

# Solutions to Exercises in

## Unit III

(starred and other items omitted)

Part A - Sections III.1 - III.4

### § 1

O'N 4.

(b) When is this a regular parametrization?

$$\sigma_u = (2u, 3u^2, 0)$$

$$\sigma_v = (0, 0, 1)$$

$$\sigma_u \times \sigma_v \neq 0 \Leftrightarrow$$

vectors linearly indep

$$\Leftrightarrow u \neq 0.$$

So  $\sigma$  is a regular parametrization on the region defined by  $u \neq 0$ . Is it 1-1?

$$\sigma(u, v) = \sigma(u', v') \Leftrightarrow \begin{aligned} v &= v' \\ u^2 &= (u')^2 \\ u^3 &= (u')^3 \quad (\Rightarrow u = u'). \end{aligned}$$

So the answer is yes on the set where  $u \neq 0$ .

(c) Same approach. Start with

$$\sigma_u = (1, 0, 0)$$

always  $\neq 0$

$$\sigma_v = (0, 2v, 3v^2 + 1)$$

always  $\neq 0$  since  
3rd coord positive.

2

$\sigma_u$  &  $\sigma_v$  are always linearly indep. because  $3v^2 + 1 > 0 \Rightarrow \sigma_v$  cannot be a scalar multiple of  $\sigma_u$ . So the parametrization is regular for all  $u, v$ . Is it 1-1?

$$\sigma(u, v) = \sigma(s, t) \Rightarrow \left. \begin{array}{l} u = s \quad \text{OK} \\ v^2 = t^2 \\ v^3 + v = t^3 + t \end{array} \right\} \text{ does this } \Rightarrow v = t?$$

~~Suppose  $v \neq t$  but  $v^3 + v = t^3 + t$ . Then  $v^3 - t^3 + v - t = 0$  and we can factor this as  $(v - t)(v^2 + vt + t^2 + 1) = 0$ . Since  $v \neq t$ , we have  $v^2 + vt + t^2 + 1 = 0$ .~~

$$v^2 = t^2 \Rightarrow v^2 - t^2 = 0 = (v - t)(v + t), \text{ so } v = \pm t.$$

Is  $(-t)^3 + (-t) = t^3 + t$ ? The left side is just  $-(t^3 + t)$ , so the only way this can happen is if  $t^3 + t = 0$ , or  $t = -1$ . Similar consideration will show that  $v$  must be  $-1$ . But then  $v = t$ . Hence  $\sigma$  is 1-1.

O'N 5. (a) Show that  $\nabla F(x, y, z) \neq 0$

if  $F(x, y, z) = 0$ .  $F(x, y, z) = (x^2 + y^2)^2 + 3z^2 = 1$

When  $\nabla F(x, y, z) = 0$ ?

$$\nabla F(x, y, z) = (2(x^2 + y^2) \cdot 2x, 2(x^2 + y^2) \cdot 2y, 3z)$$

This is zero  $\Leftrightarrow z = 0$  and  $\left. \begin{matrix} (x^2 + y^2)x \\ (x^2 + y^2)y \end{matrix} \right\} = 0$ .

So if it's zero we have  $(x^2 + y^2) \cdot x^2 = (x^2 + y^2) \cdot y^2 = 0$

and hence  $(x^2 + y^2)^2 = 0$ . But this implies

$x^2 + y^2 = 0$  and hence  $x = y = 0$ . Since  $(0, 0, 0)$

is not a solution to  $F(x, y, z) = 0$ , the equation defines a regular geometric surface.

(c) Here  $F(x, y, z) = z(z-2) + xy - c$ .

and  $\nabla F(x, y, z) = (y, x, 2z-2)$ . The latter is

$(0, 0, 0) \Leftrightarrow (x, y, z) = (0, 0, 1)$ . But if this

is true, then  $0 = F(0, 0, 1) = (-1) - c$ , so that  $c = +1$ .

Hence we get a regular surface if  $c \neq 1$ .

4

O'N 9 Show  $\sigma$  is regular.

$$\begin{aligned}\sigma_u &= (1, 1, v) & \sigma_u \times \sigma_v &= (?, ?, -2), \text{ so} \\ \sigma_v &= (1, -1, u) & \text{the parametrization is} & \text{regular.}\end{aligned}$$

$$\begin{aligned}\text{Show } \sigma \text{ is 1-1} & & u+v &= s+t \\ \sigma(u, v) = \sigma(s, t) & \Leftrightarrow & u-v &= s-t \\ & & uv &= st\end{aligned}$$

Add 1st to second, getting  $2u = 2s$ , so that  $u = s$ .  
But then  $u+v = s+t + u = s \Rightarrow v = t$ . So the map is 1-1.

Show that points in image of  $\sigma$  satisfy eqn.

$$\frac{1}{4} \left( (u+v)^2 - (u-v)^2 \right) = \frac{1}{4} (4uv) = uv.$$

Show that each point in graph lies in the image of  $\sigma$ .

$$\text{First solve } \begin{cases} x = u+v \\ y = u-v \end{cases} \text{ for } u, v: \quad \begin{aligned} u &= \frac{x+y}{2} \\ v &= \frac{x-y}{2} \end{aligned}$$

For these values of  $u$  and  $v$ , does  $z = uv$  if  $z = \frac{x^2 - y^2}{4}$ ? Yes - do the algebra.

Additional

1 (a) distance to  $(0, 0, 4) =$   
 $\sqrt{x^2 + y^2 + (z-4)^2}$

distance to  $xy$  plane  $= |z|$ . So we want

$x^2 + y^2 + (z-4)^2 = z^2$ , or

$x^2 + y^2 = 8z - 16$ .

(b) distance<sup>2</sup> to point is  $x^2 + (y-2)^2 + z^2$

distance<sup>2</sup> to plane is  $(y+2)^2$ . Equation is

$x^2 + (y-2)^2 + z^2 = (y+2)^2$

$x^2 + y^2 = 8z$ .

(c) equate squares of distances

$x^2 + y^2 + z^2 = (x-1)^2 + y^2 + z^2$ . Simplify:

$2x - 1 = 0$  or  $x = \frac{1}{2}$ .

(d)  $\sqrt{(x-1)^2 + y^2 + z^2} + \sqrt{(x+1)^2 + y^2 + z^2} = 5$ .

5

2 Write out the equations

$$|x|^2 - 2a \cdot x + |a|^2 = |x|^2 - 2b \cdot x + |b|^2 =$$

$$|x|^2 - 2c \cdot x + |c|^2 = |x|^2$$

Subtract  $|x|^2$  from each one. We get

$$0 = -2a \cdot x + |a|^2 = 2b \cdot x + |b|^2 = 2c \cdot x + |c|^2$$

or

$$\left. \begin{aligned} a \cdot x &= \frac{|a|^2}{2} \\ b \cdot x &= \frac{|b|^2}{2} \\ c \cdot x &= \frac{|c|^2}{2} \end{aligned} \right\} \begin{aligned} &3 \text{ linear eqns in} \\ &x = u, v, w. \end{aligned}$$

The matrix with rows  $\begin{pmatrix} a \\ b \\ c \end{pmatrix}$  is invertible because the vectors are lin indep and hence we can solve uniquely for  $x$ .

2. (Easier)  $x = u \Rightarrow$   
 $y = x^2 + v$   
 and  $v^2 = (y - x^2)^2$ , so

The image of the surface consists of points whose coordinates satisfy  $z^2 = (x - y^2)^2$ .

[But not all such points — notice that  $z \geq 0$  in the parametrization by  $z^2 = (x - y^2)^2$  has solutions with  $z < 0$ .]

3.  $x = (u^2 - v^2) = (u - v)(u + v) =$   
 $y \cdot (y + 2v) = y^2 + 2yv.$

Solve for v  $v = \frac{x - y^2}{2y}$ . Call this  $\frac{Q(x, y)}{2y}$ .

But now  $y = u - v \Rightarrow u = y + v$ ; call this  $\frac{P(x, y)}{2y}$ .  
 $= y + \frac{x - y^2}{2y} = \frac{2y + x - y^2}{2y}$ .

We then get  $z =$

$\left(\frac{P(x, y)}{2y}\right)^3 + 3\left(\frac{Q(x, y)}{2y}\right)$  or equivalently

$8y^3 z = P(x, y)^3 + 12y^2 Q(x, y).$

82

0. For the graph of  $y = f(x)$  the parametrized equations are

$$x = t \quad y = f(t) \cos u \quad z = f(t) \sin u, \text{ so in this case we have } \gamma(t) = (t, e^{-t} \cos u, e^{-t} \sin u)$$

1. (i) Critical points are those for which

$$\nabla (x+y+z-1)^2 = 0.$$

The gradient is  $2(x+y+z-1) \cdot \nabla (x+y+z-1) = 2(x+y+z-1) \cdot (1, 1, 1)$  and the critical points are those for which  $x+y+z-1=0$ .  
The critical value is zero.

(ii) So the level surfaces are those for which

$$(x+y+z-1)^2 = c^2 \quad \text{for } c > 0.$$

(iii) Critical points = those where  $\nabla x y z^2 = 0$

$$\text{But } \nabla (x y z^2) = (y z^2, x z^2, 2 x y z)$$

When is this equal to zero?

3rd coord at least one of  $x, y, z$  is zero.

2nd one of  $x, z$  is zero

3rd one of  $y, z$  is zero.



Let  $W = \text{set where } w = 0, w = x, y \text{ or } z.$

Then the critical points are  $(X \cup Z) \cap (Y \cup Z)$   
 $\cong (X \cap Y) \cup Z$ , so of one of the forms  $(0, 0, z)$   
 or  $(x, y, 0)$

In all cases the value of the function is zero.

Hence the level sets  $xyz^2 = c$  are geometric regular surfaces provided  $c \neq 0$ .

2. If  $\Sigma$  is a geometric regular surface, then for each  $p \in \Sigma$  there is a change of variables  $h: (-u, u) \times (-u, u) \times (-u, u) \leftrightarrow V$ , where  $V$  is a region in  $\mathbb{R}^3$  containing  $p$ , and under  $h$  the set  $\Sigma \cap h[V]$  corresponds to  $(-u, u) \times (-u, u) \times \{0\}$ . Let  $g$  be as given, and let  $x \in g[\Sigma]$ . If  $x = g(p)$  and  $h$  is as above, then the composite  $g \circ h$  has all the right properties.

3. TYPE  $\sigma(\theta, z) = (f(\theta)\cos\theta, f(\theta)\sin\theta, z)$ .

Regular parametrization?

$$\sigma_\theta = (f'(\theta)\cos\theta - f(\theta)\sin\theta, f'(\theta)\sin\theta + f(\theta)\cos\theta, 0)$$

$$\sigma_z = (0, 0, 1). \text{ Need to show } \sigma_\theta = 0;$$

if so, then  $\sigma_\theta \times \sigma_z \neq 0$ .

$$\text{But } \sigma_\theta = 0 \Rightarrow \begin{aligned} f'(\theta)\cos\theta &= f(\theta)\sin\theta \\ -f'(\theta)\sin\theta &= f(\theta)\cos\theta. \end{aligned}$$

Now  $f(\theta) > 0$ , and if we square both equations and add them, then we get

$$f(\theta)^2 = f(\theta)^2(\cos^2\theta + \sin^2\theta) = f'(\theta)^2(\cos^2\theta + \sin^2\theta) = f'(\theta)^2.$$

so that  $f'(\theta) = \pm f(\theta)$  and hence

$$(\cos\theta, \sin\theta) = \pm (-\sin\theta, \cos\theta) =$$

$$\left( \cos\left(\theta + \frac{\pi}{2} + k\pi\right), \sin\left(\theta + \frac{\pi}{2} + k\pi\right) \right),$$

where  $k = 0, 1$ .

Now  $(\cos\theta, \sin\theta) \neq$

$$(\cos(\theta + \alpha), \sin(\theta + \alpha)) \text{ for } 0 < \alpha < 2\pi$$

so the derived eqns are impossible.

Hence the parametrization is regular.

Finally, the normal vector is

$$\begin{aligned} \sigma_\theta \times \sigma_z &= \\ (f'(\theta) \sin \theta + f(\theta) \cos \theta, f(\theta) \sin \theta - f'(\theta) \cos \theta, 0) \end{aligned}$$

4. OMIT

5. Computing  $\sigma_u \times \sigma_v$  is messy, so we shall prove that the points of the surface are those for which  $x^2 + y^2 = z^2$ , and assuming this we shall prove that we have a regular surface.

Regularity: Surface given by

$$F: x^2 + y^2 - z^2 = 0$$

$$\nabla F(x, y, z) = (2x, 2y, -2z).$$

At least one of  $x, y, z$  is non zero, so at least one coord of  $\nabla F(x, y, z)$  is non zero, proving regularity.

Now we can compute

$$x^3 + y^3 = \frac{u^3}{(1+v^3)^3} + \frac{u^3 v^3}{(1+v^3)^3} =$$

$$\frac{u^3(1+v^3)}{(1+v^3)^3} = \frac{u^3}{(1+v^3)^2} = z^2.$$

So the image of the parametrization lies in the set  $x^3 + y^3 = z^2$ .

Next, show  $\sigma$  is 1-1. Suppose that

$$\left( \frac{u^2}{1+v^3} = \frac{a^2}{1+b^3}, \frac{u^3 v}{1+v^3} = \frac{a^2 b}{1+b^3}, \text{ and} \right.$$

$$\left. \frac{u^3}{1+v^3} = \frac{a^3}{1+b^3} \right)$$

Divide 3rd by 1st, get  $u = a$ .

Divide 2nd by 1st, get  $v = b$ .

Now show every point of  $x^3 + y^3 = z^2$  comes from parametrization. In other words we need to solve

$$x = \frac{u^2}{1+v^3}, \quad y = \frac{u^2v}{1+v^3}, \quad z = \frac{u^3}{1+v^3}$$

for  $u$  and  $v$ .  $\left( \frac{z}{x} = u, \frac{y}{x} = v. \right)$

Finally, we need to show if we substitute the expressions in  $x, y, z$  for  $u+v$ , these expressions simplify to  $x, y, z$ .

For example, 
$$\frac{u^2}{1+v^3} = \frac{\frac{z^2}{x^2}}{1 + \frac{y^3}{x^3}} =$$

$$\frac{xz^2}{x^3+y^3} = \frac{x(x^3+y^3)}{x^3+y^3} = x.$$

To finish,  $z = xu = \frac{u^3}{1+v^3}, \quad y = xv = \frac{u^2v}{1+v^3}$

§ 3

Q'N 10 (a) The normal vector is  $\nabla(x^2+y^2+(z-1)^2-1) = (2x, 2y, 2z-2)$   
Evaluate at  $(0,0,0) \rightarrow$  get  $(0, 0, -2)$ .

So the tangent plane has eqn.

$$(0, 0, -2) \cdot (x, y, z) = 0$$

since point of tangency is  $(0, 0, 0)$ .

(b) TRPO  $\frac{x^2}{4} + \frac{y^2}{16} + \frac{z^2}{18} = 1.$

$$\nabla \left( \frac{x^2}{4} + \frac{y^2}{16} + \frac{z^2}{18} - 1 \right) = \left( \frac{x}{2}, \frac{y}{8}, \frac{z}{9} \right)$$

Evaluation at  $(1, -2, 3)$  yields  
 $\left( \frac{1}{2}, -\frac{1}{4}, \frac{1}{3} \right)$  and the tangent plane  
 equation becomes

$$(6, -3, 4) \cdot (x-1, y+2, z-3) = 0.$$

(c)  $\sigma \left( 2, \frac{\pi}{4} \right) = \left( \sqrt{2}, \sqrt{2}, \frac{\pi}{2} \right)$

$$\sigma_u = (\cos v, \sin v, 0)$$

$$\sigma_v = (-u \sin v, u \cos v, 1).$$

$$\sigma_u \times \sigma_v = (\sin v, -\cos v, u) \neq 0$$

at  $\left( 2, \frac{\pi}{4} \right)$  this vector is  
 $\left( \frac{\sqrt{2}}{2}, -\frac{\sqrt{2}}{2}, 2 \right) \sim (\sqrt{2}, -\sqrt{2}, 4).$

The tangent plane eqn is then

$$(\sqrt{2}, -\sqrt{2}, 4) \cdot (x - \sqrt{2}, y - \sqrt{2}, z - \frac{\pi}{2}) = 0$$

### Additional exercises

Q. Normal vector is  $(-f_u, -f_v, 1)$  where  $z = f(x, y)$

(a)  $f(x, y) = \sqrt{xy} \Rightarrow$

$$\frac{\partial f}{\partial u} = \frac{1}{2} \sqrt{\frac{v}{u}}, \quad \frac{\partial f}{\partial v} = \frac{1}{2} \sqrt{\frac{u}{v}}$$

at  $(1, 1, 1)$  the normal vector is

$$\left(-\frac{1}{2}, -\frac{1}{2}, 1\right) \sim (-1, -1, 2)$$

∴ Tangent plane equation is

$$(-1, -1, 2) \cdot (x - 1, y - 1, z - 1) = 0$$

(b). First solve  $(2u \cos v, 3u \sin v, u^2) = (0, 6, 4)$  for  $u, v$ :

$$u = \pm 2 \quad 2u \cos v = 0 \\ \Rightarrow v = \pm \frac{\pi}{2}$$

16

Since  $3u \sin v = 6$ , we have either  
 $(u, v) = (2, \frac{\pi}{2})$  or  $(-2, -\frac{\pi}{2})$ .

Find  $\underline{X}_u \times \underline{X}_v$ . eval at  $(\pm 2, \pm \frac{\pi}{2})$

$$\begin{array}{l} \underline{X}_u = (2 \cos v, 3 \sin v, 2u) \\ \underline{X}_v = (-2u \sin v, 3u \cos v, 0) \end{array} \left| \begin{array}{l} (0, \pm 3, \pm 4) \\ (\mp 2, 0, 0) \end{array} \right.$$

The normal vector is  $(0, 3, 4) \times (2, 0, 0) =$   
(for both  $(u, v)$  choices)  $(0, +8, -6)$ .

Tangent plane equation is

$$(0, 8, -6) \cdot (x, y-6, z-4) = 0.$$

(c) OMIT

1. Cylinder parametrization:  
 $(x(t), y(t), z)$

Normal direction

$$(x'(t), y'(t), 0) \times (0, 0, 1) =$$
$$(y'(t), -x'(t), 0).$$



Hold  $t_0$  constant to get the vertical ruling. Let's say  $t = c$

Tangent plane at  $(x(c), y(c), B)$  is  
 $\vec{p}, \vec{q}, \vec{B}$

$$(y'(c), -x'(c), 0) \cdot (u-p, v-q, z-B) = 0.$$

$$= y'(c)(u-p) + x'(c)(v-q).$$

But this equation does not depend upon the third coordinate  $B$ .

4. (unstarred) pt. =  $(a, b, 0)$

$$\nabla(x^2 + y^2 + z^2 - 1) = (2x, 2y, 2z) =$$

$$(2a, 2b, 0)$$

So the normal direction is always perpendicular  
 note that  $a^2 + b^2 = 1$   
 to  $(2a, 2b, 0) \leftarrow \Rightarrow$  this vector is nonzero.

Tangent plane equation is

$$(a, b, 0) \cdot (x-a, y-b, z) = 0 =$$

$$ax + by - (a^2 + b^2).$$

We need to show that this line is disjoint from the z-axis  $(0, 0, t)$ .

Show there is no  $t$  such that

$$\begin{matrix} ax + by = a^2 + b^2 \\ 0 & 0 & t \end{matrix} \quad \left| \begin{matrix} \text{positive since} \\ \downarrow \rightarrow (a, b) \neq (0, 0) \end{matrix} \right.$$

So if  $(x, y)$  is on the tangent plane then  $ax + by > 0$ . But a point on the z-axis has  $x = y = 0$ , so no such point lies on the plane.

5.  $z = x f\left(\frac{y}{x}\right)$

$$\frac{\partial z}{\partial x} = f\left(\frac{y}{x}\right) + x f'\left(\frac{y}{x}\right) \left(-\frac{y}{x^2}\right) = f\left(\frac{y}{x}\right) - \frac{y}{x} f'\left(\frac{y}{x}\right)$$

$$\frac{\partial z}{\partial y} = x f'\left(\frac{y}{x}\right) \cdot \frac{1}{x} = f'\left(\frac{y}{x}\right)$$

~~So the tangent plane at  $(a, b, af\left(\frac{b}{a}\right))$  is~~

$$z - af\left(\frac{b}{a}\right) = \left[ f\left(\frac{b}{a}\right) - \frac{b}{a} f'\left(\frac{b}{a}\right) \right] (x - a) + \frac{1}{a} f'\left(\frac{b}{a}\right) (y - b) =$$

To prove that  $O$  lies in the tangent plane, we need to show the tangent plane's equation has the form  $z = Px + Qy$ , with no constant terms. Let  $(a, b, af(\frac{b}{a}))$  be the point on the surface, so the tangent plane has equation

$$z - af(\frac{b}{a}) = \left[ f(\frac{b}{a}) - \frac{b}{a} f'(\frac{b}{a}) \right] (x - a) +$$

$$\frac{b}{a} f'(\frac{b}{a}) (y - b) =$$

$$\boxed{f(\frac{b}{a})} x + \boxed{\frac{b}{a} f'(\frac{b}{a})} y - a f(\frac{b}{a}) + \cancel{b f'(\frac{b}{a})} - \cancel{b f'(\frac{b}{a})} =$$

CANCEL

$$\boxed{f(\frac{b}{a})} x + \boxed{\frac{b}{a} f'(\frac{b}{a})} y - a f(\frac{b}{a}), \text{ and}$$

this reduces to

$$z = \boxed{f(\frac{b}{a})} x + \boxed{\frac{b}{a} f'(\frac{b}{a})} y. \text{ So } (0, 0, 0) \text{ is}$$

always in the tangent plane.

7. Find the common points:

$$|x|^2 = 1, \quad |x-a|^2 = 1.$$

$$|x|^2 - 2a \cdot x + |a|^2$$

$\Rightarrow$  lie on plane  $2a \cdot x = |a|^2$ ,

subtract  
1st from 2nd:  
 $-2a \cdot x + |a|^2 = 0$   
defines  
common pts.

For these points the normal directions to the spheres are  $x$  and  $x-a$ , and we want to know when  $x \perp x-a$ , i.e.,

$$|x|^2 = a \cdot x, \text{ when } |x|^2 = 1 \text{ \& } |x-a|^2 = 1.$$

$$\text{Now } \begin{array}{l} \overset{(\text{=1})}{|x|^2} - 2a \cdot x + |a|^2 = 1 \\ 1 - 2a \cdot x + |a|^2 = 1 \end{array} \quad \left| \begin{array}{l} \text{hence} \\ a \cdot x = |x|^2 = 1 \end{array} \right.$$

Hence  $x \perp (x-a)$  means  $|a|^2 = 2$ .

Conversely,  $|a|^2 = 2 \Rightarrow a \cdot x = 1 \Rightarrow x \perp x-a$ .

Generalization  $|a|^2 = r^2 + s^2$ .

8(a)  $f(ax, ay, az) =$   
 $pa^d x^d + qa^d y^d + ra^d z^d =$   
 $a^d (p x^d + q y^d + r z^d) = a^d f(x, y, z).$   
 proves 1st part.

For the 2nd part,

$$\nabla f(x, y, z) = (p d x^{d-1}, q d y^{d-1}, r d z^{d-1})$$

Now at least one of  $x, y, z$  is non zero,  
 and  $p, q, r, d \neq 0$  ( $d$  odd). Since  $d$  is  
 odd, at least one of  $x^{d-1}, y^{d-1}, z^{d-1}$  is  
 non zero  $\Rightarrow$  same for  $p d x^{d-1}$

Since  $c > 0$  and  $f(x, y, z) = c \Rightarrow$   
 $(x, y, z) \neq (0, 0, 0)$  [otherwise  $f(0, 0, 0) = 0$ ]

Hence  $\nabla f \neq 0$   
 $\nabla f \neq 0$  when  $f = c$ , so we get  
 a regular smooth surface.

(b) OMIT.