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## LECTURE 03

06-26-19

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Office Hours : MTWR 11:10 AM - 12:00 PM @ CHASS 2134

Quiz 1 in discussion today - Direct proofs 3:15 PM - 4:00 PM  
 Open book, open notes

Group Exam 1 tomorrow

- 20 pts on definitions

- 40 pts on 2 Axler exercises

↳ Look at : Axler exercises 1.C.1 and 1.C.2

- 40 pts on curveballs

- know and apply properties of vector space and subspace

### SECTION 1.C Subspaces

1.3.2 Definition: Let  $U$  be a subset of  $V$ . Then  $U$  is a subspace of  $V$  if  $U$  is also a vector space with the same addition and scalar multiplication.

Let  $V$  be a vector space (with addition & scalar multiplication).

#### 1.3.4 Condition of subspace

$U$  is a subspace of  $V$  if and only if it satisfies:

ADDITIVE IDENTITY :  $0 \in U$

CLOSED UNDER ADDITION :  $u, w \in U$  implies  $u+w \in U$

CLOSED UNDER SCALAR :  $a \in F$  and  $u \in U$   $au \in U$   
 MULTIPLICATION ( $F: \mathbb{R}$  or  $F: \mathbb{C}$ )

#### 1.3.5 Examples of subspaces

(a) If  $b \in F$ , then

$$U_1 = \{(x_1, x_2, x_3, x_4) \in F^4 \mid x_3 = 5x_4 + b\}$$

in such that

is  $U$  subspace of  $F^4$  if and only if  $b = 0$

Proof Additive Identity  $0 \in U$

$$(x_1, x_2, x_3, x_4) \\ (0, 0, 0, 0) \in U_1 ?$$

$$x_3 = 5x_4 + b \\ 0 = 5(0) + b \\ 0 = b$$

So additive identity is satisfied if and only if  $b=0$ . (otherwise, if  $b \neq 0$ , then the statement  $0=b$  would be false.  $0=b \neq 0$  contradiction)

### Closed under addition

Let  $(x_1, x_2, x_3, x_4), (y_1, y_2, y_3, y_4) \in U_1$ .

Then  $x_3 = 5x_4 + b$  and  $y_3 = 5y_4 + b$

So we have

$$x_3 + y_3 = (5x_4 + b) + (5y_4 + b) \\ = 5(x_4 + y_4) + 2b$$

If  $b=0$ , we have  $x_3 = 5x_4$  and  $y_3 = 5y_4$ , and

$$x_3 + y_3 = 5(x_4 + y_4)$$

So if  $b=0$ , then

$$(x_1, x_2, x_3, x_4) + (y_1, y_2, y_3, y_4) \\ = (x_1 + y_1, x_2 + y_2, x_3 + y_3, x_4 + y_4) \in U_1$$

So if  $b=0$ , then  $U_1$  is closed under addition.

### Closed under multiplication

Let  $a \in F$  and  $(x_1, x_2, x_3, x_4) \in U_1$ .

Then  $a(x_3 = 5x_4 + b)$

So we have  $ax_3 = 5ax_4 + ab$

If  $b=0$ , then  $x_3 = 5x_4$  and

$$ax_3 = 5(ax_4)$$

So if  $b=0$ , then

$$a(x_1, x_2, x_3, x_4) = (ax_1, ax_2, ax_3, ax_4) \in U_1$$

So  $U_1$  is closed under scalar multiplication

\* Therefore  $U_1$  is a subspace of  $V$

(2) (b) Let  $U_2$  be the set of continuous real-valued functions on the interval  $[0,1]$ . Then  $U_2$  is ~~a~~ a subspace of  $\mathbb{R}^{[0,1]} = \{f: [0,1] \rightarrow \mathbb{R}\}$

### Additive identity

The zero function is continuous. So  $0 \in U_2$

### Closed under addition

Let  $f, g \in U_2$  (Let  $f, g: [0,1] \rightarrow \mathbb{R}$  be continuous.)

Sum of two continuous functions is continuous.

so  $f+g \in U_2$

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### Closed under scalar multiplication

Let  $a \in U_2$ . (Let  $f: [0,1] \rightarrow \mathbb{R}$  be continuous)

Scalar multiple ~~continuous~~ of continuous functions is continuous. so  $af \in U_2$

\* So  $U_2$  is a subspace of  $\mathbb{R}^{[0,1]}$

### Sum of subspace

#### 1.36 Definition: sum of subsets

Let  $U_1, \dots, U_m$  be subsets of  $V$ . The sum of  $U_1, \dots, U_m$  is denoted

$$U_1 + \dots + U_m$$

and is the set of all possible sums of elements of  $U_1, \dots, U_m$

In other words,

$$U_1 + \dots + U_m = \{U_1 + \dots + U_m : U_i \in U_1, \dots, U_m \in U_m\}$$

#### 1.37 Example Let #3 be a vector space

$$\text{Let } U = \{(x, 0, 0) \in \mathbb{F}^3 : x \in \mathbb{F}\}$$

$$\text{and } W = \{(0, y, 0) \in \mathbb{F}^3 : y \in \mathbb{F}\}$$

$$\text{then } U+W = \{(x, y, 0) : x, y \in \mathbb{F}\}$$

$$(x, 0, 0) \in U$$

$$(0, y, 0) \in W$$

$$\in U \quad \in W$$

$$(x, 0, 0) + (0, y, 0) \in U+W$$

$$= (x+0, 0+y, 0+0) \in U+W$$

$$= (x, y, 0) \in U+W$$

1.39 Sum of subspaces is the smallest containing subspace

Suppose  $U_1, \dots, U_m$  are subspaces of  $V$ . Prove that  $U_1 + \dots + U_m$  is the smallest subspace of  $V$  containing  $U_1, \dots, U_m$

Proof: First, we claim that  $U_1 + \dots + U_m$  is a subspace of  $V$

Since  $U_1, \dots, U_m$  are subspaces of  $V$ , we have:

ADDITIVE IDENTITIES:  $0 \in U_1, \dots, 0 \in U_m$

CLOSED UNDER ADDITION:  $u, w \in U_1, \dots, U_m \Rightarrow u+w \in U_1, \dots, U_m$   
imply  $u+w \in U_1, \dots, U_m$

CLOSED UNDER :  $a \in \mathbb{F}, u_i \in U_1, \dots, U_m \Rightarrow au_i \in U_1, \dots, U_m$

MULTIPLICATION imply  $a u_i \in U_1, \dots, U_m$

we have

$$0 = \underbrace{0 + \dots + 0}_m \in U_1 + \dots + U_m$$

so  $U_1 + \dots + U_m$  contains the additive identity

We also have:

If  $u, w \in U_1 + \dots + U_m$  then we can write

$$u = u_1 + \dots + u_m \text{ and } w = w_1 + \dots + w_m$$

$$\begin{aligned} \text{So } u+w &= (u_1 + \dots + u_m) + (w_1 + \dots + w_m) \\ &= (u_1 + w_1) + \dots + (u_m + w_m) \\ &\in U_1 + \dots + U_m \end{aligned}$$

Therefore,  $U_1 + \dots + U_m$  is closed under addition

Let  $a \in \mathbb{F}$ . We ~~also~~ also have

$$\begin{aligned} au &= a(u_1 + \dots + u_m) \\ &= a u_1 + \dots + a u_m \in U_1 + \dots + U_m \end{aligned}$$

Therefore,  $U_1 + \dots + U_m$  is closed under scalar multiplication

\* So  $U_1 + \dots + U_m$  is a subspace of  $V$

Next we claim that  $U_1 + \dots + U_m$  is the smallest subspace of  $V$  containing  $U_1, \dots, U_m$

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Let  $i = 1, \dots, m$  Let  $x_i \in U_i$ . Then

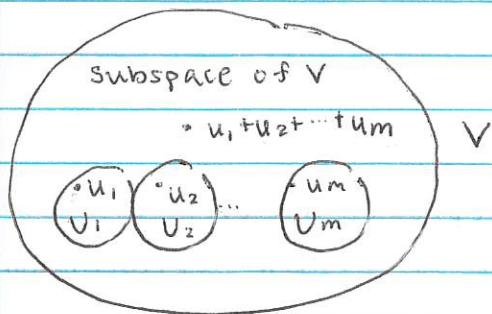
$$\begin{aligned} x_i &= (\underbrace{0 + \dots + 0}_{i-1 \text{ terms}} + \underbrace{x_i}_{i^{\text{th}} \text{ term}} + \underbrace{0 + \dots + 0}_{m-i \text{ terms}}) \\ &\in U_1 + \dots + U_{i-1} + \underbrace{U_i + U_{i+1} + \dots + U_m}_{\text{from } i+1 \text{ to } m} \\ &= U_1 + \dots + U_m \end{aligned}$$

Observe that every subspace of  $V$  that contains  $U_1, \dots, U_m$  must also contain finite sums of elements of  $U_1, \dots, U_m$  (This is due to the property of (closed under addition) for subspaces.)

This means in particular that every subspace that contains  $U_1, \dots, U_m$  must contain the subspace  $U_1 + \dots + U_m$ .

Since  $U_1 + \dots + U_m$  is contained in every subspace that contains  $U_1, \dots, U_m$ .

So  $U_1 + \dots + U_m$  is the SMALLEST subspace that contains  $U_1, \dots, U_m$



### Direct sums

#### 1.40 Definition direct sum

Let  $U_1, \dots, U_m$  be subspaces of  $V$ . Then

- $U_1 + \dots + U_m$  is a direct sum if each element of  $U_1 + \dots + U_m$  is written in ONLY ONE WAY as the sum

$$U_1 + \dots + U_m$$

where  $u_1 \in U_1, \dots, u_m \in U_m$

- $U_1 \oplus \dots \oplus U_m$  is the NOTATION to denote the direct sum

#### 1.44 Condition for a direct sum

Let  $U_1, \dots, U_m$  be subspaces of  $V$ . Then  $U_1 + \dots + U_m$  is a direct sum if and only if the only way to write 0 as a sum  $U_1 + \dots + U_m$ , where  $u_1 \in U_1, \dots, u_m \in U_m$  is by taking  $u_1 = 0, \dots, u_m = 0$

Forward direction: If  $U_1 + \dots + U_m$  is a direct sum, then the only way to write 0 is taking  $U_1 = 0, \dots, U_m = 0$  [as a sum  $U_1 + \dots + U_m$ ]

Suppose  $U_1 + \dots + U_m$  is a direct sum. Then, by

definition of the direct sum, we can only write

0 as a sum  $U_1 + \dots + U_m$  in one way: by taking ~~0~~,

$$U_1 = 0, \dots, U_m = 0$$

$$(0 = U_1 + \dots + U_m)$$

Backward direction: If the only way to write 0 as a sum  $U_1 + \dots + U_m$  is by taking  $U_1 = 0, \dots, U_m = 0$ , then  $U_1 + \dots + U_m$  is a direct sum

Suppose the ~~one~~ only way to write  $0 = U_1 + \dots + U_m$  is to state  $U_1 = 0, \dots, U_m = 0$ . We claim that  $U_1 + \dots + U_m$  is a direct sum. Let  $v \in U_1 + \dots + U_m$ . Then by 1.4D, we can write

\*  $v = U_1 + \dots + U_m$  where  $U_i \in U_1, \dots, U_m \in U_m$

We need to show that this representation is unique. To show this, consider ANOTHER representation

\*  $v = V_1 + \dots + V_m$  where  $V_i \in U_1, \dots, V_m \in U_m$

Subtract \* and \*, we get

$$0 = (U_1 - V_1) + \dots + (U_m - V_m).$$

Since we assumed in the backward direction that the only way to write 0 as a sum  $U_1 + \dots + U_m$  is to take  $U_1 = 0, \dots, U_m = 0$ , we have from \* - \* that we need to take

$$U_1 - V_1 = 0, \dots, U_m - V_m = 0$$

so

$$U_1 = V_1, \dots, U_m = V_m$$

$$\text{So } v = V_1 + \dots + V_m$$

$$= U_1 + \dots + U_m$$

Therefore, our representation of  $v$  is unique, that is, written in ONLY ONE WAY

so  $U_1 + \dots + U_m$  is a direct sum

(4) 1.45 Direct sum of two subspaces

Suppose  $U$  and  $W$  are subspaces of  $V$ . Then  $U+W$  is a direct sum if and only if  $U \cap W = \{0\}$

Forward direction: If  $U+W$  is a direct sum, then  $U \cap W = \{0\}$

Suppose  $U+W$  is a direct sum. Suppose  $v \in U \cap W$ . Then

$$0 = v + (-v)$$

where  $v \in U$  and  $-v \in W$ . Since  $0 \in U+W$ , by the definition of direct sum, we can write  $0$  in ONLY ONE WAY. Namely, we conclude  $v=0$ . Therefore  $U \cap W \subset \{0\}$ . At the same time, we know that  $U+W$  is a subspace of  $V$ , which means in particular  $0 \in U+W$ , or  $\{0\} \subset U+W$ .

Since  $U \cap W \subset \{0\}$  and  $\{0\} \subset U+W$ , we conclude  $U \cap W = \{0\}$

\* Backward direction: If  $U \cap W = \{0\}$ , then  $U+W$  is a direct sum

Suppose  $U \cap W = \{0\}$ . Let  $u \in U, w \in W$  satisfy

$$0 = u+w$$

But  $0 = u+w$  implies

$$u = w \in W$$

so  $u \in U$  and  $w \in W$ ; namely,  $u \in U+W$  so  $u \in \{0\}$  and so  $u=0$ . And  $0 = u+w$  with  $u=0$  implies  $w=0$  so the only way to write  $0$  as a sum  $u+w$  is to take  $u=0, w=0$ .

\* By 1.44,  $U+W$  is a direct sum.

