

## 7. TOPOLOGICAL SPACES

An ultimate abstract framework for continuous mappings, which is also very effective and useful.

### Motivations

1. Continuity can be expressed entirely in terms of open subsets.
2. Given  $(X, d^X)$  and  $(Y, d^Y)$ , the  $d_p$  metrics ( $p=1, 2, \infty$ ) yield the same open sets.
3. For finite metric spaces, all subsets are open.

To prove 2, use

$$\frac{1}{2}d_2 \leq \frac{1}{2}d_1 \leq d_\infty \leq d_2 \leq d_1 \leq 2d_\infty$$

plus

Sutherland, Prop 6.34  $X$  with metrics  $d+d'$

and  $0 < m < M$  s.t.  $md' \leq d \leq Md'$

Then  $(X, d)$  and  $(X, d')$  have the same open sets.

Proof It suffices to prove the identity maps

$$\left\{ \begin{array}{l} J_X: (X, d) \rightarrow (X, d') \\ J_X': (X, d') \rightarrow (X, d) \end{array} \right\} \text{ are (uniformly) continuous,}$$

for  $J_X$  continuous  $\Rightarrow$  every  $d'$ -open set is  $d$ -open

$J_X'$  continuous  $\Rightarrow$  every  $d$ -open set is  $d'$ -open.

Let  $\varepsilon > 0$ . Then  $d'(u, v) < \frac{\varepsilon}{M} \Rightarrow d(u, v) < \varepsilon$

$$d(u, v) < m\varepsilon \Rightarrow d'(u, v) < \varepsilon. \blacksquare$$

Note also  $N_{\varepsilon/M}(p; d') \subseteq N_\varepsilon(p; d)$ ,

$$N_{m\varepsilon}(p; d) \subseteq N_\varepsilon(p; d').$$

Def. A topological space is a pair  $(X, \mathcal{T})$  consisting of a set  $X$  and a family of subsets  $\mathcal{T}$ , the  $\left\{ \begin{array}{l} \text{topology for} \\ \text{open subsets of} \end{array} \right\} X$ , such that

$$\text{(Top 1)} \quad \phi, X \in \mathcal{T}.$$

$$\text{(Top 2)} \quad U_1, U_2 \in \mathcal{T} \Rightarrow U_1 \cap U_2 \in \mathcal{T}.$$

$$\text{(Top 3)} \quad U_\alpha \in \mathcal{T} \text{ for } \alpha \in A \Rightarrow \bigcup_{\alpha \in A} U_\alpha \in \mathcal{T}.$$

In point set topology  $T_1, T_2, T_3$  have other meanings!

Open subsets of a metric space are the obvious examples.

Examples not coming from metric spaces

Indiscrete topology:  $S$  set, take

$\mathcal{T} = \{S, \emptyset\}$  only. If  $|S| \geq 2$  this does not come from a metric space because  $S - \{p\}$  is always open if  $(S, d) = \text{metric space} + p \in S$ .

Sierpiński Space:  $X = \{0, 1\}$ ,  $\mathcal{T} = \{\emptyset, X, \{1\}\}$ . Not metric, same reason.

Cofinite Topology:  $S = \text{set}$ ,  $\mathcal{T} = \text{all } U \text{ s.t. } X - U \text{ is finite plus } X \text{ itself}$ . If  $|X| = \infty$ , then this does not come from a metric space (Sutherland, Example 11.6, p. 110).

Sutherland, Prop. 7.2  $X$  top. sp.,  $V \subseteq X$ . Then  $V$  is open  $\Leftrightarrow$  for each  $x \in V$  there is an open subset  $U_x$  s.t.  $x \in U_x \subseteq V$ .

PROOF ( $\Rightarrow$ ) For each  $x \in V$  take  $U_x = V$ .

( $\Leftarrow$ ) We have the familiar inclusion chain

$$V = \bigcup_{x \in V} \{x\} \subseteq \bigcup_{x \in V} U_x \subseteq V, \text{ so } V = \bigcup_{x \in V} U_x$$

is a union of open sets and hence is open.  $\square$

Note For the discrete metric, EVERY subset is open.

### Alternate description of topologies

Theorem. Let  $X$  be a set, and let  $\mathcal{F}$  be a family of subsets such that

$$(T1^*) \quad \emptyset, X \in \mathcal{F}$$

(T2\*) A union of two sets in  $\mathcal{F}$  is also in  $\mathcal{F}$

(T3\*) An arbitrary intersection of sets in  $\mathcal{F}$  is also in  $\mathcal{F}$ . nonempty\*

Then there is a unique topology  $\mathcal{T}$  on  $X$  such that  $U \in \mathcal{T} \iff X - U \in \mathcal{F}$ .

Proof (Top 1)  $\emptyset, X \in \mathcal{F} \Rightarrow$

$$X = X - \emptyset \text{ and } \emptyset = X - X \in \mathcal{T}.$$

\* it is best not to talk about the intersection of an empty family of sets!!

(Top 2) min If  $U_i = X - F_i \in \mathcal{T}$  for  $i=1$  or  $2$ ,

then  $U_1 \cap U_2 = X - (F_1 \cup F_2) \in \mathcal{T}$  because

$$F_1, F_2 \in \mathcal{F} \Rightarrow F_1 \cup F_2 \in \mathcal{F}.$$

(Top 3) min Suppose  $U_\alpha = X - F_\alpha \in \mathcal{T}$ , so

$$F_\alpha \in \mathcal{F} \text{ for all } \alpha. \text{ Then } \bigcup_\alpha U_\alpha = \bigcup_\alpha X - F_\alpha =$$

$$X - \left( \bigcap_\alpha F_\alpha \right). \text{ Since } \bigcap_\alpha F_\alpha \in \mathcal{F}, \text{ we have}$$

$$\bigcup_\alpha U_\alpha \in \mathcal{T}. \blacksquare$$

## ZARISKI TOPOLOGY ON $\mathbb{F}^n$ , $\mathbb{F} = \mathbb{R}$ or $\mathbb{C}$

$S =$  subset of the polynomial ring

$\mathbb{F}[t_1, \dots, t_n]$ . Let  $V(S) =$  variety of  $S$ ,

$=$  all  $a = (a_1, \dots, a_n) \in \mathbb{F}^n$  such that

$h(a) = 0$  for all  $h \in S$  (also called the zero set of  $S$ ).

Claim The  $V(S)$  are the closed subsets of a topology on  $\mathbb{F}^n$ .

(Top 1\*)  $\mathbb{F}^n = V(\emptyset)$ ,  $\emptyset = V(\text{all polys.})$

(Top 2\*) Claim  $V(S_1 \cdot S_2) = V(S_1) \cup V(S_2)$ ,

where  $S_1 \cdot S_2 =$  all polys  $g_1 \cdot g_2$  where  $g_i \in S_i$  ( $i=1,2$ ).

Proof Check that  $V(S_i) \subseteq V(S_1 \cdot S_2)$  for  $i=1$  or  $2$  because  $g_i(a) = 0 \implies$   
 $g_1(a)g_2(a) = 0$ . Therefore  $V(S_1) \cup V(S_2) \subseteq$

$V(S_1 \cdot S_2)$ . Conversely suppose  $a \in V(S_1 \cdot S_2)$  but  $a \notin V(S_1)$ ; it suff. to show that  $a \in V(S_2)$ .

Now  $a \notin V(S_1) \implies$  there is some  $f \in S_1$  so that  $f(a) \neq 0$ . Suppose that  $g \in S_2$ . Then  $a \in V(S_1 \cdot S_2)$

$\implies 0 = f(a)g(a)$ . Since  $f(a) \neq 0$  we must have  $g(a) = 0$ , and since  $g \in S_2$  is arbitrary we have  $a \in V(S_2)$ .

(Top 3\*) Claim  $\bigcap_{\alpha} V(S_{\alpha}) = V(\bigcup_{\alpha} S_{\alpha})$ .  $\square$

In zariski-topology.pdf we show that the family of Zariski open subsets is properly contained in the family of metric open subsets.

## 8. CONTINUITY & BASES

Def.  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  topological spaces. Then  $f: (X, \mathcal{T}_X) \rightarrow (Y, \mathcal{T}_Y)$  is continuous if for each  $V$  open in  $Y$  the set  $f^{-1}[V]$  is open in  $X$ .

(Compatible with the def. for metric spaces)  
[Sutherland, Prop. 8.3]

Sutherland, Prop. 8.4 A composite of continuous functions is continuous.

Proof.  $X \xrightarrow{f} Y, Y \xrightarrow{g} Z$  continuous.

If  $W$  is open in  $Z$ , then  $g^{-1}[W]$  is open in  $Y$ .

Since  $g$  is continuous. Likewise, the set

$f^{-1}[g^{-1}[W]]$  is open in  $X$ . Since the latter is  $(g \circ f)^{-1}[W]$ ,  $g \circ f$  is continuous.  $\square$

Sutherland, Prop. 8.6 (a) The identity map

Every  $1_X: (X, \mathcal{T}_X) \rightarrow (X, \mathcal{T}_X)$  is continuous.

(b) Any  $f: (X, \text{discrete}) \rightarrow (Y, \mathcal{T}_Y)$  is continuous.

(c) A constant map is continuous.

(d) Every  $f: (X, \mathcal{T}_X) \rightarrow (Y, \text{indiscrete})$   
is continuous.

(e) Every  $f: (\emptyset, \{\emptyset\}) \rightarrow (X, \mathcal{T}_X)$   
is continuous.

(empty set is a topological space!)

Bases = Topologies generated by families of subsets

Observation An intersection of topologies on  $X$  is a topology on  $X$ .

If  $\mathcal{A}$  is a family of subsets of  $X$ , let

$\mathcal{T}(\mathcal{A}) =$  intersection of all topologies  $\mathcal{T}$  on  $X$   
such that  $\mathcal{A} \subseteq \mathcal{T}$ . (Nonempty - e.g.  $\mathcal{T} = \text{discrete}$ ).

Proposition  $\mathcal{T}(\mathcal{A})$  consists of  $\emptyset$ ,  $X$  and  
arbitrary unions of finite intersections of  
sets in  $\mathcal{A}$ .

Proof. All such sets lie in  $\mathcal{T}(\mathcal{A})$ , so  
we need to show the conditions define a topology.



The only non-immediate part is to show the family described in the Proposition is closed under intersections (note that "a union of unions is a union" GERTRUDE STEIN PROPERTY)

$$\text{But } \left( \bigcup_{\alpha} A_{\alpha_1} \cap \dots \cap A_{\alpha_k} \right) \cap \left( \bigcup_{\beta} A_{\beta_1} \cap \dots \cap A_{\beta_l} \right) \\ = \bigcup_{\alpha, \beta} A_{\alpha_1} \cap \dots \cap A_{\alpha_k} \cap A_{\beta_1} \cap \dots \cap A_{\beta_l}.$$

Def.  $\mathcal{B} \subseteq \mathcal{T}$  is a base for  $\mathcal{T}$  if every open set is a union  $\cup U_{\alpha}$  with  $U_{\alpha} \in \mathcal{B}$ .

Examples  $(X, d)$  metric and

$$\mathcal{B} = N_{\varepsilon}(x) \text{ where } x \in X \text{ and } \begin{cases} \varepsilon > 0 \\ \varepsilon = 1/n \text{ for some } n. \end{cases}$$

$$(X, d \text{ discrete}) \quad \mathcal{B} = \text{all } \{x\}.$$

~~Another~~ One useful property of bases:

Sutherland, Prop. 8.12  $\mathcal{B} = \text{base for } \mathcal{T}_Y$ .

Then  $f: (X, \mathcal{T}_X) \rightarrow (Y, \mathcal{T}_Y)$  is continuous  $\Leftrightarrow$   $f^{-1}[V]$  is open for all  $V$  in  $\mathcal{B}$ .

PROOF. ( $\Rightarrow$ ) Trivial since we know  $V \in \mathcal{T}_Y$   
 $\Rightarrow f^{-1}[V] \in \mathcal{T}_X$  and  $\mathcal{B} \subseteq \mathcal{T}_Y$ .

( $\Leftarrow$ )  $V \in \mathcal{T}_Y \Rightarrow V = \bigcup_{\alpha} U_{\alpha}$  for  $U_{\alpha} \in \mathcal{B}$ .

Hence  $f^{-1}[V] = f^{-1}[\bigcup_{\alpha} U_{\alpha}] = \bigcup_{\alpha} f^{-1}[U_{\alpha}]$ ,  
 which is a union of open sets and hence is open.  $\square$

Note  $\mathcal{I}$  of  $\mathcal{T} = \mathcal{T}(\mathcal{O})$ , say  $\mathcal{O}$  is a sub-base  
 for  $\mathcal{T}$ .

### Homeomorphisms, Part A

$$f: (X, \mathcal{T}_X) \rightarrow (Y, \mathcal{T}_Y) \text{ s.t.}$$

$f$  is 1-1 onto,  $f$  and  $f^{-1}$  both continuous.

(See Additional Exercise 8.2 for more)

Examples  $(a, b)$  homeo  $(c, d)$   
 $[a, b]$  homeo  $[c, d]$   
 $(-1, 1)$  homeo  $\mathbb{R}$

First two  $f(x) = c + \frac{(d-c)}{(b-a)}(x-a)$  PRECALC!

Last one  $f(x) = \frac{x}{1-|x|}$  OR  $\tan \frac{\pi}{2} x$

$\infty$  DIFFERENTIABLE  $\nearrow$

## Homeomorphisms, Part B Geometrically,

homeomorphic subsets of  $\mathbb{R}^n$  resemble each other in some non-rigid sense.

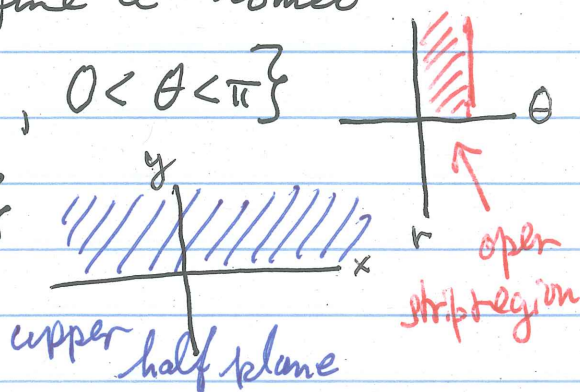
For example, think about polar coords

$$x = r \cos \theta$$

$$y = r \sin \theta$$

These define a homeo from  $\{(r, \theta) \in \mathbb{R}^2 \mid r > 0, 0 < \theta < \pi\}$

to  $\{(x, y) \in \mathbb{R}^2 \mid y > 0\}$



Inverse map:

$$r = \sqrt{x^2 + y^2}, \quad \theta = \arccos \frac{x}{\sqrt{x^2 + y^2}}.$$

Go to [intro 2 top A-08.pdf](#) and [intro 2 top A-08a.pdf](#) for more.

The geometric behavior of homeomorphisms is behind the frequently repeated description of topology as a "rubber sheet geometry."