

# Smooth Circle Actions on

## 3-Manifolds

We want to create a fairly large family of compact oriented smooth manifolds  $M^3$  which admit fixed point free actions of  $S^1 =$  unit complex numbers.

Smooth group action = smooth map  
 $\Phi: G \times M \longrightarrow M$   $G$  compact Lie

s.t. if  $g \cdot x = \Phi(g, x)$ , then

$$g_2(g_1 x) = (g_1 g_2) x, \quad 1x = x.$$

Each map  $L_g(x) = g \cdot x$  is a diffeomorphism  
and  $(L_g)^{-1} = L_{g^{-1}}$ .

Fixed point set = all  $x \in M$  s.t.  $gx = x$   
for each  $g \in G$ . Write as  $M^G$ . Fixed  
point free  $\iff M^G = \emptyset$ .

Isotropy subgroup at  $x \in M$  is all  $g \in G$  s.t.  $gx = x$ , written  $G_x$  SUBSCRIPT  
 The orbit of  ~~$x$~~   $x$  under  $G$ , written  $G \cdot x$  is the set of all points  $g \cdot x$  where  $g$  runs through the elements of  $G$ .  $G \cdot x \cong G/G_x$  (in fact smoothly — when  $G = S^1$  or  $G$  is finite one can see this directly).

Basic examples are principal  $S^1$ -bundles = unit circle bundles in complex line bundles. However, there are others:  $S^3 =$  unit sphere in  $\mathbb{C}^2$ .  $S^1(t^a + t^b) = S^3$  with the circle action  $z(w_1, w_2) = (w_1^a, w_2^b)$  where  $a \neq b$  are

relatively prime.

Orbit structure  $S^1/\mathbb{Z}_a \times \{1\} \subseteq T^2 \subseteq S^3$   
 $S^3$  ( $T^2 =$  all  $(w_1, w_2)$  with  $|w_1| = |w_2|$ ).  
 $\{1\} \times S^1/\mathbb{Z}_b \subseteq T^2 \subseteq S^3$ . All other orbits are free; i.e. the isotropy subgroups are  $\{1\}$ .

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Note  $S(t^a + t^b) \cong S^2$ . To see this, consider the following commutative diagram in which the vertical arrows are orbit space projections:

$$\begin{array}{ccc}
 S(t^a + t^b) & \xrightarrow{f} & S(t^{ab} + t^{ab}) \\
 \downarrow & & \downarrow \\
 S(t^a + t^b)/S^1 & \xrightarrow{\bar{f}} & S(t^{ab} + t^{ab})/S^1 \\
 & & \cong S^2
 \end{array}$$

[a, b rel. prime]

~~Here~~ Here  $f(w_1, w_2) = \frac{1}{\sqrt{|w_1|^{2b} + |w_2|^{2a}}} (w_1^b, w_2^a)$

It is a straight forward elementary (but slightly messy) exercise to prove that  $\bar{f}$  is 1-1 onto.

ANOTHER EXAMPLE The Poincaré  
homology 3-sphere  $S^3/A_5$ .

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Generalities  $G =$  connected Lie group  
 $H =$  finite subgroup, so  $G \rightarrow G/H$  is a  
 regular covering space projection (in fact,  
 smooth). Let  $K \subseteq G$  be a closed subgroup  
 and let  $K$  act on  $G/H$  by  $k \cdot gH = (kg)H$ .

What is the isotropy subgroup  $K_{gH}$ ?

$k \cdot gH = gH \Leftrightarrow g^{-1}kg \in H$ , so the isotropy  
 subgroup is  $K \cap gHg^{-1}$ .

Suppose now that  $G = SO_3$ ,  $H = A_5$ ,  
 $K = SO_2 \cong S^1$ . Every element of  $A_5$  has  
 order 1, 2, 3 or 5, ~~and the isotropy subgroup with the~~  
~~is cyclic~~ and every finite subgroup of  $S^1$   
 is cyclic. Therefore the only possible isotropy  
 subgroups for the  $SO_2$  action on  $\Sigma^3 = SO_3/A_5$   
 are the cyclic subgroups of order 1, 2, 3, 5.

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Now every element of  $SO_3$  is conjugate to a plane rotation, and this means that every element lies in a conjugate of  $SO_2$ . If we apply this to elements of  $A_5$ , we see that we can find group elements  $g \in SO_3$  such that

$H \cap g^{-1}Kg$  is an arbitrary cyclic subgroup of  $H$ , or equivalently there are group elts.  $g$  such that  $K \cap gHg^{-1}$  has order 1, 2, 3, 5 (for suitable, distinct choices — not the same  $g$  for all!).

CLAIM: There is only one orbit with isotropy subgroup  $\mathbb{Z}_a$  where  $a = 2, 3, 5$ .

Every orbit with isotropy subgroup  $\mathbb{Z}_a$  corresponds to some  $g \in G [= SO_3]$  such that  $H \cap g^{-1}Kg$  has order equal to  $a$ . Suppose we are given  $g_1, g_2$  s.t.  $L_i = H \cap g_i^{-1}Kg_i$  have order  $a$  [equivalently, the same for  $K \cap g_iHg_i^{-1}$ ].

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Then  $L_1$  and  $L_2$  are conjugate in  $H$ , so let  $h_0 \in H$  be such that  $h_0^{-1} L_1 h_0 = L_2$ . Then we have  $H \cap g_2^{-1} K g_2 = H \cap h_0^{-1} g_1^{-1} K g_1 h_0$ .

In particular, this means that

$$g_2^{-1} K g_2 \cap h_0^{-1} g_1^{-1} K g_1 h_0 \neq \{1\}.$$

and since conjugates of  $S O_2$  are equal if their intersections are more than  $\{1\}$ , it follows

that  $g_2^{-1} K g_2 = h_0^{-1} g_1^{-1} K g_1 h_0$ . The

latter implies that  $g_2 (g_1 h_0)^{-1}$  lies in the normalizer of  $K$ .

By the structure of  $H$  inside  $S O_3$  we know that there is an element  $h_1 \in N(g_2^{-1} K g_2) \cap H$  with  $h_1 \notin g_2^{-1} K g_2 \cap H$ , and it follows that  $g_2 h_1 g_2^{-1}$  lies in  $N(K)$  but not in  $K$ . Note that  $N(K)/K \cong \mathbb{Z}_2$ .

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We are trying to prove that the orbits  $Kg_1H$  and  $Kg_2H$  are equal. There are two cases.

Case (i)  $g_2(g_1h_0)^{-1} \in K$  — Then  
 $k = (g_1h_0)^{-1}g_2^{-1} \in K$  too, so we have  
 $k g_2 H = g_1 h_0 H = g_1 H$ , so  $Kg_2 H = Kg_1 H$ .

Case (ii)  $g_2(g_1h_0)^{-1} \in N(K)$  but not  $K$ .  
Let  $h_1$  be as above. Then we must have  
 $(g_2h_1g_2^{-1})g_2(g_1h_0)^{-1} \in K$ , so that  
"  $(g_2h_1)(g_1h_0)^{-1}$

$k' = (g_1h_0)(g_2h_1)^{-1} \in K$  too. We can now argue as in Case (i) to show that  $Kg_1H = Kg_2H$ .