## MATH 131: Linear Algebra I

University of California, Riverside Group Examination 3 Solutions July 11, 2019

- (20pts) 1. For this question, you will need to refer to the definitions in Chapter 3, Sections A-B, of your Axler textbook to find the answers.
  - (4pts) a. Write down the properties of a *linear map* from V to W.

*Properties.* From Definition 3.2 of Axler: A *linear map* from V to W is a function  $T: V \rightarrow W$  is the following properties:

- Additivity: T(u + v) = Tu + Tv for all  $u, v \in V$ ;
- Homogeneity:  $T(\lambda v) = \lambda(Tv)$  for all  $\lambda \in \mathbb{F}$  and for all  $v \in V$ .

The set of all linear maps from V to W is denoted  $\mathcal{L}(V, W)$ .

(4pts) b. Write down the differentiation and integration maps, and prove that they are linear.

*Definition*. From Example 3.4 of Axler:

- Differentiation: Define  $D \in \mathcal{L}(\mathcal{P}(\mathbb{R}), \mathcal{P}(\mathbb{R}))$  by Dp = p'. The map D is linear because it satisfies
  - Additivity: D(p+q) = (p+q)' = p' + q' = Dp + Dq for all  $p, q \in \mathcal{P}(\mathbb{R})$ ;
  - Homogeneity:  $D(\lambda p) = (\lambda p)' = \lambda p' = \lambda Dp$  for all  $\lambda \in \mathbb{F}$  and for all  $p \in \mathcal{P}(\mathbb{R})$ .
- Integration: Define  $T \in \mathcal{L}(\mathcal{P}(\mathbb{R}), \mathbb{R})$  by  $Tp = \int_0^1 p(x) dx$ . The map T is linear because it satisfies

- Additivity: 
$$T(p+q) = \int_0^1 (p(x) + q(x)) dx = \int_0^1 p(x) dx + \int_0^1 q(x) dx = Tp + Tq$$
 for all  $p, q \in \mathcal{P}(\mathbb{R})$ ;

- Homogeneity: 
$$T(\lambda p) = \int_0^1 (\lambda p)(x) dx = \int_0^1 \lambda p(x) dx = \lambda \int_0^1 p(x) dx = \lambda T p$$
 for all  $\lambda \in \mathbb{F}$  and for all  $p \in \mathcal{P}(\mathbb{R})$ .

(4pts) b. Write down the definitions of *addition* and *scalar multiplication* on  $\mathcal{L}(V, W)$ .

*Definition*. From Definition 3.6 of Axler: Suppose  $S, T \in \mathcal{L}(V, W)$  and  $\lambda \in \mathbb{F}$ .

- The sum  $S + T : V \to W$  are the linear maps defined by (S + T)v = Sv + Tv for all  $v \in V$ .
- The *product* (:  $V \to W$  are the linear maps defined by  $(\lambda T)v = \lambda(Tv)$  for all  $v \in V$ .
- (4pts) c. Write down the definitions of *null space* and *range*.

Definition. From Definitions 3.12 and 3.17 of Axler:

- For all  $T \in \mathcal{L}(V, W)$ , the *null space* of T is the subset of V consisting of those vectors that T maps to 0: null  $T = \{v \in V : Tv = 0\}$ .
- For all  $T \in \mathcal{L}(V, W)$ , the *range* of *T* is the subset of *W* consisting of those vectors that are of the form Tv for some  $v \in V$ : range  $T = \{Tv : v \in V\}$ .

(4pts) d. Write down the definitions of *injective* and *surjective*.

Definition. From Definitions 3.15 and 3.20 of Axler:

- A function  $T: V \to W$  is called *injective* if Tu = Tv implies u = v.
- A function  $T: V \to W$  is called *surjective* if its range equals W; that is, if we have range T = W.
- (20pts) 2. Which of the following maps  $T : \mathbb{R}^2 \to \mathbb{R}^2$  is linear? If the map is linear, prove it. If not, give a counterexample to show that some property of a linear map that is not satisfied.

(4pts) a.  $T(x_1, x_2) = (x_1 + 1, x_2)$ 

*Proof.* We will prove that this map is not linear.

• Homogeneity is not satisfied. Let  $\lambda = 2 \in \mathbb{F}$  and let  $(x_1, x_2) = (1, 1) \in \mathbb{R}^2$ . Then

$$T(\lambda(x_1, x_2)) = T(2(1, 1))$$
  
= T(2, 2)  
= (2 + 1, 2)  
= (3, 2)

and

$$\lambda T(x_1, x_2) = 2T(1, 1)$$
  
= 2(1 + 1, 1)  
= 2(2, 1)  
= (4, 2).

Since we have  $(3, 2) \neq (4, 2)$ , we conclude  $T(\lambda(x_1, x_2)) \neq \lambda T(x_1, x_2)$ .

Since one of the two properties of a linear map are not satisfied, this map is not linear.

(4pts) b.  $T(x_1, x_2) = (x_2, x_1)$ 

*Proof.* We will prove that this map is linear.

• Additivity: For all  $(x_1, x_2), (y_1, y_2) \in \mathbb{R}^2$ , we have

$$T((x_1, x_2) + (y_1, y_2)) = T(x_1 + y_1, x_2 + y_2)$$
  
=  $(x_2 + y_2, x_1 + y_1)$   
=  $(x_2, x_1) + (y_2, y_1)$   
=  $T(x_1, x_2) + T(y_1, y_2).$ 

• Homogeneity: For all  $\lambda \in \mathbb{F}$  and for all  $(x_1, x_2) \in \mathbb{R}^2$ , we have

$$T(\lambda(x_1, x_2)) = T(\lambda x_1, \lambda x_2)$$
$$= (\lambda x_2, \lambda x_1)$$
$$= \lambda(x_2, x_1)$$
$$= \lambda T(x_1, x_2).$$

Since the two properties of a linear map are satisfied, this map is linear.

## (4pts) c. $T(x_1, x_2) = (|x_1|, x_2)$

*Proof.* We will prove that this map is not linear.

• Homogeneity is not satisfied. Let  $\lambda = -1 \in \mathbb{F}$  and let  $(x_1, x_2) = (1, 1) \in \mathbb{R}^2$ . Then

$$T(\lambda(x_1, x_2)) = T(-1(1, 1))$$
  
= T(-1, -1)  
= (| - 1|, -1)  
= (1, -1)

and

$$\lambda T(x_1, x_2) = -1T(1, 1)$$
  
= -1(|1|, 1)  
= -1(1, 1)  
= (-1, -1).

Since we have  $(1, -1) \neq (-1, -1)$ , we conclude  $T(\lambda(x_1, x_2)) \neq \lambda T(x_1, x_2)$ . Since one of the two properties of a linear map are not satisfied, this map is not linear.

(4pts) d.  $T(x_1, x_2) = (\sin x_1, x_2)$ 

*Proof.* We will prove that this map is not linear.

• Additivity is not satisfied. Let  $(x_1, x_2) = (\frac{\pi}{2}, 0), (y_1, y_2) = (\frac{\pi}{2}, 1) \in \mathbb{R}^2$ . Then

$$T((x_1, x_2) + (y_1, y_2)) = T\left(\left(\frac{\pi}{2}, 0\right) + \left(\frac{\pi}{2}, 1\right)\right)$$
  
=  $T(\pi, 1)$   
=  $(\sin \pi, 1)$   
=  $(0, 1)$ 

and

$$T(x_1, x_2) + T(y_1, y_2) = T\left(\frac{\pi}{2}, 0\right) + T\left(\frac{\pi}{2}, 1\right)$$
$$= \left(\sin\frac{\pi}{2}, 0\right) + \left(\sin\frac{\pi}{2}, 1\right)$$
$$= (1, 0) + (1, 1)$$
$$= (2, 1).$$

Since we have 
$$(0, 1) \neq (2, 1)$$
, we conclude  $T(\lambda(x_1, x_2)) \neq \lambda T(x_1, x_2)$ .

Since one of the two properties of a linear map are not satisfied, this map is not linear.

## (4pts) e. $T(x_1, x_2) = (x_1 - x_2, 0)$

*Proof.* We will prove that this map is linear.

• Additivity: For all  $(x_1, x_2), (y_1, y_2) \in \mathbb{R}^2$ , we have

$$T((x_1, x_2) + (y_1, y_2)) = T(x_1 + y_1, x_2 + y_2)$$
  
=  $((x_1 + y_1) - (x_2 + y_2), 0)$   
=  $(x_1 - x_2 + y_1 - y_2, 0)$   
=  $(x_1 - x_2, 0) + (y_1 - y_2, 0)$   
=  $T(x_1, x_2) + T(y_1, y_2).$ 

• Homogeneity: For all  $\lambda \in \mathbb{F}$  and for all  $(x_1, x_2) \in \mathbb{R}^2$ , we have

$$T(\lambda(x_1, x_2)) = T(\lambda x_1, \lambda x_2)$$
  
=  $(\lambda x_1 - \lambda x_2, 0)$   
=  $(\lambda(x_1 - x_2), 0)$   
=  $\lambda(x_1 - x_2, 0)$   
=  $\lambda T(x_1, x_2).$ 

Since the two properties of a linear map are satisfied, this map is linear.

(20pts) 3. Consider a linear map  $T : \mathbb{R}^3 \to \mathbb{R}^2$  that satisfies T(1, -1, 1) = (1, 0) and T(1, 1, 1) = (0, 1).

(12pts) a. Show that there exists such a map.

*Hint:* First verify that (1, -1, 1), (1, 1, 1) is a linearly independent list of vectors in  $\mathbb{R}^3$ . Then use 2.33 of Axler to show that this linearly independent list extends to a basis of  $\mathbb{R}^3$ . Finally, use 3.5 of Axler to arrive at your desired conclusion.

*Proof.* Following the hint, we will show that (1, -1, 1), (1, 1, 1) is linearly independent. Suppose  $a_1, a_2 \in \mathbb{F}$  satisfy

$$a_1(1, -1, 1) + a_2(1, 1, 1) = (0, 0, 0).$$

The left-hand side of the above equation becomes

$$(a_1 + a_2, -a_1 + a_2, a_1 + a_2) = (0, 0, 0),$$

which means we obtain a system of equations

$$a_1 + a_2 = 0,$$
  
 $-a_1 + a_2 = 0,$ 

from which system-solving gives  $a_1 = 0$ ,  $a_2 = 0$ . Therefore, (1, -1, 1), (1, 1, 1) is a linearly independent list. By 2.33 of Axler, we can extend this list to a basis of  $\mathbb{R}^3$ . Since (1, 0), (0, 1) is the standard basis of  $\mathbb{R}^3$ , we can use 3.5 of Axler to assert that there exists a unique map satisfying

$$T(1, -1, 1) = (1, 0)$$
$$T(1, 1, 1) = (0, 1)$$
$$T(x_1, x_2, x_3) = (y_1, y_2),$$

where  $(x_1, x_2, x_3) \in \mathbb{R}^3$  is some value such that the resulting list  $(1, -1, 1), (1, 1, 1), (x_1, x_2, x_3)$  is a basis of  $\mathbb{R}^3$ , and  $(y_1, y_2) \in \mathbb{R}^2$  is the output from *T* of  $(x_1, x_2, x_3)$ . All the three equations above came out of 3.5 of Axler, but only the first two are necessary to complete this proof.

(8pts) b. Compute T(-5, 1, -5).

*Proof.* Since T is linear, we can use additivity and homogeneity of T to get

$$T(-5, 1, -5) = T((-3, 3, -3) + (-2, -2, -2))$$
  
=  $T(-3, 3, -3) + T(-2, -2, -2)$   
=  $T(-3(1, -1, 1)) + T(-2(1, 1, 1))$   
=  $-3T(1, -1, 1) - 2T(1, 1, 1)$   
=  $-3(1, 0) - 2(0, 1)$   
=  $(-3, 0) + (0, -2)$   
=  $(-3 + 0, 0 - 2)$   
=  $(-3, -2),$ 

as desired.

(20pts) 4. Suppose V and W are both finite-dimensional. Prove that there exists an injective map  $T \in \mathcal{L}(V, W)$  if and only if dim  $V \leq \dim W$ .

*Proof.* Forward direction: If there exists a injective linear map  $T \in \mathcal{L}(V, W)$ , then dim  $V \leq \dim W$ . Suppose there exists a injective linear map  $T \in \mathcal{L}(V, W)$ , which means by 3.16 of Axler we have null  $T = \{0\}$ . Since 3.19 of Axler says that range T is a subspace of W, by 2.38 of Axler, we have dim range  $T \leq \dim W$ . By the Fundamental Theorem of Linear Maps (3.22 of Axler), we have

$$\dim V = \dim \operatorname{null} T + \dim \operatorname{range} T$$
$$= \dim \{0\} + \dim \operatorname{range} T$$
$$= 0 + \dim \operatorname{range} T$$
$$= \dim \operatorname{range} T$$
$$\leq \dim W,$$

or dim  $W \leq \dim V$ , as desired.

Backward direction: If dim  $V \le \dim W$ , then there exists an injective linear map  $T \in \mathcal{L}(V, W)$ . Suppose we have dim  $V \le \dim W$ . Since V and W are finite-dimensional, according to 2.32 of Axler, there exist a basis  $v_1, \ldots, v_n$  of V and a basis  $w_1, \ldots, w_m$  of W. For brevity in notation, let  $m = \dim W$  and  $n = \dim V$ , which means  $n \le m$ . Define  $T : V \to W$  by

$$T(a_1v_1 + \dots + a_nv_n) = a_1w_1 + \dots + a_mw_m$$

for some  $a_1, \ldots, a_n, \ldots, a_m \in \mathbb{F}$ . Then *T* is linear and indeed defines a function, according to the proof for 3.5 in Axler. Now suppose we have  $a_1v_1 + \cdots + a_nv_n \in \text{null } T$ . Then we have  $T(a_1v_1 + \cdots + a_nv_n) = 0$ , or

 $a_1w_1+\cdots+a_mw_m=0.$ 

Since  $w_1, \ldots, w_m$  is a basis of W, it is linearly independent, which means all the scalars are zero; that is, we have

$$a_1=0,\ldots,a_m=0.$$

Since  $n \le m$ , we have in particular the first n of the m scalars are zero; that is, we have  $a_1 = 0, \ldots, a_m = 0$ . So we have

$$a_1v_1 + \dots + a_nv_n = 0,$$

which means we have null  $T \subset \{0\}$ . But 3.14 of Axler says that null T is a subspace in V, which means in particular that null T contains the additive identity, or  $\{0\} \subset$  null T. Therefore, we have the set equality null  $T = \{0\}$ . Finally, by 3.16 of Axler, T is injective.

(20pts) 5. Suppose V and W are both finite-dimensional. Prove that there exists a surjective map  $T \in \mathcal{L}(V, W)$  if and only if dim  $V \ge \dim W$ .

*Proof.* Forward direction: If there exists a surjective linear map  $T \in \mathcal{L}(V, W)$ , then dim  $V \ge \dim W$ . Suppose there exists a surjective map  $T \in \mathcal{L}(V, W)$ , which means we have range T = W, and so dim range  $T = \dim W$ . Since T is a linear map, by 3.11 of Axler we have T(0) = 0. So we have  $\{0\} \subset \text{null } T$ , and so, by 2.38 of Axler, we have  $0 = \dim\{0\} \le \dim \text{null } T$ . By the Fundamental Theorem of Linear Maps (3.22 of Axler), we have

$$\dim V = \dim \operatorname{null} T + \dim \operatorname{range} T$$
$$= \dim \operatorname{null} T + \dim W$$
$$\geq \dim \{0\} + \dim W$$
$$= 0 + \dim W$$
$$= \dim W,$$

as desired.

Backward direction: If dim  $V \ge \dim W$ , then there exists a surjective map  $T \in \mathcal{L}(V, W)$ . Suppose we have dim  $V \le \dim W$ . Since V and W are finite-dimensional, according to 2.32 of Axler, there exist a basis of V and a basis of W. For brevity in notation, let  $m = \dim W$  and  $n = \dim V$ , which means  $n \ge m$ . Define  $T : V \to W$  by

$$T(a_1v_1 + \dots + a_nv_n) = a_1w_1 + \dots + a_mw_n$$

for some  $a_1, \ldots, a_m, \ldots, a_n \in \mathbb{F}$ . Then *T* is linear and indeed defines a function, according to the proof for 3.5 in Axler. Since  $w_1, \ldots, w_n$  is a basis of *W*, every vector in *W* is a linear combination of  $w_1, \ldots, w_n$  and can therefore be written  $a_1w_1 + \cdots + a_mw_m$ . This implies that we have range T = W, and so *T* is surjective.