# SOLUTIONS TO EXERCISES FOR 

## MATHEMATICS 205A — Part 9

Fall 2014

## IX. Computing fundamental groups

## IX. 1 : Free groups

## Additional exercises

1. For the sake of definiteness, assume that $x$ and $y$ are free generators for $F$. If $H$ has index 2 in $F$, then $H$ is a normal subgroup and $F / H \cong \mathbb{Z}_{2}$. Therefore every such subgroup is the kernel of a surjection from $F$ to $\mathbb{Z}_{2}$. CLAIM 1: This is a $1-1$ correspondence.

We can check this directly. There are three nontrivial homomorphisms from $F$ into $\mathbb{Z}_{2}$, and they are completely determined by the values of a homomorphism on the generators. Consider the effect of each example on the set $S=\{x, x y, y\}$. For the homomorphism sending $(x, y)$ to $(1,0)$ the intersection of $S$ with the kernel is $\{x\}$, for the homomorphism sending $(x, y)$ to $(0,1)$ the the intersection of $S$ with the kernel is $\{y\}$, and for the homomorphism sending $(x, y)$ to $(1,1)$ the the intersection of $S$ with the kernel is empty.

Therefore there are exactly three subgroups of index 2 in $F$, one of which is normally generated by $x$ and $y^{2}$, another of which is normally generated by $y$ and $x^{2}$, and the last of which is normally generated by $x^{-1} y$ and $x^{2}$ (or $y^{-1} x$ and $x^{2}$, or $x y$ and $x^{2}$, etc.).
2. We shall verify that $F / H$ satisfies the appropriate Universal Mapping Property with free generators corresponding to the elements of $X-Y$. Let $G$ be a group, let $j: X \rightarrow F$ identify $X$ with a subset of $F$, and let $h: X-Y \rightarrow G$ be a map of sets. Extend $h$ to a mapping $h_{0}: X \rightarrow Y$ by setting $h(y)=1$ if $y \in Y$. Since $F$ is free on $X$, there is a homomorphism $\eta_{0}: F \rightarrow G$ such that $\eta_{0}{ }^{\circ} j(x)=h_{0}(x)$ for all $x \in X$. Since $y \in Y$ implies $h_{0}(y)=1$, the kernel of $\eta_{0}$ contains the normal subgroup $H$, and if $\pi: F \rightarrow F / H$ is the quotient map then there is a unique homomorphism $\eta: F / H \rightarrow G$ such that $\eta^{\circ} \pi=\eta_{0}$.

Before proving that $F / H$ has the Universal Mapping Property for $X-Y$, we need to check that the map $\pi^{\circ} j: X \rightarrow F / H$ is $1-1$. Perhaps the easiest way to do this is to let $A$ be the free group on $X-Y$ and take the homomorphism $F \rightarrow A$ which sends $X-Y$ to these free generators and sends $Y$ to $\{1\}$. Since the map $X-Y \rightarrow F \rightarrow F / H \rightarrow A$ is $1-1$, it follows that $X-Y \rightarrow F / H$ is also $1-1$, confirming what we expected.

To complete the proof of the Universal Mapping Property, identify $X-Y$ with a subset of $F / H$ via the map sending $x$ to $j^{\prime}(x)=\pi^{\circ} j(x)$, so that $\eta^{\circ} j^{\prime}(x)=\eta_{0}{ }^{\circ} j(x)=h_{0}(x)$ for all $x \in X-Y$. Therefore $F / H$ has the universal mapping property for $X-Y$.■
3. (i) Let $F_{n}^{\prime} \subset F_{n}$ be the commutator subgroup; then the quotient is isomorphic to the free abelian group $A_{n} \cong \mathbb{Z}^{n}$ on $n$ generators. If $T: F_{n} \rightarrow F_{n}$ is an automorphism and $h: F_{n} \rightarrow A_{n}$ is the quotient projection as in the statement of the exercise, then the kernel of $h^{\circ} T$ must contain the commutator subgroup $F_{n}^{\prime}=\left[F_{n}, F_{n}\right]$ because the image of the homomorphism is abelian. Therefore there is a unique homomorphism $\theta(T): A_{n} \rightarrow A_{n}$ such that $h^{\circ} T=\theta(T)^{\circ} h$.

Suppose now that we are given two automorphisms $T_{1}$ and $T_{2}$. By the uniqueness statement proved in the preceding paragraph, it is enough to show that $h{ }^{\circ} T_{1}{ }^{\circ} T_{2}=\theta\left(T_{1}\right)^{\circ} \theta\left(T_{2}\right)^{\circ} h$. This follows because $h^{\circ} T_{1}{ }^{\circ} T_{2}=\alpha\left(T_{1}\right)^{\circ} h^{\circ} T_{2}=\alpha\left(T_{1}\right)^{\circ} \alpha\left(T_{2}\right)^{\circ} h . ■$
(ii) The statement in the hint is true because $h{ }^{\circ} \mathrm{id}_{F_{n}}=h=\operatorname{id}_{A_{n}}{ }^{\circ} h$. Therefore if $S=T^{-1}$ we have

$$
\operatorname{id}_{A_{n}}=\theta\left(\operatorname{id}_{F_{n}}\right)=\theta\left(S^{\circ} T\right)=\theta(S)^{\circ} \theta(T)
$$

and by interchanging the roles of $S$ and $T$ we also have $\operatorname{id}_{A_{n}}=\theta(T)^{\circ} \theta(S)$. Therefore $\theta(T)$ is an automorphism, and predictably its inverse is $\theta(S)$.-
(iii) Again for definiteness, let $x$ and $y$ denote the generators of $F_{2}$ which project down to the elements $(1,0)$ and $(0,1)$ in $A_{2} \cong \mathbb{Z}^{2}$. Following the hint, we shall find automorphisms of $F_{2}$ which induce $\theta$ on $A_{2}$ for choices of $\theta$ corresponding to each one of the three given generators. To avoid space-consuming displays of $2 \times 2$ matrices we shall refer to the displayed matrices, in order from left to right, as the diagonal generator, the transposition generator, and the shear generator. For the diagonal generator, take the self-homomorphism $T$ of $F_{2}$ which sends $x$ to $x^{-1}$ and $y$ to itself; such a homomorphism exists because $F_{2}$ is free, and it is an automorphism because $T{ }^{\circ} T=\mathrm{id}$ (it is only necessary to check this on the free generators), so that $T$ is equal to its own inverse. For the transposition generator, take the self-homomorphism $T$ which interchanges $x$ and $y$; once again $T{ }^{\circ} T=\mathrm{id}$ implies that $T$ is its own inverse. Finally, for the shear generator, take the homomorphism $T$ sending $x$ to itself and $y$ to $x y$. For this example we claim that the inverse is the homomorphism sending $x$ to itself and $y$ to $x^{-1} y$. Once again, to prove that $S^{\circ} T$ and $T^{\circ} S$ are the identities, it is enough to do so on the standard set of free generators. Clearly we have $S{ }^{\circ} T(x)=x=T{ }^{\circ} S(x)$ since $S(x)=T(x)=x$, and we also have

$$
\begin{gathered}
S^{\circ} T(y)=S(x y)=S(x) S(y)=x \cdot\left(x^{-1} y\right)=y \\
T{ }^{\circ} S(y)=T\left(x^{-1} y\right)=T\left(x^{-1}\right) T(y)=x^{-1} \cdot(x y)=y
\end{gathered}
$$

and therefore we know that $S=T^{-1}$.
4. (i) Take the map from $F_{n-1}$ to $G$ with sends the free generator $x_{i} \in F_{n-1}$ to $g_{i} \in G$. The extension of this map to a homomorphism is onto, and therefore $G$ is isomorphic to a quotient of $F_{n-1}$.■
(ii) In any group $G$, if $g=g^{1}$ then either $g=1$ or else $g^{2}=1$. The latter cannot happen in an odd order group unless $G=1$, so this means that the nontrivial elements of $G$ can be decomposed into $\frac{1}{2}(|G|-1)$ pairs of the form $\left\{g_{i}, h_{i}=g_{i}^{-1}\right\}$, where $1 \leq i \leq k$ and $|G|=2 k+1$.

In this case take the map from $F_{k}$ to $G$ with sends the free generator $x_{i} \in F_{k}$ to $g_{i} \in G$. The extension of this map to a homomorphism is onto, and therefore $G$ is isomorphic to a quotient of $F_{k}$.

## IX.2 : Sums and pushouts of groups

## Problems from Munkres, § 68, p. 421

2. (a) Let $1 \neq x_{i} \in G_{i}$ for $i=1,2$; then $x_{1} x_{2} x_{1}^{-1} x_{2}^{-1}$ is a reduced word, and by Step 4 in the proof of Munkres, Theorem 68.2 we know that this element is not the identity in $G_{1} * G_{2}$. But this means that $x_{1} x_{2} \neq x_{2} x_{1}$ whenever $x_{1}$ and $x_{2}$ are nontrivial elements of $G_{1}$ and $G_{2}$ respectively.
(b) If $x$ is a reduced word of even length, write it in the form $a_{1} b_{1} \cdots a_{k} b_{k}$ where each $a_{j}$ lies in one of the groups $G_{i}$ and each $b_{j}$ lies in the other group. It follows that for each $n>0$ that $x^{n}$ corresponds to the reduced word $a_{1} b_{1} \cdots a_{n k} b_{n k}$ where the sequences satisfy the periodicity conditions $a_{j}=a_{j+k}$ and $b_{j}=b_{j+k}$ for $j \leq n k-k$. Since this is also a nontrivial word, it follows from the same reasoning as before that $x^{n} \neq 1$ in the free product. Therefore $x$ has infinite order.

Suppose now that we have a reduced word $x$ of odd length $\geq 3$ (this was not part of the problem as stated in Munkres, but clearly it is indispensable because a reduced word of length 1 cannot be conjugate to anything shorter). In analogy with the preceding paragraph, write $x$ in the form $b_{0} a_{1} b_{1} \cdots a_{k} b_{k}$ where $a_{j}$ and $b_{j}$ are as before. We can easily find a shorter word which is conjugate to the given one because $b_{0}^{-1} x b_{0}$ is equal to $a_{1} b_{1} \cdots a_{k}\left(b_{k} b_{0}\right)$. There are now two possibilities. If $b_{k} b_{0} \neq 1$, then we have shown that $x$ is conjugate to an element corresponding to a reduced word of even length $2 k$. If $b_{k} b_{0}=1$, then we have shown that $x$ is conjugate to an element corresponding to a reduced word of odd length $2 k-1$.
(c) By part (b) and induction, every nontrivial word is either conjugate to a word whose length is either an even number or 1 (look at the shortest word in the conjugacy class, and note that a nontrivial word cannot be conjugate to the empty word). If the word $x$ is conjugate to a word $y$ of even length, then the orders of $x$ and $y$ are equal, and since $y$ has infinite order it follows that the same holds for $x$. On the other hand, if $x$ is conjugate to a word $y$ of length 1 , we know that $y$ must correspond to a nontrivial element of $G_{1}$ or $G_{2}$, and if $x$ has finite order then $y$ must also have the same finite order..
3. The easiest way to solve this exercise might be to look at the images of everything in the direct product $G_{1} \times G_{2}$. The Universal Mapping Property for free products guarantees the existence of a homomorphism $\theta: G_{1} * G_{2} \rightarrow G_{1} \times G_{2}$ such that $\theta \circ i_{1}(a)=(a, 1)$ and $\theta \circ i_{2}(b)=(1, b)$, where $i_{t}$ denotes the standard injection of $G_{t}$ into $G_{1} \cap G_{2}$. The problem does not require a proof that $c G_{1} c^{-1}$ is a subgroup, but this follows quickly from the fact that the latter is the image of $G_{1}$ under the conjugation automorphism of $G_{1} * G_{2}$ sending $x$ to $c x c^{-1}$.

Suppose that $a \in G_{1}$ is such that $\mathrm{cac}^{-1} \in G_{2}$ It then follows that $\theta(a) \in G_{1} \times\{1\}$ and $\theta(c) \theta(a) \theta(c)^{-1} \in\{1\} \times G_{2}$. CLAIM: $\theta(c) \theta(a) \theta(c)^{-1} \in G_{1} \times\{1\}$, and this element corresponds to a conjugate of $a$ in $G_{1}$. - If this is true, then $\theta(c) \theta(a) \theta(c)^{-1}$ belongs to $\left(G_{1} \times\{1\}\right) \cap\left(\{1\} \times G_{2}\right)$, which is the trivial group, and furthermore $a$ is conjugate to this element in $G_{1}$. In particular, $a$ is conjugate in $G_{1}$ to the trivial element, and this implies that $a=1$. To summarize, the claim implies that if $\mathrm{cac}^{-1} \in G_{2}$ then $a=1$ and therefore also $\mathrm{cac}^{-1}=1$.

To prove the assertions regarding $\theta(c) \theta(a) \theta(c)^{-1}$, write $c=u_{1} v_{1} \cdots u_{k} v_{k}$ where $u_{j} \in G_{1} \times\{1\}$ and $v_{j} \in\{1\} \times G_{2}$. If $c \neq 1$ we can do this using either a reduced word of even length or taking a reduced word of odd length and setting $u_{1}=1$ (if the word starts and ends with something from $G_{2}$ ) or $v_{k}=1$ (if the word starts and ends with something from $G_{1}$ ). Since the images of $G_{1}$ and $G_{2}$ commute with each other, an inductive argument shows that

$$
\begin{gathered}
\theta(c) \theta(a) \theta(c)^{-1}=\theta\left(u_{1} v_{1} \cdots u_{k} v_{k}\right) \theta(a) \theta\left(u_{1} v_{1} \cdots u_{k} v_{k}\right)^{-1}= \\
\theta\left(u_{1} v_{1} \cdots u_{k-1} v_{k-1}\right) \theta\left(u_{k} a u_{k}^{-1}\right) \theta\left(u_{1} v_{1} \cdots u_{k-1} v_{k-1}\right)^{-1}=\cdots=\theta\left(u_{1} \cdots u_{k} a u_{k}^{-1} \cdots u_{k}^{-1}\right)
\end{gathered}
$$

where the expression in the last term is an element of $G_{1}$ which is conjugate (in $G_{1}$ ) to $a$. This is the claim in the preceding paragraph.

1. To simplify the notation, if $H$ is a group, then $\mathbf{A b}(H)$ will denote the quotient $H /[H, H]$, where $[H, H]$ is the commutator subgroup (which is normal in $H$ ). We shall also denote $G_{1} * G_{2}$ by $G$ as in the statement of the exercise.

Starting with the abelinization homomorphisms $\alpha_{i}: G_{i} \rightarrow \mathbf{A b}\left(G_{i}\right)$, we can define a homomorphism $\theta: G \rightarrow \mathbf{A b}\left(G_{1}\right) \oplus \mathbf{A b}\left(G_{2}\right)$ whose restriction to $G_{1} \subset G$ is the map sending $a$ to ( $a, 0$ ) and whose restriction to $G_{2} \subset G$ is the map sending $b$ to $(0, b)$. By construction $\theta$ is onto, and since the codomain is an abelian group the kernel of $\theta$ must contain the commutator subgroup. Therefore $\theta$ factors as a composite $G \rightarrow \mathbf{A b}(G) \rightarrow \mathbf{A b}\left(G_{1}\right) \oplus \mathbf{A b}\left(G_{2}\right)$, where the first arrow is abelianization and the second will be denoted by $\varphi$.

For the same general reasons, the composites $G_{i} \rightarrow G=G_{1} * G_{2} \rightarrow \mathbf{A b}(G)$ have factorizations $G_{i} \rightarrow \mathbf{A b}\left(G_{i}\right) \rightarrow \mathbf{A b}(G)$, and the induced maps of abelianizations will be denoted by $J_{i}$. Therefore we can define a homomorphism

$$
\psi: \mathbf{A b}\left(G_{1}\right) \oplus \mathbf{A b}\left(G_{2}\right) \longrightarrow \mathbf{A b}(G)
$$

such that $\psi(u, v)=J_{1}(u)+J_{2}(v)$. By construction the composites

$$
G_{i} \rightarrow \mathbf{A b}\left(G_{i}\right) \rightarrow \mathbf{A b}(G) \rightarrow \mathbf{A b}\left(G_{i}\right)=G_{i} \rightarrow G \rightarrow G_{i} \rightarrow \mathbf{A b}\left(G_{i}\right)
$$

are the abelianization mappings, and therefore the composites $\mathbf{A b}\left(G_{i}\right) \rightarrow \mathbf{A b}(G) \rightarrow \mathbf{A b}\left(G_{i}\right)$ are identity mappings. Similarly, if $i \neq j$ then the triviality of the composites $G_{i} \rightarrow G \rightarrow G_{j}$ implies that the abelianized mappings $\mathbf{A b}\left(G_{i}\right) \rightarrow \mathbf{A b}(G) \rightarrow \mathbf{A b}\left(G_{j}\right)$ are zero homomorphisms. If we combine these with the definitions of $\varphi$ and $\psi$, we see that $\varphi^{\circ} \psi$ is the identity on $\mathbf{A b}\left(G_{1}\right) \oplus \mathbf{A b}\left(G_{2}\right)$. We claim these maps are isomorphisms, and to prove this it will suffice to show that $\psi$ is onto. However, this follows quickly because we know that $G$ is generated by the images of $G_{1}$ and $G_{2}$, which implies that $\mathbf{A b}(G)$ is generated by the images of $\mathbf{A b}\left(G_{1}\right)$ and $\mathbf{A b}\left(G_{2}\right) .$.
3. For the sake of definiteness, we shall assume that $m \geq n$ (it will be clear that the case $m \leq n$ can be handled similarly).
(a) If $G_{1}$ and $G_{2}$ are abelian groups, then Exercise 1 implies that $\mathbf{A b}\left(G_{1} * G_{2}\right) \cong G_{1} \oplus G_{2}$. If we specialize to the case where $G_{1}=\mathbb{Z}_{m}$ and $G_{2}=\mathbb{Z}_{n}$, this implies that $\mathbf{A b}\left(G_{1} * G_{2}\right)$ is a finite group of order $m n$. -
(b) Follow the hint. By Exercise 68.2 in Munkres, the only elements of finite order in $G_{1} * G_{2}$ are those which are conjugate to elements in either $G_{1}$ or $G_{2}$, and thus if $g \in \mathbb{Z}_{m} * \mathbb{Z}_{n}$ has finite order, this order must divide either $m$ or $n$. Since we are assuming that $m \geq n$, the largest possible order is $m$, and in fact this order is realized by the generator of $\mathbb{Z}_{m}$..
(c) If $G=\mathbb{Z}_{m} * \mathbb{Z}_{n}$, then $|\mathbf{A b}(G)|=m n$ implies that $m n$ is uniquely determined by $G$, and by (b) we know that $m$ is uniquely determined by $G$. Therefore $n=(m n) / m$ is also uniquely determined by $G$.
4. The goal of the problem is to find finite abelian groups $G_{1}, G_{2}, H_{1}, H_{2}$ such that $\left|G_{1}\right| \neq\left|H_{1}\right|$ and $\left|G_{2}\right| \neq\left|H_{2}\right|$ such that $G_{1} \times G_{2} \cong H_{1} \times H_{2}$, and the hint is to use the abstract version of the Chinese Remainder Theorem: $\mathbb{Z}_{a} \times \mathbb{Z}_{b} \cong \mathbb{Z}_{a b}$ if $a$ and $b$ are relatively prime. - The latter can be found in nearly every upper level undergraduate textbook on abstract algebra or elementary number theory, so we shall not prove it here.

Since $2,3,5$ are pairwise relatively prime the Chinese Remainder Theorem and $(2 \cdot 3) \cdot 5=30=$ $2 \cdot(3 \cdot 5)$ imply that

$$
\mathbb{Z}_{30} \cong \mathbb{Z}_{6} \times \mathbb{Z}_{5}, \quad \mathbb{Z}_{30} \cong \mathbb{Z}_{2} \times \mathbb{Z}_{15}
$$

so we get the desired examples if we take $G_{1}=\mathbb{Z}_{6}, G_{2}=\mathbb{Z}_{5}, H_{1}=\mathbb{Z}_{2}$, and $H_{2}=\mathbb{Z}_{15}$.

## Additional exercises

1. We shall repeatedly use the fact that a free product of two groups $*_{i} L_{i}$ is uniquely characterized up to isomorphism by the fact that homomorphisms from $*_{i} L_{i}$ to another group $M$ correspond bijectively to homomorphisms from the summands $L_{i}$ into $M$, and the correspondence is given by restricting to the subgroups $L_{i}$.

For $(G * H) * K$, the preceding paragraph means that homomorphisms from this group to some other group $M$ are in 1-1 correspondence with homomorphisms from $G * H$ and $K$ into $M$. However, homomorphisms from $G * H$ into $M$ in 1-1 correspondence with homomorphisms from $G$ into $M$ and from $H$ into $M$. Combining these, we see that homomorphisms from $(G * H) * K$ into $M$ are in 1-1 correspondence with homomorphisms $G \rightarrow M, H \rightarrow M$ and $K \rightarrow M$. Since this is the defining condition for a free product of the three groups $G, H$ and $K$ it follows that $(G * H) * K$ is in fact a free product of these three groups. Similarly, homomorphisms from $G *(H * K)$ into some other group $M$ are in 1-1 correspondence with homomorphisms from $G$ and $H * K$ into $M$, and since homomorphisms from $H * K$ into $M$ in 1-1 correspondence with homomorphisms from $H$ into $M$ and from $K$ into $M$, we see that homomorphisms from $G *(H * K)$ into $M$ are in 1-1 correspondence with homomorphisms $G \rightarrow M, H \rightarrow M$ and $K \rightarrow M$; as before, this means that $G *(H * K)$ is in fact a free product of $G, H$ and $K$.

Finally, homomorphisms from both $G * H$ and $H * G$ into an arbitrary group $H$ correspond bijectively to homomorphisms $G \rightarrow M$ and $H \rightarrow M$, and this yields an isomorphism between $G * H$ and $H * G$.■
2. (i) Follow the hint and note that $K * K \cong K$ because $K * K$ is a free group on $\aleph_{0}+\aleph_{0}=\aleph_{0}$ generators if $K$ is a free group on $\aleph_{0}$ generators. If $H_{1}$ is finite but nontrivial and $H_{2}=H_{1} * K$, then $H_{1}$ is finite but $H_{2}$ is infinite. On the other hand, we have

$$
H_{2} * K \cong\left(H_{1} * K\right) * K \cong H_{1} *(K * K) \cong H_{1} * K
$$

which is what we wanted to prove.-
(ii) The underlying ideas are the same, but here we have $K \times K=K \oplus K$ is isomorphic to $K$ because $K \oplus K$ is a free abelian group on $\aleph_{0}$ generators if $K$ is. If $H_{1}$ is finite but nontrivial and $H_{2}=H_{1} * \times K$, then $H_{1}$ is finite but $H_{2}$ is infinite. On the other hand, we have

$$
H_{2} \times K \cong\left(H_{1} \times K\right) \times K \cong H_{1} \times(K \times K) \cong H_{1} \times K
$$

which is what we wanted to prove..
(iii) Once again, this is the same basic idea, but now we are working with topological spaces. An explicit isometry from $Y \times Y$ to $Y$ is given as follows: Let $\left\{\mathbf{e}_{j}\right\}$ denote the set of standard unit vectors in $Y$, and take the linear isomorphism from $Y \times Y$ to $Y$ which sends $\left(\mathbf{e}_{j}, \mathbf{0}\right)$ to $\mathbf{e}_{2 j-1}$ and $\left(\mathbf{0}, \mathbf{e}_{j}\right)$ to $\mathbf{e}_{2 j-1}$. This is clealy an invertible linear transformation, and if one imposes the metrics associated to the usual dot products (so that the unit vectors are orthonormal and $Y \times\{\mathbf{0}\}$ is orthogonal to $\{0\} \times Y$ ), then this linear isomorphism is an isometry of inner product spaces, which implies among other things that $Y \times Y$ is homeomorphic to $Y$.

Let $X_{1}$ be a compact metric space, and let $X_{2}=X_{1} \times Y$. Then $X_{1}$ is not homeomorphic to $X_{2}$ but $X_{1} \times Y$ is homeomorphic to $X_{2} \times Y$ because we have $X_{2} \times Y=X_{1} \times Y \times Y \cong X_{1} \times Y$.■
3. As before the proof that $h \times h$ is the identity reduces to showing that $h \times h$ maps the free generators $x$ and $y$ to them selves. Since $h(x)=y$ and $h(y)=x$, this follows immediately.

Suppose now that $h(w)=w$ for some nontrivial reduced word $w$ in $x$ and $y$. If $w$ begins with a power of $x$ then $h(w)$ begins with a power of $y$ and vice versa. By the unique factorization property for nontrivial reduced words, it follows that $h(w)$ cannot be equal to $w$.

Note. In contrast, the induced automorphism $\theta(h)$ of $\mathbb{Z}^{2}$ sends $(1,1)$ to itself.

## IX. 3 : The Seifert - van Kampen Theorem

$$
\text { Problems from Munkres, § 70, p. } 433
$$

1. By the Seifert-van Kampen Theorem it will suffice to show that

is a pushout diagram if $i_{1 *}$ and $i_{2 *}$ are trivial homomorphisms, where the maps from $\pi_{1}(U, p)$ and $\pi_{1}(V, p)$ to the respective quotients $\pi_{1}(U, p) / N_{1}$ and $\pi_{1}(V, p) / N_{2}$ followed by the usual injections $J_{1}$ and $J_{2}$ into the free product. Denote the quotient projections $\pi_{1}(U, p) \rightarrow \pi_{1}(U, p) / N_{1}$ and $\pi_{1}(V, p) \rightarrow \pi_{1}(V, p) / N_{2}$ by $q_{1}$ and $q_{2}$ respectively.

Suppose that we are given homomorphisms $A: \pi_{1}(U, p) \rightarrow G$ and $B: \pi_{1}(V, p) \rightarrow G$ such that $A{ }^{\circ} i_{1 *}=B{ }^{\circ} i_{2 *}$. Since $i_{1 *}$ and $i_{2 *}$ are trivial it follows that we have factorizations through the respective quotients; i.e., we have $A=A^{\prime}{ }^{\circ} q_{1}$ and $B=B^{\prime}{ }^{\circ} q_{2}$ for uniquely determined homomorphisms $A^{\prime}$ and $B^{\prime}$. By the Universal Mapping Property for free products, there is a unique homomorphism $C$ from the free product into $G$ whose restrictions to ${ }^{\circ} J_{1}$ and $C{ }^{\circ} J_{2}$ to $\pi_{1}(U, p) / N_{1}$ and $\pi_{1}(V, p) / N_{2}$ are equal to $A^{\prime}$ and $B^{\prime}$ respectively, and therefore we also have $C^{\circ}\left(J_{1}{ }^{\circ} q_{1}\right)=A^{\prime}{ }^{\circ} q_{1}=A$ and $C^{\circ}\left(J_{2}{ }^{\circ} q_{2}\right)=B^{\prime}{ }^{\circ} q_{2}=B$. To complete the proof, we need to show that if $D: \pi_{1}(U, p) / N_{1} * \pi_{1}(V, p) / N_{2}$ is an arbitrary homomorphism such that $D^{\circ}\left(J_{1}{ }^{\circ} q_{1}\right)=A$ and $D{ }^{\circ}\left(J_{2}{ }^{\circ} q_{2}\right) B$, then $D=C$. If $D$ satisfies these conditions then we have $D{ }^{\circ} J_{1}{ }^{\circ} q_{1}=C{ }^{\circ} J_{1}{ }^{\circ} q_{1}$ and $D{ }^{\circ} J_{2}{ }^{\circ} q_{2}=C{ }^{\circ} J_{2}{ }^{\circ} q_{2}$; since $q_{1}$ and $q_{2}$ are onto, the given equations imply that $D{ }^{\circ} J_{1}=C{ }^{\circ} J_{1}$ and $D^{\circ} J_{2}=C{ }^{\circ} J_{2}$. We can now use the uniqueness condition in the Universal Mapping Property for free products to conclude that $D=C$. This completes the proof that the diagram at the beginning of this solution is a pushout.
3. (a) If $G_{1}$ has a finite generator set $X_{1}$ with a finite relation set $R_{1}$ and $G_{2}$ has a finite generator set $X_{2}$ with a finite relation set $R_{2}$, then $G_{1} * G_{2}$ has a finite generator set $X_{1} \amalg X_{2}$ with a finite relation set $R_{1} \amalg R_{2}$, $\square$
(b) Follow the hint, but work more generally with a pushout

where $K$ is finitely generated and $H_{1}$ and $H_{2}$ are finitely presented.
The construction of pushouts in Section IX. 2 shows that $G$ is isomorphic to the quotient of $\Gamma=G_{1} * G_{2}$ by the normal subgroup $N$ which is generated by all elements of the form $i_{1}^{-1}(k) i_{2}(k)$, where $k$ runs through all the elements of $G$. CLAIM: If $k_{1}, \cdots, k_{r}$ generate $K$, then $N$ is also the smallest normal subgroup containing the finite set $S=\left\{i_{1}^{-1}\left(k_{t}\right) i_{2}\left(k_{t}\right) \mid 1 \leq t \leq r\right\}$.

If the claim is true, we can complete the solution as follows: By Exercise 1 we know that $G_{1} * G_{2}$ is finitely presented, and by the claim we know that $N$ is finitely normally generated, so if $G_{1} * G_{2}$ is presented with finite generating set $X$ and finite relation set $R$, then we obtain the quotient by expanding $R$ to a set which also includes a finite family of words in the generators which map to the elements in the set $S$.

We now prove the claim. Let $N_{0}$ be the subgroup normally generated by $S$, so that $N_{0} \subset N$. To prove the reverse inclusion, consider the map of quotient groups $\pi: \Gamma / N_{0} \rightarrow \Gamma / N$ which sends each coset of $N_{0}$ to the coset $N$ which contains it, and let $\rho: G \rightarrow G / N_{0}$ be the usual quotient space projection. By definition, $N_{0}$ is normally generated by the elements $i_{1}^{-1}\left(k_{t}\right) i_{2}\left(k_{t}\right)$, and therefore $\rho^{\circ} i_{1}\left(k_{t}\right)=\rho^{\circ} i_{2}\left(k_{t}\right)$ for all $t$. Since $\rho, i_{1}$ and $i_{2}$ are homomorphisms and the elements $k_{t}$ generate $K$, it follows that $\rho^{\circ} i_{1}(k)=\rho^{\circ} i_{2}(k)$ for all $k \in K$. But this means that the normal subgroup $N_{0}$ contains all elements of the form $i_{1}^{-1}(k) i_{2}(k)$ where $k \in K$, and since these elements normally generate $N$ it follows that all of $N_{0}$ is contained in $N$..

## Additional exercises

1. (i) For this part of the exercise, in the pushout diagram

we know that $\pi_{1}(U, p)$ is trivial and $\pi_{1}(V, p)$ is abelian. It will suffice to prove that the map $\pi_{1}(V, p) \rightarrow \pi_{1}(X, p)$ is onto. We know that $\pi_{1}(X, p)$ is generated by the images of $\pi_{1}(U, p)$ and $\pi_{1}(V, p)$ and since the image of the first group must be trivial it follows that $\pi_{1}(V, p)$ generates $\pi_{1}(X, p)$, which means that $\pi_{1}(V, p) \rightarrow \pi_{1}(X, p)$ is onto.
(ii) Let $X$ be the Figure Eight Space which is a union of two closed subspaces $C_{1} \cup C_{2}$ such that each is homeomorphic to $S^{1}$ and $C_{1} \cap C_{2}$ consists only of the basepoint $p$. Choose points $q_{i} \in C_{i}-\{p\}$, and let $U_{1}$ and $U_{2}$ be $X-\left\{q_{2}\right\}$ and $X-\left\{q_{1}\right\}$ respectively (note the switch in subscripts - this is not a misprint). Then $C_{i}$ is a strong deformation retract of $U_{i}$ and $U_{1} \cap U_{2}$ is contractible, so that the pushout diagram associated to $\pi_{1}\left(X=U_{1} \cup U_{2}\right.$ is given as follows:


In this example the fundamental groups of $U$ and $V$ are abelian but the fundamental group of $X$ is not.
2. (i) Once again we know that $\pi_{1}(X)$ is generated by the images of $\pi_{1}(U)$ and $\pi_{1}(V)$. Since $\pi_{1}(U \cap V)$ maps onto both of the latter groups, it follows that all the generators for $\pi_{1}(X)$ actually lift back to $\pi_{1}(U \cap V)$..
(ii) As in $(i)$ if $A$ and $B$ denote generating sets for $\pi_{1}(U)$ and $\pi_{1}(V)$ respectively and $A^{\prime}$ and $B^{\prime}$ denote their images in $\pi_{1}(X)$, then $A^{\prime} \cup B^{\prime}$ generates $\pi_{1}(X)$. But if $A$ and $B$ are finite, then so is $A^{\prime} \cup B^{\prime}$.
3. We begin with a general statement. Suppose that we have a group $G$ presented as a quotient $F / N$ where $F$ is freely generated by $X$ and $N$ is normally generated by relations $R \subset F$. Then $\mathbf{A} \mathbf{b}(G)$ is isomorphic to $F / N[F, F]$, which is isomorphic to

$$
(F /[F, F]) /(N[F, F] /[F, F])
$$

in which $N[F, F] /[F, F]$ is the image of $N \subset F \rightarrow F /[F, F]$.
For the example in this exercise, the preceding observation shows that the abelianization $\mathbf{A b}(G)$ is the quotient of $\mathbb{Z}^{2}$ modulo the subgroup generated by the single abelianized relation $(3,-2)$ because the abelianizations of the other two relations are trivial. One easy way of seeing that the quotient is infinite cyclic is to observe that the homomorphism $\mathbb{Z}^{2} \rightarrow \mathbb{Z}$ sending $(x, y)$ to $2 x+3 y$ is onto and its kernel is the cyclic subgroup generated by $(3,-2)$.
(ii) Let $\rho: G \rightarrow G / N$ be the quotient group projection. Since $x y^{-1} \in N$ it follows that $\rho(x)=\rho(y)$. By definition we know that $x^{3}=y^{2}$, so that $\rho(x)^{3}=\rho(y)^{2}$. If we combine the preceding two sentences we find that $\rho(x)^{3}=\rho(x)^{2}$, which means that $\rho(x)=1$ and hence also that $\rho(y)=\rho(x)=1$; i.e., we have $x, y \in N$. Since $x$ and $y$ generate $G$, this means that $N=G$.■
4. By the Seifert-van Kampen Theorem, it will suffice to prove the following algebraic result about pushout diagrams of groups: If we are given an onto homomorphism $i_{1}: K \rightarrow H_{1}$ and an isomorphism $i_{2}: K \rightarrow H_{2}$, then the following square is a pushout diagram:


This square commutes because both composites from $K$ to $H_{1}$ are equal to $i_{1}$.
As usual, we shall prove the given square is a pushout by verifying that it has the Universal Mapping Property. So let $f_{1}: H_{1} \rightarrow M$ and $f_{2}: H_{2} \rightarrow M$ satisfy $f_{1}{ }^{\circ} i_{1}=f_{2}{ }^{\circ} i_{2}$. We need to find a unique map $h: H_{1} \rightarrow M$ such that $h^{\circ} j_{i}=f_{i}$, where $j_{1}$ is the identity and $j_{2}=i_{1}{ }^{\circ} i_{2}^{-1}$. If we take $h=f_{1}$, then $h^{\circ} j_{1}=h^{\circ} \mathrm{id}=f_{1}$ and $h^{\circ} j_{2}=h^{\circ} i_{1}{ }^{\circ} i_{2}^{-1}=f_{1}{ }^{\circ} i_{2}^{-1}=f_{2}{ }^{\circ} i_{2}{ }^{\circ} i_{2}^{-1}=f_{2}$, so there is a map from $H_{1}$ to $M$ with the right properties. To show that a map with the right properties is unique, note that if $k \circ j_{i}=f_{i}$ for $i=1,2$ then $k=k \circ \mathrm{id}=k \circ j_{1}=f_{1}$, so that $k=h$.
5. Consider the associated pushout diagram:


Since $p_{1}$ and $p_{2}$ are onto, it follows that the composite $\mathbb{Z} \times \mathbb{Z} \rightarrow A$ is also onto (see Exercise 2); note that $A$ is abelian because it is a homomorphic image of $\mathbb{Z} \times \mathbb{Z}$. Since $(1,0)$ and $(0,1)$ are in the
kernels of $p_{2}$ and $p_{1}$ respectively, it follows that both these elements map to zero in $A$, and since the two elements in question generate $\mathbb{Z} \times \mathbb{Z}$, it follows that everything in $\mathbb{Z} \times \mathbb{Z}$ maps to zero in $A$. If we combine this with the conclusion of the previous paragraph, we see that $A$ must be the trivial group.■
6. Follow the hint; let $U=M-Y$ and $V=M-X$. Then $U$ is homeomorphic to $X \amalg[0,1)$ with $x \in X$ identified to 0 , and $V$ is homeomorphic to $(0,1] \amalg Y$ with 1 identified to $y \in Y$. Both $U$ and $V$ are open in $M$, and their intersection is homeomorphic to the open interval $(0,1)$. Furthermore, $X$ and $Y$ are strong deformation retracts of $U$ and $V$ respectively. Therefore the Seifert-van Kampen Theorem implies that the fundamental group of $M$ is the free product of the fundamental groups of $X$ and $Y$ (we have not been careful about the basepoints because the isomorphism type of the fundamental groups of the spaces in this exercise are isomorphic for all choices of basepoints).■

## IX. 4 : Examples and computations

## Additional exercises

1. (i) One can also model $X$ topologically as the subspace $C \subset \mathbb{R}^{3}$ given by $S^{2} \cup\{(0.0)\} \times[-1,1]$. We shall prove that this space is homeomorphic to the subspace of $\mathbb{R}^{4}$ described in the exercise as follows: Take the identity map on $S^{2}$, and map a point of the form $(0,0, t)$, where $-1 \leq t \leq 1$, to the point $\left(0,0, t, \sqrt{1-t^{2}}\right)$. One can check directly that this map is continuous and $1-1$ onto, so it is a homeomorphism because $X$ is compact Hausdorff.
(ii) Follow the hint. The space which interests us is $S^{2} \cup A$, and $D^{3} \cup A$ is formed from it by regularly attaching a 3 -cell, so by Proposition 3 IX. 4.2 we know that $\pi_{1}\left(S^{2} \cup A\right) \cong \pi_{1}\left(D^{3} \cup A\right)$.
(iii) If $B \subset \mathbb{R}^{3}$ is the straight line segment described in the exercise which joins the north and south poles of $S^{2}$, then a retraction $D^{3} \rightarrow B$ is defined by sending $(x, y, z)$ to $z$; if we also take the straight line homotopy between these two points (which stays inside $D^{3}$ by convexity), we obtain deformation retract data for $B \subset D^{3}$. Now $A \cap B$ consists of the two points $\pm \mathbf{e}_{3}$, and by an exercise from Unit VII it follows that $B \cup A$ is a strong deformation retract of $D^{3} \cup A$. Since $B \cup A$ is the union of two closed subspaces homeomorphic to $[-1,1]$ which meet at their endpoints, the space $A \cup B$ is homeomorphic to $S^{1}$; for the sake of completeness, we note that an explicit homeomorphism is given by sending one copy of $[-1,1]$ to the upper semicircle by the mapping $t \rightarrow\left(t, \sqrt{1-t^{2}}\right)$ and sending the other copy of $[-1,1]$ to the lower semicircle by the mapping $t \rightarrow\left(t,-\sqrt{1-t^{2}}\right)$.

Finally, the preceding observations combine to yield the fundamental group relationships $\pi_{1}\left(S^{2} \cup A\right) \cong \pi_{1}\left(D^{3} \cup A\right) \cong \pi_{1}(B \cup A) \cong \pi_{1}\left(S^{1}\right) \cong \mathbb{Z}$, as asserted in the statement of the exercise.■ 2. The intersection of $D^{2} \times\{0\}$ with $S^{2}$ is equal to $S^{1} \times\{0\}$, so if we take $A=S^{2}$ and $B=D^{2}$ then we have an example with the properties described in the discussion before the statement of Proposition IX.4.2. Therefore we can apply this result to conclude that the map of fundamental groups $\pi_{1}\left(S^{2}\right) \rightarrow \pi_{1}(X)$ is onto. Since $\pi_{1}\left(S^{2}\right)$ is trivial, it follows that $\pi_{1}(X)$ must also be trivial..
3. $(i)$ Follow the hint. The data in the problem yield the following commutative diagram, in which the vertical arrows $j_{k}$ are isomorphisms:


If we define $\varphi_{k}: c_{k}^{-1} \mathbb{Z} \rightarrow G$ by $g_{k}{ }^{\circ} j_{k}$, then we have the recursive property

$$
\varphi_{k+1}\left|c_{k}^{-1} \mathbb{Z}=g_{k+1} \circ j_{k+1}\right| c_{k}^{-1} \mathbb{Z}=g_{k+1} \circ h_{k} \circ j_{k}=g_{k} \circ j_{k}=\varphi_{k}
$$

and therefore we can assemble these mappings to produce a homomorphism $\varphi: \mathbb{Q} \rightarrow G$. This map is onto, for each $a \in G$ has the form $g_{k}(b)$ for some $k$ and $b \in A_{k}$, so if $j_{k}\left(b^{\prime}\right)=b$ we have $\varphi_{k}\left(b^{\prime}\right)=a$. In particular, this implies that $G$ is abelian, so we shall use 0 to denote the neutral element in $G$. To see that $\varphi$ is $1-1$, suppose that $x \in \mathbb{Q}$ maps to 0 , and choose $k$ such that $x \in c_{k}^{-1} \mathbb{Z}$. Then $j_{k}(x) \in A_{k}$ maps to 0 in $G$, and therefore there is some $M \geq 0$ such that $j_{k}(x)$ maps to 0 in $A_{k+M}$. But the map $A_{k} \rightarrow A_{k+M}$ is equivalent to a nonzero map $\mathbb{Z} \rightarrow \mathbb{Z}$, so if $j_{k}(x)$ maps to 0 in some $A_{k+M}$ then we must have $j_{k}(x)=0$. Since $j_{k}$ is an isomorphism we must have $x=0$. Therefore $\varphi: \mathbb{Q} \rightarrow G$ is an isomorphism.■
(ii) The mapping $j[d]$ is $1-1$ because the composite of $j[d]$ with projection onto the $D^{2}$ factor is the standard inclusion of $S^{1}$ in $D^{2}$, and the map $j[d]_{*}$ in fundamental groups corresponds to multiplication by $d$ because the composite of $j[d]$ with projection onto the $S^{1}$ factor has degree $d$ and this coordinate projection map induces an isomorphism $\pi_{1}\left(S^{1} \times D^{2}\right) \rightarrow \pi_{1}\left(S^{1}\right)$. .
(iii) A more concrete approach to constructing $E$ is to describe it as a subspace of $\mathbb{R}^{5}=$ $\mathbb{C} \times \mathbb{C} \times \mathbb{R}$; more precisely, we shall realize each $S_{k}$ as a subset of $\mathbb{C}^{2} \times[k, k+1]$ such that the continuous mapping $S_{k} \rightarrow[k+1]$ corresponds to the last coordinate. Consider the subspace $T_{k}$ of $\mathbb{C}^{2} \times[k, k+1]$ consisting of $S^{1} \times D^{2} \times\{k+1\}$ together with the image of $S^{1} \times[0,1]$ under the continuous mapping $\theta_{k}$ defined by

$$
\theta_{k}(z, t)=\left(t z^{d_{k}}+(1-t) z, t z, t+k\right) .
$$

We claim that $\theta_{k}$ is $1-1$, and from this it follows that the standard quotient map from $S_{k}$ to $T_{k}$ is a homeomorphism onto its image. So suppose that $\theta_{k}(z, t)=\theta_{k}\left(z^{\prime}, t^{\prime}\right)$. Equating the third coordinates, we see that $t+k=t^{\prime}+k$, so that $t=t^{\prime}$. Now equating the second coordinates, we see that $t z=t z^{\prime}$ so that either $t=0$ (and hence $t^{\prime}=0$ or else $z=z^{\prime}$; in the second case we are finished, so assume that $t=0$ and look at the first coordinates. When $t=0$ the first coordinate equation reduces to $z=z^{\prime}$, so we have shown that $(z, t)=\left(z^{\prime}, t^{\prime}\right)$ must always hold. - Continuing, we see that the union $\cup_{j \leq k} T_{k}$ is homeomorphic to $E_{k}$, and if we set $T=\cup_{k} T_{k}$ we have a 1-1 onto continuous mapping $E \rightarrow T$. Projection onto the final coordinate in $\mathbb{R}^{5}=\mathbb{C} \times \mathbb{C} \times \mathbb{R}$ yields a continuous mapping from $T$ to $[0, \infty)$ such that the composite $E \rightarrow T \rightarrow[0, \infty)$ has all the right properties. Furthermore, this mapping sends the inverse image of $[0, k)$ homeomorphically to the inverse image of $[0, k)$ for all $k$, and from this one can prove that the map $E \rightarrow T$ is actually a homeomorphism (but this will not be needed to carry out the computations).

We now need to verify the assertion about the maps in fundamental groups associated to the inclusions $E_{k} \rightarrow E_{k+1}$. To start, we claim that for each $k$ the inclusion $S^{1} \times\{0\} \times\{k+1\} \subset S_{k}$ is a deformation retract. Since we know that the inclusion $S^{1} \times\{0\} \times\{k+1\} \subset S^{1} \times D^{2} \times\{k+1\}$ is a deformation retract, it will suffice to show that $S^{1} \times D^{2} \times\{k+1\} \subset S_{k}$ is a deformation retract. This follows because $S_{k}=F_{1} \cup F_{1}$, where $F_{1}=S^{1} \times D^{2} \times\{k+1\}$ and $F_{2}$ is homeomorphic to $S^{1} \times[0,1]$ such that $S^{1} \times\{1\}$ corresponds to $F_{1} \cap F_{2}$. We can now proceed by induction on $j$ to show that the inclusion

$$
S^{1} \times\{0\} \times\{k+1\} \subset \bigcup_{i=j}^{k} E_{i}
$$

is a deformation retract for $j=k, k-1, \cdots, 1$. Furthermore, it also follows that $S_{k+1} \subset E_{k+1}$ is a deformation retract.

The algebraic implication of the preceding paragraph is that the homomorphism $\pi_{1}\left(E_{k}\right) \rightarrow$ $\pi_{1}\left(E_{k+1}\right)$ is equivalent to the homomorphism $\pi_{1}\left(S^{1} \times\{0\} \times\{k+1\}\right) \rightarrow \pi_{1}\left(S_{k+1}\right)$ induced by inclusion. Since the composite of this inclusion with the retraction $E_{k+1} \rightarrow S^{1} \times\{0\} \times\{k+2\}$ has degree $d$, it follows that all the homomorphisms of fundamental groups in this paragraph are equivalent to multiplication by $d_{k}$ on $\mathbb{Z}$.

Note. Some of the mappings constructed in (iii) do not preserve basepoints particularly well, but this will not cause problems because in all cases the spaces are homotopy equivalent to $S^{1}$. This means that their fundamental groups are abelian and there are unique change of basepoint isomorphisms.
(iv) Each subset $S_{k}$ is compact, and since $E_{k}$ is a quotient of a finite union of subsets homeomorphic to $S_{1}, \cdots, S_{k}$, it follows that $E_{k}$ is also compact. Furthermore, if $K \subset E$ is compact then its image in $[0, \infty)$ will also be compact, and since this image is contained in some closed interval $[0, M]$ it follows that $K \subset E_{M}$ for some $M$. The statements about the topology of $E$ all follow from the fact that $E$ is homeomorphic to $T$ (but we shall not need these in the next step, which is the last one).
$(v)$ We have shown that the diagram of fundamental group maps is the same as the algebraic diagram considered in $(i)$, so by $(i)$ it is only necessary to check that it satisfies properties (2) and (3) in (i). These follow from the Compact Supports Property for fundamental groups (Proposition VIII.1.12) and the fact that every compact subset of $E$ is contained in some $E_{k}$..
(vi) Everything will go through if we modify the definition of the integer sequence $d_{k}$; specifically, if we are only interested in fractions which are monomials in $S$ we can take $d_{k}$ to be the product of the first $k$ primes in $S$ if $|S| \geq k$ and taking $d_{k}$ to be the product of all the primes in $S$ if $|S|<k$. If we now define $c_{k}$ as before to be $d_{1} \cdots d_{k-1}$ for the new sequence $\left\{d_{k}\right\}$, then $S^{-1} \mathbb{Z}$ will be the union of the sets $c_{k}^{-1} \mathbb{Z}$.

