

TOPOLOGY SEMINAR, 1/26/14

Problem Find a set of "axioms" to characterize the closed interval $[0, 1]$.

Theorem. X compact, connected, metrizable topological space. Then $X \cong [0, 1] \iff$

- ① there are exactly two points p, q s.t. $X - \{p\}$ & $X - \{q\}$ are connected.
- ② for all other points, $X - \{x\}$ has two components, each of which meets $\{p, q\}$ in a single point.

Idea Define a linear ordering on X with $p = \text{minimum elt}$, $q = \text{maximum elt}$.

Show the order topology equals the given topology, and the space is homeo to $[0, 1]$.

Ordering u, v neither is p or q .

Say $u \leq v$ if the connected component of $X - \{u\}$ containing p is a subset of the connected component of $X - \{v\}$ cont. p .

CLAIM This is a linear ordering.

Reflexive - Trivial. Transitive - Same

Trichotomy - Say $u \neq v$; then either

v is in the component of $X - \{u\}$ containing p
or v is in the component of $X - \{u\}$ cont. q .

In the first case, $v < u$. Suppose that the second alternative holds; let $L_v + U_v$ be the components of $X - \{v\}$ containing p + q respectively, and likewise for $L_u + U_u$. Then our hypothesis translates to $v \in U_u$; we want to show $u \in L_v$. But $u \in U_v \Rightarrow$ the connected set L_u must be contained in the component U_v of $X - \{v\}$ since $v \notin L_u$. This implies

$p \in L_u \subseteq U_v$. But $p \notin U_v$ by the hypotheses, so we have a contradiction. Therefore we must have $u \in L_v$, so that $u < v$. ■

Note that $L_u + U_u$ are open in $X - \{u\}$ \Rightarrow they are open in X .

CLAIM Order topology = given topology.

Let $J: (X, T) \rightarrow (X, \leq)$ be the identity. Since X is compact Hausdorff & (X, \leq) is Hausdorff, it suffices to show J is continuous. What are ^{basic} open subsets in (X, \leq) ?

$$U_v, L_v: v \in X - \{p, q\} \\ X - \{p\}, X - \{q\}.$$

In each case, the sets are open in the original topology T on X , so J is cont.

Since it is 1-1, onto, it must be a homeo. ■

For the next step, we use the fact that X is second countable. Let D be a countable dense subset.

Note that if $u < v$ in X , then $\exists d \in D$
s.t. $u < d < v$.

PROOF Need only show the open interval
 (u, v) is nonempty. Suppose not. Then
 $p \leq u < v \leq q \Rightarrow X$ is the union of the
disjoint closed subsets $[p, u] \cup [v, q]$, which means
 X is disconnected.

We might as well assume $p, q \in D$ and
take a 1-1 correspondence $D \leftrightarrow \mathbb{N}$ s.t.
 $p = d_0, q = d_1$.

Define a 1-1 order-preserving correspondence
 $D \leftrightarrow$ finite binary fractions

$$a_0 + \frac{a_1}{2} + \dots + \frac{a_k}{2^k} + \dots + \frac{a_N}{2^N} \text{ (finite)!}$$

[if $a_0 = 1$ then $a_i = 0$ for $i \geq 1$]

$d_0 \xrightarrow{f} 0$ $d_1 \xrightarrow{f} 1$. Suppose d_0, \dots, d_h defined,
 $h \geq 1$. Consider d_{h+1} . $\exists d_i + d_j$ s.t.

$$d_i < d_{h+1} < d_j \text{ but } l \leq h \text{ then}$$

$$d_i < d_l < d_j \text{ is FALSE.}$$

(up to iso, only one lin ordered set w/ $(k+1)$ elts.)

$$\text{Set } f(d_{k+1}) = \frac{1}{2} (f(d_i) + f(d_j)).$$

By construction f is 1-1. Claim f is onto

$$\begin{aligned} \textcircled{1} \quad u, v \in \text{Image } f &\Rightarrow \text{so is } \frac{u+v}{2}, \text{ for} \\ u = f(d_i) + v = f(d_j) &\Rightarrow (u, v) \cap D \neq \emptyset \\ &\Rightarrow \text{for some } k, f(d_{k+1}) = \frac{1}{2} (f(u) + f(v)). \end{aligned}$$

$$\begin{aligned} \textcircled{2} \quad \{0, 1\} \subseteq \text{Image } f &\Rightarrow \{0, \frac{1}{2}, 1\} \subseteq \text{Image } f \\ &\Rightarrow \{0, \frac{1}{4}, \frac{1}{2}, \frac{3}{4}, 1\} \subseteq \text{Image } f \text{ etc...} \end{aligned}$$

Let $g: \text{Finite binary fractions} \xrightarrow{\cong} D \subseteq X$
inverse to f ; g is strictly \uparrow .

Now extend f to all of X as follows:

$$x \neq p, q \Rightarrow F(x) = \text{L.U.B. } f(d).$$

$$\begin{matrix} d \in L_x \Leftrightarrow [d \in D + d < x] \\ d \in D \end{matrix}$$

CLAIM: $\textcircled{1} \quad x \neq p, q \Rightarrow 0 < F(x) < 1.$

$\textcircled{2} \quad F$ is strictly increasing.

$\textcircled{3} \quad F(d) = f(d)$ if $d \in D.$

$\textcircled{4} \quad F$ is onto

PROOFS (2) Say $x < y$. Then $\exists d^*$ s.t. $x < d^* < y$, so $\{f(d) \mid d < x\} \neq \{f(d) \mid d < y\}$ since f is 1-1. (9)

But $\{f(d) \mid d < x\} \subseteq \{f(d) \mid d < y\}$, so we must have proper containment and hence $F(x) < F(y)$ \blacksquare

(1) If $x < \frac{1}{2}$, then $x < y < \frac{1}{2}$ for some y , so $F(x) < F(y) \leq 1$ \blacksquare

(3) Need to show $f(d) = \text{LUB}\{f(e) \mid e < d, e \in D\}$

Since f is strictly increasing $f(d) > f(e)$ if $e \in D + e < d$, so $f(d) \geq F(d)$. If $f(d) > F(d)$ then $\exists e \in D$ s.t. $f(d) > f(e) > F(d)$, and we have $d > e$. By definition we would have $F(d) \geq f(e)$, a contradiction. Hence $f(d) \leq F(d)$ + we must have $f(d) = F(d)$. \blacksquare

(4) Take a sequence of intervals $[a_n, b_n]$ s.t. $[a_n, b_n] \supseteq [a_{n+1}, b_{n+1}]$
 $a_n, b_n \in D^*$, $\cap [a_n, b_n] = \{t\}$.
 $(0 < t < 1)$. $\leftarrow D^* \subseteq [0, 1]$ binary fractions.

The inverse image of $[a_k, b_k]$ in X is a closed interval because $a_k, b_k \in F[D]$.

Since $E_k \supseteq E_{k+1}$, by compactness we must have $\bigcap E_k \neq \emptyset$, and if $x \in \bigcap E_k$ then $F(x) = t$ because of ② + ③. ■

CONCLUSION F is an order preserving 1-1 correspondence $X \rightarrow [0, 1]$.

Since $(X, \tau) = (X, \leq)$ this means that F must be a homeomorphism. ■