

### 3. Tangential thickness and normal invariants

Suppose that  $M$  and  $N$  are closed ~~pieces~~  
 $n$ -manifolds and  $h \geq 3$  is such that  $n+h \geq 6$ .

Surgery theory then yields the following criteria  
 for  $M \times \mathbb{R}^h$  and  $N \times \mathbb{R}^h$  to be homeomorphic  
 (and  $k$ )

PROPOSITION 3.1. If  $M$  and  $N$  are as above,

then  $M \times \mathbb{R}^h$  is homeomorphic to  $N \times \mathbb{R}^h$  if and

only if the compact bounded manifolds  $M \times D^h$

and  $N \times D^h$  are  $h$ -cobordant in the following

sense: There is a compact manifold with

boundary  $X^{n+h+1}$  and a compact manifold with

boundary  $W^{n+h} \subseteq \partial X$  such that the following

hold:

(i)  $\partial W^{n+h}$  is a disjoint union of  $M \times S^{h-1}$  and  $N \times S^{h-1}$  homeomorphic to

and  $N \times S^{k-1}$

$$(ii) \quad \partial X^{m+k+1} \cong M \times D^k \cup W \cup N \times D^k$$

where  $M \times D^k \cap W = M \times S^{k-1}$  and

$$N \times D^k \cap W = N \times S^{k-1}.$$

(iii) The inclusion of pairs  $(M \times D^k, M \times S^{k-1})$

$$\subseteq (\partial X, W) \subseteq (X, W) \text{ and } (N \times D^k, N \times S^{k-1})$$

$\subseteq (\partial X, W) \subseteq (X, W)$  are homotopy

equivalences of pairs.

Sketch of proof: This is fairly standard. If  $M \times R^k$

and  $N \times R^k$  are homeomorphic, then the homeomorphism

maps  $M \times D^k$  into some large disk subset  $N \times r \cdot D^k$ ,

where  $r \cdot D^k$  is the disk of radius  $r$  for some very

large value of  $r$ . Let  $W$  be the bounded manifold

$N \times r \cdot D^k - \text{Interior}(M \times D^k)$ , and take  $X$  to be

$N \times D^h \times [0, 1]$ . The decomposition of  $\partial X$  in

(iii) is then given by identifying  $M \times D^h$

with  $M \times D^h \times \{0\}$ ,  $W$  with  $W \times \{0\}$ , and

$N \times D^h$  with  $N \times D^h \times \{1\} \cup N \times \partial(D^h) \times [0, 1]$ .

It is then fairly straightforward to check that the

inclusions in (iii) are homotopy equivalences of

pairs. Conversely, if we are given  $X$  as in the

theorem, then since  $h \geq 3$  and  $n+h \geq 6$  one can

apply theorem, then it follows that  $X - \text{Interior}(W)$

is a proper  $h$ -cobordism from  $M \times \text{Interior}(D^h) \cong$   
 $\cong N \times R^h$  Submanifolds simple types

$M \times R^h$  to  $N \times \text{Interior}(D^h)$ , in the sense of [7],

and by the proper  $h$ -cobordism theorem of [7] it

follows that  $M \times R^h$  and  $N \times R^h$  are homeomorphic.  $\blacksquare$

This result allows us to analyze the homotopy-

COMPLEMENT 3.2. Similar results are true

in the categories of piecewise linear (PL) or  
smooth manifolds if we stipulate that all manifolds  
lie in the given category and the homeomorphisms  
are (respectively) PL homeomorphisms or  
diffeomorphisms.

This is true because one has analogs of the  
 proper topological proper h-cobordism theorem  
 in the PL and smooth categories (<sup>in fact</sup> indeed, they  
 predate the topological version). In the smooth  
 category there are some issues involving <sup>about rounding</sup> corners in a product of two bounded smooth manifolds,

but there are standard ways of addressing such points  
 CONNER DIFFERENTIABLES DONADY-HERAULT  
 (e.g., see [ 1.3 ] or the treatment in [ ] ). ■  
 SECTION SIGN

These results lead to the use of surgery-theoretic structure sets; the latter are defined for closed manifolds in [RANICKI 1982], pp. 17–18], and one can study the bounded case using homotopy

equivalences of pairs as in Chapter 10 of

INSERT 3.5A TO 3.5D

Wall's book [W]. Specifically, Proposition

3.1 translates into the following statement.

PROPOSITION 3.8. Let  $M, N$  and  $k$  be PL and closed connected

or topological manifolds, let  $k \geq 3$  and  $\dim M =$

$\dim N \geq 5$ , and let  $f: M \rightarrow N$  be a homotopy

equivalence. Then  $M \times D^k$  is PL homeomorphic

(resp., homeomorphic) to  $N \times D^k$  if and only if

the normal invariant  $[N, G/PL]$  (resp.)

$[N, G/Top]$ ) lies in the image of  $[N, G_k]$  under

In order to translate Proposition 3.1 and Complement 3.2 into the language of structure sets, we need to ~~the~~ work with certain function spaces. Following James [1], we shall denote the identity component of the continuous function space  $\mathcal{F}(S^{k-1}, S^{k-1})$  by  $SG_k$ , and  $SF_{k-1}$  will denote the

~~3.8B~~  
3.5B

subspace of base point preserving maps.

JAMES

and

[ ] DAVIS STASHEFF

By the results of [ ], there is a sum

fibration  $SF_{k-1} \rightarrow SG_k \rightarrow S^{k-1}$  and

a corresponding classifying space fibration

$S^{k-1} \rightarrow BSF_{k-1} \rightarrow BSG_k$ . The space

of degree zero basepoint preserving self-maps

is homeomorphic to the iterated loop space  $\Omega^{h-1} S^{k-1}$

and the map  $W\Omega^{h-1} S^{k-1} \rightarrow SF_{k-1}$  sending

$f: S^{k-1} \rightarrow S^{k-1}$  to the composite

PUT ON A SINGLE LINE  $S^{k-1} \xrightarrow{\text{PINCH}} S^{k-1} \vee S^{k-1} \xrightarrow{\text{FOLD}} S^{k-1} \vee S^{k-1}$

is a homotopy equivalence; it is important

to note that this homotopy equivalence does not

send the loop sum on  $\Omega^{h-1} S^{k-1}$  to  $SF_{k-1}$ .

~~Get back to head, 5th ed. of Homotopy Theory~~

~~Opposite of the Hovey construction [Section XI.4]~~

3.5C

The unreduced suspension functor defines

continuous homomorphisms  $S\mathcal{G}_k \rightarrow S\mathcal{F}_{k+1}$ ,

and if  $\delta^{k-1} \circ^{k-1} \rightarrow S^k S^k$  is the suspension map induced by the suspension adjoint  $\circ^k S^{k-1} \rightarrow$

$S^k S^k$ , then we have the following homotopy  
in which  $S^m Y$  is the path component of the constant map in  $S^m Y$ .

commutative diagram:

$$\begin{array}{ccccc}
 & \delta_0^{k-1} S^{k-1} & \xrightarrow{\quad \delta^k \circ \quad} & S_k^{k-1} \\
 (3,3) \downarrow & \downarrow w_{k-1} & & \downarrow w_k & \\
 S\mathcal{F}_{k-1} & \longrightarrow & S\mathcal{G}_k & \longrightarrow & S\mathcal{F}_k .
 \end{array}$$

The preceding chain of inclusion maps can be extended by adjoining  $S\mathcal{G}_{k+1}$  on the right, and if we take limit we obtain a topological monoid that is denoted by  $S\mathcal{G}$  or  $S\mathcal{F}$ .

With this preparation, we can restate Proposition 3.4 in the piecewise linear PL

3.5D

With this preparation, we can restate  
Proposition 3.1 and Complement 3.2 in the  
piecewise linear (PL) and topological categories  
as follows:

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under the map induced by the composite

$$G_k \rightarrow G \rightarrow G/\text{PL} \quad (\text{resp., } G_k \rightarrow G \rightarrow G/\text{Top}).$$

There is an analog of this result in the smooth category, but the proof is longer and we shall not need the smooth version of Proposition 3.3.

PROOF. We begin with the case of the PL

category since the argument is simpler and but  
to be

also contains the ideas employed in the topological category. Given a homotopy equivalence

$f: M \rightarrow N$ , we want to consider the homotopy

structure on  $N \times D^k$  given by the product map

$f \times \text{id}(D^k)$ . Standard properties of normal

invariants imply that  $\eta[f \times \text{id}(D^k)] = \rho^* \eta(f)$ ,

where  $\eta^{[***]}$  denotes the normal invariant and

$p^*: [N \times G/\text{PL}] \rightarrow [N \times D^k, G/\text{PL}]$  is induced

by the coordinate projection map  $\pi: N \times D^k \rightarrow N$ ,

since  $D^k$  is contractible, the map  $p^*$  is an

isomorphism. Since  $k \geq 3$  it follows that

the maps  $\pi_i: (N \times S^{k-1}) \rightarrow \pi_i(N \times D^k)$  are

isomorphisms for  $i = 0, 1$ , and hence the  
WALL BOOK

$\pi - \pi$  Theorem of [ ] Chapter 4 implies

that the normal invariant map

$$\overset{\text{(b)BS)}{\circlearrowleft} (N \times D^k) \rightarrow [N \times D^k, G/\text{PL}]$$

is 1-1 and onto. By the Embedding Theorem

[BROWDER]

of

Browder, Carlson, Haefliger, Sullivan and Wall

ROURKE

[ , (8.10), p. 161] there is a block bundle [ ]

$k$ -dimensional

over  $N$ , say  $\xi$ , and a PL homeomorphism  $\varphi$  from  
its total space  $E(\xi)$  to  $M \times D^k$  such that

$[f \times id(D^k)] \circ \varphi$  is homotopic to the identity.

These data correspond to unique

$[N, G_k / \widetilde{PL}_k]$  with the following properties:

(i) The image of  $\alpha$  in  $[N, G/PL]$

under a canonical stabilization map

$$G_k / \widetilde{PL}_k \rightarrow G/PL \text{ (which is a}$$

homotopy equivalence) is the normal

invariant  $m(f)$ .

(ii) The image of  $\alpha$  in  $[N, \widetilde{BPL}_k]$  under

$$G_k / \widetilde{PL}_k \rightarrow \widetilde{BPL}_k$$

classifies the block bundle  $\xi$ .

By the

The classifying class in  $[N, \widetilde{BPL}_k]$  determined by

$\xi$  is the trivial class if and only if

the constant

In the theory of block bundles, a block bundle  $\xi$

is trivial if and only if  $E(\xi)$  is PL

homeomorphic to  $N \times D^n$ . Therefore  $M \times D^n$  is

PL homeomorphic to  $N \times D^n$  if and only if the

image of  $\alpha$  in  $[N, \text{BPL}_k]$  is trivial; since

the latter is true if and only if  $\alpha$  lies in the

image of the map  $[N, G_k] \rightarrow [N, G_k/\text{PL}_k]$ ,

the result follows in the PL category.

The proof in the topological category is similar, but one must replace the theory of

PL block bundles with a corresponding theory

~~EDWARD S. ANDERSON  
TOP REGULAR NEIGHBORHOODS~~

of topological regular neighborhoods as in [

~~EDWARD S.  
TOP REG NBHD~~

~~RAMSEY~~

and [19]. As noted in [7], p. 174],

the stabilization map  $G_k/\text{Top} \xrightarrow{\sim} G/\text{Top}$

is a homotopy equivalence of  $k \geq 3$ .

EDWARDS  
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and [ ]. One crucial step in the PL proof uses the fact that the stabilization map

$G_k/\widetilde{PL}_k \rightarrow G/PL$  is a homotopy equivalence

if  $k \geq 3$ ; the corresponding fact for the map

BOURKE SANDERSON  
TOP NBDS

$G/\widetilde{Top}_k \rightarrow G/Top$  is contained in [ ]. ■

If  $X$  is a finite cell complex, then

diagram (3.3) yields an isomorphism of sets

from the stable cohomotopy group  $\{X, S^0\}$  to

$[X, SG]$ , and under this isomorphism

the image of  $[X, SG_k] \rightarrow [X, SG]$  is

sandwiched between the images of the iterated

suspensions  $[S^{k-1}X, S^{k-1}] \rightarrow \{X, S^0\}$  and

$[S^k X, S^k] \rightarrow \{X, S^0\}$ . The results of [ ]

show that the images of  $[X, SG_k] \rightarrow [X, SG]$

corresponds to the image of  $[S^k X, S^k]$   $\rightarrow$   
 $\{X, S^0\}$  if  $\dim X \leq 2k - 2$ . We shall also  
need the following criteria for determining  
whether a class in  $[X, SG]$  lifts back to

$[X, SG_k]$ :

PROPOSITION 3.5. Let  $X$  be a connected  
finite complex, and let  $\alpha \in [X, SG]$  be a  
class such that  $\alpha$  lifts to  $[X, SG_3]$ ; take the  
group structures on these spaces induced by  
on the function spaces

the composition products,  $\mathcal{F}(S^3, S^3)$  and

$\lim_{m \rightarrow \infty} \mathcal{F}(S^m, S^m)$ . Then  $\alpha = \alpha_1 \alpha_2$  where

$\alpha_2$  lies in the image of  $[X, S^0] \rightarrow [X, SG]$

(where  $S^0$  is the group  $\lim_{m \rightarrow \infty} S^0_m$ ) and

$\alpha_1$  corresponds to an element in the image of

$$[S^2 X, S^2] \rightarrow \{X, S^0\}.$$

PROOF. It will suffice to show that the images of  $[X, SG_3]$  and  $[X, SF_2]$  in  $[X, G/0]$  are equal, for this implies that the image of  $[X, SG_3]$  in  $[X, SG]$  is generated by  $[X, SF_2]$  and  $[X, SO]$ , and by (3.3) the image of  $[X, SF_2]$  in  $[X, SG]$  corresponds to the image of  $[S^2 X, S^2]$  in  $\{X, S^0\}$ .

We begin with the following commutative diagram whose rows are, fibrations:

$$\begin{array}{ccccccc} SO_2 & \longrightarrow & SO_3 & \longrightarrow & S^2 & \longrightarrow & BSO_2 \rightarrow BS_0 \\ \downarrow & & \downarrow & & \downarrow = & & \downarrow \\ SF_2 & \longrightarrow & SG_3 & \longrightarrow & S^2 & \longrightarrow & BSF_2 \rightarrow BSG_3 \end{array}$$

It follows that the fibers of  $BSO_2 \rightarrow BSF_2$  and  $B_0 \rightarrow BSG_3$ , which are  $SF_2/SO_2$  and

$SF_3/SO_3$ , are homotopy equivalent. Since

$SF_3$

the map  $SO_3 \rightarrow SO$  is well known to be

2-connected and  $SG_3 \rightarrow SG$  is also 2-connected

James

by [ ], it follows that  $SG_3/SO_3 \rightarrow G/O$  is

also 2-connected; and therefore  $\pi_1(SG_3/SO_3) \cong$

$\pi_1(G/O) = \{0\}$ , so that  $SF_2/SO_2$  is also simply

connected. Furthermore, since  $SO_2$  is aspherical

it follows that the composite of the universal

covering space projection  $\tilde{SF}_2 \xrightarrow{\sim} SF_2$  and the

canonical map  $SF_2 \rightarrow SF_2/SO_2$  is a homotopy

equivariance. Thus we have shown that the images

of  $[X, G/O]$

of  $[X, SG_3]$  and  $[X, \tilde{SF}_2]$  in  $[X, G/O]$  are equal.

Finally, since the image of  $[X, \tilde{SF}_2]$  lies between

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the images of  $[X, \widetilde{SF}_2]$  and  $[X, G_3]_n$ , it follows

that the images of all three of these groups in  
 $[X, G/\partial]$  must coincide.  $\blacksquare$

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~~the image of  $\alpha_1$ . Furthermore, since~~

~~$\alpha_1$  lies in the image of  $[X, SG_2]$ , and by~~

~~(3.3) it follows that  $\alpha_1$  corresponds to an~~

~~element in the image of  $[S^{2k} X, S^2] \rightarrow \{X, S^0\}$ .~~

PROPOSITION 3.6. Let  $p$  be an odd prime, let

$h \geq 2$ , and let  $\alpha \in [X, SG]$  be an element of order

$p^r$  for some  $r > 0$ . Then  $\alpha$  lies in the image

of  $[X, SG_{2h}] \rightarrow [X, SG]$  if and only if

$\alpha$  corresponds to an element in the image

of  $[S^{2k-1} X, S^{2k-1}] \rightarrow \{X, S^0\}$ .

PROOF. We shall work with  $p$ -localizations in

SULLIVAN  
1970 MIT.

The sense of Sullivan [ ]. Since connected

at  $p$  it is  
H-spaces all have good localizations, we can

these spaces

meaningful to talk discuss,  $SG_{(p)}$ ,  $SG_{2k(p)}$ )

$SF_{2k-1(p)}$ ,  $\Omega^{2k-1}_{(p)}$ , and  $SO_{2k(p)}$ ,  $S^\infty_0 S^\infty_{(p)}$

and  $S\Omega_0^{2k-1} \Omega^{2k-1}_{(p)}$ , where  $S\Omega_0^m X$  denotes

the component of the constant map in the  
iterated loop space  $\Omega^m X$ . Note that if  $W$  is

an  $n$ -wise connected H-space whose homotopy  
naturally homotopy equivalent to  
groups are all finite, then  $W$  is the weak

product of its localization  $W_{(q)}$  at all primes  
in particular,

$q$ ; since this applies to the H-spaces  $SG \simeq S^\infty_0 S^\infty$

and

$$\del{SF_{2k-1}}{S\Omega_0^{2k-1}} \simeq S\Omega_0^{2k-1} \Omega^{2k-1}$$

Recall that we have a fibration

$$S\Omega_{2k-1} \rightarrow SO_{2k} \rightarrow \Omega^{2k-1}$$

and the tangent bundle of  $T(\Omega^{2k})$  corresponds

to a map  $\Omega^{2k-1} \rightarrow SO_{2k}$  such that

the composite  $\Omega^{2k-1} \rightarrow SO_{2k} \rightarrow \Omega^{2k-1}$  has degree 2.

Therefore the map

If we compose the map  $S^{2k-1} \rightarrow SO_{2k}$  with

the inclusion of  $SO_{2k}$  in  $SG_{2k}$ , then the and

the fibration  $SG_{2k} \rightarrow S^{2k-1}$ , the resulting

composite also had degree 2 and therefore the

map

$$SF_{2k-1} \times S^{2k-1} \rightarrow SG_{2k} \times SG_{2k} \xrightarrow{\text{mult}} SG_{2k}$$

becomes a homotopy equivalence when localized

at the odd prime  $p$ . Since  $S^{2k-1} \rightarrow SO_{2k} \rightarrow SO$

is nullhomotopic, it follows that the image of

$$[X, SG_{2k}] \text{ in } [X, SG]_{(p)} \cong [X, SG]_{(p)}$$

is equal to the image of  $[X, SF_{2k-1}]_{(p)}$ , which

corresponds to the image of  $[S^{2k-1} X, S^{2k}] \cong$

$[S^{2k-1} X, S^{2k}]_{(p)}$  in  $\{X, S^0\}_{(p)}$ ; note that the codomain

of  $\{X, S^0\}$  latter image is the Sylow  $p$ -subgroup, with respect to

The loop sum, and likewise the domain is the Sylow  $p$ -subgroup of  $[S^{2h-1}X, S^{2h-1}]$ . These observations imply that if  $\alpha \in [X, SG]$  is  $p$ -permutation with respect to the composition and lift to  $[X, SG_{2n}]$  product (which is homotopy abelian), then  $\alpha$  corresponds to an element of  $\{X, S^0\}$  which descends to  $[S^{2h-1}X, S^{2h-1}]$ .

We shall also need the following result:

**PROPOSITION 3.7.** If  $\alpha \in [X, SG]$  has odd order and lies in the image of  $[X, SG_3]$ , then  $\alpha$  is trivial.

The image of  $\alpha$  in  $[X, G/0]$  is trivial.

*the proof of*  
**PROOF.** By Proposition 3.5 we may assume that

$\alpha$  lies in the image of  $[X, \widetilde{SF}_2]$ , and since

the homotopy groups of  $\widetilde{SF}_{2n}$  are finite, we can say

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Since the finite abelian group  $[X, SG]$  splits into a product of the groups  $[X, SG]_{(q)}$  where  $q$  runs through all odd primes, it will suffice to prove the result when the order of  $\alpha$  is a power of some odd prime  $p$ .

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that  $\alpha$  corresponds to a class in  $\{X, S^0\}_{(p)}$

which lies in the image of  $[S^2 X, S^2]_{(p)}$ .

If  $h : S^3 \rightarrow S^2$  is the Hopf map, whose fiber is  $S^1$ , then composition with  $h$  defines a

homotopy equivalence from  $\Omega_0^2 S^3$  to  $\Omega_0^2 S^2$ ,

as before

where,  $\Omega_0^m Y$  denotes the arc component of the

constant map in  $\Omega^m Y$ . Therefore it follows that

$\alpha$  corresponds to a class in  $\{X, S^0\}_{(p)}$  which

lies in the image of the composite

$$h_* : [S^2 X, S^3]_{(A)} \longrightarrow [S^2 X, S^2]_{(B)}$$

factors homotopically

and hence  $\alpha$  may be written as a composite

$h \circ \beta$ , where  $\beta$  lies in  $\{X, S^1\}_{(p)}$  and

the stable group

$h$  denotes the image of  $h$  in  $\{S^3, S^2\}_{(p)} \cong \{S^1, S^0\}_{(p)}$ .

But since  $p$  is odd, the group  $\{S^1, S^0\}_{(p)}$  is trivial,  
 and therefore  $\alpha$  corresponds to the trivial element of  $\{X, S^0\}_{(p)}$ .  $\square$

Finally, since  $\{S^1, S^0\} \cong \mathbb{Z}_2$  we have

$\{S^1, S^0\}_{(p)} = \{0\}$ , and hence  $\alpha$  corresponds to the trivial element of  $\{X, S^0\}_{(p)}$ , where we interpret the latter ~~as a subgroup~~ as a subgroup of  $\{X, S^0\}$ . ■

Corollary 3.8. The same conclusion holds if

we replace  $G/O$  by  $G/\text{PL}$  or  $G/\text{Top}$ . ■