

#### 4. Tangential equivalence of lens spaces

Throughout this section  $p$  will denote a fixed odd prime.

We have already mentioned Falkman's

~~result which states that if two lens spaces  
of the same dimension are tangentially homotopy  
equivalent, then they are homeomorphic.~~

result on tangentially homotopy equivalent

lens spaces of sufficiently high dimension. In

this section we shall derive a stronger version

of Falkman's conclusion when the fundamental

groups of the lens spaces are isomorphic to  $\mathbb{Z}_p$ :

**PROPOSITION 4.1.** Let  $M$  and  $N$  be

$(2k-1)$ -dimensional lens spaces that are (stably)

tangentially homotopy equivalent, and assume

that  $k \geq p-1$ . Then  $M$  and  $N$  are diffeomorphic.

PROOF. The result of Folkman [ ] ~~sister~~ yield

that conclusion when  $k \geq 2p-1$ , so it is only necessary to prove the result when  $k \leq 2(p-1)$ , so

that  $(p-1) \leq k \leq 2(p-1)$ .

Let  $V$  and  $W$  be free representations of  $\mathbb{Z}_p$

such that the associated lens spaces  $L(V)$  and  
assume that  $V$  and  $W$  are at least 4-dimensional.  
 $L(W)$  are homotopy equivalent. The free  $\mathbb{Z}_p$ -

actions on the universal coverings  $S(V)$  and

$S(W)$  determine isomorphisms  $\gamma_V, \gamma_W$  from

$\pi_1(L(V))$  and  $\pi_1(L(W))$  to  $\mathbb{Z}_p$ , and if  $h$

is a homotopy equivalence from  $L(V)$  to  $L(W)$

then we obtain an automorphism  $\beta = \gamma_W h_* \gamma_V^{-1}$

of  $\mathbb{Z}_p$ . If  $\tilde{h}: S(V) \rightarrow S(W)$  is the associated

map of universal covering spaces, then

$\tilde{h}$  satisfies the semi-equivariance ~~condition~~ <sup>identity</sup>

$$\tilde{h}(g \cdot v) = \beta(g) \tilde{h}(v)$$

and if we define a new representation  $V'$  with

the same underlying vector space as  $V$  and a group

action given by  $g^* v = \beta^{-1}(g) \cdot v$ , then

~~The map  $f: S(V') \rightarrow S(W)$  is a homotopy equivalence.~~  
we may view  $\tilde{h}$  as a  $\mathbb{Z}_p$ -equivariant homotopy

equivariance from  $S(V')$  which equals  $S(V)$  as

~~Since  $f(V') = L(V)$ ,~~

a set, to  $S(W)$ . This means that we might as

well assume the representations  $V$  and  $W$  are

chosen so that  $\beta$  is the identity and  $\tilde{h}$  is

equivariant. This is important for computational

purposes because it yields the following commutative

diagram where the map from  $R_0(G) \rightarrow K_0(X)$  sends a

$\mathbb{Z}_p^+$ -  
representation  $V$  to the class of the trivial vector  
bundle  $X \times V$ :

$$RO(\mathbb{Z}_p) \longrightarrow KO_{\mathbb{Z}_p}(S(W)) \xrightarrow{\cong} KO(L(W))$$

$$\downarrow = \quad \tilde{h}^+ \downarrow \cong \quad h^+ \downarrow$$

$$RO(\mathbb{Z}_p) \longrightarrow KO_{\mathbb{Z}_p}(S(V)) \xrightarrow{\cong} KO(L(V)).$$

The horizontal arrows on the right are the standard isomorphisms  $KO_G(X) \cong KO(X/G)$  for a free  $G$ -space  $X$ .

The  $KO$ -groups in the diagram are given by results of T. Kanabe [1]; in that paper the complex  $K$ -groups are computed, but one can

extract the computations for  $KO$  because the reduced

$KO$  groups of  $L(V)$  and  $L(W)$  are finite  $p$ -primary abelian groups, which implies that  $KO(L(V))$  and

$KO(L(W))$  are isomorphic to the self-conjugate

elements in  $\tilde{K}(L(V))$  and  $\tilde{K}(L(W))$ . For our purpose, the most important aspects of the computations are that the maps from  $RO(\mathbb{Z}_p)$  to  $KO(L(V))$  and  $KO(L(W))$  are onto, and if

$$\subseteq RO(\mathbb{Z}_p)$$

$A$  denotes the common kernel of these maps, then

$A$  is contained in  $p \cdot RO(\mathbb{Z}_p) \subseteq RO(\mathbb{Z}_p)$ . Hence

we can adjoin the following commutative square

to the preceding diagram, and in the expanded

diagram the composites  $RO(\mathbb{Z}_p) \rightarrow KO(L(V)) \rightarrow$

$RO(\mathbb{Z}_p) \otimes \mathbb{Z}_p$ ,  $RO(\mathbb{Z}_p) \rightarrow KO(L(W)) \rightarrow RO(\mathbb{Z}_p)$

are the canonical maps induced by the ~~projection~~<sup>mod p reduction map</sup>

$$\mathbb{Z} \rightarrow \mathbb{Z}_p$$

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Let  $\zeta$  be a primitive root of unity  
in  $\mathbb{Z}_p^2$ , then the main results of J.F. Adams [ ]

The preceding discussion yields the following consequence. Since the <sup>stably</sup> tangent bundles of  $L(V)$  and  $L(W)$  are the images of  $V$  and  $W$  under the maps from  $\text{RO}(\mathbb{Z}_p)$  to  $\text{RO}(L(V))$  and  $\text{KO}(L(W))$ , the tangential homotopy equivalence  $h$  yields the condition  $[V] - [W] \in A$ , and hence it follows that  $V - W \in p \cdot \text{RO}(\mathbb{Z}_p)$ .

On the other hand, since  $h$  is a homotopy equivalence the bundle  $[V] - [W]$  is (stably) fiber since  $\text{RO}(L(V))$  and  $\text{KO}(L(W))$  are  $p$ -primary homotopically trivial; ~~and this is a result of~~ Adams [1] imply that  $[V] - [W]$  lies in the kernel of  $\psi^{r-1}$ , where  $\psi^r$  is the  $r$ th Adams operation. Since the

4.8

imply that any element of  $\tilde{KO}(L(V))$  or  $\tilde{KO}(L(W))$ ,  
represents a

a stably fiber homotopically trivial vector bundle if  
and only if it lies in the ~~kernel~~<sup>1-dimensional</sup> of  $\psi^r - id$ , where  
 $\psi^r$  is the usual Adams operation. Since the

non-trivial irreducible representations of  $\mathbb{Z}_p$

are 2-dimensional and given by the unitary

representations  $(\rho_k)(g, z) \xrightarrow{\text{sending}} g^{kz}$ , where

$1 \leq k \leq \frac{1}{2}(p-1)$ , it follows that  $\psi^r$  sends  $\rho_k$

(where  $p_{kr} = r \text{ mod } p$  and  $p_j = p - j$ )  
to  $\rho_{kr}$ . Therefore the action of  $\psi^r$

on  $KO(L(V))$  and  $KO(L(W))$  pairs to the

self map of  $RO(\mathbb{Z}_p) \otimes \mathbb{Z}_p$  sending  $\rho_k$  to

$\rho_{kr}$  for each  $k$ . Since  $r$  is a primitive root

of unity mod  $p^2$ , it follows that the images of

the stably fiber homotopically trivial elements in

$\text{RO}(\mathbb{Z}_p)/\text{RO}(1)$  are precisely the linear

combinations of the form  $m \cdot \sum p_j$ , where the

summation is over all  $j$  such that  $1 \leq j \leq \frac{1}{2}(p-1)$ .

Express  $V$  and  $W$  as direct sum of

irreducible representations  $\sum a_j p_j$  and  $\sum b_j p_j$

where  $a_j, b_j \geq 0$ . Since  $\dim V = \dim W \leq 4p-4$ ,

it follows that  $\sum a_j = \sum b_j \leq 2p-2$ . By the

preceding discussions we know that  $a_j - b_j \equiv 0 \pmod{p}$

for all  $j$  and that  $a_j \equiv a_1 \equiv b_1 \equiv b_j \pmod{p}$  for all  $j$ .

The final step is to show that there are very few sequences

$a_j, b_j$  satisfying all these conditions unless

and in all such cases the

$a_j = b_j$  for all  $j$ , or ~~all the ones~~ there are

lens spaces  $L(V)$  and  $L(W)$  are diffeomorphic.

~~it is hard to see why that  $a_j \neq b_j$  for  $j \neq 1$  and  $a_j \neq 0$~~

~~$b_j \neq 0$  for all  $j$~~

Suppose that  $\{a_j\}$  and  $\{b_j\}$  satisfy all the conditions given above. Since  $\sum a_j = \sum b_j \leq 2p - 2$ , there is at most one  $j_1$  such that  $a_{j_1} \geq p$  and at most one  $j_2$  such that  $b_{j_2} \geq p$ . Since  $a_j \neq b_j$  ( $p$ ), this forces the cor amphiis that either  $a_j = b_j$  for all  $j$  or else we have  $a_{j_1} - b_{j_1} = p$ ,  $b_{j_2} - a_{j_2} = p$ , and  $a_j = b_j$  otherwise. Furthermore, since  $a_j = a_{j_1}$  ( $p$ ) and  $b_j = b_{j_2}$  ( $p$ ) for all  $j$ , it follows that there are nonnegative constants such that  $c < p$ ,  $c = b_{j_1} = a_{j_2}$  and  $a_j = b_j = c$  for  $j \neq j_1, j_2$ . It follows that  $\sum a_j = \sum b_j = \frac{c(p-1)}{2} + p$ , twice the number is equal to  $\dim V = \dim W$ , and therefore the inequality  $\dim V = \dim W \leq 4p - 4$  implies that  $c = 0$  or  $c = 1$ . Also, since the right

hand side is  $\geq p$ , it follows that we have

eliminated the case where  $\dim V = \dim W = 2(p-1)$ ;

in other words,  $a_j = b_j$  in this case, so that

$L(V)$  is diffeomorphic to  $L(W)$ .

We shall extend the definitions of  $a_j$  and  $b_j$

to all non zero elements of  $\mathbb{Z}_p$  by setting  $a_{-j} = a_j$

and  $b_{-j} = b_j$ . With these conventions the

complexifications of  $V$  and  $W$  are given by

$$V \otimes \mathbb{C} = \sum a_j t^j, \quad W \otimes \mathbb{C} = \sum b_j t^j$$

where  $t^j$  is the 1-dimensional unitary representation

sending  $(z, v)$  to  $z^j v$ . Note that  $V$  and  $W$  are

equivalent orthogonal representations if and only if

$V \otimes \mathbb{C}$  and  $W \otimes \mathbb{C}$  are equivalent unitary representations.

The standard diffeomorphism criterion for line spaces

## COHEN SIMPLE HOMOTOPY

(see [ ]) implies that  $L(V)$  and  $L(W)$  are diffeomorphic if there is some  $s \neq 0$  in  $\mathbb{Z}_p$  such that  $a_j = b_{sj}$  for all  $j$ .

Let  $c = 0$  or  $1$ , and assume that  $V$  and  $W$  satisfy the previous conditions on the coefficients  $a_j$  and  $b_j$ . Then  $b_{j_2} = a_{j_1} = p+c$  implies that  $b_{-j_2} = a_{-j_1} = p+c$ ; if we choose  $s$  to be the unique element of  $\mathbb{Z}_p$  such that  $j_2 = s j_1$ , then it follows that

$$a_{j_1} = b_{sj_1} = p+c = b_{-sj_1} = a_{-j_1}$$

and also

$$a_j = b_{sj} = c, \quad \text{where } j \neq \pm j_1.$$

Therefore the diffeomorphism criterion in the previous paragraph implies that  $L(V)$  is diffeomorphic to  $L(W)$ . ■

PROOF OF THEOREM 2. All we need to do is

check that the lens space diffeomorphisms are

isometric (Riemannian). This fact is contained

in Folkman's work if  $n > 2(p-1)$ . In the

remaining cases, the discussion in the second

paragraph of the proof for 4.1 shows that it

suffices to consider cases where the map  $L(V) \rightarrow L(W)$

lifts to a  $\mathbb{Z}_p$ -equivariant map  $S(V) \rightarrow S(W)$ ,

and, <sup>in</sup> the diffeomorphism criterion from [1],

the sufficient condition in fact implies that the

<sup>COHEN</sup> lens spaces are isometric (see [1]). ■

PROOF OF THEOREM 1. If  $\dim M = \dim N \geq 2p-1$ ,

then  $M$  and  $N$  are diffeomorphic by Theorem 2,

so it is only necessary to consider cases where the

dimension is  $\leq 2p-3$ . Given fact in the theorem, the normal invariant of  $\eta(f)$  of lies in  $[N, G/0]$ , and by the  $\pi_1$ - $\pi_1$  Theorem the map  $f \times id_{\mathbb{D}^3}$  is h-cobordant to a diffeomorphism if and only if  $\eta(f)$  is trivial. INSEPT  
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Since  $f$  is tangential, the normal invariant lifts back to  $[N, SG] \cong \{N, S^0\}$ . Furthermore, since the universal coverings of  $M$  and  $N$  are both diffeomorphic to  $S^{2n-1}$ , it follows that the pullback of  $\eta(f)$  under the universal covering map  $S^{2n-1} \rightarrow N$  is trivial.

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Consider the Atiyah-Hirzebruch Spectral sequence for  $\{N, S^0\}$ . Its  $E_2$  terms have the form  $\tilde{H}^*(N; \mathbb{T}_i)$  where  $\mathbb{T}_i$  is the  $i$ -th stable stem  $\pi_i(SG)$ . These

Therefore the analog of Proposition 3.1 in the smooth category will imply that  $f \times id_{\mathbb{R}^3}$  is properly homotopic to a diffeomorphism if and only if  $\eta(f)$  is trivial, and accordingly it will suffice to prove the latter.

Return but start new  
paragraph.

groups contain no  $p$ -torsion if  $i < 2p-3$  [ ],

and since  $\tilde{H}_i(N; \mathbb{Z}) \cong \mathbb{Z}_p$  or 0 when

$i \leq 2m-2$ , it follows that  $\tilde{H}^i(N; \mathbb{Z}) = 0$

if  $i \leq 2m-2$  and  $\tilde{H}^i(N; \mathbb{Z}) \cong \mathbb{Z}_p$ . This

means that the <sup>degree 1</sup> collapsing map from  $N$  to  $S^{2m-1}$

induces an isomorphism from  $\pi_{2m-1}$  to  $\{N, S^0\}$ .

But the composite  $S^{2m-1} \rightarrow N \rightarrow S^{2m-1}$  has

degree  $p$ , so that the <sup>composite</sup>  $\pi_{2m-1} \rightarrow \{N, S^0\} \rightarrow \pi_{2m-1}$

is also multiplication by  $p$ .

If  $n \leq p-2$ , then  $\pi_{2m-1}$  has order

prime to  $p$  and hence the map  $\{N, S^0\} \rightarrow \pi_{2m-1}$  is

an isomorphism. Consider now the following

commutative diagram:

$$\begin{array}{ccccc} \pi_{2m-1} & \longrightarrow & \{\mathbb{N}, \mathbb{S}^2\} & \longrightarrow & \pi_{2m-1} \\ \downarrow & & \downarrow & & \downarrow \\ \pi_{2m-1}(G/O) & \longrightarrow & [\mathbb{N}, G/O] & \longrightarrow & \pi_{2m-1}(G/O) \end{array}$$

We already know that  $\eta(f)$  lifts to a class  $x_0$  in  $\{\mathbb{N}, \mathbb{S}^2\}$  and hence lifts to a class  $x$  in  $\pi_{2m}$ .

If  $y$  denotes the image of  $x$  in  $\pi_{2m-1}(G/O)$ , then  $y$  maps to  $\eta(f)$ . We also know that  $\eta(f)$  maps to zero in  $\pi_{2m-1}(G/O)$ , and from this we may conclude that  $p y = 0$  in  $\pi_{2m-1}(G/O)$ .

Since the only nontrivial

Now  $\pi_{2m-1}(G/O)$  has no elements of order  $p$

and therefore  $y = 0$  so that  $\eta(f) = 0$  and we are done if  $m \leq p-1$ .

We are left with the case  $m = np-1$ , in

which  $\pi_{2m-1} = \pi_{2p-3}$  is the direct sum of  $\mathbb{Z}_p$  and

a group of order prime to  $p$ , we also know that the  $p$ -torsion maps to zero in  $\pi_{2p-3}^L(G/O)$ . Therefore, if we consider the same diagram as before we again obtain a class  $y \in \pi_{2p-3}^L(G/O)$  which maps to  $\eta(\xi)$ , but in this case we can conclude that the order of  $y$  is prime to  $p$ , regardless of whether or not  $p$  divides the order of  $x$ . One can now reason as before to conclude that  $y$  and  $\eta(\ell)$  must be trivial.  $\square$

NOTE. A closer examination of results due

to J. Ewing, S. Moolgavkar, R. Stong and L. Smith

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[E ...] shows that for each  $n \geq 2$  there are infinitely many primes  $p$  for which one has but nondiffeomorphic homotopy equivalent lens spaces which are

stably parallelizable, so for each  $n$

there are <sup>many</sup> examples of distinct non-diffeomorphic

lens spaces  $M, N$  such that  $M \times \mathbb{R}^3$  and  
 $N \times \mathbb{R}^3$  are diffeomorphic [ ].

Do we want a reference  
or should we insert the  
construction in this  
paper?