

# SUM-PRODUCT THEOREMS AND INCIDENCE GEOMETRY

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**Abstract.** In this paper we prove the following theorems in incidence geometry.

1. *There is  $\delta > 0$  such that for any  $P_1, \dots, P_4$ , and  $Q_1, \dots, Q_n \in \mathbb{C}^2$ , if there are  $\leq n^{\frac{1+\delta}{2}}$  many distinct lines between  $P_i$  and  $Q_j$  for all  $i, j$ , then  $P_1, \dots, P_4$  are collinear. If the number of the distinct lines is  $< cn^{\frac{1}{2}}$ , then the cross ratio of the four points is algebraic.*
2. *Given  $c > 0$ , there is  $\delta > 0$  such that for any  $P_1, P_2, P_3$  noncollinear, and  $Q_1, \dots, Q_n \in \mathbb{C}^2$ , if there are  $\leq cn^{1/2}$  many distinct lines between  $P_i$  and  $Q_j$  for all  $i, j$ , then for any  $P \in \mathbb{C}^2 \setminus \{P_1, P_2, P_3\}$ , we have  $\delta n$  distinct lines between  $P$  and  $Q_j$ .*
3. *Given  $c > 0$ , there is  $\epsilon > 0$  such that for any  $P_1, P_2, P_3$  collinear, and  $Q_1, \dots, Q_n \in \mathbb{C}^2$  (respectively,  $\mathbb{R}^2$ ), if there are  $\leq cn^{1/2}$  many distinct lines between  $P_i$  and  $Q_j$  for all  $i, j$ , then for any  $P$  not lying on the line  $L(P_1, P_2)$ , we have at least  $n^{1-\epsilon}$  (resp.  $n/\log n$ ) distinct lines between  $P$  and  $Q_j$ .*

The main ingredients used are the subspace theorem, Balog-Szemerédi-Gowers Theorem, and Szemerédi-Trotter Theorem. We also generalize the theorems to high dimensions, extend Theorem 1 to  $\mathbb{F}_p^2$ , and give the version of Theorem 2 over  $\mathbb{Q}$ .

## §0. Introduction.

### Notation.

- For  $P \neq Q$ ,  $L(P, Q)$  denotes the line through  $P, Q$ .
- Let  $A$  be a subset of a ring. Then  $2A = \{a+a' : a, a' \in A\}$ ,  $A^2 = \{aa' : a, a' \in A\}$ .

We first prove the following two theorems.

**Theorem 1.** *There is  $\delta > 0$  such that for any  $P_1, \dots, P_4$ , and  $Q_1, \dots, Q_n \in \mathbb{C}^2$ , if*

$$|\{L(P_i, Q_j) : 1 \leq i \leq 4, 1 \leq j \leq n\}| \leq n^{\frac{1+\delta}{2}}, \quad (0.1)$$

*then  $P_1, \dots, P_4$  are collinear. If*

$$|\{L(P_i, Q_j) : 1 \leq i \leq 4, 1 \leq j \leq n\}| \leq cn^{1/2}, \quad (0.2)$$

*then the cross ratio of  $P_1, \dots, P_4$  is algebraic.*

**Theorem 2.** *Given  $c > 0$ , there is  $\delta > 0$  such that for any  $P_1, P_2, P_3$  noncollinear, and  $Q_1, \dots, Q_n \in \mathbb{C}^2$ , if*

$$|\{L(P_i, Q_j) : 1 \leq i \leq 3, 1 \leq j \leq n\}| \leq cn^{1/2}, \quad (0.3)$$

*then for any  $P \in \mathbb{C}^2 \setminus \{P_1, P_2, P_3\}$ , we have*

$$|\{L(P, Q_j) : 1 \leq j \leq n\}| = \delta n. \quad (0.4)$$

**Theorem 3.** *Given  $c > 0$ , there is  $\epsilon > 0$  such that for any  $P_1, P_2, P_3$  collinear, and  $Q_1, \dots, Q_n \in \mathbb{C}^2$ , if*

$$|\{L(P_i, Q_j) : 1 \leq i \leq 3, 1 \leq j \leq n\}| \leq cn^{1/2}, \quad (0.5)$$

*then for any  $P \in \mathbb{C}^2 \setminus L(P_1, P_2)$ , we have*

$$|\{L(P, Q_j) : 1 \leq j \leq n\}| > n^{1-\epsilon}. \quad (0.6)$$

**Remark 4.** In Theorem 3, the bound  $n^{1-\epsilon}$  in (0.6) is replaced by  $n/\log n$ , if the points are in  $\mathbb{R}^2$  instead of  $\mathbb{C}^2$ .

**Remark 5.** In Remark 1.1, we see that assumption (0.3) does occur.

We will first interpret the geometric problems under consideration into sum-product problems. Roughly speaking, for Theorem 2, we want to show that given two sets  $C, D \subset \mathbb{C}^2$  of about the same size, if  $\{\frac{d_i}{c_i} : (c_i, d_i) \in C \times D, 1 \leq i \leq n\}$  is small, then  $\{\frac{d_i+b}{c_i+a} : (c_i, d_i) \in C \times D, 1 \leq i \leq n\}$  is large, where  $a, b$  are fixed. So we want to have an upper bound on the number of solutions  $(c_i, d_i, c_j, d_j)$  of the equation  $\frac{d_i+b}{c_i+a} = \frac{d_j+b}{c_j+a}$ .

This interpretation was made in Section 1. In Section 2, we use the Subspace theorem to prove Theorem 2, for the case when the point  $P$  is not on any line connecting the  $P_i$ 's. In Section 3, we use the Szemerédi-Trotter Theorem to prove the corresponding case of Theorem 1. We also give a short proof using a theorem by Elekes, Nathanson and Ruzsa [ENR] about convex functions. The argument using Szemerédi-Trotter Theorem, besides applying over  $\mathbb{C}$  (rather than  $\mathbb{R}$ ), has the advantage that the setup (reducing the problem to bounding the number of solutions of equations) was already done for the Subspace Theorem approach. Also, it generalizes easily to the prime field  $\mathbb{F}_p$  setting. In Section 4, we use the sum-product theorem to take care of all the cases when more than two of the  $P_i$ 's are at infinity. In Section 5, we generalize the theorems to high dimensions. In Section 6, we prove a stronger theorem over  $\mathbb{Q}$  by using the lambda- $q$  constant (see [BC]).

This work is one more illustration of the relations between arithmetic combinatorics and point-line incidence geometry. Let us recall that presently the strongest results in the sum-product problem were obtained using the Szemerédi-Trotter Theorem (due to Elekes and the second author). The results in this paper are another demonstration of the interplay between these two fields.

## §1. The set-up.

Our strategy of proving Theorem 1 is to assume that  $P_1, P_2, P_3$  are not collinear and get a large family of lines  $L(P_4, Q_j)$  violating assumption (0.1). Therefore, the settings for Theorem 1 and Theorem 2 are the same. For simplicity, we describe the situation for Theorem 2 here and indicate the (little) difference when we prove Theorem 1.

We will work on the projective space  $\mathbb{CP}^2 \cong (\mathbb{C}^3 \setminus \{0\}) / \sim$ , where  $(x, y, z) \sim (\lambda x, \lambda y, \lambda z)$  for any  $\lambda \neq 0$ . We identify  $\mathbb{C}^2$  with the affine space in  $\mathbb{CP}^2$  defined by  $z \neq 0$  via  $(x, y) \rightarrow (x, y, 1)$ .

Let  $L_\infty$  be the line of infinity defined by  $z = 0$ . We may assume

- (i)  $P_1, P_2, P_3$  are  $(1, 0, 0), (0, 1, 0), (0, 0, 1)$ . (Clearly,  $P_1$  and  $P_2$  lie on  $L_\infty$ .)
- (ii) No  $Q_i$  lies on  $L_\infty$ .

In fact, let  $A$  be the  $3 \times 3$  matrix with the vectors  $P_i$  as the  $i$ th columns. Since the  $P_i$ 's are not collinear, the matrix  $A$  is invertible. Hence the linear transformation  $T : \mathbb{C}^3 \rightarrow \mathbb{C}^3$  defined by  $P \rightarrow A^{-1}P^T$  sends  $P_1, P_2, P_3$  to  $(1, 0, 0), (0, 1, 0), (0, 0, 1)$ . To see (ii), we notice that for any  $Q = (1, d, 0) \in L_\infty$ , the line  $L(Q, P_3)$  is defined by  $y = dx$ . Assumption (0.3) implies that  $|\{Q_i : Q_i \in L_\infty\}| \leq cn^{1/2} \ll n$ .

Let

$$\begin{aligned} Q_i &= (c_i, d_i, 1), \\ C &= \{c_i : 1 \leq i \leq n\}, \quad D = \{d_i : 1 \leq i \leq n\} \end{aligned} \tag{1.1}$$

and

$$\mathcal{G} = \left\{ (c_i, d_i) : 1 \leq i \leq n \right\}, \quad C^{-1} \times_{\mathcal{G}} D = \left\{ \frac{d_i}{c_i} : 1 \leq i \leq n \right\}. \tag{1.2}$$

Then

$$|\mathcal{G}| = n \tag{1.3}$$

and assumption (0.3) implies

$$|C^{-1} \times_{\mathcal{G}} D| \leq cn^{1/2}, \quad \text{and } |C| = |D| = c'n^{1/2}, \tag{1.4}$$

since the lines  $L(P_1, Q_i), L(P_2, Q_i), L(P_3, Q_i)$  are defined by  $y = d_i z, x = c_i z, y = \frac{d_i}{c_i} x$ , and  $|C| |D| \geq n$ .

**Remark 1.1.** Assumption (0.3) does occur. For example, if we let

$$Q_{i,j} = (2^i, 2^j, 1), \quad 1 \leq i, j \leq N,$$

then

$$|\{L(P_1, Q_{i,j})\}_{i,j}| = |\{L(P_2, Q_{i,j})\}_{i,j}| = N, \quad \text{and } |\{L(P_3, Q_{i,j})\}_{i,j}| = 2N - 1.$$

To be able to apply the tools from sum-product theory, we need the Laczkovich-Ruzsa version [LR] of Balog-Szemerédi-Gowers Theorem.

**Theorem BSG-LR.** *Let  $A, B$  be subsets of an abelian group with  $|A| = |B| = N$ , and let  $G \subset A \times B$  with  $|G| > K^{-1}N^2$ . Denote*

$$A \overset{G}{+} B = \{a + b : (a, b) \in G\}. \quad (1.5)$$

*If  $|A \overset{G}{+} B| < KN$ , then there are subsets  $A' \subset A$  and  $B' \subset B$  such that*

$$|A' + B'| < K^c N$$

*and*

$$|A'|, |B'| > K^{-c} N. \quad (1.6)$$

**Remark 1.2.** The absolute constant  $c$  in the above theorem is at most 8. (See [SSV].)

## §2. The proof of Theorem 2 for finite points.

Let  $N = n^{\frac{1}{2}}$ .

Take a point  $P = (-a, -b, 1) \in \mathbb{C}^2$ . The line  $L(P, Q_i)$  has slope  $\frac{d_i + b}{c_i + a}$ . With the help of Theorem BSG-LR, Theorem 2 is reduced to the following

**Theorem 2.1.** *Let  $X = \{x_i \in \mathbb{C}^2 : 1 \leq i \leq N^2\}$ , and  $Y = \{y_i \in \mathbb{C}^2 : 1 \leq i \leq N^2\}$  with  $|\frac{Y}{X}| \leq cN$  and  $|X| = |Y| = c'N$ . Denote*

$$Z = \left\{ \frac{y_i + b}{x_i + a} : 1 \leq i \leq N^2 \right\}.$$

*Then*

$$|Z| > \delta N^2$$

*for some  $\delta > 0$ .*

### Proof of Theorem 2.1.

Let  $I_z = \{i : \frac{y_i + b}{x_i + a} = z\}$ . Then

$$\sum_{z \in Z} |I_z| = n = N^2$$

and Cauchy-Schwarz gives

$$N^4 \leq |Z| \sum |I_z|^2.$$

$$\begin{aligned} & \sum |I_z|^2 \\ &= \left| \left\{ (i, j) : \frac{y_i + b}{x_i + a} = \frac{y_j + b}{x_j + a}, \quad 1 \leq i, j \leq n \right\} \right| \\ &\leq \left| \left\{ (x, x', y, y') \in X \times X \times Y \times Y : \frac{y + b}{x + a} = \frac{y' + b}{x' + a} \right\} \right| \\ &= \left| \left\{ (x, x', y, y') \in X \times X \times Y \times Y : x'y + bx' + ay = xy' + bx + ay' \right\} \right| \quad (2.1) \end{aligned}$$

To bound (2.1), we invoke the Subspace Theorem [ESS], which gives an upper bound on the number of solutions of a linear equation in a multiplicative group.

A solution  $(x_1, \dots, x_m)$  of the equation

$$\sum_{i=1}^m c_i x_i = 1, c_i \in \mathbb{C} \quad (2.2)$$

is called *nondegenerate*, if  $\sum_{j=1}^k c_j x_{i_j} \neq 0$ , for all  $k$ . The bound given below is by Evertse, Schlickewei and Schmidt [ESS].

**Subspace Theorem.** *Let  $\Gamma < \langle \mathbb{C}^*, \cdot \rangle$  be a subgroup of the multiplicative group of  $\mathbb{C}$ , and let the rank of  $\Gamma$  be  $r$ . Then*

$$|\{\text{nondegenerate solutions of } \sum_{i=1}^m c_i x_i = 1 \text{ in } \Gamma\}| < e^{(r+1)(6m)^{3m}}.$$

The formulation of the Subspace Theorem we need is the following (see [C2])

**Corollary 2.2.** [C2] *Let  $\Gamma < \langle \mathbb{C}^*, \cdot \rangle$  be a subgroup of rank  $r$  and  $A \subset \Gamma$  with  $|A| = N$ . Then the numbers of solutions in  $A$  of*

$$x_1 + \dots + x_{2h} = 0 \quad (2.3)$$

*is bounded by  $N^{h-1}e^{rc} + N^h$ , up to a constant depending on  $h$ . Here  $c = c(h)$ .*

In order to apply the Subspace Theorem, we need the following (See [Fr], [Rud], [Bi].)

**Freiman's Lemma.** *Let  $\langle G, \cdot \rangle$  be a torsion-free abelian group and  $A \subset G$  with  $|A^2| < K|A|$ . Then*

$$A \subset \{g_1^{j_1} \dots g_d^{j_d} : j_i = 1, \dots, \ell_i, \text{ and } g_i \in G\}, \quad (2.4)$$

*where  $d \leq K$ , and  $\prod \ell_i < c(K)|A|$ .*

We let  $\Gamma < \langle \mathbb{C}^*, \cdot \rangle$  be the subgroup generated by  $g_1, \dots, g_d$ . Then the rank of  $\Gamma$  is bounded by  $d \leq K$  and the number of nondegenerate solutions of (2.2) in  $\Gamma$  is bounded by  $e^{c_m K}$ . We now obtain the subspace theorem under the product set assumption.

**Notation.**  $d <_h f$  means  $d \leq c(h)f$ , where  $c(h)$  is a function of  $h$ .

**Theorem 2.3.** [C2] *Let  $A \subset \mathbb{C}$  with  $|A| = N$ , and*

$$|A^2| < K|A|. \quad (2.5)$$

*Then*

$$|\{ \text{solutions of } x_1 + \cdots + x_{2h} = 0 \text{ in } A \}| <_h N^{h-1} e^{cK} + N^h.$$

Theorem 2.3 gives  $N^3$  as a bound on the number of solutions in  $A$  with  $|A| = N$  to the equation

$$\xi_1 + \xi_2 + \xi_3 = \xi_4 + \xi_5 + \xi_6. \quad (2.6)$$

On the other hand we expect (2.1) be bounded by  $N^2$ . So we introduce a new variable  $z$  to (2.1), and let

$$x' = \frac{u'}{z}, \quad x = \frac{u}{z},$$

where  $u, u' \in X^2$ . Then the equation in (2.1) becomes

$$u'y + bu' + ayz = uy' + bu + ay'z. \quad (2.7)$$

A solution  $(\xi_1, \dots, \xi_6) \in X^2Y \times bX^2 \times aXY \times X^2Y \times bX^2 \times aXY$  of (2.6) is one-to-one correspondent to a solution  $(u', u, y', y, z) \in X^2 \times X^2 \times Y \times Y \times X$  of (2.7) by the following relations

$$\xi_1 = u'y, \quad \xi_2 = bu', \quad \xi_3 = ayz, \quad \xi_4 = uy', \quad \xi_5 = bu, \quad \xi_6 = ay'z,$$

or

$$u' = \frac{\xi_2}{b}, \quad u = \frac{\xi_5}{b}, \quad y' = \frac{b\xi_4}{\xi_5}, \quad y = \frac{b\xi_1}{\xi_2}, \quad z = \frac{\xi_2\xi_3}{ab\xi_1}.$$

In order to apply Theorem 2.3, we take

$$A = X^2Y \cup bX^2 \cup aXY.$$

Then we have  $|A^2| < K|A|$  by the following Proposition 2.26 in [TV].

**Proposition.** *Let  $A, B$  be subsets of an abelian group with  $|A| = |B| = N$ . If  $|A + B| < cN$ , then*

$$|n_1A - n_2A + n_3B - n_4B| < c'N.$$

### §3. The proof of Theorem 1 for finite points.

Replacing assumption (0.3) by assumption (0.1), instead of (1.4) and Theorem 2.1, we have (3.1) and Theorem 3.1 below.

$$n^{\frac{1-\delta}{2}} < |C| = |D| < n^{\frac{1+\delta}{2}}, \quad |C^{-1} \times_{\mathcal{G}} D| < n^{\frac{1+\delta}{2}}, \quad (3.1)$$

**Theorem 3.1.** Let  $X = \{x_i \in \mathbb{C}^2 : 1 \leq i \leq N^2\}$ , and  $Y = \{y_i \in \mathbb{C}^2 : 1 \leq i \leq N^2\}$  with

$$N^{1-\delta} < |X| = |Y| < N^{1+\delta} \quad (3.2)$$

and

$$\left| \frac{Y}{X} \right| < N^{1+\delta}. \quad (3.3)$$

Denote

$$Z = \left\{ \frac{y_i + b}{x_i + a} : 1 \leq i \leq N^2 \right\}.$$

Then

$$|Z| > N^{1+\eta}$$

for some  $\eta = \eta(\delta) > \delta$ .

**Remark 3.2.** Let  $\delta'$  be the  $\delta$  in (3.1). Then the  $\delta$  in Theorem 3.1 is  $(2c + 1)\delta'$  with an absolute constant  $c$  as in Theorem BSG-LR.

Similar to the argument from (2.1) to (2.7), we need to prove

$$E := \left| \{(u, u', y, y', z) \in X^2 \times X^2 \times Y \times Y \times X : u'y + bu' + ayz = uy' + bu + ay'z\} \right| < N^{4-\eta} \quad (3.4)$$

for some  $\eta > 0$ .

Rewriting the relation in (3.4) as

$$(y + b)u' - (y' + b)u + a(y - y')z = 0, \quad (3.5)$$

we see that  $(u', u)$  lies on the line  $\ell_{y, y', z}$  defined by

$$S - \frac{y' + b}{y + b} T + \frac{a(y - y')z}{y + b} = 0. \quad (3.6)$$

Assume

$$E > N^{4-\eta}. \quad (3.7)$$

We denote

$$K = \left\{ (y, y', z) \in Y \times Y \times X : |\ell_{y, y', z} \cap (X^2 \times X^2)| > N^{1-2\eta} \right\}. \quad (3.8)$$

**Claim 1.** If  $3\delta < \eta$ , then

$$|K| > \frac{E}{|X^2|}. \quad (3.9)$$

**Proof.** By (3.4)-(3.6) and (3.8),

$$\begin{aligned} E &\leq \sum_{y', y, z} |\ell_{y, y', z} \cap (X^2 \times X^2)| \\ &< |X^2| |K| + N^{1-2\eta} |X| |Y|^2, \end{aligned}$$

and by (3.2),  $N^{1-2\eta} |X| |Y|^2 < N^{1-2\eta+3(1+\delta)} < N^{4-\eta}$ . The claim follows from (3.7).

**Ruzsa's Inequality [R3].** Let  $M$  and  $N$  be finite subsets of an abelian group such that

$$|M + N| \leq \rho|M|.$$

Let  $h \geq 1$  and  $\ell \geq 1$ . Then

$$|hN - \ell N| \leq \rho^{h+\ell}|M|.$$

It follows from Ruzsa's Inequality, (3.2) and (3.3) that

$$|X^2| < \left( \frac{N^{1+\delta}}{|X|} \right)^3 |X| < \frac{N^{3+3\delta}}{N^{1-2\delta}} = N^{1+5\delta}. \quad (3.10)$$

By (3.9), (3.7) and (3.10), we have

$$|K| > \frac{N^{4-\eta}}{N^{1+5\delta}} = N^{3-\eta-5\delta} \quad (3.11)$$

Let

$$\mathcal{L} = \{\ell_{y,y',z} : (y, y', z) \in K\}. \quad (3.12)$$

Since for any  $(\xi, \varsigma)$ , there are at most  $|Y| < N^{1+\delta}$  triples  $(y, y', z)$  such that

$$\begin{aligned} \xi &= \frac{y' + b}{y + b} \\ \varsigma &= \frac{a(y - y')z}{y + b}, \end{aligned}$$

for each line in  $\mathcal{L}$  there are at most  $N^{1+\delta}$  triples in  $K$  corresponding to it.

Therefore,

$$|\mathcal{L}| > N^{2-\eta-6\delta} \quad (3.13)$$

The following version of Szemerédi-Trotter Theorem over  $\mathbb{C}$  is exactly what we need.

**Szemerédi-Trotter Theorem [S].** Let  $\mathcal{P} = C \times D \subset \mathbb{C}^2$  be a set of points and  $\mathcal{L}$  be a set of lines such that

$$|\ell \cap \mathcal{P}| \geq k \text{ for any } \ell \in \mathcal{L}.$$

Then

$$|\mathcal{P}|^2 > c k^3 |\mathcal{L}|.$$

In the above theorem we take  $\mathcal{P} = X^2 \times X^2$ ,  $\mathcal{L}$  as in (3.12) and  $k = N^{1-2\eta}$ . Together with (3.10) and (3.13), we have

$$N^{4(1+5\delta)} > |X^2|^4 > c(N^{1-2\eta})^3 |\mathcal{L}| > N^{5-7\eta-6\delta}.$$

This cannot happen, if

$$\eta < \frac{1 - 26\delta}{7}. \quad (3.14)$$

**Remark 3.3.** The conditions that  $\eta > 3\delta$  (cf. Claim 1) and (3.14) imply  $\delta < \frac{1}{47}$ .

**Remark 3.4.** The case for  $P_i, Q_j \in \mathbb{F}_p \times \mathbb{F}_p$  can be taken care of by the following theorem. (See [B] Theorem 2.2.)



**Szemerédi-Trotter Theorem for  $\mathbb{F}_p$ .** Let  $\mathcal{P} \subset \mathbb{F}_p$  be a set of points, and  $\mathcal{L}$  be a set of lines such that

$$|\mathcal{P}|, |\mathcal{L}| \leq M < p^\alpha \text{ for some } 0 < \alpha < 2. \quad (3.15)$$

Let  $\mathcal{I}$  be the incidence relation

$$\mathcal{I} = \{(p, \ell) \in \mathcal{P} \times \mathcal{L} : p \in \ell\}.$$

Then

$$|\mathcal{I}| < cM^{\frac{3}{2}-\gamma}, \quad (3.16)$$

for some  $\gamma = \gamma(\alpha) > 0$ .

In (3.15), take  $\mathcal{P} = X^2 \times X^2$ ,  $\mathcal{L}$  as in (3.12), and  $M = N^{2+10\delta}$  (cf. (3.10)). By (3.13) (which follows from the assumption that  $E > N^{4-\eta}$ ), we may assume  $|\mathcal{L}| = N^{2-\eta-6\delta}$ . Since each line in  $\mathcal{L}$  contains at least  $N^{1-2\eta}$  points, we have

$$|\mathcal{I}| \geq |\mathcal{L}| N^{1-2\eta}. \quad (3.17)$$

Hence

$$cN^{(2+10\delta)(\frac{3}{2}-\gamma)} > N^{2-\eta-6\delta} N^{1-2\eta}.$$

This is a contradiction, if  $\delta$  and  $\eta$  are small. Therefore (3.4) holds, and Theorem 3.1 is true over  $\mathbb{F}_p$ .

**Remark 3.5.** The finite points case of Theorem 1 over  $\mathbb{R}$  also follows from the following theorem by Elekes, Nathanson and Ruzsa [ENR].

**Theorem ENR.** Let  $S \subset \mathbb{R}$  be finite and let  $f$  be a piecewise convex function (i.e.  $f' > 0$ ). Then

$$|2S| + |2f(S)| \geq c|S|^{5/4}.$$

**Proof of Remark 3.5.** Similar to the way we derive the assumption of Theorem 3.1, we will start with (3.1) and use Theorem BSG-LR (twice, this time). Let

$$\mathcal{G} = \{(c_i, d_i) \in C \times D : 1 \leq i \leq N^2\}. \quad (3.18)$$

Assume

$$N^{1-\delta} < |C| = |D| < N^{1+\delta}, \quad |\mathcal{G}| \sim N^2, \quad (3.19)$$

$$\left| \left\{ \frac{d_i}{c_i} : (c_i, d_i) \in \mathcal{G} \right\} \right| < N^{1+\delta}, \quad (3.20)$$

$$\left| \left\{ \frac{d_i + b}{c_i + a} : (c_i, d_i) \in \mathcal{G} \right\} \right| < N^{1+\eta}. \quad (3.21)$$

First, from (3.20), we obtain  $C' \subset C$  and  $D' \subset D$  such that

$$|C'| \sim |C|, \quad |D'| \sim |D|,$$

$$|\mathcal{G} \cap (C' \times D')| \sim N^2$$

and

$$\left| \frac{D'}{C'} \right| \lesssim N^{1+\delta}. \quad (3.22)$$

Let

$$\mathcal{G}' = \mathcal{G} \cap (C' \times D').$$

Apply Theorem BSG-LR again, we obtain  $X \subset C' \subset C$  and  $Y \subset D' \subset D$  such that

$$|X| \sim |C'| \sim |C|, \quad |Y| \sim |D'| \sim |D|,$$

$$|\mathcal{G}' \cap (X \times Y)| \sim N^2$$

$$\left| \frac{Y}{X} \right| \leq \left| \frac{D'}{C'} \right| \lesssim N^{1+\delta}, \quad (3.23)$$

and

$$\left| \frac{Y+b}{X+a} \right| \lesssim N^{1+\eta}. \quad (3.24)$$

The bound (3.23) implies that

$$|\log Y - \log X| \lesssim N^{1+\delta}. \quad (3.25)$$

Ruzsa's inequality and (3.25) give

$$|2 \log X| \lesssim N^{1+5\delta}. \quad (3.26)$$

Assume

$$\delta < \frac{1}{20}.$$

In Theorem ENR, we take  $S = \log X$ , and let  $f$  be the convex function

$$f(s) = \log(e^s + a).$$

Then

$$|2 \log(X+a)| > N^{\frac{5}{4}}. \quad (3.27)$$

On the other hand, (3.24) implies

$$|\log(Y+b) - \log(X+a)| \lesssim N^{1+\eta}. \quad (3.28)$$

Again, applying Ruzsa's inequality on (3.28) gives

$$|2 \log(X + a)| \lesssim N^{1+5\eta},$$

which contradicts to (3.27), if  $\eta < \frac{1}{20}$ .

#### §4. The cases of points at infinity.

In this section we finish all the cases when more than two of the  $P_i$ 's are at infinity.

Let  $P = (1, -\frac{1}{d}, 0) \in L_\infty$ . Then the lines  $L(P, Q_i)$  are defined by

$$x + dy - (c_i + dd_i)z = 0.$$

To prove Theorem 1 and Theorem 2, we need to prove the following two theorems.

**Theorem 4.1.** *Let  $X = \{x_i \in \mathbb{C}^2 : 1 \leq i \leq N^2\}$ , and  $Y = \{y_i \in \mathbb{C}^2 : 1 \leq i \leq N^2\}$  with*

$$N^{1-\delta} < |X| = |Y| < N^{1+\delta} \quad (4.1)$$

and

$$\left| \frac{Y}{X} \right| < N^{1+\delta}. \quad (4.2)$$

Denote

$$Z = \{x_i + dy_i : 1 \leq i \leq N^2\}. \quad (4.3)$$

Then

$$|Z| > N^{1+\eta} \quad (4.4)$$

for some  $\eta = \eta(\delta) > \delta$

**Theorem 4.2.** *Let  $X = \{x_i \in \mathbb{C}^2 : 1 \leq i \leq N^2\}$ , and  $Y = \{y_i \in \mathbb{C}^2 : 1 \leq i \leq N^2\}$  with*

$$|X| = |Y| = c'N$$

and

$$\left| \frac{Y}{X} \right| < cN$$

Denote

$$Z = \{x_i + dy_i : 1 \leq i \leq N^2\}.$$

Then

$$|Z| > \delta N^2$$

for some  $\delta > 0$ .

To prove Theorem 4.1, we assume the contrary that

$$|Z| < N^{1+\eta} \quad (4.5)$$

for some  $\eta = \eta(\delta) > \delta$ .

Let

$$A = X, B = dY,$$

where  $X, Y$  satisfy the assumptions of Theorem 4.1. Applying Theorem BSG-LR to  $A$  and  $B$ , we have

$$N^{1-\eta} < |A| = |B| < N^{1+\eta}, \quad (4.6)$$

$$\left| \frac{B}{A} \right| < N^{1+\eta}, \quad (4.7)$$

$$|A + B| < N^{1+\eta}. \quad (4.8)$$

The same argument as that to obtain (3.10), (4.6)-(4.8) imply

$$|2A|, |A^2| < N^{1+5\eta}.$$

On the other hand, (4.6) and the following sum-product theorem imply

$$|2A| + |A^2| > N^{\frac{14}{11}(1-\eta)}.$$

This is a contradiction, if  $\eta < \frac{1}{23}$ .

**Theorem (Solymosi).** [S]

$$|2A| + |A^2| > |A|^{\frac{14}{11}-\epsilon}.$$

**Remark 4.3.** Let  $\eta'$  be the  $\eta$  in (4.5). Then the  $\eta$  in (4.6)-(4.8) is bounded by  $c\eta'$ , where  $c \leq 8$  is an absolute constant. (See Remark 1.2.) If  $\eta = \delta$ , we can take  $\eta \leq (2c + 1)\delta$ .

The proof of Theorem 4.2 by using the Subspace Theorem is rather straightforward, since as in the proof of Theorem 2.1, it suffices to show that

$$\left| \left\{ (x, x', y, y') \in X \times X \times Y \times Y : x + dy = x' + dy' \right\} \right| < \frac{1}{\delta} N^2.$$

### Proof of Theorem 3.

Since  $P_1, P_2, P_3$  are collinear, we may assume that  $P_1 = (1, 0, 0), P_2 = (0, 1, 0)$ , and  $P_3 = (1, -1, 0) \in L_\infty$ . Assumption (0.5) means that  $|C|, |D|, |C + D| \lesssim N$ . For a point  $P = (-a, -b, 1) \notin L_\infty$ , the family of lines  $\{L(P, Q_j)\}_j$  corresponds to  $\{\frac{d_i+b}{c_i+a} : (c_i, d_i) \in C \times D, 1 \leq i \leq N^2\}$ . Applying the theorems below to the sets  $C + a, D + b$ , we have  $|(C + a)(D + b)| \sim N^{2-\epsilon}$  (respectively,  $N^2/\log N$ ). This together with Balog-Szemerédi-Gowers Theorem imply that  $|\{L(P, Q_j)\}_j| \gtrsim N^{2-\epsilon}$  (respectively,  $N^2/\log N$ ).

**Theorem.** [C1] *Let  $A \subset \mathbb{C}$  be a finite set with  $|2A| \sim |A|$ . Then*

$$|A^2| > |A|^{2-\epsilon} \text{ for some } \epsilon > 0.$$

**Theorem (Elekes-Ruzsa).** [ER] *Let  $A \subset \mathbb{R}$  be a finite set. Then*

$$|A + A|^4 \cdot |A \cdot A| \cdot \log |A| > |A|^6$$

**The special case of Theorem 1.** Assume (0.2) holds, then  $P_1, \dots, P_4$  are collinear. After a *Möbius* transformation, we may assume that the four points are  $P_1 = (1, 0, 0), P_2 = (1, -1, 0), P_3 = (0, 1, 0), P_4 = (1, -\frac{1}{d}, 0) \in L_\infty$ . The lines  $\{L(P_i, Q_j)\}_j$  correspond to  $C, C+D, D$  and  $\{c_i + dd_i : (c_i, d_i) \in C \times D, 1 \leq i \leq N^2\}$  respectively. Since  $|C| \sim |D| \sim |C+D| \sim N$ , we have  $C' \subset C$  with  $|C'| \sim N$  and  $C' \subset (a+D)$  for some  $a$ . Hence  $C' + dD \subset a + (D + dD)$  and our conclusion follows from the following theorem.

**Theorem (Konyagin-Laba).** [KL] *Let  $t \in \mathbb{C}$  be transcendental. Then*

$$|A + tA| > \frac{|A| \log |A|}{\log \log |A|}.$$

## §5. Higher dimensional cases.

The case for  $\mathbb{C}^k$  with  $k > 2$  follows easily from the case for  $k = 2$ .

**Theorem 5.1.** *There is  $\delta > 0$  such that for any  $P_1, \dots, P_{k+2}, Q_1, \dots, Q_n \in \mathbb{C}^k$ , if*

$$|\{L(P_i, Q_j) : 1 \leq i \leq k+2, 1 \leq j \leq n\}| \leq n^{\frac{k-1+\delta}{k}}, \quad (5.1)$$

*then  $P_1, \dots, P_{k+2}$  lie on a hyperplane.*

**Theorem 5.2.** *Given  $c > 0$ , there is  $\delta > 0$  such that for any  $P_1, \dots, P_{k+1} \in \mathbb{C}^k$  not contained in any hyperplane, and any  $Q_1, \dots, Q_n \in \mathbb{C}^k$ , if*

$$|\{L(P_i, Q_j) : 1 \leq i \leq k+1, 1 \leq j \leq n\}| \leq cn^{\frac{k-1}{k}}, \quad (5.2)$$

*then for any  $P \in \mathbb{C}^k \setminus \{P_1, \dots, P_{k+1}\}$ , we have*

$$|\{L(P, Q_j) : 1 \leq j \leq n\}| = \delta n. \quad (5.3)$$

The set up is similar to that of the  $\mathbb{C}^2$  case. We work on  $\mathbb{C}\mathbb{P}^k$  instead of  $\mathbb{C}^k$ . Assuming  $P_1, \dots, P_{k+1}$  are not contained in any hyperplane, then after a linear transformation, we may assume that  $P_1 = (1, 0, \dots, 0), P_2 = (0, 1, 0, \dots, 0), \dots, P_{k+1} = (0, \dots, 0, 1)$ . The same reasoning as before, we may assume that the  $Q_j$ 's all lie in the affine space. Hence we may denote

$$Q_j = (c_1, \dots, c_k)^{(j)} := (c_1^{(j)}, \dots, c_k^{(j)}) \in \mathbb{R}^k \subset \mathbb{C}^k,$$

where  $j = 1, \dots, n$ .

Let  $N = n^{\frac{1}{k}}$

Assumption (5.2) implies

$$\left| \left\{ (c_2, \dots, c_k)^{(j)} \right\}_{j=1}^{N^k} \right|, \left| \left\{ (c_1, c_3, \dots, c_k)^{(j)} \right\}_{j=1}^{N^k} \right|, \dots, \left| \left\{ (c_1, \dots, c_{k-1})^{(j)} \right\}_{j=1}^{N^k} \right| < N^{k-1} \quad (5.4)$$

and

$$\left| \left\{ \left( \frac{c_2}{c_1}, \dots, \frac{c_k}{c_1} \right)^{(j)} \right\}_{j=1}^{N^k} \right| < N^{k-1}. \quad (5.5)$$

For a finite point  $P = (-a_1, \dots, -a_k, 1)$ , the family of lines  $\{L(P, Q_j) : 1 \leq j \leq N^k\}$  is one-to-one correspondent to

$$Z = \left\{ \left( \frac{c_2 + a_2}{c_1 + a_1}, \dots, \frac{c_k + a_k}{c_1 + a_1} \right)^{(j)} : 1 \leq j \leq N^k \right\}.$$

Hence (5.3) is equivalent to

$$|Z| = \delta N^k \quad (5.6)$$

for some  $\delta > 0$ . Let

$$C_i = \{c_i^{(j)} : j = 1, \dots, N^k\}.$$

We will show that

$$|C_i| = cN, \text{ for } i = 1, \dots, k. \quad (5.7)$$

For simpler notations, we give an argument for the case  $k = 4$ . Let

$$A = \{Q_1, \dots, Q_{N^4}\},$$

and let  $p_{j_1 \dots j_m}(x_1, \dots, x_4) = (x_{j_1}, \dots, x_{j_m})$  be the projection to the  $j_1$ -th,  $\dots$ ,  $j_m$ -th coordinates.

First, we may assume

$$|p_{123}^{-1}(c_1, c_2, c_3) \cap A| \gtrsim N, \text{ for all } (c_1, c_2, c_3) \in p_{123}(A). \quad (5.8)$$

In fact, let  $A^c = \{(c_1, \dots, c_4) \in A : |p_{123}^{-1}(c_1, c_2, c_3) \cap A| = o(N)\}$ . Then

$$|A^c| \leq o(N)N^3 = o(N^4), \quad (5.9)$$

and  $A^c$  can be ignored.

Next, we see that for the set  $A$  considered in (5.8), the bound  $|p_{124}(A)| \lesssim N^3$  implies

$$|p_{12}(A)| \lesssim N^2. \quad (5.10)$$

Indeed,

$$N^3 \gtrsim |p_{124}(A)| > |p_{12}(A)| \cdot \min_{(c_1, c_2) \in p_{12}(A)} |p_{124}(p_{12}^{-1}(c_1, c_2) \cap A)| \gtrsim |p_{12}(A)| N. \quad (5.11)$$

The last inequality is because of (5.8).

Similarly, we have  $|p_{13}(A)|, |p_{23}(A)| \lesssim N^2$ .

Using (5.10) instead of (5.4), for the same reasoning as that for (5.8), by shrinking the set  $A$  in (5.8) a bit, we may assume

$$|p_{12}^{-1}(c_1, c_2) \cap A| \gtrsim N^2, \quad \text{for all } (c_1, c_2) \in p_{12}(A). \quad (5.12)$$

Therefore, (5.4) and (5.12) imply

$$N^3 \gtrsim |p_{134}(A)| \gtrsim |p_1(A)| \cdot \min_{c_1 \in p_1(A)} |p_{134}(p_1^{-1}(c_1) \cap A)| > |p_1(A)| N^2, \quad (5.13)$$

which implies

$$|C_1| = |p_1(A)| \lesssim N. \quad (5.14)$$

Similarly, we have  $|C_2|, |C_3| \lesssim N$  for  $|A| \sim N^4$ .

Repeat this process on the set  $A$  obtained in (5.12) with different projections, we have  $|C_4| = |p_4(A)| \lesssim N$ . Now (5.7) follows from  $N^4 \leq |C_1| |C_2| |C_3| |C_4| \lesssim N^4$ .

Getting back to the case for any  $k > 2$ , we let  $B = \{Q_1, \dots, Q_{N^k}\}$ . We will show that

$$\left| \left\{ \left( \frac{c_i}{c_1} \right)^{(j)} : 1 \leq j \leq N^k \right\} \right| \sim N, \quad \text{for all } i. \quad (5.15)$$

Let

$$C_{1i} = \{(c_1, c_i) \in C_1 \times C_i : |p_{1i}^{-1}(c_1, c_i) \cap B| \gtrsim N^{k-2}\}. \quad (5.16)$$

Since  $|B| \sim N^k$ , same reasoning as for (5.8), we have

$$|C_{1i}| \sim N^2. \quad (5.17)$$

Let  $\pi_i$  be the projection

$$\left\{ \left( \frac{c_2}{c_1}, \dots, \frac{c_k}{c_1} \right)^{(j)} : (c_1, c_i)^{(j)} \in C_{1i} \right\} \longrightarrow \left\{ \left( \frac{c_i}{c_1} \right)^{(j)} : (c_1, c_i)^{(j)} \in C_{1i} \right\}.$$

The fiber of  $\pi_i$  at  $(c_1, c_2)$  is one-to-one correspondent to  $p_{1i}^{-1}(c_1, c_i) \cap B$ . Hence the image of  $\pi_i$  has size  $\lesssim N$  by (5.5). We replace  $B$  by  $p_{1i}^{-1}(C_{1i}) \cap B$  (Note that (5.16) and (5.17) imply  $|p_{1i}^{-1}(C_{1i}) \cap B| \sim N^k$ ). We do this for each  $i$  (and shrink  $B$  a little if necessary). Then (5.15) is proved.

To prove (5.6), we want to show that under condition (5.15),

$$\left| \left\{ (c_1, \dots, c_k, c'_1, \dots, c'_k) \in C_1 \times \dots \times C_k \times C_1 \times \dots \times C_k : \frac{c_i + a_i}{c_1 + a_1} = \frac{c'_i + a_i}{c'_1 + a_1}, \forall i \right\} \right| \lesssim N^k. \quad (5.18)$$

It follows from the case for  $\mathbb{C}^2$  that

$$\frac{c_2 + a_2}{c_1 + a_1} = \frac{c'_2 + a_2}{c'_1 + a_1} \quad (5.19)$$

has  $\lesssim N^2$  solutions in  $c_1, c_2, c'_1, c'_2$ . Fixing  $c_1, c'_1$ , the equation

$$\frac{c_3 + a_3}{c_1 + a_1} = \frac{c'_3 + a_3}{c'_1 + a_1} \quad (5.20)$$

has at most  $N$  choices of  $c_3$  (then  $c'_3$  is determined.) Hence (5.19) and (5.20) together have  $\lesssim N^3$  solutions in  $c_1, c_2, c_3, c'_1, c'_2, c'_3$ . Therefore, (5.18) follows by induction and the finite point case of Theorem 5.2 is proved.

Only set theory is used in the argument above, hence Theorem 5.1, the other case of Theorem 5.2, and the case for  $\mathbb{F}_p$  are proved exactly the same way.

**Remark 5.3.** Theorem 5.1 and Theorem 5.2 are true if we replace  $\mathbb{C}^k$  by  $\mathbb{F}_p^k$ .

## §6. Theorem 2 over $\mathbb{Q}$ .

We have a stronger result by using the lambda-q constant, when the points are in  $\mathbb{Q}^2$ .

**Theorem 6.1.** *Given  $\epsilon > 0$ , there is  $\delta > 0$  such that for any  $P_1, P_2, P_3$  non-collinear, and  $Q_1, \dots, Q_n \in \mathbb{Q}^2$ , if*

$$|\{L(P_i, Q_j) : 1 \leq i \leq 3, 1 \leq j \leq n\}| \leq n^{1/2+\epsilon}, \quad (6.1)$$

then for any  $P \in \mathbb{Q}^2 \setminus \{P_1, P_2, P_3\}$ , we have

$$|\{L(P, Q_j) : 1 \leq j \leq n\}| > n^{1-\delta}. \quad (6.2)$$

We use the same setup as that for the  $\mathbb{C}$  case. Given a set  $A \subset \mathbb{Q}$ , with  $N^{1-\epsilon} < |A| < N^{1+\epsilon}$  and  $|A^2| < N^{1+5\epsilon}$ , we want to bound the number of solutions  $\xi_1, \dots, \xi_6 \in A$  in the following equation by  $N^{3+\delta}$  for some  $\delta(\epsilon) > 0$ .

$$\xi_1 + \xi_2 + \xi_3 = \xi_4 + \xi_5 + \xi_6. \quad (6.3)$$

We use the lambda-q constant of  $A$  for this. We recall

**Definition.** *Let  $A \subset \mathbb{Z}$  be finite. The  $\Lambda_q$  constant of  $A$  is*

$$\lambda_{q,A} = \frac{\|\sum_{a \in A} e(ax)\|_q}{\sqrt{|A|}},$$

where  $e(\theta) = e^{2\pi i\theta}$ .

**Proposition.** [BC] *Given  $\epsilon > 0$  and  $q > 2$ ,  $\exists \delta = \delta(q, \epsilon)$  such that if  $A \subset \mathbb{Z}$ ,  $|A^2| < |A|^{1+\epsilon}$ , then*

$$\lambda_q(A) < |A|^\delta,$$

where  $\delta \rightarrow 0$ , if  $\epsilon \rightarrow 0$ . Therefore,  $\|\sum_{a \in A} e(ax)\|_q < |A|^{\frac{1}{2}+\delta_6}$ .

Denote  $r(\eta) = |\{(\xi_1, \xi_2, \xi_3) \in A \times A \times A : \eta = \xi_1 + \xi_2 + \xi_3\}|$ .



In the proposition above, we take  $q = 6$ . Then

$$\begin{aligned}
\left\| \sum_{a \in A} e(ax) \right\|_6^6 &= \left\| \left( \sum_{a \in A} e(ax) \right)^3 \right\|_2^2 \\
&= \sum r(\eta)^2 \\
&= |\{(\xi_1, \dots, \xi_6) : \xi_1 + \xi_2 + \xi_3 = \xi_4 + \xi_5 + \xi_6\}| \\
&< (N^{(1+\epsilon)(\frac{1}{2}+\delta_6)})^6 \\
&= N^{3+\delta}.
\end{aligned}$$

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