoint to Δ

& multiplication

 $X \times Y$ - product: right adjoint to Δ

You can show that $+$ & \times are assoc. & comm. up to isomorphism, we saw there's a canonical morphism $X \times Z + Y \times Z \longrightarrow (X + Y) \times Z$ but it's not always an iso.

We say a category with $+$, \times is distributive if this is an iso.

Now let's do exponentiation!

In Set, $X^Y = \{f: Y \rightarrow X\}$ and $|X^Y| = |X|^{|Y|}$

Can we define X^Y in some other categories?

In any category \mathcal{C} we have $\text{hom}(Y, X) = \{f: Y \rightarrow X\} \in \text{Set}$ & in Set this is the same as X^Y , but we'd like to define $X^Y \in \mathcal{C}$ whenever possible: not the set of morphisms from Y to X but the object of morphisms from Y to X , or hom-object (also exponential, or internal hom). One goal is to free category theory from the domination of Set, & work with $X^Y \in \mathcal{C}$ as a substitute for $\text{hom}(Y, X) \in \text{Set}$.

[Prop] In Set, the functor $- \times Y: \text{Set} \rightarrow \text{Set}$ has a right adjoint

$$X \mapsto X \times Y$$

$$-^Y: \text{Set} \rightarrow \text{Set}$$

$$X \mapsto X^Y$$

So $\text{hom}(X \times Y, Z) \cong \text{hom}(X, Z^Y)$.

Sketch of Pf:

What's this isomorphism?

$$\text{hom}(X \times Y, Z) \longrightarrow \text{hom}(X, Z^Y)$$

$$f \longmapsto \hat{f}$$

(continued)

Sketch of Pf: (continued)

Given $f: X \times Y \rightarrow Z$, we need $\hat{f}: X \rightarrow Z^Y$

Computer scientists call $f \mapsto \hat{f}$ "currying", after Haskell Curry.

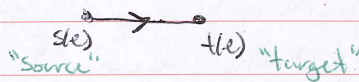
$$\hat{f}(x)(y) = f(x, y) \quad x \in X, \hat{f}(x) \in Z^Y, \hat{f}(x)(y) \in Z$$

Next, need to explain what $- \times Y$ & $-^Y$ do to morphisms; check they're functors, & show that this iso. $\text{hom}(X \times Y, Z) \cong \text{hom}(X, Z^Y)$ is natural. \square

Def A cartesian closed category or ccc is a cartesian category \mathcal{C} such that for every $Y \in \mathcal{C}$ the functor $- \times Y: \mathcal{C} \rightarrow \mathcal{C}$ has a right adjoint $-^Y: \mathcal{C} \rightarrow \mathcal{C}$. So we have a natural isomorphism: $\text{hom}(X \times Y, Z) \cong \text{hom}(X, Z^Y)$.

Ex

- 1) Set is ccc
- 2) FinSet (the category of finite sets & functions between them) is also ccc
- 3) G-Set (the category of sets with an action of the group G & functions preserving this action: $f(gx) = gf(x)$.)
- 4) Graphs (the category where an object is a graph meaning a pair of sets E, V and functions $s, t: E \rightarrow V$



A morphism from $s, t: E \rightarrow V$ to $s', t': E' \rightarrow V'$ consists of functions $\phi_0: V \rightarrow V'$ and $\phi_1: E \rightarrow E'$ s.t.

$$\begin{array}{ccc} E & \xrightarrow{\phi_1} & E' \\ s \downarrow & & \downarrow s' \\ V & \xrightarrow{\phi_0} & V' \end{array}$$

and

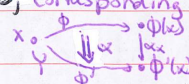
$$\begin{array}{ccc} E & \xrightarrow{\phi_1} & E' \\ t \downarrow & & \downarrow t' \\ V & \xrightarrow{\phi_0} & V' \end{array}$$

$$\begin{array}{ccc} & e & \\ & \xrightarrow{\quad} & \\ & t(e) & \end{array}$$

$\downarrow \phi_1$

$$\begin{array}{ccc} & \phi_1(e) & \\ & \xrightarrow{\quad} & \\ & \phi_0(t(e)) & \end{array}$$

Given graphs X & Y there's a graph X^Y whose vertices are maps of graphs $\phi: Y \rightarrow X$ but where there are also edges, corresponding to transformations



5) Cat - a category is a graph with the ability to compose edges:

$$\bullet \longrightarrow \bullet \longrightarrow \bullet$$

& a functor is a map of graphs that preserve composition.

Cat is also cartesian closed: given $X, Y \in \text{Cat}$ we get a category X^Y with:

- functors $F: Y \rightarrow X$ as its objects
- natural transformation α as morphisms from F to G .

Puzzle: show $\text{hom}(X \times Y, Z) \cong \text{hom}(X, Z^Y)$

$$f \mapsto \hat{f}$$

$$\text{where } \hat{f}(x)(y) = f(x, y)$$

$$x \in X, y \in Y$$

What does \hat{f} do to morphisms?

Show \cong is a natural iso.

b) $\text{Grp}, \text{Vect}_K, \text{Ring}, \dots$ are all cartesian categories that are not cartesian closed. If $G, H \in \text{Grp}$, there's no group G^H with one-to-one correspondence between homos $K \times H \rightarrow G$ and $K \rightarrow G^H$.

Prop Any cartesian closed category with coproducts is distributive.

Sketch the Proof:

Being distributive means the canonical morphism $X \times Z + Y \times Z \rightarrow (X + Y) \times Z$ is an iso.

I'll just show $(X + Y) \times Z \cong X \times Z + Y \times Z$

This would follow if we knew the functor $- \times Z: C \rightarrow C$ preserves coproducts.

We know left adjoints preserve colimits, e.g. coproducts.

Since C is cartesian closed, $- \times Z$ is the left adjoint of $-^Z$:

$$\text{hom}(X \times Z, Y) \cong \text{hom}(X, Y^Z)$$

Prop

In a cartesian closed category, $(X \times Y)^Z \cong X^Z \times Y^Z$ & $1^Z \cong 1$.

Pf:

$(X \times Y)^Z \cong X^Z \times Y^Z$ says $-^Z: C \rightarrow C$ preserves products. We know right adjoints preserve limits, e.g. products, and $-^Z$ is right adjoint to $- \times Z$.

The terminal object 1 is the limit of the empty diagram, so right adjoints also preserve 1 , so $1^Z \cong 1$. □

Puzzle: We know $\text{hom}(X \times Y, Z) \cong \text{hom}(X, Z^Y)$

Is the analogue true when we use "hom-objects" instead of hom-sets?

Is $Z^{X \times Y} \cong (Z^Y)^X$?

Yes this is true in any ccc. Bd why?

Next time: evaluation morphism $\text{ev}: X^Y \times Y \longrightarrow X$ in Set ,
 $(f, y) \longmapsto f(y)$

can be generalized to any ccc.

So can composition: $\circ: Z^Y \times Y^X \longrightarrow Z^X$
 $(f, g) \longmapsto f \circ g$

2/23/16

Cartesian Closed Categories

Any category has a set $\text{hom}(X, Y)$ of morphisms from one object X to another object Y , but in a cartesian closed category (or ccc) you also have an object Y^X of morphisms from X to Y .

Ex if $C = \text{Cat}$, $\text{hom}(X, Y)$ is the set of functors $F: X \rightarrow Y$, while Y^X is the category of functors $F: X \rightarrow Y$ & natural transformations between them.

In general, you can get $\text{hom}(X, Y)$ from Y^X but not vice versa.

We call $\text{hom}(X, Y)$ the homset or external hom (it lives outside of C , in Set), & Y^X the exponential or internal hom (since it lives inside C).

Internalization is the process of taking math that lives in Set & moving it into some category C .

E.g. in Set you can define a group to be an object $G \in \text{Set}$ with morphisms:

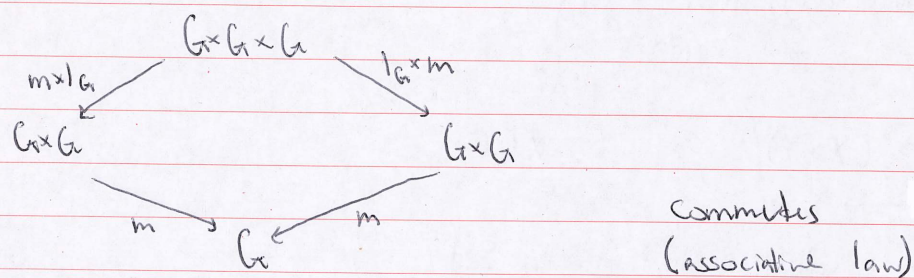
$m: G \times G \rightarrow G$ multiplication

$\text{inv}: G \rightarrow G$ inverses

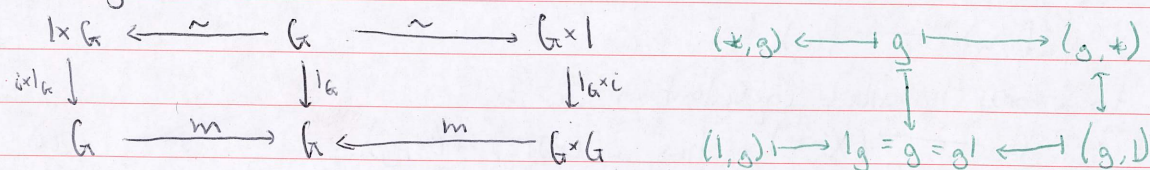
$i: 1 \rightarrow G$ the identity-assigning map

It maps the one element of 1 to the identity element in G

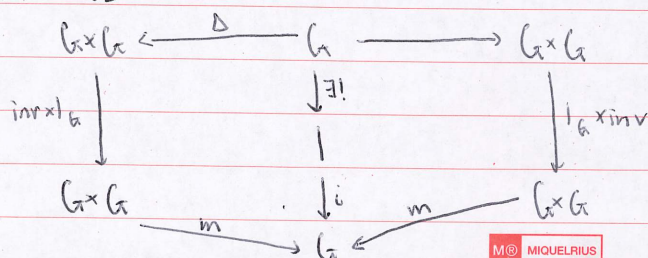
s.t.



left and right unit laws:



inverse laws



(continued)

All these diagrams make sense in any cartesian category (=category with finite products = category with binary products & terminal object). So we can define a group internal to C or group object in C or group in C using these axioms whenever C is cartesian.

E.g.:

- if $C = \text{Top}$, a group in C is called a topological group
- if $C = \text{Diff}$, a group in C is called a Lie group
- if $C = \text{algebraic varieties}$, a group in C is called an algebraic group.

Puzzle: if $C = \text{Grp}$, a group in C is a very famous thing. What is it?

Recall a cartesian category C is a ccc if for any $Y \in C$, $- \times Y$ has a right adjoint: $\text{hom}(X \times Y, Z) \cong \text{hom}(X, Z^Y)$

Any adjunction $\begin{array}{c} D \\ \uparrow f \\ C \end{array} \begin{array}{c} \\ \downarrow u \end{array}$ has a unit & counit:

$$\begin{array}{ll} \eta_X: X \rightarrow UFX & X \in C \\ \epsilon_Y: FU Y \rightarrow Y & Y \in D \end{array}$$

Now we have an adjunction $\begin{array}{c} C \\ \uparrow - \times Y \\ C \end{array} \begin{array}{c} \\ \downarrow -^Y \end{array}$

$$\begin{array}{ll} \eta_X: X \rightarrow (X \times Y)^Y & X \in C \\ \epsilon_X: X^Y \times Y \rightarrow X & \end{array}$$

The second one is called evaluation: in Set

$$\begin{array}{l} \epsilon_X: X^Y \times Y \rightarrow X \\ (f, y) \mapsto f(y) \end{array}$$

The first one is called coevaluation: in Set

$$\eta_X: X \rightarrow (X \times Y)^Y \text{ has } \eta_X(x)(y) = (x, y) \quad x \in X, \eta_X(x): Y \rightarrow X \times Y$$

So we have analogues of these in any ccc.

Next: in any category we have composition:

$$\circ : \text{hom}(Y, Z) \times \text{hom}(X, Y) \longrightarrow \text{hom}(X, Z)$$
$$(f, g) \longmapsto f \circ g$$

In a CCC, can we internalize this & define "internal composition":

$$\circ_{X, Y, Z} = \circ : Z^Y \times Y^X \longrightarrow Z^X \quad ?$$

$$\circ \in \text{hom}(Z^Y \times Y^X, Z^X) \cong \text{hom}(Z^Y, (Z^X)^{Y^X})$$

or $\cong \text{hom}(Z^Y \times Y^X \times X, Z)$ ← useful!

So we get \circ from a morphism

$$\tilde{\circ} : Z^Y \times Y^X \times X \longrightarrow Z$$

which we indeed have in any CCC

$$Z^Y \times Y^X \times X \xrightarrow{\text{id} \times \mathcal{E}} Z^Y \times Y \xrightarrow{\mathcal{E}} Z \quad \text{where } \mathcal{E} \text{ is evaluation.}$$

This is just an internalized way of saying the old def. of composition:

$$(f \circ g)(x) = \underbrace{f(g(x))}_{\substack{\text{two evaluations}}}$$

[Emily Riehl, Categories in Context, Dover Pub.]
Free on the web

Elements

Sets have elements, but what about objects in other categories?

Elements of a set X are in 1-1 correspondence with functions $f: 1 \rightarrow X$ where 1 is a terminal object in Set ($1 =$ a one element set)

So:


[Def] If \mathcal{C} is a category with a terminal object, an element of an object $X \in \mathcal{C}$ to be a morphism $f: 1 \rightarrow X$. We define the set elt(X) to be $\text{hom}(1, X)$.

[Ex] If $\mathcal{C} = \text{Top}$, $\text{elt}(X) = \{\text{continuous maps } f: \{*\} \rightarrow X\}$, where $\{*\}$, the one-point space, is the terminal object of Top. In fact $\text{elt}(X)$ is in 1-1 correspondence with the underlying set of X : given $x \in X$, $f: \{*\} \rightarrow X$

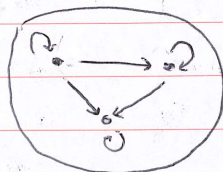
$$* \longmapsto x$$

& conversely any such $f(*) \in X$.

Ex If $C = \text{Grp}$, $\text{elt}(G) = \{\text{homomorphisms } f: 1 \rightarrow G\}$ where 1 is the trivial group, the terminal object in Grp . So $\text{elt}(G)$ has just one element: there's just one homomorphism $f: 1 \rightarrow G$, since 1 is also initial!

Ex If $C = \text{Cat}$
 $\text{elt}(D) = \{\text{functors } f: 1 \rightarrow D\}$ where 1 is the terminal category: .

functors



$$f: 1 \longrightarrow D$$

are in one-to-one correspondence with the objects of D .

So $\text{elt}(D) \cong \{\text{objects in } D\}$

Here, as in the previous example, elt forgets a lot of information:

$$\text{elt}\left(\begin{array}{c} ? \\ \rightarrow \\ ? \end{array}\right) \cong \text{elt}\left(\begin{array}{cc} ? & ? \end{array}\right)$$

Symmetric monoidal categories

(1)

"A category theorist is sort of like a sociologist. He looks at mathematical objects — he doesn't pry it open and see how it works — but sees how it behaves in relation to all other things."

— Chris Heunen

Def A monoid is a nonempty set G together with a binary operation on G which is

(i) associative: $(xy)z = x(yz) \quad \forall x, y, z \in G$

(ii) and contains a (two-sided) identity element $e \in G$ such that $xe = ex = x \quad \forall x \in G$

[i.e. take the definition of a group and drop the requirement of inverses]

- Def** A monoidal category is a category \mathcal{C} which is equipped with
1. a tensor product functor $\otimes: \mathcal{C} \times \mathcal{C} \rightarrow \mathcal{C}$ where the image of a pair of objects (x, y) is denoted by $x \otimes y$
 2. a unit object I
 3. for every $x, y, z \in \text{ob}(\mathcal{C})$, an associativity isomorphism $a_{x,y,z}: (x \otimes y) \otimes z \rightarrow x \otimes (y \otimes z)$, natural in the objects x, y , and z ,
 4. for every $x \in \text{ob}(\mathcal{C})$, a left unit isomorphism $l_x: I \otimes x \rightarrow x$ and a right unit isomorphism $r_x: x \otimes I \rightarrow x$, both natural in x .

We further assume the following diagrams commute for any objects w, x, y , and z :

$$\begin{array}{ccc}
 & (w \otimes x) \otimes y \otimes z & \\
 a_{w,x,y} \otimes \text{id}_z \swarrow & & \searrow a_{w,x,y,z} \\
 (w \otimes (x \otimes y)) \otimes z & & (w \otimes x) \otimes (y \otimes z) \\
 a_{w,x \otimes y,z} \downarrow & & \downarrow a_{w,x,y \otimes z} \\
 w \otimes ((x \otimes y) \otimes z) & \xrightarrow{\text{id}_w \otimes a_{x,y,z}} & w \otimes (x \otimes (y \otimes z))
 \end{array}$$

$$\begin{array}{ccc}
 (x \otimes I) \otimes y & \xrightarrow{a_{x,I,y}} & x \otimes (I \otimes y) \\
 r_x \otimes \text{id}_y \searrow & & \swarrow \text{id}_x \otimes l_y \\
 & x \otimes y &
 \end{array}$$

When we want to emphasize the tensor product and unit, we denote a monoidal category by $(\mathcal{C}, \otimes, I)$.

- Ex**
- $(\text{Set}, \times, \{*\})$
 - $(\text{Set}, \perp, \emptyset)$
 - $(\text{Grp}, \times, \{e\})$
 - $(\text{Hilb}, \otimes, \mathbb{C})$
- } it's structural!

objects: Hilbert spaces

morphisms: short linear maps (linear maps of norm at most 1)

Why is

$$\alpha_{x,y,z}: (x \otimes y) \otimes z \longrightarrow x \otimes (y \otimes z)$$

an isomorphism, and not an equality?

Let's consider the example $(\text{Set}, \times, \{\circ\})$:

$$\begin{aligned}(X \times Y) \times Z &= \{(w, z) \mid w \in X \times Y, z \in Z\} \\ &= \{((x, y), z) \mid x \in X, y \in Y, z \in Z\}\end{aligned}$$

$$\begin{aligned}X \times (Y \times Z) &= \{(x, w) \mid x \in X, w \in Y \times Z\} \\ &= \{(x, (y, z)) \mid x \in X, y \in Y, z \in Z\}\end{aligned}$$

These sets are not equal - but we can easily construct an isomorphism.

Ex How can we take a monoid G and construct a monoidal category?

First, we need a category \mathcal{C} :

- objects: elements of G
- morphisms: identity morphisms

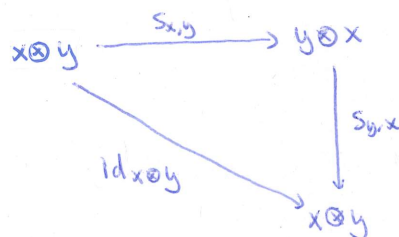
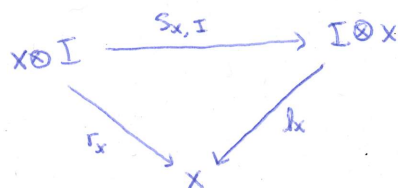
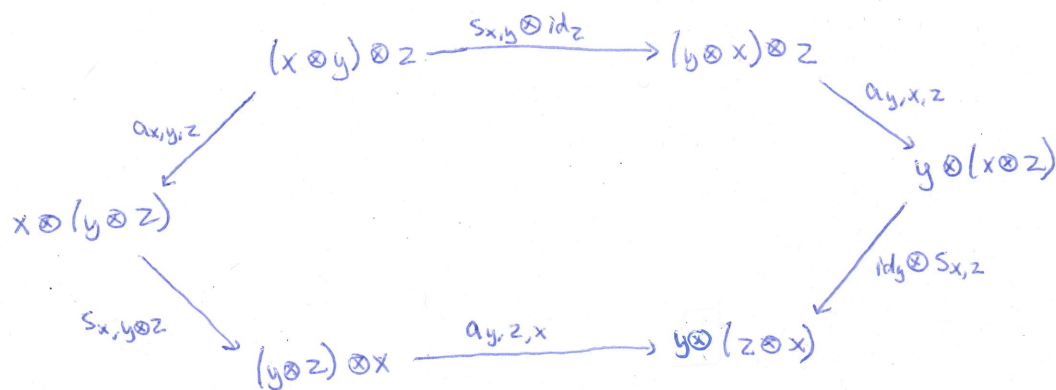
We get a monoidal category (\mathcal{C}, \circ, e) where \circ is the binary product of G and e is the identity element of G .

Note In general,

- if \mathcal{C} has products, we get a monoidal category $(\mathcal{C}, \times, 1)$
- if \mathcal{C} has coproducts, we get a monoidal category $(\mathcal{C}, +, 0)$

(4)

Def A monoidal category $(\mathcal{C}, \otimes, I)$ is symmetric if it additionally is equipped with an isomorphism $s_{x,y}: x \otimes y \rightarrow y \otimes x$ for any objects x and y of \mathcal{C} , natural in x and y , such that the following diagrams commute for all objects x, y , and z :



Most of the examples of monoidal categories we have talked about are symmetric. What's an example of a monoidal category that is not symmetric?

Let R be a non-commutative ring

The category of R - R -bimodules, with ${}_R \otimes_R$ as the tensor and R as the unit, is such an example.

Note Let (\mathcal{G}, \cdot, e) be the monoidal category given by the monoid \mathcal{G} . If \mathcal{G} is an abelian group, then (\mathcal{G}, \cdot, e) is symmetric.

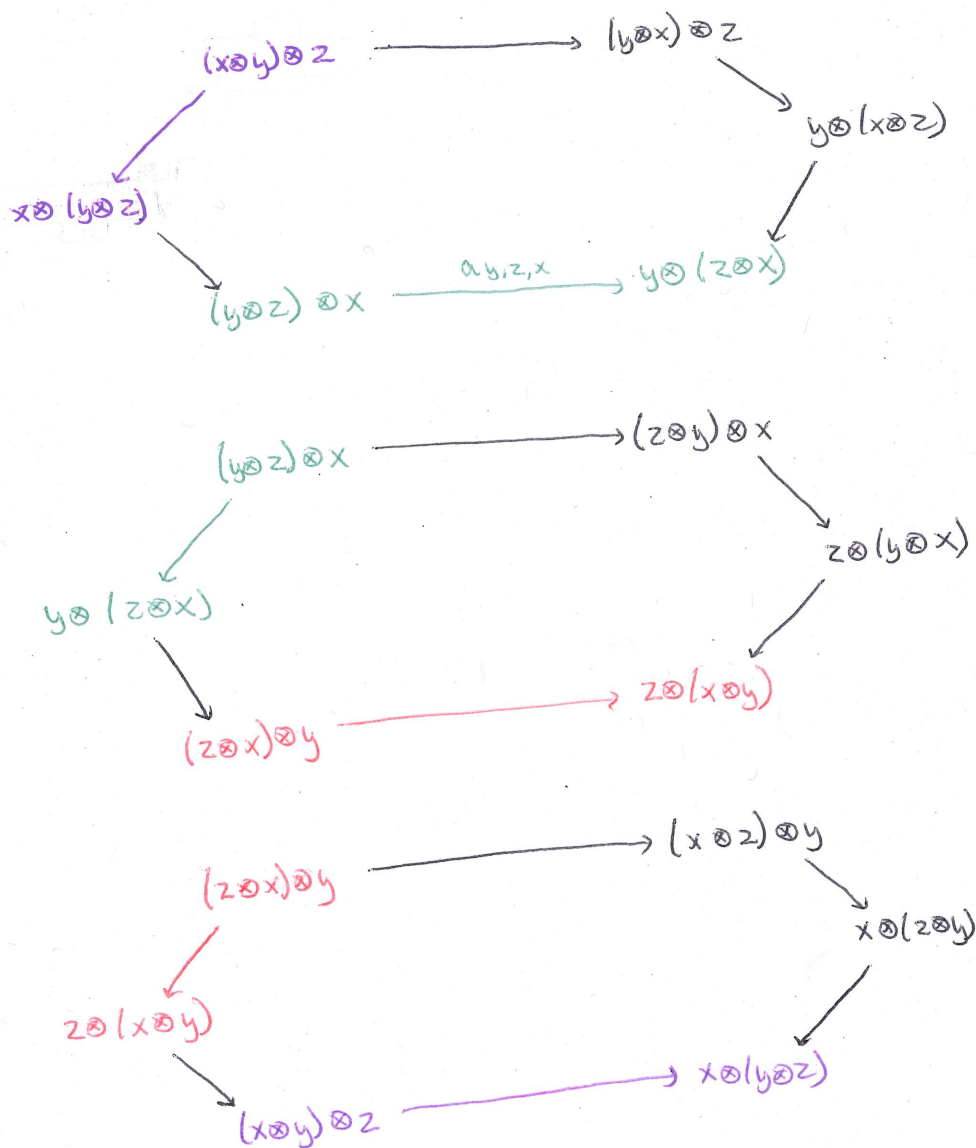
Going back to the definition of a symmetric monoidal category...

Q: Why is the hexagon commuting diagram sufficient?

- There are 6 different ways to order 3 elements
 - There are 2 ways of associating 3 elements
- ⇒ 12 possibilities

(and we would expect all of these to be isomorphic)

A: repeat!



Prop Suppose \mathcal{C} is a category with a terminal object $1 \in \mathcal{C}$. Then there's a functor $\text{elt}: \mathcal{C} \rightarrow \text{Set}$ with

$$\text{elt}(X) = \{f: 1 \rightarrow X\} \quad \forall X \in \mathcal{C}$$

and given any morphism $g: X \rightarrow Y$ in \mathcal{C} , $\text{elt}(g): \text{elt}(X) \rightarrow \text{elt}(Y)$ is defined as follows:

$$\begin{array}{ccc} 1 & \xrightarrow{f} & X \\ & \searrow \text{elt}(g)f & \downarrow g \\ & & Y \end{array}$$

$\text{elt}(g)f \stackrel{\text{def}}{=} g \circ f$

Pf:

elt preserves composition: given $X \xrightarrow{g} Y \xrightarrow{h} Z$ we need $\text{elt}(h \circ g) = \text{elt}(h) \circ \text{elt}(g)$.

$$\begin{array}{ccc} 1 & \xrightarrow{f} & X \\ & & \downarrow g \\ & & Y \\ & & \downarrow h \\ & & Z \end{array}$$

Given $f \in \text{elt}(X)$ we have

$$\begin{aligned} \text{elt}(h \circ g)f &= (h \circ g) \circ f \\ &= h \circ (g \circ f) \\ &= h \circ (\text{elt}(g)f) \\ &= \text{elt}(h)(\text{elt}(g)f). \end{aligned}$$

Similarly

$$\begin{aligned} \text{elt}(1_X)f &= 1_X \circ f \\ &= f \end{aligned} \quad \forall f \in \text{elt}(X)$$

$$\text{So } \text{elt}(1_X) = 1_{\text{elt}(X)}$$

Ex $\text{elt}: \mathcal{C} \rightarrow \text{Set}$ may not be faithful, i.e. we can have two different morphisms $g, g': X \rightarrow Y$ in \mathcal{C} with $\text{elt}(g) = \text{elt}(g')$.

If $\mathcal{C} = \text{Grp}$, we saw $\text{elt}(g) = 1 \in \text{Set}$ for all g , so any homomorphism $h: G \rightarrow G'$ will get sent to a function $\text{elt}(h): 1 \rightarrow 1$, but there's only one of these.

Prop If \mathcal{C} is a cartesian category, $\text{elt}: \mathcal{C} \rightarrow \text{Set}$ preserves finite products.

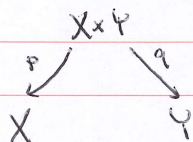
Pf:

It's easy to show elt preserves the terminal object:

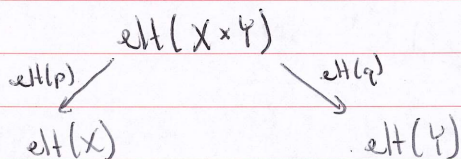
if $1 \in \mathcal{C}$ then $\text{elt}(1) = \{f: 1 \rightarrow 1\}$ is a one-element set, so it's terminal in Set .

Why does elt preserve binary products?

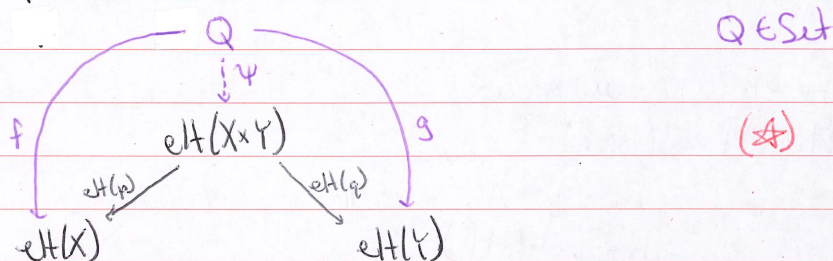
Suppose $X, Y \in \mathcal{C}$; then their product is a universal cone:



To show elt preserves products, we need to show this cone is universal in Set :



Choose a competitor:

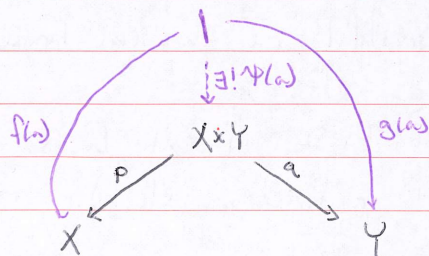


Want $\exists! \psi: Q \rightarrow \text{elt}(X \times Y)$ making the newly formed triangles commute.

$f: Q \rightarrow \text{elt}(X)$ sends any point $a \in Q$ to a point $f(a) \in \text{elt}(X) = \{h: 1 \rightarrow X\}$,
so $f(a): 1 \rightarrow X$.

Similarly $g(a): 1 \rightarrow Y$.

We want to define $\psi: Q \rightarrow \text{elt}(X \times Y)$; this will send any $a \in Q$ to $\psi(a): 1 \rightarrow X \times Y$.



By the universal property of $X \times Y$, for each $a \in Q$ $\exists! \psi(a): 1 \rightarrow X \times Y$ s.t. this commutes.

(continued)

Pf: (continued)

Define Ψ this way, check that (\star) commutes, and moreover (\star) commuting forces us to choose this Ψ , so Ψ is unique. \square

What if C is a ccc?

$$\begin{aligned} \text{Then } \text{hom}(X, Y) &\cong \text{hom}(1 \times X, Y) \\ &\cong \text{hom}(1, Y^X) \\ &= \text{elt}(Y^X) \end{aligned}$$

Since $1 \times X \cong X$ so:

$$\begin{array}{ccc} 1 \times X & \xrightarrow{\alpha} & X \\ & \searrow f \circ \alpha & \downarrow f \\ & & Y \end{array}$$

$$\begin{array}{ccc} X & \xrightarrow{\alpha^{-1}} & 1 \times X \\ & \searrow g \circ \alpha^{-1} & \downarrow g \\ & & Y \end{array}$$

gives us a bijection

$$\begin{array}{ccc} \text{hom}(X, Y) & \cong & \text{hom}(1 \times X, Y) \\ f & \longmapsto & f \circ \alpha \\ g \circ \alpha^{-1} & \longleftarrow & g \end{array}$$

The moral: we can convert the hom-object $Y^X \in C$ into the hom-set $\text{hom}(X, Y) \in \text{Set}$ by taking elements.

Given $f: X \rightarrow Y$ in $\text{hom}(X, Y)$, we can convert it into an element of Y^X , called the name of f : $\ulcorner f \urcorner: 1 \rightarrow Y^X$

Conversely, any element of Y^X is the name of a unique morphism $f: X \rightarrow Y$.

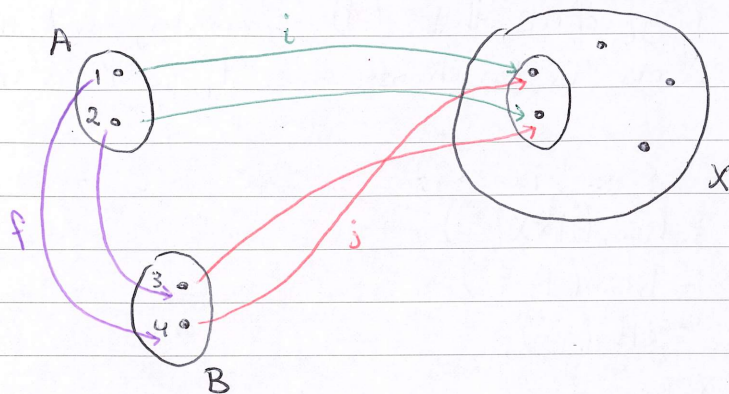
In functional programming, objects are data types, morphisms are programs, & any program $f: X \rightarrow Y$ has a "name" $\ulcorner f \urcorner \in \text{elt}(Y^X)$.

Subobjects

Def In a category C , a subobject of an object $X \in C$ is an equivalence class of monomorphisms $i: A \rightarrow X$ where monos $i: A \rightarrow X, j: B \rightarrow X$ are equivalent if there's an isomorphism $f: A \rightarrow B$ s.t. this commutes:

$$\begin{array}{ccc} A & \xrightarrow{i} & X \\ f \downarrow & \nearrow j & \\ B & & \end{array}$$

Ex If $C = \text{Set}$, subobjects of $X \in \text{Set}$ correspond to subsets of X .



Given a mono $i: A \rightarrow X$ we get a subset $\text{im}(i) \subseteq X$. Any subset $S \subseteq X$ arises in this way via the inclusion:

$$\begin{aligned} i: S &\longrightarrow X \\ s &\longmapsto s \in X \end{aligned}$$

This has $\text{im}(i) = S$.

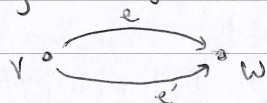
Finally, given monos $i: A \rightarrow X$ & $j: B \rightarrow X$ that define the same subset: $\text{im}(i) = \text{im}(j)$

then there exists a bijection $f: A \rightarrow B$ s.t.

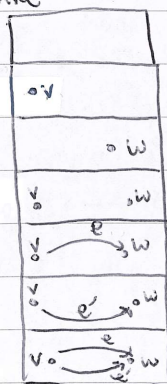
$$\begin{array}{ccc} A & \xrightarrow{i} & X \\ f \downarrow & \nearrow j & \\ B & & \end{array} \quad \text{commutes}$$

namely $f = (j \circ \text{im}_j)^{-1} \circ i$.

Ex In Graph, how many subobjects does this graph:



Here they are



the initial graph

graphs w/o edges

any object gives a subobject of itself:

$1_X: X \rightarrow X$ is a mono.

(A graph is a pair of fns $E \begin{smallmatrix} \xrightarrow{s} \\ \xrightarrow{t} \end{smallmatrix} V$.)

Prop In \mathbf{Set} , subobjects of $S \in \mathbf{Set}$ are in 1-1 correspondence with functions $\chi: S \rightarrow 2$ where $2 = \{F, T\}$.

Pf:

Subobjects of S are just subsets $A \subseteq S$.

Any such subset has a characteristic function $\chi: S \rightarrow 2$ given by

$$\chi(s) = \begin{cases} F & s \notin A \\ T & s \in A \end{cases}$$

Conversely, given $\chi: S \rightarrow 2$, let

$$A = \chi^{-1}(T)$$

$$= \{s \in S : \chi(s) = T\}.$$



Roughly, a "subobject classifier" in a category \mathcal{C} is an object $\Omega \in \mathcal{C}$ that plays the role of $2 = \{F, T\}$, in that subobjects of any object $S \in \mathcal{C}$ are going to be in 1-1 correspondence with morphisms $\chi: S \rightarrow \Omega$.

Set has the "subobject classifier" $2 = \{F, T\}$.

What does this really mean?

First, there's a function called true:

$$t: 1 \rightarrow 2$$

from $1 = \{*\}$ to 2 given by $t(*) = T \in 2$

For any set A there's a unique function

$$!_A: A \rightarrow 1$$

since 1 is terminal.

I claim that for any monomorphism $i: A \rightarrow X$ (that is a 1-1 function), there exists a unique function

$$\chi_i: X \rightarrow 2$$

called the characteristic function of i , such that:

$$\begin{array}{ccc} A & \xrightarrow{!_A} & 1 \\ i \downarrow & & \downarrow t \\ X & \xrightarrow{\chi_i} & 2 \end{array}$$

is a pullback.

χ_i , in more familiar terms, will be the characteristic function of the subset $\text{im}(i) \subseteq X$, but we call it the characteristic function of the mono, i .

First let's show that this χ_i :

$$\chi_i(x) = \begin{cases} T & x \in \text{im } i \\ F & x \notin \text{im } i \end{cases}$$

Let Q be a competitor

$$\begin{array}{ccc} Q & & \\ \downarrow f & \searrow \psi & \downarrow !_Q \\ A & \xrightarrow{!_A} & 1 \\ \downarrow i & & \downarrow t \\ X & \xrightarrow{\chi_i} & 2 \end{array}$$

Then show $\exists ! \psi: Q \rightarrow A$ making the newly formed triangles commute.

Since Q is a competitor:

$$\chi_i(f(q)) = t(!_Q(q)) \quad q \in Q$$

(continued)

$$\begin{aligned}\chi_i(f(q)) &= t(!_Q(q)) \quad q \in Q \\ &= t(*) \\ &= T\end{aligned}$$

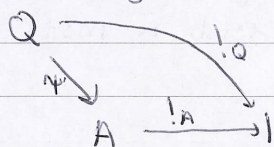
⇒ Using the def. of χ_i $f(q) \in \text{im } i$

So since i is 1-1, for each $q \in Q$, $\exists ! a \in A$ with $f(q) = i(a)$

So define $\psi: Q \rightarrow A$ by $\psi(q) = a$.

This makes $f = i \circ \psi$ and it's the unique $\psi: Q \rightarrow A$ that does so (since i is 1-1).

The other newly formed triangle automatically commutes:



You can also check that $\chi_i: X \rightarrow 2$ is the unique morphism from X to 2 that makes the square a pullback.

So generalizing:

Def Given a category C with a terminal object, a subobject classifier is an object $\Omega \in C$ with a morphism $t: 1 \rightarrow \Omega$ such that:

for any mono $i: A \rightarrow X$ there exists a unique $\chi_i: X \rightarrow \Omega$ such that this square is a pullback:

$$\begin{array}{ccc} A & \xrightarrow{!_A} & 1 \\ i \downarrow & & \downarrow t \\ X & \xrightarrow{\chi_i} & \Omega \end{array}$$

Def A elementary topos is a cartesian closed category with finite limits (limits of finite sized diagrams) and a subobject classifier.

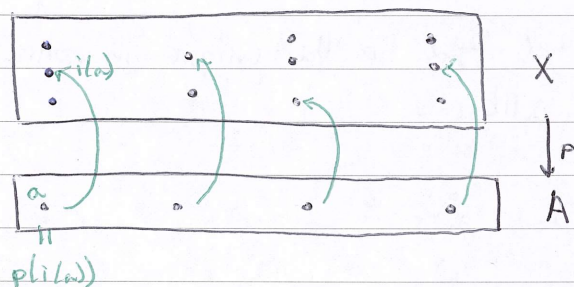
Grothendieck in the 1960's introduced a concept of topos, now Grothendieck topos, which is a special case of an elementary topos, as part of proving the Weil hypotheses in number theory. Later, in the late 60's & early 70's, Lawvere & Tierney simplified & generalized the concept of topos to define an "elementary topos".

Examples of elementary topos:

- 1) Set: category of sets & functions
- 2) FinSet: category of finite sets & functions
- this doesn't have all limits, only finite limits.
So topos theory includes finitist mathematics
- 3) Set': category of sets & functions as defined using ZF - Zermelo-Fraenkel axioms without axiom of choice.

The axiom of choice is equivalent to:

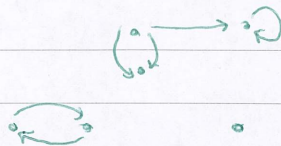
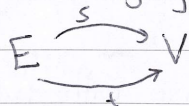
for every epimorphism $p: X \rightarrow A$ there exists a mono $i: A \rightarrow X$ s.t. $p \circ i = 1_A$.



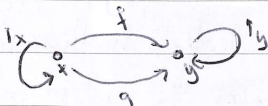
If this is true we say the epimorphism splits.

In a general topos, not every epi splits so the axiom of choice need not hold.

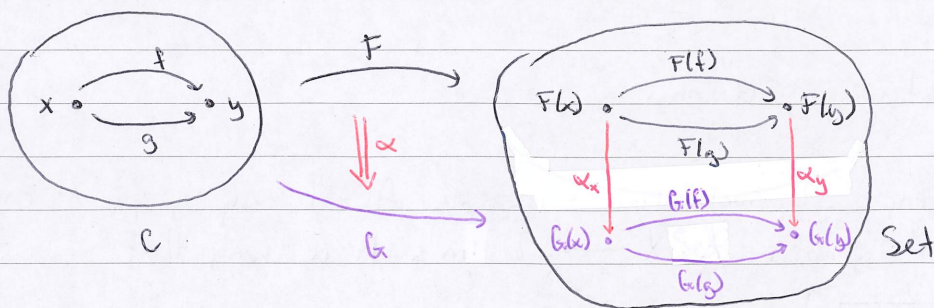
4) Graphs: the category of graphs:



5) Previous example is a special case of a category Set^C , where C is any category. These are called presheaf categories when we write them as $\text{Set}^{(D^{\text{op}})}$ (e.g. $D = C^{\text{op}}$ so $D^{\text{op}} = C$).

E.g. if $C =$ 

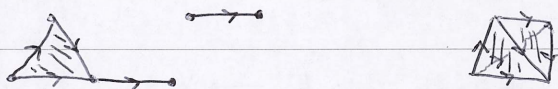
then $\text{Set}^C \cong \text{Graph}$
(continued)



A functor $F: C \rightarrow \mathbf{Set}$ is a graph with $E = F(x)$, $V = F(y)$, $s = F(f)$, $t = F(g)$. So a graph is an object in \mathbf{Set}^C .

Similarly, a morphism in \mathbf{Set}^C is a morphism between graphs.

b) Another example of a presheaf category is the category of simplicial sets:



These are fundamental to algebraic topology.

7) Presheaf categories are closely connected to categories of sheaves, which are also topoi.

Sheaves are fundamental to algebraic geometry.

3/8/16

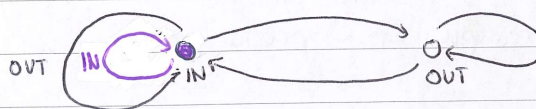
The subobject classifier in Graph

This is some graph Ω such that subgraphs A of any graph X correspond to morphisms of graphs $\chi: X \rightarrow \Omega$ in such a way that

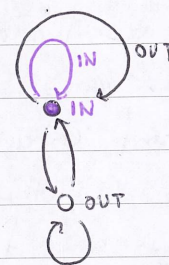
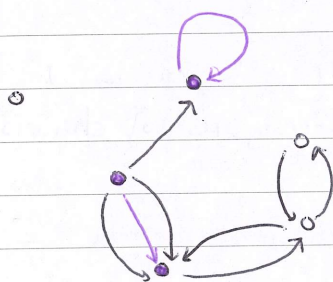
$$\begin{array}{ccc} A & \xrightarrow{!A} & 1 \\ \text{mono} \downarrow & & \downarrow t \\ X & \xrightarrow{\chi} & \Omega \end{array}$$

is a pullback.

Ω looks like this:



Here's a graph X with a subgraph $i: A \rightarrow X$



$$X \xrightarrow{\chi} \Omega$$

χ sends purple vertices/edges to purple ones.

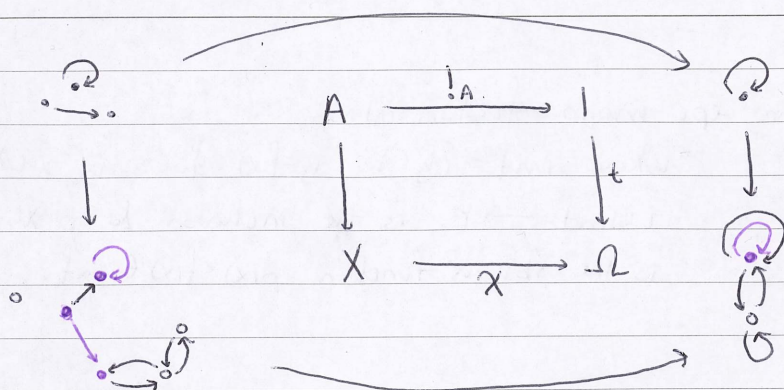
Conversely any morphism of graphs $\chi: X \rightarrow \Omega$ determines a subgraph of X , consisting of vertices & edges that are purple in Ω .

The terminal graph, 1 , looks like this:

The purple subgraph of Ω is a copy of 1 (it's isomorphic to 1).

We get this from the morphism $t: 1 \rightarrow \Omega$ which you have in any topos.

A vertex or edge of X will be mapped to this subgraph of Ω iff it's true that the vertex or edge is in A .

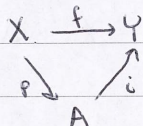


i.e., this diagram commutes. Moreover it's a pullback, allowing you to reconstruct A knowing X .

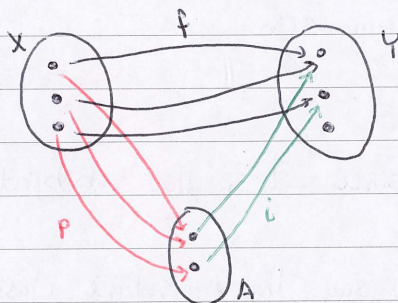
The most important basic properties of topos:

Prop A topos has finite colimits, meaning it has colimits of finite-sized diagrams.

Prop Any morphism $f: X \rightarrow Y$ in a topos has an epi-mono factorization, i.e. there exists an epi $p: X \rightarrow A$ & mono $i: A \rightarrow Y$ making this triangle commute:

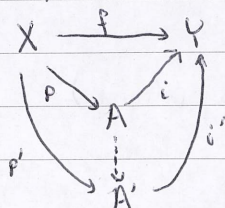


Ex in Set



every function is a composite $f = i \circ p$ with p onto & i one-to-one.

Prop In a topos, the epi-mono factorization of any morphism $f: X \rightarrow Y$ is unique up to a unique isomorphism:
given two epi-mono factorizations:



there exists a unique isomorphism $g: A \rightarrow A'$ making the resulting diagram commute.

Ex In Set, we have an epi-mono factorization

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ p \searrow & & \nearrow i \\ & \text{im}f & \end{array}$$

where $\text{im}f = \{y \in Y : y = f(x) \text{ for some } x \in X\}$

$i: \text{im}f \rightarrow Y$ is the inclusion & $p: X \rightarrow \text{im}f$ is the obvious function $p(x) = f(x) \in \text{im}f$.

So:

Def Given an epi-mono factorization

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ p \searrow & & \nearrow i \\ & A & \end{array}$$

we call A "the" image of f (it's unique up to isomorphism) & denote it as $\text{im}f$.

Generalize \subseteq, \cap, \cup , to any topos

Henceforth suppose \mathcal{C} is a topos.

Def Given $X \in \mathcal{C}$, define $\text{Sub}(X)$ to be the set of all subobjects of X :

equivalence classes of monos $i: A \rightarrow X$, where $i: A \rightarrow X$ and $j: B \rightarrow X$ are equivalent if there exists an iso $g: A \rightarrow B$ s.t.

$$\begin{array}{ccc} A & \xrightarrow{i} & X \\ g \searrow & & \nearrow j \\ & B & \end{array} \quad \text{commutes.}$$

Note $\text{Sub}(X) \cong \text{hom}(X, \Omega)$ since Ω is the subobject classifier.

Prop $\text{Sub}(X)$ is a poset where we say the equivalence class of $i: A \rightarrow X$ is contained in (or \subseteq) the equivalence class of $j: B \rightarrow X$ if there exists $f: A \rightarrow B$ making this commute:

$$\begin{array}{ccc} A & \xrightarrow{i} & X \\ f \searrow & & \nearrow j \\ & B & \end{array}$$

(Note: f must be a mono, and it's unique.)

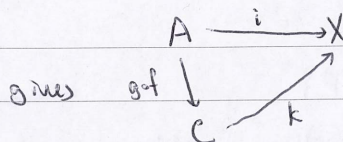
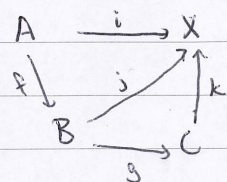
Let's say $[i] \subseteq [j]$ in this case.

(Pf on next page)

Pf:

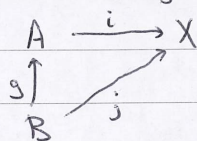
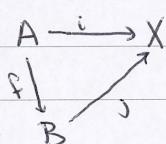
Need to check:

$$1) [i] \subseteq [j], [j] \subseteq [k] \Rightarrow [i] \subseteq [k]$$



$$2) [i] \subseteq [i] \text{ - easy}$$

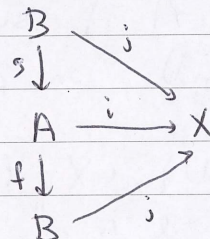
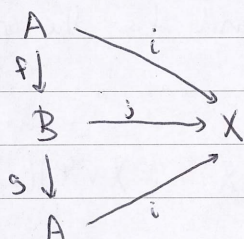
$$3) [i] \subseteq [j] \text{ and } [j] \subseteq [i] \Rightarrow [i] = [j]$$



commute.

To show $[i] = [j]$, it suffices to show:

g is the inverse of f (so f is an isomorphism).



commute, so $i \circ g \circ f = i \circ 1_A$ and $j \circ f \circ g = j \circ 1_B$

& since i & j are mono, they're left cancellable:

$$g \circ f = 1_A \text{ \& } f \circ g = 1_B.$$



Next time we'll define \cup for subobjects, & this makes $\text{Sub}(X)$, which is a poset hence a category, into a category with coproducts: \cup is the coproduct in $\text{Sub}(X)$.

Similarly \cap is the product in the category $\text{Sub}(X)$.

Set Theory, Topos, & Logic

In Set, every subset of $X \in \text{Set}$ corresponds to a predicate on elements of X :

$$\chi: X \rightarrow \{T, F\}$$

i.e. a characteristic function.

χ determines a subset $A \subseteq X$ via:

$$A = \{x \in X : \chi(x) = T\}$$

& conversely any subset $A \subseteq X$ determines $\chi: X \rightarrow \{T, F\}$ via

$$\chi(x) = \begin{cases} T & x \in A \\ F & x \notin A \end{cases}$$

In a topos we get a similar bijection between $\text{Sub}(X)$ & $\text{hom}(X, \Omega)$.

The concepts of \cup & \cap for subsets correspond to the operations of \vee (or) & \wedge (and) on predicates.

$$\{x \in X : \chi(x) = T\} \cup \{x \in X : \psi(x) = T\} = \{x \in X : (\chi \vee \psi)(x) = T\}$$

& similarly for \cap & \wedge .

Prop In Set, $\text{Sub}(X)$ for $X \in \text{Set}$ is a poset via \subseteq , and thus a category where there exists a unique morphism from A to B iff $A \subseteq B$ ($A, B \subseteq X$).

In this category $A \cap B$ is the product of A and B , and $A \cup B$ is the coproduct.

Sketch of Pf:

We have

$$\begin{array}{ccc} & A \cap B & \\ \cap & & \cap \\ A & & B \end{array}$$

& this cone is universal:

$$\begin{array}{ccc} & Q & \\ \cap & \downarrow \exists! \chi & \cap \\ A & A \cap B & B \end{array}$$

which is true since $Q \subseteq A, Q \subseteq B \Rightarrow Q \subseteq A \cap B$.

The proof for \cup is the same but with all \subseteq 's turned around.

In fact, in Set , $\text{Sub}(X)$ has all finite limits and all finite colimits!

A category has all finite limits iff it has:

- binary products
 - terminal object
 - equalizers
- \Rightarrow all finite products exist (Cartesian)

$\text{Sub}(X)$ has binary products (\cap), terminal object (X , since $A \in X$ for all $A \in \text{Sub}(X)$), and equalizers:

$$B \xrightarrow{f} C \text{ in any poset is really } B \xrightarrow{f} C$$

& the equalizer is:

$$B \xrightarrow{1} B \xrightarrow{f} C$$

so equalizers exist in any poset.

Similarly, in Set , $\text{Sub}(X)$ has all finite colimits because it has:

- binary coproducts
- initial object
- coequalizers

The binary product of A & B is $A \cup B$, the initial object is \emptyset (since $\emptyset \in A$ for all $A \in \text{Sub}(X)$), and coequalizers (which exist in any poset: just turn arrows around in argument for equalizers).

Def A lattice is a poset with all finite limits & colimits.

(This is equivalent to other more popular definitions, though some evil people don't demand the initial and terminal object.)

In fact we have:

	SET THEORY	LOGIC	CATEGORY THEORY
FINITE LIMITS	\cap	\wedge	binary product
	X (the whole set)	\top	terminal object
FINITE COLIMITS	\cup	\vee	binary coproduct
	\emptyset	\perp	initial object
	\subseteq	\Rightarrow	\longrightarrow
CARTESIAN CLOSEDNESS	$B \cup A^c$	$Q \vee \neg P$ or "P implies Q"	exponentiation

Note

$$X = \{x \in X : T = T\}$$

$$\emptyset = \{x \in X : F = T\}$$

In fact the poset $\text{Sub}(X)$ is cartesian closed.

In general this means

$$\text{hom}(B \times C, D) \cong \text{hom}(B, D^C)$$

but for $\text{Sub}(X)$, being a poset, these sets either have 0 elements or 1 element. Also, the product is the intersection.

So this says

$$B \wedge C \leq D \quad \text{iff} \quad B \leq D \vee C^c$$

or in terms of logic

$$P \wedge Q \Rightarrow R \quad \text{iff} \quad P \Rightarrow \underbrace{R \vee \neg Q}_{\text{or "Q implies R"}}$$

Thm In any topos, for any object X the poset $\text{Sub}(X)$ is a Heyting algebra: it's a poset that has finite limits, finite colimits, & is Cartesian closed. I.e. it's a Cartesian closed lattice.

Sketch of sketch of pf:

Given two subobjects of X , $[i], [j]$, we want to form $[i] \wedge [j]$ and $[i] \vee [j]$.

Taking the pullback gives us the intersection

$$\begin{array}{ccc} A \wedge B & \xrightarrow{g} & B \\ f \downarrow & \swarrow \text{cof} = \text{jog} & \downarrow j \\ A & \xrightarrow{i} & X \end{array}$$

Since this is a pullback & i, j are monic $\Rightarrow f, g$ are monic.

$\Rightarrow \text{cof} = \text{jog}$ is also a monic, so we get a new subobject of X , which is $[i] \wedge [j]$.

For unions, we start with the coproduct

$$\begin{array}{ccc} A+B & \xleftarrow{g} & B \\ f \uparrow & \searrow \exists! \psi & \downarrow j \\ A & \xrightarrow{i} & X \end{array}$$

where we get ψ from the universal property of the coproduct.

But ψ need not be monic, so do the epi-mono factorization:

(continued)

(continued)

$$\begin{array}{ccc} A+B & \xrightarrow{\Psi} & X \\ & \searrow p & \nearrow k \\ & \text{im } \Psi & \end{array}$$

where p is epi and k is mono.

k gives a new subobject of X , which is $[i] \cup [j]$.

Where does topos theory go from here?

Many directions ... e.g.:

- Using the "Mitchell-Benabou language", we can reason inside any topos:
we can write things like:

$$\{x \in A \cap B : \forall y \in Y \exists z \in Z \ f(x, z) = y\}$$

& prove things about them, using the logic internal to the topos, & "generalized elements".

- There are also maps between topos:

$$C \rightrightarrows D$$

consisting of certain nice adjunctions.

These maps are called "geometric morphisms".

There's a topos called $\text{Th}(\text{Grp})$ - "the theory of a group",
and then a geometric morphism from some other topos C to $\text{Th}(\text{Grp})$ is the same as a group object in C .

This idea works for lots of concepts, not just the concept of a group.