

**SOLUTIONS FOR WEEK 07 EXERCISES**

*Cunningham, Exercises 4.3*

Recall our recursive definition:  $x^0 = 1$  and  $x^{k+1} = x^k x$

**9.** We shall prove  $m^n \cdot m^k = m^{n+k}$  by induction on  $k$ . If  $k = 1$  this is trivial since  $m^{k+0} = m = m^k \cdot 1 = m^k \cdot m^0$ . Suppose we know that  $m^n \cdot m^k = m^{n+k}$  where  $k \geq 0$ . Then  $m^n \cdot m^{k+1} = m^n \cdot m^k \cdot m = m^{n+k} \cdot m$  (the last equation by the induction hypothesis), and the final term is equal to  $m^{n+k+1} = m^{n+(k+1)}$  by the recursive definition. ■

**10.** We shall prove  $(mn)^k = m^k \cdot n^k$  by induction on  $k$ . If  $k = 0$  this reduces to  $(1 \cdot 1)^0 = 1^0 = 1 = 1 \cdot 1 = 1^0 \cdot 1^0$ , and if  $k = 1$  this is even more obvious since  $x^1 = x$  for all  $x$ . Suppose we know that  $(mn)^k = m^k \cdot n^k$  for some  $k \geq 0$ . Then  $(mn)^{k+1} = (mn)^k \cdot mn$  by the recursive definition. Applying the previous exercise, we see that this expression is equal to  $m^k \cdot n^k \cdot m \cdot n$ , and rearranging terms shows the latter is equal to  $m^k \cdot m \cdot n^k \cdot n = m^{k+1} \cdot n^{k+1}$ , where the right hand side is obtained from the recursive definition. ■

**11.** We shall prove  $(m^n)^k = m^{nk}$  by induction on  $k \geq 1$ . If  $k = 1$  then both the left and right hand sides of the equation reduce to  $m^n$ , so the statement is true for  $k = 1$  (if  $k = 0$  both sides are equal to 1, so this case is also no problem). Assume the equation holds for  $k \geq 1$ , and consider  $(m^n)^{k+1}$ .

By the definition of nonnegative integral exponents we have

$$(m^n)^{k+1} = (m^n)^k \cdot m^n$$

and by the induction hypothesis we know that  $(m^n)^k = m^{nk}$ . Therefore the right hand side of the displayed equation reduces to  $m^{nk} \cdot m^n$ , and by Exercise 9 above this reduces further to  $m^{nk+n} = m^{n(k+1)}$ , completing the proof of the inductive step. ■

*Cunningham, Exercises 5.1*

**19.** Let  $V_k = \bigcup_{i \leq k} A_i$ . Then  $V_1 = A_1$  and hence  $V_k$  is finite if  $k = 1$ . To complete the proof by induction, we want to show that if  $V_k$  is finite and  $1 \leq k < n$  then so is  $V_{k+1}$ . By definition we have  $V_{k+1} = V_k \cup A_{k+1}$  where  $A_{k+1}$  is assumed to be finite and  $V_k$  is finite by the induction hypothesis. These and the formula for the number of elements in a union of two finite sets imply that  $V_{k+1}$  is finite, completing the proof of the inductive step. When we reach  $k + 1 = n$ , we have shown that the whole union is finite. ■

Cunningham, Exercises 5.2

**9.** By assumption there is a 1–1 mapping  $f : A \rightarrow \mathbb{N}$ . Pick some  $a_0 \in A$  (we can do this because  $A \neq \emptyset$ ). Define a mapping  $g : \mathbb{N} \rightarrow A$  as follows: If  $a = f(m)$  for some  $m$ , then  $m$  is unique because  $f$  is 1–1, so we can define  $g(m) = a$ . If  $m$  is not in the image of  $f$  let  $g(m) = a_0$ . We then have  $g \circ f(a) = a$  for all  $a \in A$ , so that every  $a \in A$  lies in the image of  $g$ . Therefore  $g$  is onto. ■

**15.** By Exercise 14, which was assigned in `exercises06.pdf`, we know that the set of all nonconstant polynomials with integral coefficients is countable. Write these polynomials out in a sequence  $p_1(t), p_2(t), \dots$  and for each  $k$  let  $W_k$  denote all the (real or complex) real roots of  $p_k(t)$ . Each of the sets  $W_k$  is finite (and the number of elements is at most the degree of  $P_k$ ), so we have described the set of algebraic numbers as a countable union of finite sets. As in the solution to the previously cited exercise, such a union is countable, and it follows that the set of all algebraic (real or complex) numbers must also be countable. ■

Cunningham, Exercises 5.4

**28.** By a previous exercise we know that there are 1–2 correspondences from  $A \sqcup B$  to  $A \cup B$  and from  $K \sqcup L$  to  $K \cup L$  because  $A \cap B = K \cap L = \emptyset$ , so it suffices to prove  $|A \sqcup B| \leq |K \sqcup L|$ . Let  $f : A \rightarrow K$  and  $g : B \rightarrow L$  be 1–1 functions. Then one can check directly that  $h : A \sqcup B \rightarrow K \sqcup L$  defined by  $h(a, 1) = (f(a), 1)$  and  $h(b, 2) = (g(b), 2)$  is 1–1; by checking the second coordinate one sees that a point in  $A \times \{1\}$  and a point in  $B \times \{2\}$  cannot go to the same point in  $K \sqcup L$ , and the restrictions of  $h$  to both  $A \times \{1\}$  and  $B \times \{2\}$  are 1–1 by the choices of  $f$  and  $g$ . Therefore we have  $|A \sqcup B| \leq |K \sqcup L|$ . ■

**29.** Let  $f$  and  $g$  be as in the previous exercise, and define  $h : A \times B \rightarrow K \times L$  be the product map  $h(a, b) = (f(a), g(b))$ . Then  $h$  is 1–1 because  $h(a, b) = h(a', b')$  implies  $f(a) = f(a')$  and  $g(b) = g(b')$ , and these equations imply  $a = a'$  and  $b = b'$  since  $f$  and  $g$  are 1–1. Therefore we have  $|A \times B| \leq |K \times L|$ . ■

**30.** Once again let  $f$  and  $g$  be as in the preceding two exercises, but now also let  $r : K \rightarrow A$  be a mapping such that  $r \circ f = 1_A$ ; to construct such a map let  $a_0 \in A$  (the latter is nonempty), and define  $r(x) = a$  if  $x = f(a)$  (there is only one  $a$  such that  $f(a) = x$  and  $r(x) = a_0$  if  $x \notin f[A]$ ; observe that  $r \circ f = 1_A$ ).

We need to construct a 1–1 mapping from  $\mathbf{F}(A, B)$  to  $\mathbf{F}(K, L)$ . First define  $C_1 : \mathbf{F}(K, B) \rightarrow \mathbf{F}(K, L)$  sending  $h : K \rightarrow B$  to the composite  $g \circ h$ . This construction is 1–1 for  $g \circ h = g \circ h'$  implies  $g \circ h(x) = g \circ h'(x)$  for all  $x \in K$ , and since  $g$  is 1–1 it follows that  $h(x) = h'(x)$  for all  $x$ , so that  $h = h'$ . Next define  $C_2 : \mathbf{F}(A, B) \rightarrow \mathbf{F}(K, B)$  sending  $\varphi : A \rightarrow B$  to the composite  $\varphi \circ r$ . This construction is also 1–1, for  $\varphi \circ r = \varphi' \circ r$  implies

$$\varphi = \varphi \circ 1_A = \varphi \circ r \circ f = \varphi' \circ r \circ f = \varphi' \circ 1_A = \varphi'.$$

Since  $C_1$  and  $C_2$  are 1–1, their composite  $C_1 \circ C_2 : \mathbf{F}(A, B) \rightarrow \mathbf{F}(K, L)$  is also 1–1 and therefore  $|\mathbf{F}(A, B)| \leq |\mathbf{F}(K, L)|$ . ■

**1.** By Exercise 3 from `exercises06.pdf` we know that if a countable family of subsets  $A_n \subset \mathbb{R}$  satisfies  $|A_n| = |\mathbb{R}|$  for all  $n$ , then the countable union  $\bigcup_n A_n$  also has the same cardinality of  $\mathbb{R}$ . Split  $\mathcal{F}$  into the pairwise disjoint subfamilies  $\mathcal{F}_n$  of subsets with  $n$  elements, where  $n$  runs over all elements of  $\mathbb{N}$ . Then  $\mathcal{F}_0 = \{\emptyset\}$ , and we claim that  $|\mathcal{F}_n| = |\mathbb{R}|$  for all  $n > 0$ . As usual, we shall use the Schröder-Bernstein Theorem.

If  $n > 0$ , define a 1-1 map  $h_n : \mathbb{R} \rightarrow \mathcal{F}_n$  sending  $a \in \mathbb{R}$  to the set  $\{a, a+1, \dots, a+n-1\}$ , which shows that  $|\mathbb{R}| \leq |\mathcal{F}_n|$ . Next, define a map  $k_n : \mathcal{F}_n \rightarrow \mathbb{R}^n$  as follows: Put the  $n$  elements of a set  $B \in \mathcal{F}_n$  in order (with respect to the usual ordering of  $\mathbb{R}$ ) say  $x_1 < \dots < x_n$  and let  $k_n$  send this set to  $(x_1, \dots, x_n) \in \mathbb{R}^n$ . This map is also 1-1, so we have  $|\mathcal{F}_n| \leq |\mathbb{R}^n| = |\mathbb{R}|$ . We can now apply the Schröder-Bernstein Theorem to conclude that  $|\mathcal{F}_n| = |\mathbb{R}|$  for each  $n > 0$  and therefore the set  $\mathcal{F}_+ = \bigcup_{n>0} \mathcal{F}_n$  also has the same cardinality as  $\mathbb{R}$  by the previously cited Exercise 3.

By construction we have  $\mathcal{F} = \mathcal{F}_+ \cup \mathcal{F}_0$ , so we have one more thing to complete. Define a 1-1 mapping from  $\mathcal{F}$  to  $\mathbb{R}^2$  sending  $\mathcal{F}_+$  to the line  $\mathbb{R} \times \{0\}$  and sending  $\mathcal{F}_0$  to  $\{(0, 1)\}$ . This yields the chain of inequalities  $|\mathbb{R}| = |\mathcal{F}_+| \leq |\mathcal{F}| \leq |\mathbb{R}^2| = |\mathbb{R}|$ . By the Schröder-Bernstein Theorem this implies that  $|\mathcal{F}| = |\mathbb{R}|$ . ■

**2.** Since it is debatable whether  $0^0$  can actually be defined, the problem should have been formulated for  $n \geq 1$ . In any case we know that  $1! = 1 = 1^1$  so the statement is true for  $n = 1$ . To complete the argument, we need to show that  $k! \leq k^k$  implies  $(k+1)! < (k+1)^{k+1}$  for all  $k \geq 1$ .

The inductive step follows from the chain of inequalities

$$(k+1)! = k! \cdot (k+1) \leq k^k \cdot (k+1) < (k+1)^k \cdot (k+1) = (k+1)^{k+1}.$$

On its surface, the reasoning might not seem to fit perfectly because two separate statements are involved; namely,  $k! \leq k^k$  for  $k \geq 1$  and  $k! < k^k$  for  $k \geq 2$ . More precisely, the reasoning for this exercise proceeds as follows:  $1! = 1 = 1^1 \implies 2! < 2^2 \implies 2! \leq 2^2 \implies 3! < 3^3 \implies 3! \leq 3^3 \implies 4! < 4^4 \dots$  etc. ■

**3.** Let  $S_n$  be the statement

$$\sum_{k=1}^n \frac{1}{k^2 + k} = \frac{n}{n+1}.$$

Then one can verify directly that  $S_1$  is true, so to give a proof by mathematical induction we need to show that  $S_n$  implies  $S_{n+1}$  for all  $n \geq 1$ . If  $S_n$  is true then by the induction hypothesis we have

$$\sum_{k=1}^{n+1} \frac{1}{k^2 + k} = \left( \sum_{k=1}^n \frac{1}{k^2 + k} \right) + \frac{1}{(n+1)^2 + (n+1)} = \frac{n}{n+1} + \frac{1}{(n+1)^2 + (n+1)}$$

so the proof reduces to verifying that the right hand side is equal to

$$\frac{n+1}{n+2}.$$

Here is the derivation of the identity that we need:

$$\begin{aligned} \frac{n}{n+1} + \frac{1}{(n+1)^2 + (n+1)} &= \frac{n(n+2)}{(n+1)(n+2)} + \frac{1}{(n+1)(n+2)} = \\ &= \frac{(n+1)^2}{(n+1)(n+2)} = \frac{n+1}{n+2}. \blacksquare \end{aligned}$$

**4.** Let  $W_n$  (words of length  $n$ ) be the set  $A^n \times \{n\}$ . Since  $A$  is finite the set  $W_n$  is also finite. Therefore  $\mathbf{String}(A)$  is a union of the countable family of sets  $W_n$  where  $n$  runs through the elements of  $\mathbb{N}$ . Since a countable union of countable sets is countable, it follows that  $A$  is countable. To see that the set is infinite, consider the set of words formed using only one letter in  $A$ :

$$(a, a, \dots, a; n) \quad \text{where } a \in A \text{ and } n \in \mathbb{N}_+$$

Since this subset of  $\mathbf{String}(A)$  is countably infinite, it follows that  $\mathbf{String}(A)$  itself must be infinite. ■

**5.** Let  $S_n$  be the statement that  $n$  can be written in the form  $5a + 7b$  for suitable nonnegative integers  $a$  and  $b$ . In order to prove this using the Strong Principle of Finite Induction, we must first verify it for a few values of  $n \geq 24$ :

$$\begin{aligned} S_{24} : \quad 24 &= 10 + 14 = (5 \cdot 2) + (7 \cdot 2) \\ S_{25} : \quad 25 &= (5 \cdot 5) \\ S_{26} : \quad 26 &= 5 + 21 = (5 \cdot 1) + (7 \cdot 3) \\ S_{27} : \quad 27 &= 20 + 7 = (5 \cdot 4) + (7 \cdot 1) \\ S_{28} : \quad 28 &= (7 \cdot 4) \end{aligned}$$

The inductive step is then given as follows:

$$\text{For } n \geq 29, S_k \text{ true for } 24 \leq k \leq n-1 \Rightarrow \text{so is } S_n.$$

We can prove this as follows: If  $n \geq 29$ , then  $24 \leq n-5 \leq n-1$ . Therefore  $S_{n-5}$  is true, so that  $n-5 = 5c + 7b$  for nonnegative integers  $b$  and  $c$ . Since we may rewrite this as  $n = 5(c+1) + 7b$  we see that  $n = 5a + 7b$  where both  $a = c+1$  and  $b$  are nonnegative integers. Therefore  $S_n$  is true, and this completes the proof by the Strong Principle of Finite Induction. ■

Finally, we need to show that  $S_{23}$  is false. Since 23 leaves a remainder of 3 when divided by 5, any expression of the form  $23 = 5a + 7b$  must have  $b > 0$ . The only possibilities are  $b = 1, 2, 3$ . However, for each of these possibilities we know that  $23 - 7b = 16, 9, 2$  is not evenly divisible by 5, and therefore we cannot write  $23 = 5a + 7b$  where  $a$  and  $b$  are nonnegative integers. ■