

## Functions – II

This is a continuation from the previous lecture, starting with more properties of the images and inverse images of functions.

**Composition, images and inverse images.** The image and inverse image constructions are highly compatible with composition of functions.

**Proposition.** Suppose that  $f: A \rightarrow B$  and  $g: B \rightarrow C$  are functions, and let  $M$  and  $N$  denote subsets of  $A$  and  $C$  respectively. Then we have

$$g \circ f[M] = g[f[M]] \quad \text{and} \quad (g \circ f)^{-1}[N] = f^{-1}[g^{-1}[N]].$$

**Proof.** We shall first verify that  $g \circ f[M] = g[f[M]]$ . Suppose that  $z = g \circ f(x)$  for some  $x \in M$ . Since  $g \circ f(x) = g(f(x))$  it follows that we have  $z = g(y)$  where  $y = f(x)$  and  $x \in M$ . Therefore  $y \in f[M]$  and consequently we must also have  $z \in g[f[M]]$ . To prove the reverse inclusion, suppose that  $z \in g[f[M]]$ , so that  $z = g(y)$  where  $y = f(x)$  and  $x \in M$ . We may then use  $g \circ f(x) = g(f(x))$  to conclude that  $y \in g \circ f[M]$ , completing the proof of the second inclusion and thus also the proof that the two sets under consideration are equal.

We shall next verify that  $(g \circ f)^{-1}[N] = f^{-1}[g^{-1}[N]]$ . Suppose that  $x$  belongs to the set  $(g \circ f)^{-1}[N]$ . By definition we have  $g \circ f(x) \in N$ , and since  $g \circ f(x) = g(f(x))$  it follows that  $f(x) \in g^{-1}[N]$ . The latter in turn implies that  $x \in f^{-1}[g^{-1}[N]]$ , and this proves containment in one direction. To prove containment in the other direction, suppose that  $x \in f^{-1}[g^{-1}[N]]$ . Working backwards, we see that  $f(x) \in g^{-1}[N]$ , so that  $g \circ f(x) = g(f(x)) \in N$ , which implies that  $x \in (g \circ f)^{-1}[N]$ . This proves containment in the other direction and hence that the two sets under consideration are equal. ■

**Inclusion functions and restrictions to subsets.** If  $C$  is a subset of  $A$ , then there is an **inclusion function**  $i_{C \subset A}: C \rightarrow A$  whose graph is the diagonal set of all  $(x, x)$  where  $x \in C$ . One reason for introducing this function is that there are some constructions on functions  $\mathbf{K}$  and inclusions  $C \subset A$  such that  $\mathbf{K}(C)$  is not necessarily

a subset of  $\mathbf{K}(A)$ . Another reason is that it gives a formal basis for restricting a function to a subset. Specifically, if  $f: A \rightarrow B$  is a function and  $C$  is a subset of  $A$ , then the restriction of  $f$  to  $C$  is the composite function  $f \circ i_{C \subset A}: C \rightarrow B$ ; this restricted function is generally denoted by  $f|_C$ . If the graph of  $f$  is the set  $G \subset A \times B$ , then the graph of  $f|_C$  is the subset  $G \cap (C \times B)$ .

### **Special types of functions**

**Definition.** Given a set  $A$ , the **identity function**, denoted by  $\mathbf{id}_A$  or  $\mathbf{1}_A: A \rightarrow A$ , is the function whose graph is the set of all  $(x, y)$  such that  $y = x$ . It is an important special case of the inclusion mapping described above with  $C = A$ .

Identity maps and composition of functions satisfy the following simple but important condition.

**Proposition.** If  $f: A \rightarrow B$  is a function, then we have  $\mathbf{1}_B \circ f = f = f \circ \mathbf{1}_A$ .

**Proof.** Let  $x \in A$  be arbitrary. Then we have  $\mathbf{1}_B \circ f(x) = \mathbf{1}_B(f(x)) = f(x)$  and we also have  $f(x) = f(\mathbf{1}_A(x)) = f \circ \mathbf{1}_A(x)$ . We can now apply proposition on equality of functions to conclude that the three functions  $\mathbf{1}_B \circ f$ ,  $f$ , and  $f \circ \mathbf{1}_A$  are equal. ■

One familiar example of an identity function is given on (a subset of) the real line by the familiar formula  $f(x) = x$ . Another example the identity permutation on a set of  $n$  letters where  $n$  is some positive integer.

**More definitions.** Let  $f: A \rightarrow B$  be a function.

1. The function  $f$  is **one-to-one** or **1-1** if for all  $x, y \in A$ , we have  $f(x) = f(y)$  if and only if  $x = y$ . Such a map is also said to be **injective** or an **injection** or a **monomorphism** or an **embedding** (sometimes also spelled **imbedding**).
2. The function  $f$  is **onto** if for every  $y \in B$  there is some  $x \in A$  such that  $f(x) = y$ . Such a map is also said to be **surjective** or a **surjection** or an **epimorphism**.
3. The function  $f$  is **1-1 and onto** (or **1-1 onto** or a **1-1 correspondence**) if it is both **1-1** and onto. Such a map is also said to be **bijective** or a **bijection** or an **isomorphism**. If  $A = B$  is a finite set, such a map is often called a **permutation** of  $A$ .

The following observation is a direct consequence of the definitions.

**Proposition.** *Let  $f: A \rightarrow B$  be a function. Then  $f$  is surjective if and only if its range is equal to its codomain, or equivalently if and only if  $f[A] = B$ .■*

This follows immediately because the range of  $f$  is equal to  $f[A]$  by definition.

**Examples of injections.** If  $A$  is a set and  $C$  is a subset of  $A$ , then the previously defined inclusion mapping  $i: C \rightarrow A$  is an injection because  $i(x) = x$  for all  $x$ , so that the condition  $i(x) = i(y)$  is equivalent to saying that  $x = y$ . On the other hand, the inclusion  $i$  is a surjection if and only if  $C = A$ .

**Examples of surjections.** Let  $A$  and  $B$  be sets, and let  $A \times B$  denote their Cartesian product. The (coordinate) projection mappings  $\pi_A: A \times B \rightarrow A$  and  $\pi_B: A \times B \rightarrow B$  onto  $A$  and  $B$  respectively are defined by  $\pi_A(x, y) = x$  and  $\pi_B(x, y) = y$ . These are also called the projections onto the first ( $A$  –) and second ( $B$  –) coordinates. If both  $A$  and  $B$  are nonempty, then these mappings are always surjective. On the other hand, the projection  $\pi_A$  is injective if and only if  $B$  consists of a single point, and likewise the projection  $\pi_B$  is injective if and only if  $A$  consists of a single point.

**Logical independence of injectivity and surjectivity.** The standard way of showing independence is to give an example of a function that is injective but not surjective and an example that is surjective but not injective. For the former, consider the elementary function  $f: \mathbb{R} \rightarrow \mathbb{R}$  defined by  $f(x) = \arctan x$ . This is defined for all real numbers and is strictly increasing, so it is automatically **injective, but it is not surjective** because its range is the open interval  $(-\pi/2, \pi/2)$ . An example of a function that is **surjective but not injective** is given by  $f(x) = x^3 - x$ . The function is surjective because for each  $y$  one can find a real solution to the cubic equation  $x^3 - x = y$ . However, it is not injective because  $f(0) = f(1) = f(-1) = 0$ .■

Observe also that the function  $f(x) = x^2$  is **neither injective nor surjective** because  $f(1) = f(-1)$  and it is not possible to find a real number  $x$  such that  $x^2 = -1$ .■

The following simple factorization principle turns out to be extremely useful for many purposes:

**Proposition (Injective – surjective factorization).** *Let  $f: A \rightarrow B$  be a function. Then  $f$  is equal to a composite  $j \circ q$ , where  $q: A \rightarrow C$  is surjective and  $j: C \rightarrow B$  is injective.*

**Proof.** Let  $C$  be the image of  $f$ , and define  $q$  such that the graphs of  $q$  and  $f$  are equal. Take  $j$  to be the inclusion of  $C$  in  $B$  (hence it is injective). By construction  $q$  is surjective, and it follows immediately that  $f(x) = j(q(x))$  for all  $x$  in  $A$ .■

**Notes.** The factorization of a function into a surjection followed by an injection is rarely unique, but there is a close relationship between any two such factorizations whose proof is left to the exercises for this section. Another exercise proves the existence of a

second factorization  $Q \circ J$ , where  $Q$  is surjective and  $J$  is injective. This also turns out to be useful in certain contexts.

**Proposition (Composition and injections/surjections).** *Let  $f: A \rightarrow B$  and  $g: B \rightarrow C$  be functions.*

- (1) *If  $f$  and  $g$  are surjections, then so is  $g \circ f$ .*
- (2) *If  $f$  and  $g$  are injections, then so is  $g \circ f$ .*
- (3) *If  $f$  and  $g$  are bijections, then so is  $g \circ f$ .*

**Proof.** The third statement follows from the first two, so it suffices to prove these assertions.

**Verification of (1):** Assume  $f$  and  $g$  are onto. Let  $c \in C$  be arbitrary. Since  $g$  is onto we can find some  $b \in B$  such that  $g(b) = c$ . Since  $f$  is also onto there is some  $a \in A$  such that  $f(a) = b$ . But then  $g \circ f(a) = g(f(a)) = g(b) = c$ . Therefore  $g \circ f$  is onto.

**Verification of (2):** Assume  $f$  and  $g$  are  $1-1$ . Take elements  $a_1, a_2 \in A$  and suppose that  $g \circ f(a_1) = g \circ f(a_2)$ . Then  $g(f(a_1)) = g(f(a_2))$  by the definition of a composite. Therefore  $f(a_1) = f(a_2)$  because  $g$  is  $1-1$ ; since  $f$  is also  $1-1$  it follows next that  $a_1 = a_2$ . This shows that  $g \circ f$  is  $1-1$ . ■

If a function  $f: A \rightarrow B$  is either  $1-1$  or onto, then one can prove strengthened forms for some of the results on images and inverse images of subsets with respect to  $f$ .

**Theorem.** *If  $f: A \rightarrow B$  is a function, then the image and inverse image constructions for  $f$  have the following properties:*

1. *If  $f$  is  $1-1$  and  $C$  is a subset of  $A$ , then  $C = f^{-1}[f[C]]$ .*
2. *If  $f$  is onto and  $D$  is a subset of  $B$ , then  $f[f^{-1}[D]] = D$ .*

**Proof.** As in the proof of the earlier result, we treat each statement separately.

**Verification of (1):** By the earlier result, we already know  $C \subset f^{-1}[f[C]]$ . Suppose now that  $f$  is  $1-1$  and  $y \in f^{-1}[f[C]]$ . By definition we know that  $f(y) = f(x)$  for some  $x \in C$ . Since  $f$  is  $1-1$  this implies  $y = x$ , and therefore we must have  $y \in C$ . Hence the two sets under consideration are equal if  $f$  is  $1-1$ .

**Verification of (2):** By the earlier result, we already know  $f[f^{-1}[D]] \subset D$ .

Suppose now that  $f$  is onto, and let  $y \in D$ . Then there is some  $x \in f^{-1}[D]$  such that  $y = f(x)$ . Therefore  $y$  must belong to  $f[f^{-1}[D]]$  if  $f$  is onto, proving containment in the other direction in this case. ■

## ***Inverse functions***

In some situations, it is possible to undo the results of a function by taking the **inverse** function. For example, the cube root function is the inverse of  $x^3$ , the natural logarithm function is the inverse of  $e^x$ , and **arctan**  $x$  is the inverse to **tan**  $x$  if the latter is viewed as a function which is defined on the open interval  $(-\pi/2, \pi/2)$ . Frequently we say that a function is **invertible** if an inverse exists. It turns out that a function is only invertible if it is a bijection.

**Definition.** Let  $f: A \rightarrow B$  be a function. A function  $g: B \rightarrow A$  is said to be an **inverse function** to  $f$  if for all  $a \in A$  we have  $g(f(a)) = a$  and for all  $b \in B$  we have  $f(g(b)) = b$ . By the definition of the identity function, this is equivalent to the conditions  $g \circ f = 1_A$  and  $f \circ g = 1_B$ .

**Elementary examples.** If  $A$  denotes the real numbers,  $B$  denotes the positive real numbers, and  $f(x) = e^x$ , then  $f$  has an inverse function  $g$  which is the logarithm of  $x$  to the base  $e$ . Similarly, if  $A = B = \mathbb{R}$  and  $f(x) = 2x + 4$ , then  $f$  has an inverse  $g$  given by  $g(x) = \frac{1}{2}x - 2$ . Clearly many other examples of this sort arise in trigonometry and calculus.

**Proposition (Characterization of inverse functions).** Let  $f: A \rightarrow B$  be a bijection, and define a function  $f^{-1}: B \rightarrow A$  by taking  $f^{-1}(b)$  to be the unique  $a \in A$  such that  $f(a) = b$ ; equivalently, the graph of  $f^{-1}$  is the set of all ordered pairs  $(y, x)$  such that  $(x, y)$  lies in the graph of  $f$ . Then  $f^{-1}$  is well-defined, and it is an inverse of  $f$  (in fact, it is the **unique** inverse in view of the next proposition).

The condition on the graph can be restated as “ $x = f^{-1}(y)$  if and only if  $y = f(x)$ .”

**PROOF.** There is at least one  $a$  such that  $b = f(a)$  since  $f$  is onto, and there cannot be more than one such  $a$  since  $f$  is  $1-1$ . Therefore  $f^{-1}$  is a well-defined function. Since the graph of  $f^{-1}$  is the set of all ordered pairs  $(f(x), x)$  the definitions imply that  $f^{-1}$  satisfies the conditions for being an inverse to  $f$ . ■

**Proposition (Functions with inverses are bijections).** *Let  $f: A \rightarrow B$  be a function. If  $f$  has an inverse  $g$ , then  $f$  is a bijection and the inverse is unique (and it is equal to  $f^{-1}$  as defined above).*

**Proof.** Assume that the mapping  $f$  has an inverse  $g$ . To show that  $f$  is onto, take  $b \in B$ . Then  $f(g(b)) = b$ , so  $b$  lies in the image of  $f$ . To show that  $f$  is  $1-1$ , consider an arbitrary pair of elements  $a_1, a_2 \in A$ , and suppose that  $f(a_1) = f(a_2)$ . Then  $g(f(a_1)) = g(f(a_2))$ , and since  $g \circ f = \mathbf{1}_A$  it follows that  $a_1 = a_2$ . To show that the inverse is unique, suppose that  $g$  and  $h$  are both inverses to  $f$ . We must show that  $g = h$ . Let  $b \in B$  be arbitrary. Then  $f(g(b)) = f(h(b)) = b$  because  $g$  and  $h$  both inverses, and since  $f$  is  $1-1$  we must have  $g(b) = h(b)$  for all  $b$ . By the proposition on equality of functions, we conclude that  $g = h$ . ■

In view of the preceding proposition, one way of showing that a function is a bijection is to show that it has an inverse.

The construction sending a bijective function to its inverse has several basic properties that are summarized in the next result.

**Proposition (Identities involving inverse functions).** *The inverse function construction has the following properties:*

1. *Let  $A$  be a set. Then the identity map  $\mathbf{1}_A$  is a bijection, and it is equal to its own inverse.*
2. *Suppose that  $f: A \rightarrow B$  and  $g: B \rightarrow C$  are bijections so that their composite  $g \circ f$  is also a bijection by a previous result. Then the function  $(g \circ f)^{-1}$  is equal to  $f^{-1} \circ g^{-1}$ .*
3. *If  $f: A \rightarrow B$  is a bijection with inverse  $f^{-1}$ , then  $f^{-1}: A \rightarrow B$  is also a bijection, and its inverse is equal to  $f$ .*

**Proof.** We shall derive all of these from the conditions  $v \circ u = \mathbf{id}_X$  and  $u \circ v = \mathbf{id}_Y$  which characterize a function  $u: X \rightarrow Y$  and its inverse  $v: Y \rightarrow X$ . If  $u = \mathbf{id}_A$  then we also have  $v = \mathbf{id}_A$  because  $\mathbf{id}_A \circ \mathbf{id}_A = \mathbf{id}_A$ , proving the first part. To prove the second part, we take  $X = A$ ,  $Y = C$ , and  $u = g \circ f$ . If we now set  $v$  equal to  $f^{-1} \circ g^{-1}$ , then the proposition on the associativity property for compositions and the proposition on composites with identity maps combine to imply that the composites  $v \circ u$  and  $u \circ v$  are both identity maps. Finally, if we take  $X = B$ ,  $Y = A$ , and  $u = f^{-1}$ , then  $v = f$  has the property that the composites  $v \circ u$  and  $u \circ v$  are both identity maps. ■

**Example.** Here is an illustration of the identity  $(g \circ f)^{-1} = f^{-1} \circ g^{-1}$  using the **1 – 1** and onto functions  $f: \mathbb{R} \rightarrow (0, \infty)$  defined by  $f(x) = e^x$  and  $g: (0, \infty) \rightarrow (0, 1)$  defined by  $g(y) = y/(1+y)$  as examples: The composite function  $g \circ f$  is given by  $z = e^x/(1+e^x)$ , and if we solve this for  $z$  we obtain the equation

$$x = \ln(z / (1 - z)).$$

Since  $g^{-1}(z)$  is equal to the expression inside the parentheses and  $\ln y = x$  is the inverse to  $y = e^x$ , this example does satisfy the formula for finding the inverse function of a composite. ■

**Comment (caution).** If  $f: \mathbb{R} \rightarrow \mathbb{R}$  is a **1 – 1** onto function given in terms of the functions studied in a first year calculus course, **there is no guarantee that its inverse function can also be expressed in such terms.** One relatively simple example is the Lambert  $W$  – function  $W(z)$  which is given by the identity

$$W(z) e^{W(z)} = z.$$

Additional details are given in the following subdirectory file:

<http://math.ucr.edu/~res/math144-2022/week04/lambert-fcn.pdf>