# SOLUTIONS TO EXERCISES FOR

## MATHEMATICS 205A — Part 2

Fall 2014

# II. Metric and topological spaces

## II.2: Closed sets and limit points

Problems from Munkres,  $\S 17$ , pp. 101 - 102

- **2.** Since A is closed in Y we can write  $A = F \cap Y$  where F is closed in X. Since an intersection fo closed set is closed and Y is closed in X, it follows that  $F \cap Y = A$  is also closed in x.
- **8.** (a) Since  $C \subset Y$  implies  $\overline{C} \subset \overline{Y}$  it follows that  $\overline{A \cap B} \subset \overline{A}$  and  $\overline{A \cap B} \subset \overline{B}$ , which yields the inclusion

$$\overline{A \cap B} \subset \overline{A} \cap \overline{B}$$
.

To see that the inclusion may be proper, take A and B to be the open intervals (0,1) and (1,2) in the real line. Then the left hand side is empty but the right hand side is the set  $\{1\}$ .

(c) This time we shall first give an example where the first set properly contains the second. Take A = [-1, 1] and  $B = \{0\}$ . Then the left hand side is A but the right hand side is A - B. We shall now show that  $\overline{A - B} \supset \overline{A} - \overline{B}$  always holds. Given  $x \in \overline{A} - \overline{B}$  we need to show that for each open set U containing x the intersection  $U \cap (A - B)$  is nonempty. Given such an open set U, the condition  $x \notin \overline{B}$  implies that  $x \in U - \overline{B}$ , which is open. Since  $x \in \overline{A}$  it follows that

$$A \cap (U - \overline{B}) \neq \emptyset$$

and since  $U - \overline{B} \subset U - B$  it follows that

$$(A-B) \cap U = A \cap (U-B) \neq \emptyset$$

and hence that  $x \in \overline{A-B}$ .

- **19.** (a) The interior of A is the complement of  $\overline{X} A$  while the boundary is contained in the latter, so the intersection is empty.
- (b) (  $\Leftarrow$  ) If A is open then X-A is closed so that  $X-A=\overline{X-A}$ , and if A closed then  $\overline{A}=A$ . Therefore

$$\operatorname{Bd}(A) = \overline{A} \cap \overline{X - A} = A \cap (X - A) = \emptyset$$
.

- $(\Longrightarrow)$  The set  $\operatorname{Bd}(A)$  is empty if and only if  $\overline{A}$  and  $\overline{X}-A$  are disjoint. Since the latter contains X-A it follows that  $\overline{A}$  and X-A are disjoint. Since their union is X this means that  $\overline{A}$  must be contained in A, which implies that A is closed. If one reverses the roles of A and X-A in the preceding two sentences, it follows that X-A is also closed; hence A is both open and closed in  $X.\blacksquare$ 
  - (c) By definition the boundary is  $\overline{U} \cap \overline{X U}$ .

- $(\Longrightarrow)$  If U is open then X-U is closed and thus equal to its own closure, and therefore the definition of the boundary for U reduces to  $\overline{U} \cap (X-U)$ , which is equal to  $\overline{U} U$ .
- ( ⇐ ) We shall show that X-U is closed. By definition  $\operatorname{Bd}(U)=\operatorname{Bd}(X-U)$ , and therefore  $\operatorname{Bd}(X-U)=\overline{U}-U\subset X-U$ . On the other hand, by part (a) we also know that  $(X-U)\cup\operatorname{Bd}(X-U)=\overline{X-U}$ . Since both summands of the left hand side are contained in X-U, it also follows that the right hand side is contained in X-U, which means that X-U is closed in X.
- (d) NO. Take  $U=(-1,0)\cup(0,1)$  as a subset of  $\mathbb{R}$ . Then the interior of the closure of U is (-1,1). However, we always have  $U\subset\operatorname{Int}(\overline{U})$  because  $U\subset\overline{U}\implies U=\operatorname{Int}(U)\subset\operatorname{Int}(\overline{U})$ .

  FOOTNOTE. Sets which have the property described in 19.(d) are called regular open sets.
- **20.** (a) We need to find the closures of A and its complement in  $\mathbb{R}^2$ . The complement of A is the set of all points whose second coordinate is nonzero. We claim it is open. But if  $(x,y) \in \mathbb{R}^2 A$  then  $y \neq 0$  and the set  $N_{|y|}((x,y)) \subset \mathbb{R}^2 A$ . Therefore A is closed. But the closure of the complement of A is all of  $\mathbb{R}^2$ ; one easy way of seeing this is that for all  $x \in \mathbb{R}$  we have  $\lim_{n\to\infty}(x,1/n)=(x,0)$ , which means that every point of A is a limit point of  $\mathbb{R}^2 A$ . Therefore the boundary of A is equal to  $A \cap \mathbb{R}^2 = A$ ; i.e., every point of A is a boundary point.
- (b) Again we need to find the closures of B and its complement. It will probably be very helpful to draw pictures of this set and the sets in the subsequent portions of this problem. The closure of B turns out to be the set of all points where  $x \geq 0$  (find sequences converging to all points in this set that are not in B!) and the complement is just the complement of B because the latter is open in  $\mathbb{R}^2$ . Therefore the boundary of B is  $\overline{B} B$ , and this consists of all points such that either x = 0 or both x > 0 and y = 0 hold.
- (c) The first two sentences in part (b) apply here also. The set C consists of all points such that x>0 or y=0 The closure of this set is the set of all points such that  $x\geq 0$  or y=0, the complement of C is the set of all points such that  $x\geq 0$  and  $y\neq 0$ , and the closure of this complement is the set of all points such that  $x\leq 0$ . The intersection of the two sets will be the set of all point such that x=0 or both x<0 and y=0 hold.
- (d) The first two sentences in part (b) apply here also. Since every real number is a limit of irrational numbers, it follows that the closure of D is all of  $\mathbb{R}^2$ . The complement of D consists of all points whose first coordinates are rational, and since every real number is a limit of a sequence of rational numbers it follows that the closure of  $\mathbb{R}^2 D$  is also  $\mathbb{R}^2$ . Therefore the boundary is  $\mathbb{R}^2$ .
- (e) The first two sentences in part (b) apply here also. In problems of this sort one expects the boundary to have some relationship to the curves defined by changing the inequalities into equations; for this example the equations are  $x^2 y^2 = 0$  and  $x^2 y^2 = 1$ . The first of these is a pair of diagonal lines through the origin that make 45 degree angles with the coordinate axes, and the second is a hyperbola going through  $(\pm 1,0)$  with asymptotes given by the lines  $x^2 y^2 = 0$ . The closure of E turns out to be the set of points where  $0 \le x^2 y^2 \le 1$ , and the closure of its complement is the set of all points where either  $x^2 y^2 \le 0$  or  $x^2 y^2 \ge 1$ . The intersection will then be the set of points where  $x^2 y^2$  is either equal to 0 or 1.

For the sake of completeness, here is a proof of the assertions about closures: Suppose that we have a sequence  $\{(x_n, y_n)\}$  in E and the sequence has a limit  $(a, b) \in \mathbb{R}^2$ . Then

$$a^2 - b^2 = \lim_{n \to \infty} x_n^2 - y_n^2$$

where for each n the  $n^{\text{th}}$  term on the right hand side lies in the open interval (0,1), and therefore the limit value on the right hand side must lie in the closed interval [0,1]. Similarly, suppose that

we have sequences in the complement of E that converge to some point (a, b). Since the sequence of numbers  $z_n = x_n^2 - y_n^2$  satisfies  $z_n \in \mathbb{R} - (0, 1)$  and the latter subset is closed, it also follows that  $a^2 - b^2 \in \mathbb{R} - (0, 1)$ . This proves that the closures of E and its complement are contained in the sets described in the preceding paragraph.

To complete the proof of the closure assertions we need to verify that every point on the hyperbola or the pair of intersecting lines is a limit point of E. Suppose that we are given a point (a,b) on the hyperbola, and consider the sequence of points

$$(x_n, y_n) = \left(a - \frac{\sigma(a)}{n}, b\right)$$

where  $\sigma(x)$  is  $\pm 1$  depending on whether a is positive or negative (we know that |a| > 1 because  $a^2 = 1 + b^2$ ). If we take  $z_n = x_n^2 - y_n^2$  as before then  $\lim_{n \to \infty} z_n = 1$  and moreover

$$z_n = \left(a - \frac{\sigma(a)}{n}\right)^2 - b^2 = 1 + \frac{1}{n^2} - \frac{2 a \sigma(a)}{n}$$

where the expression on the right hand side is positive if

$$|a| < \frac{1}{2n}.$$

To see that this expression is less than 1 it suffices to note that  $|a| = \sigma(a) \cdot a$  and

$$\frac{1}{n^2} - \frac{2|a|}{n} \le \frac{1}{n^2} - \frac{2}{n} < 0$$

for all  $n \ge 1$ . This verifies that every point on the hyperbola is a limit point of E. — Graphically, we are taking limits along horizontal lines; the reader might want to draw a picture in order to visualize the situation.

Suppose now that (a, b) lies on the pair of intersecting lines, so that  $a = \pm b$ . How do we construct a sequence in E converging to (a, b)? Once again, we take sequences that live on a fixed horizontal line, but this time we choose

$$(x_n, y_n) = \left(a + \frac{\sigma(a)}{n}, b\right)$$

and note that  $z_n = x_n^2 - y_n^2$  is equal to

$$\frac{1}{n^2} + \frac{2|a|}{n}$$

which is always positive and is also less than 1 if n > 2|a| + 1 (the latter follows because the expression in question is less than (1 + 2|a|)/n by the inequality  $n^{-2} < n^{-1}$  for all  $n \ge 2$ ). Thus every point on the pair of intersecting lines also lies in  $\mathbf{L}(E)$ , and putting everything together we have verified that the closure of E is the set we thought it was.

(f) Geometrically, this is the region underneath either the positive or negative branch of the hyperbola y = 1/x with the x-axis removed, and the branches of the hyperbola are included in the set. We claim that the closure of F is the union of F with the y-axis (i.e., the set of points where

x = 0). To see that the y-axis is contained in this set, consider a typical point (0, y) and consider the sequence

$$\left(\frac{1}{n}, y\right)$$

whose limit is (0, y); the terms of this sequence lie in the set F for all  $y \ge n$ , and therefore the y-axis lies in  $\mathbf{L}(F)$ . Therefore the closure is at least as large as the set we have described. To prove that it is no larger, we need to show that there are no limit points of F such that  $x \ne 0$  and y > 1/x. But suppose that we have an infinite sequence in F with terms of the form  $(x_n.y_n)$  and limit equal to (a,b), where  $a\ne 0$ . There are two cases depending upon whether a is positive or negative. Whichever case applies, for all sufficiently large values of n the signs of the terms  $x_n$  are equal to the sign of a, so we may as well assume that all terms of the sequence have first coordinates with the same sign as a (drop the first finitely many terms if necessary). If a > 0 it follows that  $x_n > 0$  and  $y_n \le 1/x_n$ , which imply  $x_n y_n \le 1$  Taking limits we see that  $ab \le 1$  also holds, so that  $b \le 1/a$ . On the other hand, if a < 0 then it follows that  $x_n < 0$  and  $y_n \le 1/x_n$ , which imply  $x_n y_n \ge 1$  Taking limits we see that  $ab \ge 1$  also holds, so that  $b \le 1/a$  holds in this case too.

Now we have to determine the closure of the complement of F; we claim it is the set of all points where either x=0 or  $x\neq 0$  and  $y\geq 1/x$ . By definition it contains all points where x=0 or y>1/x, so we need to show that the hyperbola belongs to the set of limit points and if we have a sequence of points of the form  $(x_n,y_n)$  in the complement of F with a limit in the plane, say (a,b), then  $b\geq 1/a$ . Proving the latter proceeds by the same sort of argument given in the preceding paragraph (it is not reproduced here, but it must be furnished in a complete proof). To see that the hyperbola belongs to the set of limit points take a typical point (a,b) such that  $a\neq 0$  and b=1/a and consider the sequence

$$(x_n, y_n) = \left(a, \frac{1}{a} + \frac{1}{n}\right)$$

whose terms all lie in the complement of F and whose limit is (a,b).

## Additional exercises

- **0**. Let U and V be the open intervals (-1,0) and (0,1) respectively. Then their closures are the closed intervals [-1,0] and [0,1] respectively, and the intersection of these two sets is  $\{0\}$ . This counterexample shows that the statement is false.
- 1. Take any set S with the discrete metric and let  $\varepsilon = 1$ . Then the set of all points whose distance from some particular  $s_0 \in S$  is  $\leq 1$  is all of S, but the open disk of radius 1 centered at  $s_0$  is just the one point subset  $\{s_0\}$ .
- 2. If X has the discrete topology then every subset is equal to its own closure (because every subset is closed), so the closure of a proper subset is always proper. Conversely, if X is the only dense subset of itself, then for every proper subset A its closure  $\overline{A}$  is also a proper subset. Let  $y \in X$  be arbitrary, and apply this to  $X \{y\}$ . Then it follows that the latter is equal to its own closure and hence  $\{y\}$  is open. Since y is arbitrary, this means that X has the discrete topology.
- **3.** Given a point  $x \in X$  and and open subset W such that  $x \in W$ , we need to show that the intersection of W and  $U \cap V$  is nonempty. Since U is dense we know that  $W \cap U \neq \emptyset$ ; let y be a point in this intersection. Since V is also dense in X we know that

$$(U \cap V) \cap W = V \cap (U \cap W) \neq \emptyset$$

and therefore  $U \cap V$  is dense. — You should be able to construct examples in the real line to show that the conclusion is not necessarily true if U and V are not open.

**4.** ( $\iff$ ) If  $A = E \cap U$  where E is closed and U is open then for each  $a \in A$  one can take U itself to be the required open neighborhood of a. ( $\implies$ ) Given  $a \in A$  let  $U_a$  be the open set containing a such that  $U_a \cap A$  is closed in  $U_a$ . This implies that  $U_a - A$  is open in  $U_a$  and hence also in X. Let  $U = \bigcup_a U_a$ . Then by construction  $A \subset U$  and

$$U - A = \bigcup_{\alpha} (U_a - A)$$

is open in X. If we take

$$E = X - \overline{U - A}$$

then E is closed in X and  $A = U \cap E$  where U is open in X and E is closed in X.

- **5.** (a) Let X be the real numbers, let D be the rational numbers and let A = X D. Then  $A \cap D = \emptyset$ , which is certainly not dense in X.
- (b) If  $x \in \overline{B}$  and U is an open set containing x, then  $U \cap B \neq \emptyset$ . Let b be a point in this intersection. Since  $b \in U$  and A is dense in B it follows that  $A \cap U \neq \emptyset$  also. But this means that A is dense in  $\overline{B}$ .
- **6.** ( $\Longrightarrow$ ) If A=E then E is closed in itself, and therefore the first condition implies that E is closed in X. ( $\Longleftrightarrow$ ) If E is any subset of X and A is closed in E then  $A=U\cap E$  where U is closed in X. But we also know that E is closed in X, and therefore  $A=U\cap E$  is also closed in X. (Does all of this sound familiar? The exercise is essentially a copy of an earlier one with with "closed" replacing "open" everywhere.)
- 7. Consider the subset A of  $\mathbb{R}$  consisting of  $(0,1) \cup \{2\}$ . The closure of its interior is [0,1].
- 8. Suppose  $x \in U \cap B \subset U \cap \overline{B}$ . Then the inclusion  $U \cap V \subset \overline{U \cap B}$  shows that  $x \in \overline{U \cap B}$ . Since  $\overline{B} = B \cup \mathbf{L}(B)$  the resulting set-theoretic identity

$$U \cap \overline{B} = (U \cap B) \cap (U \cap \mathbf{L}(B))$$

implies that we need only verify the inclusion

$$(U \cap \mathbf{L}(B)) \subset \overline{U \cap B}$$

and it will suffice to verify the stronger inclusion statement

$$(U \cap \mathbf{L}(B)) \subset \mathbf{L}(U \cap B)$$
.

Suppose that  $x \in U \cap \mathbf{L}(B)$ , and let W be an open subset containing x. Then  $W \cap U$  is also an open subset containing X, and since  $x \in \mathbf{L}(B)$  we know that

$$\left(U\cap W - \{x\}\right) \cap B \neq \emptyset.$$

But the expression on the left hand side of this display is equal to

$$(W - \{x\}) \cap U \cap B$$

and therefore the latter is nonempty, which shows that  $x \in \mathbf{L}(U \cap B)$  as required.

9. In order to define a topological space it is enough to define the family  $\mathcal{F}$  of closed subsets that satisfies the standard properties: It contains the empty set and Y, it is closed under taking arbitrary intersections, and it is closed under taking the unions of two subsets. If we are given the abstract operator  $\mathbf{CL}$  as above on the set of all subsets of Y let  $\mathcal{F}$  be the family of all subsets A such that  $\mathbf{CL}(A) = A$ . We need to show that this family satisfies the so-called standard properties mentioned in the second sentence of this paragraph.

The empty set belongs to  $\mathcal{F}$  by (C4), and Y does by (C1) and the assumption that  $\mathbf{CL}(A) \subset Y$  for all  $A \subset Y$ , which includes the case A = Y. If A and B belong to  $\mathcal{F}$  then the axioms imply

$$A \cup B = \mathbf{CL}(A) \cup \mathbf{CL}(B) = \mathbf{CL}(A \cup B)$$

(use (C3) to derive the second equality).

The only thing left to check is that  $\mathcal{F}$  is closed under arbitrary intersections. Let  $\mathcal{A}$  be a set and let  $\{A_{\alpha}\}$  be a family of subsets in  $\mathcal{F}$  indexed by all  $\alpha \in \mathcal{A}$ ; by assumption we have  $\mathbf{CL}(A_{\alpha}) = A_{\alpha}$  for all  $\alpha$ , and we need to show that

$$\mathbf{CL}\left(\bigcap_{\alpha} A_{\alpha}\right) = \bigcap_{\alpha} A_{\alpha} .$$

By (C2) we know that

$$\mathbf{CL}\left(\bigcap_{\alpha} A_{\alpha}\right) \subset \bigcap_{\alpha} \mathbf{CL}(A_{\alpha})$$

and thus we have the chain of set-theoretic inclusions

$$\bigcap_{\alpha} A_{\alpha} \subset \mathbf{CL} \left( \bigcap_{\alpha} A_{\alpha} \right) \subset \bigcap_{\alpha} \mathbf{CL} (A_{\alpha}) = \bigcap_{\alpha} A_{\alpha}$$

which shows that all sets in the chain of inclusions are equal and hence that if  $D = \cap_{\alpha} A_{\alpha}$ , then  $D = \mathbf{CL}(D)$ .

FOOTNOTE. Exercise 21 on page 102 of Munkres is a classic problem in point set topology that is closely related to the closure operator on subsets of a topological space: Namely, if one starts out with a fixed subset and applies a finite sequence of closure and (set-theoretic) complement operations, then one obtains at most 14 distinct sets, and there are examples of subsets of the real line for which this upper bound is realized. Some hints for working this exercise appear in the following web site:

#### http://www.math.ou.edu/~nbrady/teaching/f02-5853/hint21.pdf

10. (a) It suffices to show that  $\mathbf{L}(\mathbf{L}(A)) \subset \mathbf{L}(A)$ . Suppose that  $x \in \mathbf{L}(\mathbf{L}(A))$ . Then for every open set U containing x we have  $(U - \{x\}) \cap A \neq \emptyset$ , so let y belong to this nonempty intersection. Since one point subsets are closed, it follows that  $U - \{x\}$  is an open set containing y, and therefore we must have

$$(U - \{x, y\}) \cap A \neq \emptyset$$

and therefore the sets  $U - \{x\}$  and A have a nonempty intersection, so that  $x \in \mathbf{L}(A)$ .

(b) If all one point subsets of X are closed, then all finite subsets of X are closed, and hence the complements of all finite subsets of X are open. We shall need this to complete the proof.

Suppose that the conclusion is false; i.e., the set  $(U - \{b\}) \cap A$  is finite, say with exactly n elements. If F denotes this finite intersection, then by the preceding paragraph V = U - F is an open set, and since  $x \notin F$  we also have  $x \in V$ . Furthermore, we have  $(V - \{x\}) \cap A = \emptyset$ ; on the other hand, since  $b \in \mathbf{L}(A)$  we also know that this intersection is nonempty, so we have a contradiction. The contradiction arose from the assumption that  $(U - \{b\}) \cap A$  was finite, so this set must be infinite.

11. The first and second conditions respectively imply that X and the empty set both belong to **T**. Furthermore, the fourth condition implies that the intersection of two sets in **T** also belongs to **T**, so it remains to verify the condition on unions. Suppose that A is a set and  $U_{\alpha} \in \mathbf{T}$  for all  $\alpha \in A$ . Then we have

$$\bigcap_{\alpha} U_{\alpha} = \bigcap_{\alpha} \mathbf{I}(U_{\alpha}) \subset \mathbf{I}\left(\bigcap_{\alpha} U_{\alpha}\right) \subset \bigcap_{\alpha} U_{\alpha}$$

where the third property implies the right hand containment; the chain of inequalities implies that  $\cup_{\alpha}!U_{\alpha}$  belongs to **T**, and therefore it follows that the latter is a topology for X such that a set U is open if and only if  $\mathbf{I}(U) = U$ .

**12.** (a) By definition  $\operatorname{Ext}(A \cup B)$  is equal to

$$X - \overline{A \cup B} = X - (\overline{A} \cup \overline{B}) = (X - \overline{A}) \cap (X - \overline{B})$$

which again by definition is equal to  $\operatorname{Ext}(A) \cap \operatorname{Ext}(B)$ .

- (b) Since  $A \subset \overline{A}$  it follows that  $X \overline{A} \subset X A$  and hence  $\operatorname{Ext}(A) \cap A \subset (X A) \cap A = \emptyset$ .
- (c) The empty set is closed and therefore  $\operatorname{Ext}(\emptyset) = X \emptyset = X$ .
- (d) What is the right hand side? It is equal to  $X \overline{B}$  where  $B = X \overline{X} A$ . Note that B = Int(A). Therefore the right hand side may be rewritten in the form

$$X - \overline{(\operatorname{Int}(A))}$$
.

We know that  $Int(A) \subset A$  and likewise for their closures, and thus the reverse implication holds for the complements of their closures. But the last containment relation is the one to be proved.

**13.** We may write  $B = A_i \cap F_i$  where  $F_i$  is closed in X. It follows that  $B = B \cap F_2 = A_1 \cap F_1 \cap F_2$  and  $B = B \cap F_1 = A_2 \cap F_2 \cap F_1 = A_2 \cap F_2 \cap F_2$ . Therefore

$$B = B \cup B = \left( A_1 \cap F_1 \cap F_2 \right) \cup \left( A_2 \cap F_1 \cap F_2 \right) - \left( A_1 \cup A_2 \right) \cap \left( F_1 \cap F_2 \right)$$

which shows that B is closed in  $A_1 \cup A_2$ .

Note that the statement and proof remain valid if "closed" is replaced by "open."■

**14.** The first follows because  $\operatorname{Int}(A) \subset A$ , closure preserves set-theoretic inclusion, and  $A = \overline{A}$ . To prove the second statement, begin by noting that the first set is contained in the second because  $B \subset A$ . The reverse inclusion follows because  $B = \overline{\operatorname{Int}(A)} \supset \operatorname{Int}(A)$  implies

$$\operatorname{Int}(B) \supset \operatorname{Int}(\operatorname{Int}(A)) = \operatorname{Int}(A)$$
 .

**15.** (a) The set  $\operatorname{Int}_X(A)$  is an open subset of X and is contained in A, so it is also an open subset of Y that is contained in A. Since  $\operatorname{Int}_Y(A)$  is the maximal such subset, it follows that  $\operatorname{Int}_X(A) \subset \operatorname{Int}_Y(A)$ .

(b) It will be convenient to let  $\mathrm{CL}_U(B)$  denote the closure of B in U in order to write things out unambiguously.

By definition  $\operatorname{Bd}_Y(A)$  is equal to  $\operatorname{CL}_Y(A) \cap \operatorname{CL}_Y(Y - A)$ , and using the formula  $\operatorname{CL}_Y(B) = \operatorname{CL}_X(B) \cap Y$  we may rewrite  $\operatorname{Bd}_Y(A)$  as the subset  $\operatorname{CL}_X(A) \cap \operatorname{CL}_X(Y - A) \cap Y$ . Since  $Y - A \subset X - A$  we have  $\operatorname{CL}_X(Y - A) \subset \operatorname{CL}_X(X - A)$ , and this yields the relation

$$\operatorname{Bd}_Y(A) = \operatorname{CL}_X(A) \cap \operatorname{CL}_X(Y - A) \cap Y \subset \operatorname{CL}_X(A) \cap \operatorname{CL}_X(X - A) = \operatorname{Bd}_X(Y)$$

that was to be established.

(c) One obvious class of examples for (a) is given by taking A to be a nonempty subset that is not open and to let Y = A. Then the interior of A in X must be a proper subset of A but the interior of A in itself is simply A.

Once again, the best way to find examples where BOTH inclusions are proper is to try drawing a few pictures with pencil and paper. Such drawings lead to many examples, and one of the simplest arises by taking  $A = [0,1] \times \{0\}$ ,  $Y = \mathbb{R} \times \{0\}$  and  $X = \mathbb{R}^2$ . For this example the interior inclusion becomes  $\emptyset \subset (0,1) \times \{1\}$  and the boundary inclusion becomes  $\{0,1\} \times \{0\} \subset [0,1] \times \{0\}$ . The details of verifying these are left to the reader.

- **16.** (i) Since A = X (X A), by definition the boundary of X A is  $\overline{X A} \cap \overline{X (X A)} = \overline{X A} \cap \overline{A}$ , which is the definition of Bdy (A).
- (ii) Use the hint, applying it to A and X A. A point x lies in the intersection  $\overline{A} \cap \overline{X A}$  if and only if every open neighborhood U of X contains at least one point in A and at least one point in X A, and this is the condition which appears in the statement of part (ii).
- (iii) The statement in this part of the exercise will follow if we know that  $\operatorname{Int}(A) = X \overline{X} \overline{A}$ , so we shall prove that identity instead. Since  $X A \subset \overline{X A}$ , it follows that  $X \overline{X} \overline{A}$  is an open set contained in A = X (X A). Furthermore, if U is an open set such that  $U \subset A$ , then X U is a closed subset containing X A and hence  $X U \subset \overline{X A}$ . Taking complements, we find that U must be contained in  $X \overline{X} \overline{A}$ . Therefore the latter is the unique maximal open subset contained in  $A.\blacksquare$
- (iv) If A is closed in X, then  $A = \overline{A}$  contains  $\operatorname{Bdy}(A) = \overline{A} \cap \overline{X} A$ . Conversely, if  $\operatorname{Bdy}(A) \subset A$  we shall show that the set of limit points  $\mathbf{L}(A)$  is contained in A. Suppose that  $x \in \mathbf{L}(A)$  but  $x \notin \operatorname{Bdy}(A)$ . The second condition implies that some open neighborhood  $U_0$  of x does not contain any points of X A, and it follows that  $U_0$  must be contained in A. In particular, this means that  $x \in A$ . Therefore a limit point of A either lies in  $\operatorname{Bdy}(A)$  or it lies in A, and therefore our assumptions imply that  $\mathbf{L}(A) \subset A \cup \operatorname{Bdy}(A) \subset A$ , which implies that A is closed in X.
- 17. Since the intersection on the right hand side is an open subset of  $\cap_i A_i$ , it follows that the intersection of the interiors is contained in the interior of the intersection. To prove the converse, use the identity

$$Int(A) = X - \overline{X - A}$$

established in part (iii) of the preceding exercise. We then have

Int 
$$(\cap_i A_i) = X - \overline{(X - \cap_i A_i)} = X - \overline{\cup_i X - A_i} = X - \overline{\cup_i X - A_i}$$

and by the identity from (iii) this is just  $\cap_i$  Int  $(A_i)$ .

**18.** Suppose that  $x \in \text{Bdy}(C \cap Y, Y)$ . Then if V is an open neighborhood of x in Y, we know that V contains at least one point of  $C \cap Y$  and at least one point of  $Y - (C \cap Y)$ . Let U be an open neighborhood of x in X, and let  $V = U \cap Y$ . By the first sentence we know that there is at least one point of  $C \cap Y \subset C$  in  $V \subset U$  and at least one point of  $Y - (C \cap Y) \subset X - C$  in  $V \subset U$ .

It is not difficult to find examples with proper containment when  $X = \mathbb{R}$ . For example, if we let  $Y = \mathbb{R} - \{0\}$  and C is the open interval (0,1) then the boundary of  $C = C \cap Y$  in Y is  $\{1\}$  but the boundary in  $\mathbb{R}$  is  $\{0,1\}$ .

#### II.3: Continuous functions

Problems from Munkres,  $\S$  18, pp. 111 – 112

- **2.** If  $f: X \to Y$  is continuous with  $A \subset X$ , and x is a limit point of A, then f(x) is NOT NECESSARILY a limit point of f[A]. For example, if f is constant then f[A] has no limit points.
- **5.** It suffices to take the standard linear map sending 0 to a and 1 to b; namely, f(t) = a + t(b a). The inverse map is given by the formula g(u) = (u a)/(b a).
- **6.** Let f(x) = x if x is rational and 0 if x is irrational. Then f is continuous at 0 because  $|x| < \varepsilon \implies |f(x)| < \varepsilon$ . We claim that f is not continuous anywhere else. What does it mean in terms of  $\delta$  and  $\varepsilon$  for f to be discontinuous at x? For some  $\varepsilon > 0$  there is no  $\delta > 0$  such that  $|t-x| < \delta \implies |f(t)-f(x)| < \varepsilon$ . Another way of putting this is that for some  $\varepsilon$  and all  $\delta > 0$  sufficiently small, one can find a point t such that  $|t-x| < \delta$  and  $|f(t)-f(x)| \ge \varepsilon$ .

There are two cases depending upon whether  $x \neq 0$  is rational or irrational.

The rational case. Let  $\varepsilon = |x|/2$  and suppose that  $\delta < |x|$ . Then there is an irrational number y such that  $|y-x| < \delta$ , and  $|f(y)-f(x)| = |x| > \varepsilon$ . Therefore f is not continuous at x.

The irrational case. The argument is nearly the same. Let  $\varepsilon = |x|/2$  and suppose that  $\delta < |x|/4$ . Then there is a rational number y such that  $|y-x| < \delta$ , and  $|f(y)-f(x)| = |f(y)| > 3|x|/4 > \varepsilon$ . Therefore f is not continuous at x.

- 8. [Only for the special case  $X = \mathbb{R}$  where the order topology equals the standard topology.]
  (a) See the first proof of Additional Exercise 1 below.
- 9. (c) The idea is to find an open covering by sets  $U_{\beta}$  such that each restriction  $f|U_{\beta}$  is continuous; the continuity of f will follow immediately from this. Given  $x \in X$ , let  $U_x$  be an open subset containing x that is disjoint from all but finitely many closed subsets in the given family. Let  $\alpha(1)$ ,  $\cdots$   $\alpha(k)$  be the indices such that  $U_x \cap A_{\alpha} = \emptyset$  unless  $\alpha = \alpha(j)$  for some j. Then the subsets  $A_{\alpha(j)} \cap U_x$  form a finite closed covering of the latter, and our assumptions imply that the restriction of f to each of these subsets is continuous. But this implies that the restriction of f to the open subset  $U_a$  is also continuous, which is exactly what we wanted to prove.

#### Additional exercises

1. The easiest examples are those for which the image of  $\mathbb R$  is neither open nor closed. One example of this sort is

$$f(x) = \frac{x^2}{x^2 + 1}$$

whose image is the half-open interval (0,1].

**2.** FIRST SOLUTION. First of all, if  $f: X \to \mathbb{R}$  is continuous then so is |f| because the latter is the composite of a continuous function (absolute value) with the original continuous function and thus is continuous.

We claim that the set of points where  $f \geq g$  is closed in X and likewise for the set where  $g \geq f$  (reverse the roles of f and g to get this conclusion). But  $f \geq g \iff f - g \geq 0$ , and the latter set is closed because it is the inverse image of the closed subset  $[0, \infty)$  under the continuous mapping f - g.

Let A and B be the closed subsets where  $f \geq g$  and  $g \geq f$  respectively. Then the maximum of f and g is defined by f on A and g on B, and since this maximum function is continuous on the subsets in a finite closed covering of X, it follows that the global function (the maximum) is continuous on all of X. Similar considerations work for the minimum of f and g, the main difference being that the latter is equal to g on A and f on B.

SECOND SOLUTION. First of all, if  $f: X \to \mathbb{R}$  is continuous then so is |f| because the latter is the composite of a continuous function (absolute value) with the original continuous function and thus is continuous. One then has the following formulas for  $\max(f,g)$  and  $\min(f,g)$  that immediately imply continuity:

$$\max(f,g) = \frac{f+g}{2} + \frac{|f-g|}{2}$$

$$\min(f,g) = \frac{f+g}{2} - \frac{|f-g|}{2}$$

Verification of these formulas is a routine exercises that is left to the reader to fill in; for each formula there are two cases depending upon whether  $f(x) \leq g(x)$  or vice versa.

**3.** (a) Suppose that f is open. Then  $\operatorname{Int}(A) \subset A$  implies that  $f[\operatorname{Int}(A)]$  is an open set contained in f[A]; since  $\operatorname{Int}(f[A])$  is the largest such set it follows that  $f[\operatorname{Int}(A)] \subset \operatorname{Int}(f[A])$ .

Conversely, if the latter holds for all A, then it holds for all open subsets U and reduces to  $f[U] \subset \operatorname{Int}(f[U])$ . Since the other inclusion also holds (every set contains its interior), it follows that the two sets are equal and hence that f[U] is open in Y.

Suppose now that f is closed. Then  $A \subset \overline{A}$  implies that  $f[A] \subset f[\overline{A}]$ , so that the latter is a closed subset containing f[A]. Since  $\overline{f[A]}$  is the smallest such set, it follows that  $\overline{f[A]} \subset f[\overline{A}]$ .

Conversely, if the latter holds for all A, then it holds for all closed subsets F and reduces to  $\overline{f[F]} \subset f[F]$ . Once again the other inclusion also holds (each set is contained in its closure), and therefore the two sets are equal and f[F] is closed in Y.

(b) To see the statement about continuous and closed maps, note that if f is continuous then for all  $A \subset X$  we have  $f[\overline{A}] \subset \overline{f[A]}$  (this is the third characterization of continuity in the course notes), while if f is closed then we have the reverse inclusion. This proves the  $(\Longrightarrow)$  direction. To prove the reverse implication, split the set-theoretic equality into the two containment relations given in the first sentence of this paragraph. One of the containment relations implies that f is continuous and the other implies that f is closed.

To see the statement about continuous and open maps, note that f is continuous if and only if for all  $B \subset Y$  we have  $f^{-1}[\operatorname{Int}(B)] \subset \operatorname{Int}(f^{-1}[B])$  (this is the sixth characterization of continuity in the course notes). Therefore it will suffice to show that f is open if and only if the reverse inclusion holds for all  $B \subset Y$ . Suppose that f is open and  $B \subset Y$ . Then by our characterization of open mappings we have

$$f\left(\operatorname{Int}\left(f^{-1}[B]\right)\right)\subset\operatorname{Int}f\left[f^{-1}[B]\right]\subset\operatorname{Int}(B)$$

and similarly if we take inverse images under f; but the containment of inverse images extends to a longer chain of containments:

$$\operatorname{Int}\left(f^{-1}[B]\right) \subset f^{-1}\left[f\left[\operatorname{Int}\left(f^{-1}[B]\right)\right]\right] \subset \operatorname{Int}\left(f^{-1}[B]\right) \subset$$
$$f^{-1}\left[f\left[\operatorname{Int}\left(f^{-1}[B]\right)\right]\right] \subset f^{-1}\left[\operatorname{Int}(B)\right]$$

This proves the  $(\Longrightarrow)$  implication. What about the other direction? If we set B=f[A] the hypothesis becomes

$$\operatorname{Int}\left(f^{-1}[f[A]]\right) \subset f^{-1}[\operatorname{Int}(f[A])]$$

and if we take images over f the containment relation is preserved and extends to yield

$$f\left[\operatorname{Int}\left(f^{-1}[f[A]]\right)\right] \ \subset \ f\left[f^{-1}\left[\operatorname{Int}(f[A])\right]\right] \ \subset \ \operatorname{Int}(f[A]) \ .$$

Since  $A \subset f^{-1}[f[A]]$  the left hand side of the previous inclusion chain contains f[Int(A)], and if one combines this with the inclusion chain the condition  $f[Int(A)] \subset Int(f[A])$ , which characterizes open mappings, is an immediate consequence.

**4.** Suppose that  $f: X \to Y$  and  $g: Y \to Z$  are both light mappings. For each  $z \in Z$  let

$$E_z \ = \ \left(g^{\,\circ}f\right)^{-1}\,\left[\,\{z\}\,\right] \ = \ f^{-1}\,\left[\,g^{-1}[\{z\}]\,\right] \ .$$

Likewise, let  $F_z = g^{-1}[\{z\}]$ . We need to prove that  $E_z$  is discrete in the subspace topology; our hypotheses guarantee that  $F_z$  is discrete in the subspace topology. Likewise, if we let  $H_y = f^{-1}[\{y\}]$ , then  $H_y$  is also discrete in the subspace topology.

Let  $x \in E_z$ , and let y = f(x), so that  $y \in F_z$ . Since  $F_z$  is discrete in the subspace topology, the subset  $\{y\}$  is both open and closed, and hence one can find an open set  $V_y \subset Y$  and a closed set  $A_y \subset Y$  such that  $V_y \cap F_z = A_y \cap F_z = \{y\}$ . If we take inverse images under f and apply standard set-theoretic identities for such subsets, we see that

$$f^{-1} \, [V_y] \ \cap \ E_z \quad = \quad f^{-1} \, [A_y] \ \cap \ E_z \quad = \quad H_y$$

and by the continuity of f we know that  $f^{-1}[V_y] \cap E_z$  and  $f^{-1}[A_y] \cap E_z$  are respectively open and closed in  $E_z$ . Since each of these intersections is  $H_y$ , it follows that the set  $H_y$  is open and closed in  $E_z$ . As noted at the end of the previous paragraph we also know that  $\{x\}$  is open and closed in  $H_y$ . Now if  $C \subset B \subset D$  such that C is open (resp., closed) in  $E_z$  and  $E_z$  and  $E_z$  is open (resp., closed) in  $E_z$  is open that  $E_z$  is both open and closed in the subspace topology. Therefore we have shown that  $E_z$  must be discrete in the subspace topology.

POSTSCRIPT. If X is a topological space such that one-point subsets are always closed (for example, if X comes from a metric space), then of course  $F_z$  and  $E_z$  are discrete closed subsets and have no limit points.

5. Consider the line defined by the parametric equations x(t) = at, y(t) = bt where a and b are not both zero; the latter is equivalent to saying that  $a^2 + b^2 > 0$ . The value of the function f(at, bt) for  $t \neq 0$  is given by the following formula:

$$f(at,bt) = \frac{a^2t^2 - b^2t^2}{a^2t^2 + b^2t^2} = \frac{a^2 - b^2}{a^2 + b^2}$$

If a=0 or b=0 then this expression reduces to 1, while if a=b=1 this expression is equal to 0. Therefore we know that for every  $\delta>0$  and  $t<\delta/4$  the points (t,0) and (t,t) lie in the open disk of radius  $\delta$  about the origin and the values of the function at these points are given by f(t,0)=1 and f(t,t)=0. If the function were continuous at the origin and its value was equal to L, then we would have that  $|L|, |L-1| < \varepsilon$  for all  $\varepsilon>0$ . No such number exists, and therefore the function cannot be made continuous at the origin.

**6.** (a) Direct computation shows that f(t, at) is equal to

$$\frac{2 a t^3}{t^4 + a^2 t^2} = \frac{2 a t}{t^2 + a^2}$$

and the limit of this expression as  $t \to 0$  is zero **provided**  $a \neq 0$ . Strictly speaking, this is not enough to get the final conclusion, for one also has to analyze the behavior of the function on the x-axis and y-axis. But for the nonzero points of the x-axis one has f(t,0) = 0 and for the nonzero points of the y-axis one has f(0,t) = 0.

(b) Once again, we can write out the composite function explicitly:

$$f(t, t^2) = \frac{2t^4}{t^4 + t^4} = 1$$
 (provided  $t \neq 0$ )

The limit of this function as  $t \to 0$  is clearly 1.

One could give another  $\varepsilon - \delta$  proof to show the function is not continuous as in the preceding exercise, but here is another approach by contradiction: Suppose that f is continuous at the origin. Since  $\varphi$  and  $\psi$  are continuous functions, it follows that the composites  $f \circ \varphi$  and  $f \circ \psi$  are continuous at t = 0, and that their values at zero are equal to f(0,0). What can we say about the latter? Using  $f \circ \varphi$  we compute it out to be zero, but using  $f \circ \psi$  it computes out to +1. This is a contradiction, and it arises from our assumption that f was continuous at the origin.

- 7. (i) If  $\varepsilon > 0$ , then  $\mathbf{d}_X(u,v) < \varepsilon/r$  implies that  $\mathbf{d}_Y(f(u),f(v)) = r \cdot \mathbf{d}_X(u,v) < r \cdot (\varepsilon/r) = \varepsilon$ .
- (ii) If  $u = f^{-1}(a)$  and  $v = f^{-1}(b)$ , then we have  $r \cdot \mathbf{d}_X(u, v) = \mathbf{d}_Y(a, b)$  because f(u) = a and f(v) = b. Dividing by r, we see that  $\mathbf{d}_X(f^{-1}(a), f^{-1}(b)) = \mathbf{d}_X(u, v) = r^{-1} \mathbf{d}_Y(a, b)$ , which means that  $f^{-1}$  is a similarity transformation with ratio of similitude  $r^{-1}$ .
  - (iii) We have

$$\mathbf{d}_{Z}\left(g \circ f(u), g \circ f(v)\right) = s \cdot \mathbf{d}_{Y}\left(f(u), f(v)\right) = s \cdot r \cdot \mathbf{d}_{X}(u, v)$$

so that  $g \circ f$  is a similarity transformation with ration of similarity transformation with ration of similarity transformation sr.

8. Since f is 1–1 and f[A] = B, it follows that f maps X - A into Y - B, and general considerations about subspaces imply that the induced map  $g: X - A \to Y - B$  is continuous and 1–1 onto. We need to show that g is also an open map.

Let U be an open subset of X-A, and write  $U=V\cap (X-A)$  where U is open in X. Since f is 1–1 we then have

$$g[U] \ = \ f[U] \ = \ f[V \cap (X-A)] \ = \ f[V] \cap (Y-B) \ .$$

Since f is a homeomorphism, f[V] is open in Y and therefore the right hand side of the display is an open subset of Y - B. Thus g is open, and as noted above this shows that g is a homeomorphism.

- **9.** (i)  $(\Longrightarrow)$  If  $f:(X,\mathbf{T})\to(\mathbb{R},\mathbf{U})$  is continuous, then for each  $b\in\mathbb{R}$  the inverse image  $W_b$  of  $(b,\infty)$  is open in X. If we apply this to  $(f(x)-\varepsilon,\infty)$  then for every  $x\in X$  this inverse image is an open neighborhood of x on which  $f(t)>f(x)-\varepsilon$ .  $(\Longleftrightarrow)$  Let  $b\in\mathbb{R}$ , and define  $W_b$  as in (i); we want to show  $W_b$  is open. By the hypothesis, if  $x\in W_b$  and  $\varepsilon=f(x)-b>0$  then there is some open neighborhood  $U_x$  of x such that  $f(t)>f(x)-\varepsilon=b$  on  $U_x$ . Thus for each  $x\in W_b$  we have constructed an open neighborhood of x which is contained in U. But if this condition holds, then we know that  $W_b$  is an open set.
- (ii) We shall prove that the inverse image  $W_c$  of  $(c\infty)$  is open for all  $c \in \mathbb{R}$ . There are three cases. (1) If  $c \geq 1$  then  $W_c = \emptyset$  and hence  $W_b$  is open. (2) If  $1 > c \geq 0$  then  $W_c$  is equal to the open interval (a, b). (3) If  $0 \geq c$  then  $W_c = \mathbb{R}$ .
- (iii) Let  $x \in \mathbb{R}$  and let  $\varepsilon > 0$ . Since f(x) is the least upper bound for the image of (-infty, x), we can find some  $y_{\varepsilon} < x$  such that  $f(y_{\varepsilon}) > f(x) \varepsilon$ , and if t lies in the open set  $U_{x,varepsilon} (y_{\varepsilon}, \infty)$ , then  $f(t) > f(x) \varepsilon$  because f is monotonically increasing. By (i), this means that f is lower semicontinuous. In fact, we have shown that  $f: (\mathbb{R}, \mathbf{U}) \to (\mathbb{R}, \mathbf{U})$  is continuous (a stronger conclusion since  $\mathbf{M}$  is strictly larger than  $\mathbf{U}$ ).
- (iv) If a=0 then we can factor the map in the form  $(\mathbb{R},\mathbf{U})\to(\{b\},\mathbf{U}^*)\to(\mathbb{R},\mathbf{U})$  where  $\mathbf{U}^*$  is the only possible topology on a one point set; both factors of this composite are continuous, and therefore f is continuous. In the more interesting case where a>0, let  $W_c$  be the inverse image of  $(c,\infty)$  as in (i) and (ii). Then  $x\in W_c$   $\Leftrightarrow ax+b>c$ , and the condition on the right can be rewritten in the form

$$x > \frac{c-b}{a}$$
.

This shows that  $W_c$  is U- open and hence that f is continuous.

(v) If  $W_c$  is defined as in (iv), then  $W_c = (-\infty, -c)$ , which is not **U**- open. Therefore f is not continuous.

#### II.4: Cartesian products

Problems from Munkres,  $\S$  18, pp. 111 – 112

**4.** It will suffice to prove the first conclusion because the second is obtained by interchanging the roles of X and Y and replacing  $y_0$  by  $x_0$ .

The map  $f: X \to X \times \{y_0\}$  sending x to  $(x,y_0)$  is continuous because its projection onto the x-coordinate is the identity on X and its projection onto the y-coordinate is a constant mapping. If g is the restriction of the coordinate projection  $X \times Y \to X$  to  $X \times \{y_0\}$ , then g is a composite of continuous mappings and hence is continuous. Since  $g \circ f$  and  $f \circ g$  are identity mappings, it follows that g is a continuous inverse to f.

- **10.** This is worked out and generalized in the course notes.■
- **11.** Consider the maps  $A(y_0): X \to X \times Y$  and  $B(x_0): Y \to X \times Y$  defined by  $[A(y_0)](x) = (x, y_0)$  and  $[B(x_0)](y) = (x_0, y)$ . Each of these maps is continuous because its projection onto one factor is an identity map and its projection onto the other is a constant map. The maps h and k are composites  $F \circ A(y_0)$  and  $f \circ B(x_0)$  respectively; since all the factors are continuous, it follows that h and k are continuous.

FOOTNOTE. The next problem in Munkres gives the standard example of a function from  $\mathbb{R}^2 \to \mathbb{R}$  that is continuous in each variable separately but not continuous at the origin. See also the solution to Additional Exercise 5 below.

Problem from Munkres,  $\S 20$ , pp. 126-129

**3.** (b)

Let U be the metric topology, and let V be a topology for which

$$d:(X,\mathbf{V})\times(X,\mathbf{V})\longrightarrow\mathbb{R}$$

is continuous. We need to show that **V** contains every subset of the form  $N_{\varepsilon}(x_0)$  where  $\varepsilon > 0$  and  $x_0 \in X$ . The solution to this exercise will use the solution to Munkres, Exercise 18.4.

Consider the composite

$$(X, \mathbf{V}) \cong (X, \mathbf{V}) \times \{x_0\} \subset (X, \mathbf{V}) \times (X, \mathbf{V}) \longrightarrow \mathbb{R}$$
.

This map is continuous, and therefore the inverse image of  $(0, \varepsilon)$  is open. One can check directly that this inverse image is just  $N_{\varepsilon}(x_0)$ , and hence by the reasoning of the first paragraph we know that **V** contains **U**.

*Note.* The containment may be proper. For example, if **U** is the usual metric topology in  $\mathbb{R}$  then we can take **V** to be the discrete topology.

#### Additional exercises

1. The basic idea is to give axioms characterizing cartesian products and to show that they apply in this situation.

**LEMMA.** Let  $\{A_{\alpha} \mid \alpha \in A\}$  be a family of nonempty sets, and suppose that we are given data consisting of a set P and functions  $h_{\alpha}: P \to A_{\alpha}$  such that for **EVERY** collection of data  $(S, \{f_{\alpha}: S \to A_{\alpha}\})$  there is a unique function  $f: S \to P$  such that  $h_{\alpha} \circ f = f_{\alpha}$  for all  $\alpha$ . Then there is a unique 1-1 correspondence  $\Phi: \prod_{\alpha} A_{\alpha} \to P$  such that  $h_{\alpha} \circ \Phi$  is the projection from  $\prod_{\alpha} X_{\alpha}$  onto  $A_{\alpha}$  for all  $\alpha$ .

If we suppose in addition that each  $A_{\alpha}$  is a topological space, that P is a topological space, that the functions  $h_{\alpha}$  are continuous and the unique map f is always continuous, then  $\Phi$  is a homeomorphism to  $\prod_{\alpha} A_{\alpha}$  with the product topology.

Sketch of the proof of the Lemma. The existence of  $\Phi$  follows directly from the hypothesis. On the other hand, the data consisting of  $\prod_{\alpha} A_{\alpha}$  and the coordinate projections  $\pi_{\alpha}$  also satisfies the given properties. Therefore we have a unique map  $\Psi$  going the other way. By the basic conditions the two respective composites  $\Phi \circ \Psi$  and  $\Psi \circ \Phi$  are completely specified by the maps  $\pi_{\alpha} \circ \Phi \circ \Psi$  and  $h_{\alpha} \circ \Phi \circ \Psi$ . Since  $\pi_{\alpha} \circ \Phi = h_{\alpha}$  and  $h_{\alpha} \circ \Phi = \pi_{\alpha}$  hold by construction, it follows that  $\pi_{\alpha} \circ \Phi \circ \Psi = \pi_{\alpha} lpha$  and  $h_{\alpha} \circ \Phi \circ \Psi = h_{\alpha}$  and by the uniqueness property it follows that both of the composites  $\Phi \circ \Psi$  and  $\Psi \circ \Phi$  are identity maps. Thus  $\Phi$  is a 1–1 correspondence.

Suppose now that everything is topologized. What more needs to be said? In the first place, The product set with the product topology has the unique mapping property for continuous maps. This means that both  $\Phi$  and  $\Psi$  are continuous and hence that  $\Phi$  is a homeomorphism.

**Application to the exercise.** We shall work simultaneously with sets and topological spaces, and morphisms between such objects will mean set-theoretic functions or continuous functions in the respective cases.

For each  $\beta$  let  $_{\beta}$  denote the product of objects whose index belongs to  $\mathcal{A}_{\beta}$  and denote its coordinate projections by  $p_{\alpha}$ . The conclusions amount to saying that there is a canonical morphism from  $\prod_{\beta} P_{\beta}$  to  $\prod_{\alpha} A_{\alpha}$  that has an inverse morphism. Suppose that we are given morphisms  $f_{\alpha}$  from the same set S to the various sets  $A_{\alpha}$ . If we gather together all the morphisms for indices  $\alpha$  lying in a fixed subset  $\mathcal{A}_{\beta}$ , then we obtain a unique map  $g_{\beta}: S \to P_{\beta}$  such that  $p_{\alpha} \circ g_{\beta} = f_{\alpha}$  for all  $\alpha in \mathcal{A}_{\beta}$ . Let  $q_{\beta}: \prod_{\gamma} P_{\gamma} \to P_{\beta}$  be the coordinate projection. Taking the maps  $g_{\beta}$  that have been constructed, one obtains a unique map  $F: S \to \prod_{\beta} P_{\beta}$  such that  $q_{\beta} \circ F = g_{\beta}$  for all  $\beta$ . By construction we have that  $p_{\alpha} \circ q_{\beta} \circ F = f_{\alpha}$  for all  $\alpha$ . If there is a unique map with this property, then  $\prod_{\beta} P_{\beta}$  will be isomorphic to  $\prod_{\alpha} A_{\alpha}$  by the lemma. But suppose that  $\theta$  is any map with this property. Once again fix  $\beta$ . Then  $p_{\alpha} \circ q_{\beta} \circ F = p_{\alpha} \circ q_{\beta} \circ \theta = f_{\alpha}$  for all  $\alpha \in \mathcal{A}_{\beta}$  implies that  $q_{\beta} \circ F = q_{\beta} \circ \theta$ , and since the latter holds for all  $\beta$  it follows that  $F = \theta$  as required.

2. (a) First part: X is irreducible if and only if every pair of nonempty open subsets has a nonempty intersection. We shall show that the negations of the two statements in the second sentence are equivalent; i.e., The space X is reducible (not irreducible) if and only if some pair of nonempty open subsets has a nonempty intersection. This follows because X is reducible  $\iff$  we can write  $X = A \cup B$  where A and B are nonempty proper closed subsets  $\iff$  we can find nonempty proper open subsets A and A such that A on A on A or A and A are nonempty proper open subsets A and A such that A on A or A or A and A or A and A or A or A and A or A and A such that A or A or A and A or A or A or A and A or A or

Second part: An open subset of an irreducible space is irreducible. The empty set is irreducible (it has no nonempty closed subsets), so suppose that W is a nonempty open subset of X where X is irreducible. But if U and V are nonempty open subspaces of W, then they are also nonempty open subspaces of X, which we know is irreducible. Therefore by the preceding paragraph we have  $U \cap V \neq \emptyset$ , which in turn implies that W is irreducible (again applying the preceding paragraph).

- (b) For the first part, it suffices to note that a space with an indiscrete topology has no nonempty proper closed subspaces. For the second part, note that if X is an infinite set with the finite complement topology, then the closed proper subsets are precisely the finite subsets of X, and the union of two such subsets is always finite and this is always a proper subset of X. Therefore X cannot be written as the union of two closed proper subsets.
- (c) If X is a Hausdorff space and  $u, v \in X$  then one can find open subsets U and V such that  $u \in U$  and  $v \in V$  (hence both are nonempty) such that  $U \cap V = \emptyset$ . Therefore X is not irreducible because it does not satisfy the characterization of such spaces in the first part of (a) above.
- **3**. Let  $\gamma: X \to \Gamma_f$  be the set-theoretic map sending x to f(x). We need to prove that f is continuous  $\iff \gamma$  is a homeomorphism. Let  $j: \Gamma_f \to X \times Y$  be the inclusion map.
- ( $\Longrightarrow$ ) If f is continuous then  $\gamma$  is continuous. By construction it is 1–1 onto, and a continuous inverse is given explicitly by the composite  $\pi_X \circ j$  where  $\pi_X$  denotes projection onto X.■
- ( $\Leftarrow$ ) If  $\gamma$  is a homeomorphism then f is continuous because it may be written as a composite  $\pi_Y \circ j \circ \gamma$  where each factor is already known to be continuous.
- **4.** Since A and B are closed in X we know that  $A \times A$  and  $B \times B$  are closed in  $X \times X$ . Since A and B are Hausdorff we know that the diagonals  $\Delta_A$  and  $\Delta_B$  are closed in  $A \times A$  and  $B \times B$  respectively. Since "a closed subset of a closed subset is a closed subset" it follows that  $\Delta_A$  and  $\Delta_B$  are closed in  $X \times X$ . Finally  $X = A \cup B$  implies that  $\Delta_X = \Delta_A \cup \Delta_B$ , and since each summand on the right hand side is closed in  $X \times X$  it follows that the left hand side is too. But this means that X is Hausdorff.

EXAMPLE. Does the same conclusion hold if A and B are open? NO. Consider the topology on  $\{1, 2, 3\}$  whose open subsets are the empty set and all subsets containing 2. Then both  $\{1, 3\}$  and  $\{2\}$  are Hausdorff with respect to the respective subspace topologies, but there union — which is

X — is not Hausdorff because all open sets contain 2 and thus one cannot find nonempty open subsets that are disjoint.

5. For each  $\alpha$  let  $g_{\alpha} = f_{\alpha}^{-1}$ . Then we have

$$\prod_{\alpha} f_{\alpha} \circ \prod_{\alpha} g_{\alpha} = \prod_{\alpha} (f_{\alpha} \circ g_{\alpha}) = \prod_{\alpha} \operatorname{id}(Y_{\alpha}) = \operatorname{id}(\prod_{\alpha} Y_{\alpha})$$

and we also have

$$\prod_{\alpha} g_{\alpha} \circ \prod_{\alpha} f_{\alpha} = \prod_{\alpha} (g_{\alpha} \circ f_{\alpha}) = \prod_{\alpha} \operatorname{id}(X_{\alpha}) = \operatorname{id}(\prod_{\alpha} X_{\alpha})$$

so that the product of the inverses  $\prod_{\alpha} g_{\alpha}$  is an inverse to  $\prod_{\alpha} f_{\alpha}$ .

**6.** Let  $\pi_i$  be projection onto the  $i^{\text{th}}$  factor for i=1, 23. The map T is continuous if and only if each  $\pi_i \circ T$  is continuous. But by construction we have  $\pi_1 \circ T = \pi_3$ ,  $\pi_2 \circ T = \pi_1$ , and  $\pi_3 \circ T = \pi_2$ , and hence T is continuous.

We can solve directly for  $T^{-1}$  to obtain the formula  $T^{-1}(u,v,w)=(v,w,u)$ . We can prove continuity by looking at the projections on the factors as before, but we can also do this by checking that  $T^{-1}=T^2$  and thus is continuous as the composite of continuous functions.

- 7. (a) This is a special case of the estimates relating the  $\mathbf{d}_1$ ,  $\mathbf{d}_2$  and  $\mathbf{d}_{\infty}$  metrics.
- (b) The set of points such that  $|x|_{\alpha} < 1$  is open, and if  $|x|_{\alpha} = 1$  is open and U is an open neighborhood of x, then U contains the points  $(1 \pm t) \cdot x$  for all sufficiently small values of |t|.
- (c) Define  $h(x) = |x|_{\alpha} \cdot |x|_{\beta}^{-1} \cdot x$  if  $X \neq 0$  and h(0) = 0. By (a), the mapping h is continuous with respect to the  $\alpha$ -norm if and only if if is continuous with respect to the  $\beta$ -norm, and in fact each norm is continuous with respect to the other. It follows immediately that h is continuous if  $x \neq 0$ . To see continuity at 0, it suffices to check that if  $\varepsilon > 0$  then there is some  $\delta > 0$  such that  $|x|_{\alpha} < \delta$  implies  $|h(x)|_{\alpha} = |x|_{\beta} < \varepsilon$ . Since  $|x|_{\beta} \leq |x|_{\alpha}/m$ , we can take  $\delta = m \cdot \varepsilon$ .

To see that h is a homeomorphism, let k be the map constructed by interchanging the roles of  $\alpha$  and  $\beta$  in the preceding discussion. By construction k is an inverse function to h, and the preceding argument together with the inequality  $|x|_{\alpha} \leq M \cdot |x|_{\beta}$  imply that k is continuous.

- (d) In the preceding discussion we have constructed a homeomorphism which takes the set of all points satisfying  $|x|_{\beta} \leq 1$  to the set defined by  $|x|_{\alpha} \leq 1$ , and likewise if the inequality is replaced by equality. If  $\beta = \infty$  then the domain is the hypercube and the set of points with  $|x|_{\beta} = 1$  is its frontier, and if  $\alpha = 2$  then the codomain is the ordinary unit disk and the set of points where  $|x|_{\alpha} = 1$  is the unit sphere which bounds that disk.
- 8. We shall give a unified proof which works for all values of p (the main values of concern are  $p=1,2,\infty$ , but the same argument works for all p such that  $1 \leq p \leq \infty$ ). Throughout this discussion  $W_1$  and  $W_2$  are metric spaces and  $u,v \in W_1 \times W_2$  are expressed in terms of coordinates as  $(u_1,u_2)$  and  $(v_1,v_2)$  respectively.

Let  $\| \cdots \|_p$  denote the p-norm on  $\mathbb{R}^2$  for p as above (this actually works for all p such that  $1 \leq p \leq \infty$ ). Then

$$\mathbf{d}^{\langle p \rangle}(u,v) = \| (\mathbf{d}_1(u_1,v_1), \, \mathbf{d}_2(u_2,v_2)) \|_p = \| \mathbf{D}(u,v) \|_p$$

where the vector  $\mathbf{D}(u, v)$  inside the norm sign has coordinates equal to the distances between the coordinates of u and v.

Now suppose that we have similarity transformations  $f: X \to X'$  and  $g: Y \to Y'$  whose ratios of similarity by a positive of similarity hypotheses imply that

$$\mathbf{D}(h(u), h(v)) = ((\mathbf{d}_1(f(u_1), f(v_1)), \mathbf{d}_2(g(u_2), g(v_2))) = (r \mathbf{d}_1(u_1, v_1), r \mathbf{d}_2(u_2, v_2)) = r \cdot \mathbf{D}(u, v)$$

and the assertion in the exercise follows if we take p-norms of the vectors at the left and right ends of this chain of equations.

**9.** If X and Y are discrete, then every one point subset of each is open. Therefore the associated rectangular sets

$$\{x\} \times \{y\} = \{(x,y)\}$$

are open in  $X \times Y$ . But this means that every one point subset of  $X \times Y$  is open, and therefore the product topology on  $X \times Y$  is discrete.

- 10. (i) Since Int  $(A) \times \text{Int }(B)$  is an open subset which is contained in  $A \times B$ , we clearly have Int  $(A) \times \text{Int }(B) \subset \text{Int }(A \times B)$ . Conversely, if  $(a,b) \in \text{Int }(A \times B)$  then there is some basic open set  $U \times V$  such that  $(a,b) \subset U \times V \subset A \times B$ . Since U and V must be contained in A and B respectively, we must have  $U \times V \subset \text{Int }(A) \times \text{Int }(B)$ , which means that (a,b) must belong to the latter set.
- (ii) We shall use the identity  $\operatorname{Bdy}(C) = \overline{C} \operatorname{Int}(C)$  repeatedly. If we apply this to A and B we obtain decompositions

$$\overline{A} = \operatorname{Int}(A) \cup \operatorname{Bdy}(A) , \qquad \overline{B} = \operatorname{Int}(B) \cup \operatorname{Bdy}(B)$$

where in each case the summands are disjoint. By Proposition 7 and the preceding exercise, we know that the closure or interior of a product subset is the product of the closures or interiors of the factors, so that

$$\mathrm{Bdy}\,(A\times B) \ = \ \overline{A}\times \overline{B} \ - \ \mathrm{Int}\,(A)\times \mathrm{Int}\,(B)$$

and if we combine this with the preceding display we find that

$$\mathrm{Bdy}\,(A\times B) \ = \ \mathrm{Bdy}\,(A)\times\mathrm{Bdy}\,(B) \ \cup \ \mathrm{Bdy}\,(A)\times\mathrm{Int}\,(B) \ \cup \ \mathrm{Int}\,(A)\times\mathrm{Bdy}\,(B) \ .$$

Now the union of the first and second terms is  $\operatorname{Bdy}(A) \times \overline{B}$  and the union of the first and third terms is  $\overline{A} \times \operatorname{Bdy}(B)$ , and therefore  $\operatorname{Bdy}(A \times B)$  is equal to the union of  $\operatorname{Bdy}(A) \times \overline{B}$  and  $\overline{A} \times \operatorname{Bdy}(B)$ .

11. To simplify the notation we shall denote the closed unit interval by I and the open unit interval by J. Since  $J \subset I$  with J open and I closed, it follows that J is contained in the interior of I and and the closure of J is contained in I. The difference I - J is equal to  $\{0, 1\}$ , and both of these points are boundary points for both I and J, so this means that I is the closure of J (in  $\mathbb{R}$ ) and J is the interior of I. As in the preceding exercise we then know that  $I \times I$  is the closure of  $J \times J$  (in  $\mathbb{R}$ ) and  $J \times J$  is the interior of  $I \times I$ . Furthermore, if E is either I or J, the second part of the preceding exercise implies that

$$\mathrm{Bdy}\,(E\times E) \ = \ \left(\mathrm{Bdy}\,(E)\times \overline{E}\right) \ \cup \ \left(\overline{E}\times\mathrm{Bdy}\,(E)\right) \ = \ \{0,1\}\times I \ \cup \ I\times\{0,1\}$$

which is equal to  $I \times I - J \times J$ .

12. This exercise confirms that the concepts of closure, interior and boundary behave as expected for a fundamental class of plane subsets which arise in multivariable calculus. It might be helpful to look at the drawing in math205Asolutions02b.pdf when reading through this solutions.

As usual, we shall follow the hints. As in the preceding exercise, we shall use J and I to denote the open and closed unit intervals.

Let's begin by extending the continuous functions f and g to the entire real line as indicated. In both cases, the construction involves assembling continuous functions on the closed subsets  $(-\infty, a]$ , [a, b] and  $[b, \infty)$ ; the definitions agree on the overlapping pieces, and therefore both constructions yield continuous functions on all of  $\mathbb{R}$ .

Next, we shall justify the claim regarding homeomorphisms. Since a homeomorphism  $\varphi$  is a 1–1 correspondence which sends open sets to open sets and closed sets to closed sets (and likewise for its inverse), it follows that closures and interiors correspond: The closure of a subset A is mapped onto the closure of  $\varphi[A]$  and the interior of a subset A is mapped onto the interior of  $\varphi[A]$ . Since boundaries can be characterized in terms of closures and interiors, it also follows that the boundary of a subset A is mapped onto the boundary of  $\varphi[A]$ . In particular, if  $H: \mathbb{R}^2 \to \mathbb{R}^2$  is a homeomorphism which sends  $J \times J$  to the subset V defined in the exercise and also sends  $I \times I$  to the subset A, then we have the following:

H maps the closure of  $J \times J$ , which is  $I \times I$ , to the closure of  $V = H[J \times J]$ , and hence  $A = H[I \times I]$  must be the closure of V.

H maps the interior of  $I \times I$ , which is  $J \times J$ , to the closure of  $A = H[I \times I]$ , and hence  $V = H[J \times J]$  must be the interior of A.

H maps the boundaries of  $I \times I$  and  $J \times J$ , which are equal to  $I \times I - J \times J$  into a subset which must be the boundary of both  $A = H[I \times I]$  and  $V = H[J \times J]$ , and this set is equal to  $H[I \times I - J \times J] = H[I \times I] - H[J \times J] = V - A$ .

Therefore the proof reduces to constructing a homeomorphism H with the desired properties.

The homeomorphism is given by H(s,t) = (x,y), where x and y are the functions of s and t which are defined by x = a + s(b-a) and y = g(x) + t(f(x) - g(x)). We can show that H is a homeomorphism by solving these equations explicitly for s and t in terms of x and y:

$$s = \frac{x-a}{b-a}, \qquad t = \frac{y-g(x)}{f(x)-g(x)}$$

At this point the only things left to check are that H maps  $J \times J$  onto V and H maps  $I \times I$  onto A. The formulas and elementary inequalities imply that if  $(s,t) \in J \times J$  then H(x,t) satisfies the strict inequalities which define V, and if  $(s,t) \in I \times I$  then H(x,t) satisfies the inequalities which define S. Conversely, we can use the formulas for the inverse function to show that if  $(x,y) \in A$  then  $(s,t) \in I \times I$  and if  $(x,y) \in V$  then  $(s,t) \in J \times J$ .