

2C Dimension

We need to recall 2.23 of Axler from sec. 2B

Defn 2.23 Length of Linearly Independent List
 $\leq$ length of spanning list
in a finite-dimensional vector space.

Defn 2.35 Length of a Basis of a vector space
does not depend on the basis

Any two bases of a finite-dimensional vector space have the same length
(same number of vectors in the bases)

proof: Suppose V is a finite-dimensional vector space.
Let $B_1 = v_1, \dots, v_m$ and $B_2 = w_1, \dots, w_n$ be two
bases of V . Then by 2.23 of Axler, the
length of B_1 is less than or equal to the
length of B_2 .

Interchange (swap) the roles of B_1 and B_2 .

By 2.23 of Axler, the length of B_2 is
less than or equal to the length of B_1 .

In other words, we have

length of $B_1 \leq$ length of B_2

and length of $B_2 \leq$ length of B_1

Therefore, length of $B_1 =$ length of B_2

In other words, the two bases have the
same length. $\square$

Defn 2.36 The dimension of a finite-dimensional vector
space V is the length of any basis B of V

The dimension of V is denoted $\dim V$.

2.37 (Eq) • $\dim \mathbb{F}^n = n$ because the length of any bases of $\mathbb{F}^n$ is n

(any bases of $\mathbb{F}^n$ contains n elements)

• $\dim P_m(\mathbb{F}) = m+1$ because, for example

(Eq) $1, z, z^2, \dots, z^m$ is a basis of $P_m(\mathbb{F})$ and the length of the basis is $m+1$.

2.38 Dimension of a Subspace

If U is finite-dimensional and U is a subspace of V , then $\dim U \leq \dim V$.

proof: Since V is finite-dimensional and U is a subspace of V , by 2.26 of Axler, U is also finite-dimensional.

By 2.32 of Axler, There exists a basis of U and a basis of V . This means in particular that the basis of U is a linearly independent list in U and the basis of V is a spanning list of V .

↳ Recall from 2.23 of Axler: length of linearly independent list $\leq$ length of spanning list.

The length of our linearly independent list in U is $\dim U$. Likewise, the length of our spanning list in V is $\dim V$.

Therefore, $\dim U \leq \dim V$. $\square$

2.39 Linearly independent list of the right length is a basis

Useful result!

Suppose V is a finite-dimensional. Then every linearly independent list of vectors in V with length $\dim V$ is a basis of V .

proof $\rightarrow$

proof: Suppose $\dim V = n$. Let $v_1, \dots, v_n$ be a linearly independent list in V . By 2.33 of Axler, we can extend $v_1, \dots, v_n$ to a basis of V .
(if necessary)

But every basis of V has length n . Since $v_1, \dots, v_n$ already has length n , in this case, we do NOT need to extend to a basis of V . This means, $v_1, \dots, v_n$ is itself a basis of V . $\square$

2.40 Eg Show that the list $(5, 7), (4, 3)$ is a basis of $\mathbb{F}^2$?

proof: we will show that $(5, 7), (4, 3)$ is linearly independent.

Suppose $a_1, a_2 \in \mathbb{F}$ satisfy

$$a_1(5, 7) + a_2(4, 3) = (0, 0)$$

Then we have $(5a_1 + 4a_2, 7a_1 + 3a_2) = (0, 0)$

Equate the coordinates to get the system of equations

$$5a_1 + 4a_2 = 0$$

$$7a_1 + 3a_2 = 0$$

... System-solve to get

$$a_1 = 0, a_2 = 0$$

So the list $(5, 7), (4, 3)$ is linearly independent in $\mathbb{F}^2$.

Since $(5, 7), (4, 3)$ has length 2 and $\dim \mathbb{F}^2 = 2$,

by 2.39 of Axler,

we conclude that $(5, 7), (4, 3)$ is a basis of $\mathbb{F}^2$. $\square$

2.41 Eg Show that $1, (x-5)^2, (x-5)^3$ is a basis of the subspace U of $\mathcal{P}_3(\mathbb{R})$ defined by

$$U = \{p \in \mathcal{P}_3(\mathbb{R}) : p'(5) = 0\}$$

proof: Let $p_1(x) = 1$. Then $p_1'(x) = 0$, so $p_1'(5) = 0$ and

$$\text{so } p_1 = 1 \in U$$

Let $p_2(x) = (x-5)^2$. Then $p_2'(x) = 2(x-5)$. $\rightarrow$

so $p_2'(5) = 0$, and so $p_2' = (x-5)^2 \in U$

• Let $p_3(x) = (x-5)^3$. Then $p_3'(x) = 3(x-5)^2$.

so $p_3'(5) = 0$ and so $p_3' = (x-5)^2 \in U$.

• Therefore, $1, (x-5)^2, (x-5)^3 \in U$

• Next, suppose $a, b, c \in \mathbb{R}$ satisfy

$$a + b(x-5)^2 + c(x-5)^3 = 0 \quad \text{for all } x \in \mathbb{R}$$

• Notice that the left-hand side of the above equation contains the cx^3 term, but the right-hand side does not. So $c = 0$.

• Similarly, the left-hand side contains the bx^2 term, but the right-hand side does not. so $b = 0$

• Since $b = 0$ and $c = 0$, the above equation implies $a = 0$.

Defn 242

Spanning List of the Right Length is a Basis

Suppose V is a finite-dimensional vector space.

Then every spanning list of vectors in V with length $\dim V$ is a basis of V . see proof →

So $1, (x-5)^2, (x-5)^3$ is linearly independent

Since the length of $1, (x-5)^2, (x-5)^3$ is 3 and $\dim U = 3$, by 2.39 $1, (x-5)^2, (x-5)^3$ is a basis of U .

→ Note (see pg 46 of Axler) that $\dim U$ is at most 4, but it cannot equal 4 because if $\dim U = 4$, then we can extend a basis of U to a basis of $P_3(\mathbb{R})$, which would produce a list with length greater than 4. So $\dim U = 3$

□

Defn 2.42

proof: Suppose $\dim V = n$ and $v_1, \dots, v_n$ spans V . By 2.31 of Axler, we can reduce (if necessary) to a basis of V . But every basis of V has length n , so in this case we do not need to remove any elements of $v_1, \dots, v_n$.

Therefore, $v_1, \dots, v_n$ is itself a basis of V . $\square$

Thm 2.43

Dimension of Sum

If U_1 and U_2 are subspaces of a finite-dimensional vector space V , then

$$\dim(U_1 + U_2) = \dim U_1 + \dim U_2 - \dim(U_1 \cap U_2)$$

proof: Let $m = \dim(U_1 \cap U_2)$, and let $v_1, \dots, v_m$ be a basis of $U_1 \cap U_2$. Then it is linearly independent in U_1 . So, by 2.33 of Axler, we can extend this list to a basis $u_1, \dots, u_m, v_1, \dots, v_j$ of U_1 , which means $\dim U_1 = m + j$. Similarly, $u_1, \dots, u_m$ is linearly independent in U_2 . So, by 2.33 of Axler, we can extend this list to a basis $u_1, \dots, u_m, w_1, \dots, w_k$ of U_2 , which means $\dim U_2 = m + k$. $(v_1, \dots, v_j)$

We will prove that the list $u_1, \dots, u_m, w_1, \dots, w_k$ is a basis of $U_1 + U_2$. We have

$$U_1, U_2 \subseteq \text{span}(u_1, \dots, u_m, v_1, \dots, v_j, w_1, \dots, w_k) = U_1 + U_2$$

So the dimensions of $U_1 + U_2$ and $\text{Span}(u_1, \dots, u_m, v_1, \dots, v_j, w_1, \dots, w_k)$ are equal. If $u_1, \dots, u_m, v_1, \dots, v_j, w_1, \dots, w_k$ is linearly independent, then by 2.39 of Axler it would be a basis.

• Prove that $u_1, \dots, u_m, v_1, \dots, v_j, w_1, \dots, w_k$ is lin independent.

$$\text{Suppose } a_1 u_1 + \dots + a_m u_m + b_1 v_1 + \dots + b_j v_j + c_1 w_1 + \dots + c_k w_k = 0.$$

Need to prove: $a_1 = 0, \dots, a_m = 0, b_1 = 0, \dots, b_j = 0, c_1 = 0, \dots, c_k = 0$.

Since $u_1, \dots, u_m, v_1, \dots, v_j$ is a basis of U_1 , we have

$$\Rightarrow c_1 w_1 + \dots + c_k w_k = -a_1 u_1 - \dots - a_m u_m - b_1 v_1 - \dots - b_j v_j \in U_1$$

Disc. 7/3
Re-explain
2.41

(Eg why does

$$U = \{ p \in P_3(\mathbb{R}) : p'(5) = 0 \} \text{ have dimension } 3?$$

Since we prove $1, (x-5)^2, (x-5)^3$ is linearly independent in U , $\dim U$ is 3 or 4.

Since U is a subspace of $P_3(\mathbb{R})$, by 2.38 of Axler $3 \leq \dim U \leq \dim P_3(\mathbb{R}) = 4$ (if U is a subspace of V , then $\dim U \leq \dim V$).

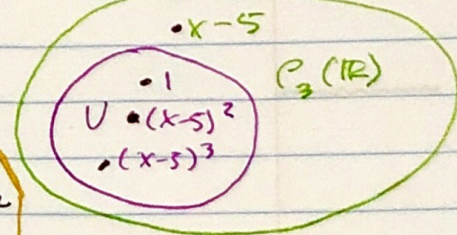
However, $q = x-5 \in P_3(\mathbb{R})$, BUT $q = x-5 \notin U$ because
 $q'(5) = 1$ $q'(x) = 1$
 $(q'(5) \neq 0)$
 So $q \notin U$.

We found a polynomial such as $x-5$ that is in $P_3(\mathbb{R})$ but not in U . Therefore, $U \neq P_3(\mathbb{R})$

This means we have

$$3 \leq \dim U < \dim P_3(\mathbb{R}) = 4$$

So we conclude $\dim U = 3$



Since $w_1, \dots, w_k \in U_1$, we have
 $c_1 w_1 + \dots + c_k w_k \in U_1$.

So, $c_1 w_1 + \dots + c_k w_k \in U_1 \cap U_2$

Since we introduced $u_1, \dots, u_m$ to be a basis of $U_1 \cap U_2$, we can write

$$c_1 w_1 + \dots + c_k w_k = d_1 u_1 + \dots + d_m u_m$$

for some $d_1, \dots, d_m \in \mathbb{F}$. This means

$$c_1 w_1 + \dots + c_k w_k - d_1 u_1 - \dots - d_m u_m = 0.$$

Since $u_1, \dots, u_m, w_1, \dots, w_k$ is linearly independent, all the scalars are zero

$$c_1 = 0, \dots, c_k = 0, d_1 = 0, \dots, d_m = 0.$$

In particular

$$c_1 = 0, \dots, c_k = 0$$

cont.

So the original eq.

$$a_1 u_1 + \dots + a_m u_m + b_1 v_1 + \dots + b_j v_j + c_1 w_1 + \dots + c_k w_k = 0$$

reduces to

$$a_1 u_1 + \dots + a_m u_m + b_1 v_1 + \dots + b_j v_j = 0$$

Since $u_1, \dots, u_m, v_1, \dots, v_j$ is a basis of U_1 , it is linearly independent, so

$$a_1 = 0, \dots, a_m = 0, b_1 = 0, \dots, b_j = 0.$$

∴ all scalars are zero.

So $u_1, \dots, u_m, v_1, \dots, v_j, w_1, \dots, w_k$ is linearly indep.
Then by 2.39 of Axler, it is also a basis of $U_1 + U_2$.

Therefore we have

$$\dim(U_1 + U_2) = m + j + k$$

$$= (m+j) + (m+k) - m$$

$$= \dim U_1 + \dim U_2 - \dim(U_1 \cap U_2) \quad \square$$

Disc. 7/3
re-explain
2.41

(Eg why does $U = \{p \in P_3(\mathbb{R}) : p'(5) = 0\}$ have dimension **3**?

Since we prove $1, (x-5)^2, (x-5)^3$ is linearly independent in U , $\dim U$ is 3 or 4.

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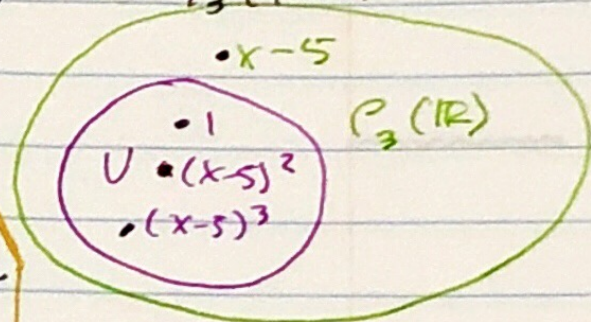
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So $q \notin U$.

We found a polynomial such as $x-5$ that is in $P_3(\mathbb{R})$ but not in U . Therefore, $U \neq P_3(\mathbb{R})$.

This means we have $3 \leq \dim U < \dim P_3(\mathbb{R}) = 4$.

So we conclude $\dim U = 3$.

Since $w_1, \dots, w_k \in U_n$, we have $c_1 w_1 + \dots + c_k w_k \in U_n$.



lecture
notes
cont.